

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION  
STATE SUPERFUND PROGRAM  
ECL §27-1301 *et seq.*

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In the Matter a Remedial Program for

**ORDER ON CONSENT AND  
ADMINISTRATIVE  
SETTLEMENT**

**DEC Site Name: Erie Canal – Town Of  
Frankfort Section**

DEC Site No. 622006

Site Address: Former Erie Canal, City of Utica,  
Oneida County and Town of Frankfort, Herkimer  
County, New York

**Index No. 20200723-39**

Hereinafter referred to as "Site"

by: Remet Corporation and the Trust under the  
Will of James R. Pyne,

Hereinafter referred to individually and collectively  
as "Settling Respondent"

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1. A. The New York State Department of Environmental Conservation ("Department") is responsible for inactive hazardous waste disposal site remedial programs pursuant to Article 27, Title 13 of the Environmental Conservation Law ("ECL") and Part 375 of Title 6 of the Official Compilation of Codes, Rules and Regulations ("6 NYCRR") and may issue orders consistent with the authority granted to the Commissioner by such statute.

B. The Department is responsible for carrying out the policy of the State of New York to conserve, improve and protect its natural resources and environment and control water, land, and air pollution consistent with the authority granted to the Department and the Commissioner by Article 1, Title 3 of the ECL.

C. This Order and Administrative Settlement ("Order") is issued pursuant to the Department's authority under, *inter alia*, ECL Article 27, Title 13 and ECL 3-0301, and resolves Settling Respondent's liability to the State as provided at 6 NYCRR 375-1.5(b)(5).

2. Settling Respondent Remet Corporation is considered a potentially responsible party ("PRP") of the Erie Canal – Town of Frankfort Section Site ("Site"). The Site is listed in the Registry of Inactive Hazardous Waste Disposal Sites in New York State as a Class 2 Site.

3. The Trust under the will of James R. Pyne is the successor-in-interest to James R. Pyne, the former owner of Remet, the potential indemnitor of Remet Corporation, a PRP at the Site, and for purposes of this Order and the protections hereunder, is also considered a PRP of this Site.

4. Settling Respondent consents to the issuance of this Order without (i) an admission of any issue of fact or an admission or finding of liability, fault, wrongdoing, or violation of any law, regulation, permit, order, requirement, or standard of care of any kind whatsoever; (ii) an acknowledgment that there has been a release or threatened release of hazardous waste at or from the Site; and/or (iii) an acknowledgment that Settling Respondent disposed of any hazardous substances at the Site or a release or threatened release of hazardous waste at or from the Site constitutes a significant threat to the public health or environment.

5. Solely with regard to the matters set forth below, Settling Respondent hereby waives any right to a hearing as may be provided by law, consents to the issuance and entry of this Order, and agrees to be bound by its terms. Settling Respondent consents to and agrees not to contest the authority or jurisdiction of the Department to issue or enforce this Order, and agrees not to contest the validity of this Order or its terms or the validity of data submitted to the Department by Settling Respondent pursuant to this Order.

**NOW**, having considered this matter and being duly advised, **IT IS ORDERED THAT:**

I. Real Property

The Site subject to this Order has been assigned number 622006, consists of approximately 1.6 acres, and is as follows:

Subject Property Description (A Map of the Site is attached as Exhibit "A")

Tax Lot 104.3-1-19  
Tax Lot 104.3-1-20  
Tax Lot 104.3-1-18.1

II. Scope of Order

A. Settling Respondent will not submit to the Department any work plan under this Order, instead resolving liability through payment to the State as outlined in Section III of this Order.

B. The following Sections of the attached "Standard Clauses for all New York State, State Superfund Orders" are not applicable and without effect in this Order:

I - Citizen Participation Plan;  
II - Initial Submittal;  
III - Development, Performance, and Reporting of Work Plans;  
VI - Payment of State Costs;  
VII - Release and Covenant Not to Sue;  
X - Public Notice;  
XI - Change of Use;  
XIII - Progress Reports.

- C. Except to the extent provided in Paragraph IV, the following Sections of the attached "Standard Clauses for all New York State, State Superfund Orders" are incorporated herein and remain in effect:

IV - Penalties;  
V - Entry Upon Site;  
VIII - Reservation of Rights;  
IX - Indemnification;  
XII - Environmental Easement (if applicable)  
XIV - Termination of Order;  
XV - Dispute Resolution; and  
XVI - Miscellaneous.

III. Payment in Resolution of Liability

- A. Settling Respondent shall make a payment in the amount of SEVEN HUNDRED TWENTY-SEVEN THOUSAND FIVE HUNDRED DOLLARS (\$727,500.00) within ninety (90) days of the execution date of this Order, payable to the Department of Environmental Conservation.
- B. Settling Respondent shall make payment in the amount of TWENTY-TWO THOUSAND FIVE HUNDRED DOLLARS (\$22,500.00) within ninety (90) days of the execution date of this Order, payable to the NYSDEC-Natural Resource Damages Fund.
- C. The payment shall be by electronic payment at <http://www.dec.ny.gov/about/61016.html#On-Line> or by check made payable to the order of the "New York State Department of Environmental Conservation" or "NYSDEC-Natural Resource Damage Fund" (as applicable) with the enclosed invoice and the Case Number of this Order on Consent written in the memo section of the check, which shall be sent to the Department of Environmental Conservation, Division of Management and Budget Services, 625 Broadway, 10<sup>th</sup> Floor, Albany, NY 12233-4900.

This Order on Consent, along with any applicable submissions shall be sent to the Department of Environmental Conservation, Office of General Counsel, 615 Erie Boulevard West, Syracuse, New York 13204-2400, attention: Margaret A. Sheen, Esq.

- D. Failure to make the payment required in Subparagraphs III.A and III.B of this Order in the manner prescribed shall constitute a default hereunder. If the Settling Respondent so defaults under this Order, the Department shall be entitled to a penalty of \$5,000 per day for each day until payment required under Subparagraphs III.A and III.B of this Order has been made, and Settling Respondent shall pay attorneys' fees and costs incurred by the Department to enforce these provisions, in addition to the payment and any interest.

IV. Release and Covenant Not to Sue

- A. Upon the Department's receipt of payment, as outlined in Section III of this Order, Settling Respondent shall not be liable to the Department upon any statutory or common law cause of action asserted by the State against Settling Respondent arising out of or in connection with the disposal, release, and threat of release of hazardous substances, as the term is defined in 6 NYCRR Section 375-1.2(w), in, on or emanating from the Site on or before the Effective Date of this Order, including but not limited to, those for natural resource damages.
- B. The terms of this release and covenant not to sue are consistent with those governing the issuance of a Certificate of Completion, including limitations, reopener provisions and extension to successors and assigns, found in 6 NYCRR 375-2.9, excluding the limitation for natural resource damages.

V. Communications

A. All written communications required by this Consent Order shall be transmitted by United States Postal Service, by private courier service, by hand delivery, or by electronic mail.

1. Communication from Settling Respondent shall be sent to:

Margaret A. Sheen, Esq.  
New York State Department of Environmental Conservation  
615 Erie Boulevard West  
Syracuse, New York 13204-2400  
[Margaret.sheen@dec.ny.gov](mailto:Margaret.sheen@dec.ny.gov)

2. Communication from the Department to Settling Respondent shall be sent to:

John P. Paraszczak  
Vice President, Finance and Administration  
210 Commons Road  
Utica NY 13502-6395  
[jparaszczak@remet.com](mailto:jparaszczak@remet.com)

with a copy to:

Kevin G. Desharnais  
Troutman Pepper Hamilton Sanders LLP  
227 W. Monroe, Suite 3900  
Chicago, IL 60606  
[Kevin.desharnais@troutman.com](mailto:Kevin.desharnais@troutman.com)

and

Ed Wehl, Trustee for the Trust u/w of James R. Pyne  
c/o F. Paul Steates  
Steates Remmell Steates & Dziekan  
408 Lomond Place  
Utica, NY 13502

with a copy to:

Neil M. Gingold  
5178 Winterton Drive]  
Fayetteville, New York 13066  
neilgingoldlaw@gmail.com

B. The Department and Settling Respondent reserve the right to designate additional or different addressees for communication on written notice to the other.

C. Each party shall notify the other within ninety (90) days after any change in the addresses listed in this paragraph or in Paragraph I.

VI. Miscellaneous

A. Appendix A - "Standard Clauses for All New York State, State Superfund Orders" is attached to and hereby made a part of this Order as if set forth fully herein except as to the exceptions in Section II of this Order.

B. In the event of a conflict between the terms of this Order (including any and all attachments thereto and amendments thereof) and the terms of Appendix A, the terms of this Order shall control.

C. A Certificate of Completion provided in 6 NYCRR 375-1.9 and 375-2.9 will not be issued under this Order.

D. The effective date of this Order is the 10th day after it is signed by the Commissioner or the Commissioner's designee.

DATED: February 25, 2021

BASIL SEGGOS  
COMMISSIONER  
NEW YORK STATE DEPARTMENT OF  
ENVIRONMENTAL CONSERVATION

By:

  
\_\_\_\_\_  
Michael Ryan, P.E., Director  
Division of Environmental Remediation

## CONSENT BY SETTLING RESPONDENT

Settling Respondent hereby consents to the issuing and entering of this Consent Order, waives Settling Respondent's right to a hearing herein as provided by law, and agrees to be bound by this Consent Order.

Remet Corporation

By: [Signature]

Title: VICE PRESIDENT, FINANCE AND ADMINISTRATION

Date: 02/02/2021

STATE OF Arizona  
COUNTY OF Maricopa ) SS:



On the 2nd day of Feb. in the year 2021, before me, the undersigned, personally appeared John Paul Paraszcak (full name) personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument. [Signature]

Acknowledgment by a corporation:

On the \_\_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_\_\_, before me, the undersigned, personally appeared \_\_\_\_\_ (full name) personally known to me who, being duly sworn, did depose and say that he/she/they reside at \_\_\_\_\_ (full mailing address) and that he/she/they is (are) the \_\_\_\_\_ (president or other officer or director or attorney in fact duly appointed) of the \_\_\_\_\_

(full legal name of corporation), the corporation described in and which executed the above instrument; and that he/she/they signed his/her/their name(s) thereto by the authority of the board of directors of said corporation.

Notary Public, State of \_\_\_\_\_

\_\_\_\_\_  
(president or other  
officer or director or attorney in fact duly appointed) of the

\_\_\_\_\_  
(full legal name of corporation), the corporation described in and which executed  
the above instrument; and that he/she/they signed his/her/their name(s) thereto by the  
authority of the board of directors of said corporation.

Notary Public, State of New York

CONSENT BY SETTLING RESPONDENT

Settling Respondent hereby consents to the issuing and entering of this Consent  
Order, waives Settling Respondent's right to a hearing herein as provided by law, and  
agrees to be bound by this Consent Order.

The Trust Under the Will of James R. Pyne

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

STATE OF NEW YORK )

) ss:

COUNTY OF ONEIDA )

On the 25<sup>th</sup> day of January in the year 2021, before me, the  
undersigned, personally appeared Edward R. Wiehl (full  
name) personally known to me or proved to me on the basis of satisfactory evidence to  
be the individual whose name is subscribed to the within instrument and acknowledged  
to me that he/she executed the same in his/her capacity, and that by his/her signature  
on the instrument, the individual, or the person upon behalf of which the individual  
acted, executed the instrument.

Acknowledgment by a corporation, in New York State:

On the \_\_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_\_\_, before me, the  
undersigned, personally appeared \_\_\_\_\_ (full  
name) personally known to me who, being duly sworn, did depose and say that  
he/she/they reside at \_\_\_\_\_ (full mailing address)  
and that he/she/they is (are) the \_\_\_\_\_

\_\_\_\_\_  
(president or other  
officer or director or attorney in fact duly appointed) of the

\_\_\_\_\_  
(full legal name of corporation), the corporation described in and which executed  
the above instrument; and that he/she/they signed his/her/their name(s) thereto by the  
authority of the board of directors of said corporation.

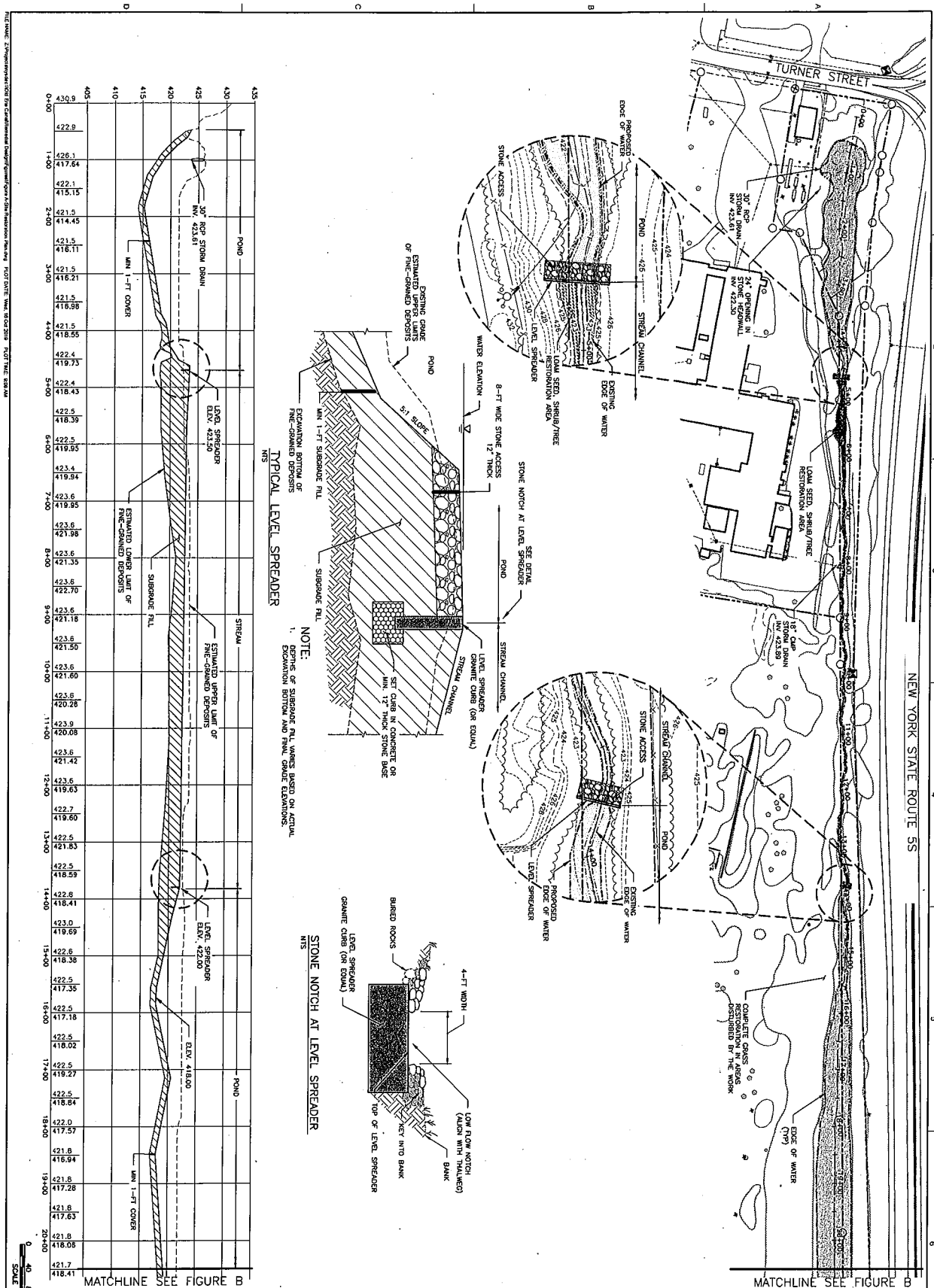
*Debbie Verminski*

DEBBIE VERMINSKI  
Notary Public, State of New York  
Appointed in Oneida County  
Reg. No. 01VE5024704  
Full Commission Expires March 14, 2022

**EXHIBIT "A"**

Map





<b>MACTEC</b> CIVIL SITE RESTORATION DRAFT REVIEW PLAN		REMEDIAL ACTION ERIE CANAL TOWN OF FRANKFORT SECTION SITE FRANKFORT, NEW YORK NYSDEC SITE NUMBER 622006		NYSDEC REVIEW NO. DATE A 10/18/19 CHL OR WWS MAP APVD MJS BY APVD	
DATE: 07/2019 DRAWN BY: 307232229 CHECKED BY: 307232229 SCALE: 1" = 10'		DATE: 07/2019 DRAWN BY: 307232229 CHECKED BY: 307232229 SCALE: 1" = 10'		DATE: 07/2019 DRAWN BY: 307232229 CHECKED BY: 307232229 SCALE: 1" = 10'	

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**EXHIBIT "B"**

**RECORDS SEARCH REPORT**

(Not Required)

**EXHIBIT "C"**

**Cost Summary**  
(Not Required)

## APPENDIX "A"

### STANDARD CLAUSES FOR ALL NEW YORK STATE STATE SUPERFUND ORDERS

The parties to the State Superfund Order (hereinafter "Order") agree to be bound by the following clauses which are hereby made a part of the Order. The word "Settling Respondent" herein refers to any party to the Order, other than the New York State Department of Environmental Conservation (hereinafter "Department").

#### I. Citizen Participation Plan [Not Applicable]

Within twenty (20) days after the effective date of this Order, Settling Respondent shall submit for review and approval a written citizen participation plan prepared in accordance with the requirements of ECL §27-1417 and 6 NYCRR sections 375-1.10 and 375-3.10. Upon approval, the Citizen Participation Plan shall be deemed to be incorporated into and made a part of this Order.

#### II. Initial Submittal [Not Applicable]

Within thirty (30) days after the effective date of this Order, Settling Respondent shall submit to the Department a Records Search Report prepared in accordance with Exhibit "B" attached to the Order. The Records Search Report can be limited if the Department notifies Settling Respondent that prior submissions satisfy specific items required for the Records Search Report.

#### III. Development, Performance, and Reporting of Work Plans [Not Applicable]

##### A. Work Plan Requirements

All activities at the Site that comprise any element of an Inactive Hazardous Waste Disposal Site Remedial Program shall be conducted pursuant to one or more Department-approved work plans ("Work Plan" or "Work Plans") and this Order and all activities shall be consistent with the National Oil and Hazardous Substances Pollution Contingency Plan (NCP), 40 C.F.R. Part 300, as required under CERCLA, 42 U.S.C. § 9600 *et seq.* The Work Plan(s) under this Order shall address both on-Site and off-Site conditions and shall be developed and implemented in accordance with 6 NYCRR § 375-1.6(a), 375-3.6, and 375-6. All Department-approved Work Plans shall be incorporated into and become enforceable parts of this Order. Upon approval of a Work Plan by the Department, Settling Respondent shall implement such Work Plan in accordance with

the schedule contained therein. Nothing in this Subparagraph shall mandate that any particular Work Plan be submitted.

The Work Plans shall be captioned as follows:

1. Site Characterization ("SC") Work Plan: a Work Plan which provides for the identification of the presence of any hazardous waste disposal at the Site;

2. Remedial Investigation/Feasibility Study ("RI/FS") Work Plan: a Work Plan which provides for the investigation of the nature and extent of contamination within the boundaries of the Site and emanating from such Site and a study of remedial alternatives to address such on-site and off-site contamination;

3. Remedial Design/Remedial Action ("RD/RA") Work Plan: a Work Plan which provides for the development and implementation of final plans and specifications for implementing the remedial alternative set forth in the ROD;

4. "IRM Work Plan" if the Work Plan provides for an interim remedial measure;

5. "Site Management Plan" if the Work Plan provides for the identification and implementation of institutional and/or engineering controls as well as any necessary monitoring and/or operation and maintenance of the remedy; or

6. "Supplemental" if additional work plans other than those set forth in II.A.1-5 are required to be prepared and implemented.

##### B. Submission/Implementation of Work Plans

1. Settling Respondent may opt to propose one or more additional or supplemental Work Plans (including one or more IRM Work Plans) at any time, which the Department shall review for appropriateness and technical sufficiency.

2. Any proposed Work Plan shall be submitted for the Department's review and approval and shall include, at a minimum, a chronological description of the anticipated activities, a schedule for performance of those activities, and sufficient

detail to allow the Department to evaluate that Work Plan.

i. The Department shall notify Settling Respondent in writing if the Department determines that any element of a Department-approved Work Plan needs to be modified in order to achieve the objectives of the Work Plan as set forth in Subparagraph III.A or to ensure that the Remedial Program otherwise protects human health and the environment. Upon receipt of such notification, Settling Respondent shall, subject to dispute resolution pursuant to Paragraph XV, modify the Work Plan.

ii. The Department may request, subject to dispute resolution pursuant to Paragraph XV, that Settling Respondent submit additional or supplemental Work Plans for the Site to complete the current remedial phase within thirty (30) Days after the Department's written request.

3. A Site Management Plan, if necessary, shall be submitted in accordance with the schedule set forth in the IRM Work Plan or Remedial Work Plan.

4. During all field activities conducted under a Department-approved Work Plan, Settling Respondent shall have on-Site a representative who is qualified to supervise the activities undertaken in accordance with the provisions of 6 NYCRR 375-1.6(a)(3).

5. A Professional Engineer must stamp and sign all Work Plans other than SC or RI/FS Work Plans.

#### C. Submission of Final Reports and Periodic Reports

1. In accordance with the schedule contained in a Work Plan, Settling Respondent shall submit a final report as provided at 6 NYCRR 375-1.6(b) and a final engineering report as provided at 6 NYCRR 375-1.6(c).

2. Any final report or final engineering report that includes construction activities shall include "as built" drawings showing any changes made to the remedial design or the IRM.

3. In the event that the final engineering report for the Site requires Site management, Settling Respondent shall submit an initial periodic report by in accordance with the schedule in the Site

Management Plan and thereafter in accordance with a schedule determined by the Department. Such periodic report shall be signed by a Professional Engineer or by such other qualified environmental professional as the Department may find acceptable and shall contain a certification as provided at 6 NYCRR 375-1.8(h)(3). Settling Respondent may petition the Department for a determination that the institutional and/or engineering controls may be terminated. Such petition must be supported by a statement by a Professional Engineer that such controls are no longer necessary for the protection of public health and the environment. The Department shall not unreasonably withhold its approval of such petition.

4. Within sixty (60) days of the Department's approval of a Final Report, Settling Respondent shall submit such additional Work Plans as is required by the Department in its approval letter of such Final Report. Failure to submit any additional Work Plans within such period shall be a violation of this Order.

#### D. Review of Submittals

1. The Department shall make a good faith effort to review and respond in writing to each submittal Settling Respondent makes pursuant to this Order within sixty (60) Days. The Department's response shall include, in accordance with 6 NYCRR 375-1.6(d), an approval, modification request, or disapproval of the submittal, in whole or in part.

i. Upon the Department's written approval of a Work Plan, such Department-approved Work Plan shall be deemed to be incorporated into and made a part of this Order and shall be implemented in accordance with the schedule contained therein.

ii. If the Department modifies or requests modifications to a submittal, it shall specify the reasons for such modification(s). Within fifteen (15) Days after the date of the Department's written notice that Settling Respondent's submittal has been disapproved, Settling Respondent shall notify the Department of its election in accordance with 6 NYCRR 375-1.6(d)(3). If Settling Respondent elects to modify or accept the Department's modifications to the submittal, Settling Respondent shall make a revised submittal that incorporates all of the Department's modifications to the first submittal in accordance with the time period set forth in 6 NYCRR 375-1.6(d)(3). In the event that Settling Respondent's revised submittal is disapproved, the

Department shall set forth its reasons for such disapproval in writing and Settling Respondent shall be in violation of this Order unless it invokes dispute resolution pursuant to Paragraph XV and its position prevails. Failure to make an election or failure to comply with the election is a violation of this Order.

iii. If the Department disapproves a submittal, it shall specify the reasons for its disapproval. Within fifteen (15) Days after the date of the Department's written notice that Settling Respondent's submittal has been disapproved, Settling Respondent shall notify the Department of its election in accordance with 6 NYCRR 375-1.6(d)(4). If Settling Respondent elects to modify the submittal, Settling Respondent shall make a revised submittal that addresses all of the Department's stated reasons for disapproving the first submittal in accordance with the time period set forth in 6 NYCRR 375-1.6(d)(4). In the event that Settling Respondent's revised submittal is disapproved, the Department shall set forth its reasons for such disapproval in writing and Settling Respondent shall be in violation of this Order unless it invokes dispute resolution pursuant to Paragraph XV and its position prevails. Failure to make an election or failure to comply with the election is a violation of this Order.

2. Within thirty (30) Days after the Department's approval of a final report, Settling Respondent shall submit such final report, as well as all data gathered and drawings and submittals made pursuant to such Work Plan, in an electronic format acceptable to the Department. If any document cannot be converted into electronic format, Settling Respondent shall submit such document in an alternative format acceptable to the Department.

#### E. Department's Issuance of a ROD

1. Settling Respondent shall cooperate with the Department and provide reasonable assistance, consistent with the Citizen Participation Plan, in soliciting public comment on the proposed remedial action plan ("PRAP"), if any. After the close of the public comment period, the Department shall select a final remedial alternative for the Site in a ROD. Nothing in this Order shall be construed to abridge any rights of Settling Respondent, as provided by law, to judicially challenge the Department's ROD.

2. Settling Respondent shall have 60 days from the date of the Department's issuance of the ROD to notify the Department in writing whether it will implement the remedial activities required by

such ROD. If the Settling Respondent elects not to implement the required remedial activities, then this order shall terminate in accordance with Paragraph XIV.A. Failure to make an election or failure to comply with the election is a violation of this Order.

3. Nothing in this Order, in any submittal, or in any work plan(s) submitted pursuant to this Order shall modify, expand, reduce, or otherwise change the remedial activities (including site management) required by a ROD issued by the Department.

#### F. Institutional/Engineering Control Certification

In the event that the remedy for the Site, if any, or any Work Plan for the Site, requires institutional or engineering controls, Settling Respondent shall submit a written certification in accordance with 6 NYCRR 375-1.8(h)(3) and 375-3.8(h)(2).

#### IV. Penalties

A. 1. Settling Respondent's failure to comply with any term of this Order constitutes a violation of this Order, the ECL, and 6 NYCRR 375-2.11(a)(4). Nothing herein abridges Settling Respondent's right to contest any allegation that it has failed to comply with this Order.

2. Payment of any penalties shall not in any way alter Settling Respondent's obligations under this Order.

B. 1. Settling Respondent shall not suffer any penalty or be subject to any proceeding or action in the event it cannot comply with any requirement of this Order as a result of any Force Majeure Event as provided at 6 NYCRR 375-1.5(b)(4). Settling Respondent must use best efforts to anticipate the potential Force Majeure Event, best efforts to address any such event as it is occurring, and best efforts following the Force Majeure Event to minimize delay to the greatest extent possible. "Force Majeure" does not include Settling Respondent's economic inability to comply with any obligation, the failure of Settling Respondent to make complete and timely application for any required approval or permit, and non-attainment of the goals, standards, and requirements of this Order.

2. Settling Respondent shall notify the Department in writing within five (5) Days of the onset of any Force Majeure Event. Failure to give such notice within such five (5) Day period constitutes a waiver of any claim that a delay is not

subject to penalties. Settling Respondent shall be deemed to know of any circumstance which it, any entity controlled by it, or its contractors knew or should have known.

3. Settling Respondent shall have the burden of proving by a preponderance of the evidence that (i) the delay or anticipated delay has been or will be caused by a Force Majeure Event; (ii) the duration of the delay or the extension sought is warranted under the circumstances; (iii) best efforts were exercised to avoid and mitigate the effects of the delay; and (iv) Settling Respondent complied with the requirements of Subparagraph IV.B.2 regarding timely notification.

4. If the Department agrees that the delay or anticipated delay is attributable to a Force Majeure Event, the time for performance of the obligations that are affected by the Force Majeure Event shall be extended for a period of time equivalent to the time lost because of the Force majeure event, in accordance with 375-1.5(4).

5. If the Department rejects Settling Respondent's assertion that an event provides a defense to non-compliance with this Order pursuant to Subparagraph IV.B, Settling Respondent shall be in violation of this Order unless it invokes dispute resolution pursuant to Paragraph XV and Settling Respondent's position prevails.

#### V. Entry upon Site

A. Settling Respondent hereby consents, upon reasonable notice under the circumstances presented, to entry upon the Site (or areas in the vicinity of the Site which may be under the control of Settling Respondent) by any duly designated officer or employee of the Department or any State agency having jurisdiction with respect to matters addressed pursuant to this Order, and by any agent, consultant, contractor, or other person so authorized by the Commissioner, all of whom shall abide by the health and safety rules in effect for the Site, for inspecting, sampling, copying records related to the contamination at the Site, testing, and any other activities necessary to ensure Settling Respondent's compliance with this Order. Upon request, Settling Respondent shall (i) provide the Department with suitable workspace at the Site, including access to a telephone, to the extent available, and (ii) permit the Department full access to all non-privileged records relating to matters addressed by this Order. Raw data is not considered privileged and that portion of any privileged document containing raw data must be

provided to the Department. In the event Settling Respondent is unable to obtain any authorization from third-party property owners necessary to perform its obligations under this Order, the Department may, consistent with its legal authority, assist in obtaining such authorizations.

B. The Department shall have the right to take its own samples and scientific measurements and the Department and Settling Respondent shall each have the right to obtain split samples, duplicate samples, or both, of all substances and materials sampled. The Department shall make the results of any such sampling and scientific measurements available to Settling Respondent.

#### VI. Payment of State Costs [Not Applicable]

A. Within forty-five (45) days after receipt of an itemized invoice from the Department, Settling Respondent shall pay to the Department a sum of money which shall represent reimbursement for State Costs as provided by 6 NYCRR 375-1.5 (b)(3)(i). Failure to timely pay any invoice will be subject to late payment charge and interest at a rate of 9% from the date the payment is due until the date the payment is made.

B. Costs shall be documented as provided by 6 NYCRR 375-1.5(b)(3). The Department shall not be required to provide any other documentation of costs, provided however, that the Department's records shall be available consistent with, and in accordance with, Article 6 of the Public Officers Law.

C. Each such payment shall be made payable to the New York State Department of Environmental Conservation and shall be sent to:

Director, Bureau of Program Management  
Division of Environmental Remediation  
New York State Department of Environmental Conservation  
625 Broadway  
Albany, New York 12233-7012

D. The Department shall provide written notification to the Settling Respondent of any change in the foregoing addresses.

E. If Settling Respondent objects to any invoiced costs under this Order, the provisions of 6 NYCRR 375-1.5 (b)(3)(v) and (vi) shall apply. Objections shall be sent to the Department as provided under subparagraph VI.C above.

F. In the event of non-payment of any invoice within the 45 days provided herein, the Department may seek enforcement of this provision pursuant to Paragraph IV or the Department may commence an enforcement action for non-compliance with ECL 27-1423 and ECL 71-4003.

VII. Release and Covenant Not to Sue [Not Applicable]

Upon the Department's issuance of a Certificate of Completion as provided at 6 NYCRR 375-1.9 and 375-2.9, Settling Respondent shall obtain the benefits conferred by such provisions, subject to the terms and conditions described therein.

VIII. Reservation of Rights

A. Except as provided at 6 NYCRR 375-1.9 and 375-2.9, nothing contained in this Order shall be construed as barring, diminishing, adjudicating, or in any way affecting any of the Department's rights or authorities, including, but not limited to, the right to require performance of further investigations and/or response action(s), to recover natural resource damages, and/or to exercise any summary abatement powers with respect to any person, including Settling Respondent.

B. Except as otherwise provided in this Order, Settling Respondent specifically reserves all rights and defenses under applicable law respecting any Departmental assertion of remedial liability and/or natural resource damages against Settling Respondent, and further reserves all rights respecting the enforcement of this Order, including the rights to notice, to be heard, to appeal, and to any other due process. The existence of this Order or Settling Respondent's compliance with it shall not be construed as an admission of liability, fault, wrongdoing, or breach of standard of care by Settling Respondent, and shall not give rise to any presumption of law or finding of fact, or create any rights, or grant any cause of action, which shall inure to the benefit of any third party. Further, Settling Respondent reserves such rights as it may have to seek and obtain contribution, indemnification, and/or any other form of recovery from its insurers and from other potentially responsible parties or their insurers for past or future response and/or cleanup costs or such other costs or damages arising from the contamination at the Site as may be provided by law, including but not limited to rights of contribution under section 113(f)(3)(B) of CERCLA, 42 U.S.C. § 9613(f)(3)(B).

IX. Indemnification

Settling Respondent shall indemnify and hold the Department, the State of New York, the Trustee of the State's natural resources, and their representatives and employees harmless as provided by 6 NYCRR 375-2.5(a)(3)(i).

X. Public Notice [Not Applicable]

A. Within thirty (30) Days after the effective date of this Order, Settling Respondent shall provide notice to the owner of the remedial site as required by 6 NYCRR 375-1.5(a)(2). Within sixty (60) Days of such notice, Settling Respondent shall provide the Department with a copy of the notice provided to the owner of the remedial site.

XI. Change of Use ["Not Applicable"]

Applicant shall notify the Department at least sixty (60) days in advance of any change of use, as defined in 6 NYCRR 375-2.2(a), which is proposed for the Site, in accordance with the provisions of 6 NYCRR 375-1.11(d). In the event the Department determines that the proposed change of use is prohibited, the Department shall notify Applicant of such determination within forty-five (45) days of receipt of such notice.

XII. Environmental Easement

A. If a Record of Decision for the Site relies upon one or more institutional and/or engineering controls, Settling Respondent (or the owner of the Site) shall submit to the Department for approval an Environmental Easement to run with the land in favor of the State which complies with the requirements of ECL Article 71, Title 36, and 6 NYCRR 375-1.8(h)(2). Upon acceptance of the Environmental Easement by the State, Settling Respondent shall comply with the requirements of 6 NYCRR 375-1.8(h)(2).

B. If the ROD provides for no action other than implementation of one or more institutional controls, Settling Respondent shall cause an environmental easement to be recorded under the provisions of Subparagraph XII.A.

C. If Settling Respondent does not cause such environmental easement to be recorded in accordance with 6 NYCRR 375-1.8(h)(2), Settling Respondent will not be entitled to the benefits conferred by 6 NYCRR 375-1.9 and 375-2.9 and the Department may file an Environmental Notice on the site.



### XIII. Progress Reports [Not Applicable]

Settling Respondent shall submit a written progress report of its actions under this Order to the parties identified in Subparagraph IV.A.1 of the Order by the 10th day of each month commencing with the month subsequent to the approval of the first Work Plan and ending with the Termination date as set forth in Paragraph XIV, unless a different frequency is set forth in a Work Plan. Such reports shall, at a minimum, include: all actions relative to the Site during the previous reporting period and those anticipated for the next reporting period; all approved activity modifications (changes of work scope and/or schedule); all results of sampling and tests and all other data received or generated by or on behalf of Settling Respondent in connection with this Site, whether under this Order or otherwise, in the previous reporting period, including quality assurance/quality control information; information regarding percentage of completion; unresolved delays encountered or anticipated that may affect the future schedule and efforts made to mitigate such delays; and information regarding activities undertaken in support of the Citizen Participation Plan during the previous reporting period and those anticipated for the next reporting period.

### XIV. Termination of Order [Not Applicable]

A. This Order will terminate upon the earlier of the following events:

1. Settling Respondent's election in accordance with Paragraph III.E.2 not to implement the remedial activities required pursuant to the ROD. In the event of termination in accordance with this Subparagraph, this Order shall terminate effective the 5th Day after the Department's receipt of the written notification, provided, however, that if there are one or more Work Plan(s) for which a final report has not been approved at the time of Settling Respondent's notification of its election not to implement the remedial activities in accordance with the ROD, Settling Respondent shall complete the activities required by such previously approved Work Plan(s) consistent with the schedules contained therein. Thereafter, this Order shall terminate effective the 5th Day after the Department's approval of the final report for all previously approved Work Plans; or

2. The Department's written determination that Settling Respondent has completed all phases of the Remedial Program (including Site Management), in which event the termination shall be effective on

the 5th Day after the date of the Department's letter stating that all phases of the remedial program have been completed.

B. Notwithstanding the foregoing, the provisions contained in Paragraphs VI and IX shall survive the termination of this Order and any violation of such surviving Paragraphs shall be a violation of this Order, the ECL, and 6 NYCRR 375-2.11(a)(4), subjecting Settling Respondent to penalties as provided under Paragraph IV so long as such obligations accrued on or prior to the Termination Date.

C. If the Order is terminated pursuant to Subparagraph XIV.A.1, neither this Order nor its termination shall affect any liability of Settling Respondent for remediation of the Site and/or for payment of State Costs, including implementation of removal and remedial actions, interest, enforcement, and any and all other response costs as defined under CERCLA, nor shall it affect any defenses to such liability that may be asserted by Settling Respondent. Settling Respondent shall also ensure that it does not leave the Site in a condition, from the perspective of human health and environmental protection, worse than that which existed before any activities under this Order were commenced. Further, the Department's efforts in obtaining and overseeing compliance with this Order shall constitute reasonable efforts under law to obtain a voluntary commitment from Settling Respondent for any further activities to be undertaken as part of a Remedial Program for the Site.

### XV. Dispute Resolution

A. In the event disputes arise under this Order, Settling Respondent may, within fifteen (15) Days after Settling Respondent knew or should have known of the facts which are the basis of the dispute, initiate dispute resolution in accordance with the provisions of 6 NYCRR 375-1.5(b)(2).

B. All cost incurred by the Department associated with dispute resolution are State costs subject to reimbursement pursuant to this Order.

C. Nothing contained in this Order shall be construed to authorize Settling Respondent to invoke dispute resolution with respect to the remedy selected by the Department in the ROD or any element of such remedy, nor to impair any right of Settling Respondent to seek judicial review of the Department's selection of any remedy.

## XVI. Miscellaneous

A. Settling Respondent agrees to comply with and be bound by the provisions of 6 NYCRR Subparts 375-1 and 375-2; the provisions of such Subparts that are referenced herein are referenced for clarity and convenience only and the failure of this Order to specifically reference any particular regulatory provision is not intended to imply that such provision is not applicable to activities performed under this Order.

B. The Department may exempt Settling Respondent from the requirement to obtain any state or local permit or other authorization for any activity conducted pursuant to this Order in accordance with 6 NYCRR 375-1.12(b), (c), and (d).

C. 1. Settling Respondent shall use best efforts to obtain all Site access, permits, easements, approvals, institutional controls, and/or authorizations necessary to perform Settling Respondent's obligations under this Order, including all Department-approved Work Plans and the schedules contained therein. If, despite Settling Respondent's best efforts, any access, permits, easements, approvals, institutional controls, or authorizations cannot be obtained, Settling Respondent shall promptly notify the Department and include a summary of the steps taken. The Department may, as it deems appropriate and within its authority, assist Settling Respondent in obtaining same.

2. If an interest in property is needed to implement an institutional control required by a Work Plan and such interest cannot be obtained, the Department may require Settling Respondent to modify the Work Plan pursuant to 6 NYCRR 375-1.6(d)(3) to reflect changes necessitated by Settling Respondent's inability to obtain such interest.

D. The paragraph headings set forth in this Order are included for convenience of reference only and shall be disregarded in the construction and interpretation of any provisions of this Order.

E. 1. The terms of this Order shall constitute the complete and entire agreement between the Department and Settling Respondent concerning the implementation of the activities required by this Order. No term, condition, understanding, or agreement purporting to modify or vary any term of this Order shall be binding unless made in writing and subscribed by the party to be bound. No informal advice, guidance, suggestion, or comment

by the Department shall be construed as relieving Settling Respondent of Settling Respondent's obligation to obtain such formal approvals as may be required by this Order. In the event of a conflict between the terms of this Order and any Work Plan submitted pursuant to this Order, the terms of this Order shall control over the terms of the Work Plan(s). Settling Respondent consents to and agrees not to contest the authority and jurisdiction of the Department to enter into or enforce this Order.

2. i. Except as set forth herein, if Settling Respondent desires that any provision of this Order be changed, Settling Respondent shall make timely written application to the Commissioner with copies to the parties listed in Subparagraph IV.A.1.

ii. If Settling Respondent seeks to modify an approved Work Plan, a written request shall be made to the Department's project manager, with copies to the parties listed in Subparagraph IV.A.1.

iii. Requests for a change to a time frame set forth in this Order shall be made in writing to the Department's project attorney and project manager; such requests shall not be unreasonably denied and a written response to such requests shall be sent to Settling Respondent promptly.

F. 1. If there are multiple parties signing this Order, the term "Settling Respondent" shall be read in the plural, the obligations of each such party under this Order are joint and several, and the insolvency of or failure by any Settling Respondent to implement any obligations under this Order shall not affect the obligations of the remaining Settling Respondent(s) under this Order.

2. If Settling Respondent is a partnership, the obligations of all general partners (including limited partners who act as general partners) under this Order are joint and several and the insolvency or failure of any general partner to implement any obligations under this Order shall not affect the obligations of the remaining partner(s) under this Order.

3. Notwithstanding the foregoing Subparagraphs XVI.F.1 and 2, if multiple parties sign this Order as Settling Respondents but not all of the signing parties elect to implement a Work Plan, all Settling Respondents are jointly and severally liable for each and every obligation under this Order through the completion of activities in such Work Plan that all such parties consented to; thereafter,

only those Settling Respondents electing to perform additional work shall be jointly and severally liable under this Order for the obligations and activities under such additional Work Plan(s). The parties electing not to implement the additional Work Plan(s) shall have no obligations under this Order relative to the activities set forth in such Work Plan(s). Further, only those Settling Respondents electing to implement such additional Work Plan(s) shall be eligible to receive the release and covenant not to sue referenced in Paragraph VII.

executed original and all of which shall together constitute one and the same.

G. Settling Respondent shall be entitled to receive contribution protection and/or to seek contribution to the extent authorized by ECL 27-1421(6) and 6 NYCRR 375-1.5(b)(5).

H. Any time limitations set forth in Section 113(g)(1) of CERCLA, as amended, 42 U.S.C. § 9613(g)(1), Section 1012(h)(2) of the Oil Pollution Act, as amended, 33 U.S.C. § 2712(h)(2), the Federal Water Pollution Control Act, the New York Navigation Law, the New York Environmental Conservation Law, or any other federal or state statute or regulation with respect to potential claims for natural resource damages against Settling Respondent or any other time limitations for the filing of potential natural resource damages claims against Settling Respondent under any other applicable state or federal law are tolled in their entirety from the effective date of this Order until termination of this Order.

I. Unless otherwise expressly provided herein, terms used in this Order which are defined in ECL Article 27 or in regulations promulgated thereunder shall have the meaning assigned to them under said statute or regulations.

J. Settling Respondent's obligations under this Order represent payment for or reimbursement of response costs, and shall not be deemed to constitute any type of fine or penalty.

K. Settling Respondent and Settling Respondent's successors and assigns shall be bound by this Order. Any change in ownership or corporate status of Settling Respondent shall in no way alter Settling Respondent's responsibilities under this Order.

L. This Order may be executed for the convenience of the parties hereto, individually or in combination, in one or more counterparts, each of which shall be deemed to have the status of an