

**Certification of  
Substantial Completion  
AIA Document G704 -  
Electronic Format**

OWNER [ X]  
 ARCHITECT [ -]  
 CONTRACTOR [ X]  
 FIELD [ -]  
 OTHER [ -]

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

PROJECT:  
*(Name and address)*  
 East Rome Business Park  
 Rome, New York 13440

PROJECT NO.: 95043.71E  
 CONTRACT FOR: City R.O.W. Demolition - Phase 2  
 CONTRACT DATE: Sept. 11, 1998

TO OWNER:  
*(Name and address)*  
 Mr. Brian Thomas  
 City of Rome - City Hall  
 Rome, New York 13440

TO CONTRACTOR:  
*(Name and address)*  
 Ciminelli Services Corporation  
 170 Cooper Avenue, Suite 112  
 Tonawanda, NY 14150-6680

DATE OF ISSUANCE: January 5, 2000  
 PROJECT OR DESIGNATED PORTION SHALL INCLUDE:  
 Entire Project

The Work performed under this Contract has been reviewed and found, to the Architect's best knowledge, information and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion thereof designated above is hereby established as November 15, 1999 which is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below  
 Not Applicable

A list of items to be completed or corrected is attached hereto. The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

The Saratoga Associates  
 ARCHITECT

**THE SARATOGA ASSOCIATES**  
 Landscape Architects, Architects, Engineers, Planners, P.C.  
 414 BROADWAY  
 SARATOGA SPRING, N.Y. 12008

11/5/00  
 DATE

The Contractor will complete or correct the Work on the list of items attached hereto within N/A days from the above Date of Substantial Completion

Ciminelli Services Corporation  
 CONTRACTOR

BY

DATE

AIA DOCUMENT G704 - CERTIFICATION OF SUBSTANTIAL COMPLETION - 1992 EDITION - AIA - COPYRIGHT 1992 - THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVENUE, N.W., WASHINGTON, D.C., 20006-5292.. WARNING; Unlicensed photocopying violates U.S. copyright laws and is subject to legal prosecution. This document was electronically produced with permission of the AIA and can be reproduced without violation until the date of expiration as noted below.

Electronic Format G704 - 1992

User Document: G704#1.DOC -- 1/11/2000. AIA License Number 104338, which expires on 11/6/2000 -- Page #1

The Owner accepts the Work or designated portion thereof as substantially complete and has assumed ~~will assume~~ full possession thereof at 12 p.m. (time) on November 15, 1999 (date).

City of Rome  
OWNER

\_\_\_\_\_  
BY

\_\_\_\_\_  
DATE

---

The responsibilities of the Owner and the Contractor for security, maintenance, heat, utilities, damage to the Work and insurance shall be as follows:

*(Note--Owner's and Contractor's legal and insurance counsel should determine and review insurance requirements and coverage.)*

As per contract documents.

---

AIA DOCUMENT G704 - CERTIFICATION OF SUBSTANTIAL COMPLETION - 1992 EDITION - AIA - COPYRIGHT 1992 - THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVENUE, N.W., WASHINGTON, D.C., 20006-5292.. WARNING; Unlicensed photocopying violates U.S. copyright laws and is subject to legal prosecution. This document was electronically produced with permission of the AIA and can be reproduced without violation until the date of expiration as noted below.

Electronic Format G704 - 1992

User Document: G704#1.DOC -- 1/1/2000. AIA License Number 104338, which expires on 11/6/2000 -- Page #2

# T H E S A R A T O G A A S S O C I A T E S



LANDSCAPE ARCHITECTS, ARCHITECTS, ENGINEERS, AND PLANNERS, P.C.

## OUTSTANDING ITEMS FOR PROJECT CLOSEOUT EAST ROME BUSINESS PARK CITY R.O.W. DEMOLITION – PHASE 2

prepared by: The Saratoga Associates  
January 5, 2000

Outstanding items to be completed include, but are not necessarily limited to the following:

1. Written certification that the work has been completed in accordance with the contract documents.
2. As-built drawing indicating any revisions to the contract drawings including actual excavation limits, pipe lines cleaned, pipe lines removed, any additional subsurface utilities, tanks or structures that were encountered, or any other pertinent information.
3. Change Orders
4. Daily Inspection Reports
5. Other submittals as may be required by the contract documents.

## Contractor Costs

Work Item	Scheduled Value	NYS DEC (Relm) Rate (%)	Paid Prior (App) (\$)	Paid Total (App) (\$)
Asbestos - Bldg. 9	115,000.00	50	108,000.00	108,000.00
Environmental - Bldg. 9	35,000.00	75	49,000.00	35,000.00
Demolition - Bldg. 9	158,000.00	50	158,000.00	158,000.00
Asbestos - Tunnels A, B & C	20,000.00	50	20,000.00	20,000.00
R, T & D 20,000 gal. UST, FO line B; backfill	25,000.00	75	25,000.00	25,000.00
Excavate Areas A, B, C & D	19,900.00	75	47,305.35	47,305.35
Backfill of stockpiled overburden in A, B, C & D	6,696.00	75	1,920.00	1,920.00
Import backfill in areas A, B, C & D	19,601.00	75	90,031.07	90,031.07
R, T & D FO Line A & backfill	3,600.00	75	3,600.00	3,600.00
C, T & D water & sediment in storm lines B, C, D, I, K, N & P	27,000.00	75	12,780.00	12,780.00
E, R & Cap sanitary line A, storm lines A, E, F, G, H, J, L, M & O	11,070.00	75	7,101.00	7,101.00
Backfill of stockpiled overburden in sanitary line A & storm lines A, E, F, G, H, J, L, M & O	4,225.00	75	0.00	0.00
Import fill for sanitary line A & storm lines A, E, F, G, H, J, L, M & O	1,250.00	75	6,666.38	6,666.38
T & D non-hazardous soil from Items 4 & 9	144,400.00	75	386,735.60	386,735.60
T & D piping from Item 9	1,600.00	75	0.00	0.00
Clean out and abandon tunnel A, B & C	14,500.00	75	12,600.00	12,600.00
R, T & D tunnel F, import fill	14,040.00	75	0.00	0.00
Clean out and abandon tunnel D & E	9,000.00	75	9,000.00	9,000.00
Test, R & D drums, containers and electrical components	50,000.00	75	50,000.00	50,000.00
R & D debris pile	33,000.00	75	145,740.10	120,011.10
Air monitoring allowance - asbestos	75,000.00	50	6,683.00	20,165.00
Air monitoring allowance - environmental		75	33,144.25	78,021.25
<b>SUBTOTAL</b>	<b>787,882.00</b>			<b>1,191,936.75</b>

### East Rome Business Park Phase II Construction Ciminelli Services contract Work completed through 05/19/00

Based on base bid contract amounts

NOTES: R = Remove; T = Transport; D = Dispose; E = Excavate

Work Item	Scheduled Value	NYS DEC Reimb. Rate (%)	Paid Prior (Appx) (\$)	Paid Total (Appx) (\$)
Boiler Skin - Hand removal	42,360.00	50	11,649.00	11,649.00
Boiler Insulation removal	112,740.00	50	31,003.50	31,003.50
Pipe Insulation	32,136.00	50	31,656.00	31,656.00
Transite pipe	6,600.00	50	0.00	0.00
Tank Insulation	5,225.00	50	0.00	0.00
Concrete slab removal		75	93,506.60	93,506.60
Dewatering	50,000.00	75	70,993.09	81,901.59
Removal & disposal of tank	4,620.00	75	4,620.00	4,620.00
PCB soil removal & disposal	40,128.00	75	68,699.96	81,923.32
Additional concrete & soil disposal	386,000.00	75	0.00	0.00
Testing for water management		75	7,054.25	7,054.25
Removal & disposal of tank #2 & #3	10,721.00	75	10,721.00	10,721.00
Removal & disposal of tank #4		75	2,678.89	2,678.89
Additional cost of gravel		75	876.00	7,057.44
Storm line D repair		75	6,470.71	6,470.71
PCB & Asbestos soil disposal		75	2,343.48	2,343.48
Additional cost: PCB & Asbestos soil (electrical manway)		75	377.96	377.96
Extended site services		75	0.00	56,094.50
<b>SUBTOTAL</b>	<b>690,630.00</b>			<b>429,055.24</b>
Base Bid Subtotal				3,191,936.78
Change Order Subtotal				629,056.22
Subtotal				1,620,991.99
Credits				19,406.89
Subtotal				601,587.39
Less 5% Retainage				
<b>TOTAL</b>				

**Consultant Costs**

Work Item	Scope/Value	INVS/D/E/O	Form No	Work Item
The Saratoga Associates Phase I contract		75		90,000.00
The Saratoga Associates Phase II contract		75		196,432.00

**Retainage Releases**

Municipality Application for Payment #1 through 4 63,837.42

**TOTAL REIMBURSEMENT REQUEST**

Final contractor costs 75,405.60  
 Total consultant costs 214,824.00  
 Retainage release 63,837.42  
**Total 354,067.02**