

# NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Remediation, Office of the Director  
625 Broadway, 12th Floor, Albany, New York 12233-7011  
P: (518) 402-9706 | F: (518) 402-9020  
www.dec.ny.gov

March 9, 2021

Carole Gordon  
1559 Boone Avenue L.P.  
290 Lenox Avenue, 3rd Floor  
New York, NY 10027


RE: Site Name: 1559 Boone Avenue  
Site No.: C203141  
Location of Site: 1559 Boone Avenue, Bronx County, Bronx, NY 10460

Dear Ms. Gordon:

To complete your file, attached is a fully executed copy of the Brownfield Cleanup Agreement for the 1559 Boone Avenue Site.

If you have any further questions relating to this matter, please contact the project attorney for this site, Leia Schmidt, Esq., NYS Department of Environmental Conservation, Office of General Counsel, 625 Broadway Albany, NY 12233-1500 or by email at [leia.schmidt@dec.ny.gov](mailto:leia.schmidt@dec.ny.gov).

Sincerely,

  
\_\_\_\_\_  
Michael J. Ryan, P.E.  
Director  
Division of Environmental Remediation

Enclosure

ec: Richard Mustico, Project Manager

cc: Leia Schmidt, Esq.  
Jennifer Andaloro, Esq./Dale Thiel

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION  
BROWNFIELD CLEANUP PROGRAM  
ECL §27-1401 *et seq.*

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In the Matter of a Remedial Program for

**BROWNFIELD SITE  
CLEANUP AGREEMENT  
Index No. C203141-02-21**

**1559 Boone Avenue Apartments**

DEC Site No:C203141

Located at: 1559 Boone Avenue  
Bronx County  
Bronx, NY 10460

Hereinafter referred to as "Site"

by:

1559 Boone Avenue L.P.  
290 Lenox Avenue, 3rd Floor, New York, NY 10027

1559 Boone Avenue Housing Development Fund Company, Inc.  
290 Lenox Avenue, 3rd Floor, New York, NY 10027

Hereinafter referred to as "Applicant"

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**WHEREAS**, the Department of Environmental Conservation ("Department") is authorized to administer the Brownfield Cleanup Program ("BCP") set forth in Article 27, Title 14 of the Environmental Conservation Law ("ECL"); and

**WHEREAS**, the Applicant submitted an application received by the Department on September 1, 2020; and

**WHEREAS**, the Department has determined that the Site and Applicant are eligible to participate in the BCP.

**NOW, THEREFORE**, IN CONSIDERATION OF AND IN EXCHANGE FOR THE MUTUAL COVENANTS AND PROMISES, THE PARTIES AGREE TO THE FOLLOWING:

I. Applicant Status

The Applicant, 1559 Boone Avenue L.P., is participating in the BCP as a Volunteer as defined in ECL 27-1405(1)(b).

The Applicant, 1559 Boone Avenue Housing Development Fund Company, Inc., is participating in the BCP as a Volunteer as defined in ECL 27-1405(1)(b).

## II. Tangible Property Tax Credit Status

The Department has determined that the Site is eligible for tangible property tax credits pursuant to ECL § 27-1407(1-a) because the Site is located in a City having a population of one million or more and at least half of the site area is located in an environmental zone as defined in section twenty-one of the tax law . The Applicant acknowledges that the Department made this determination in reliance on information submitted to the Department by the Applicant.

## III. Real Property

The Site subject to this Brownfield Cleanup Agreement (the "BCA" or "Agreement") consists of approximately 0.230 acres, a Map of which is attached as Exhibit "A", and is described as follows:

Tax Map/Parcel No.: 3009-33  
Street Number: 1559 Boone Avenue, Bronx  
Owner: 1559 Boone Avenue Housing Dev. Fund Co.

## IV. Communications

A. All written communications required by this Agreement shall be transmitted by United States Postal Service, by private courier service, by hand delivery, or by electronic mail.

### 1. Communication from Applicant shall be sent to:

Richard Mustico  
New York State Department of Environmental Conservation  
Division of Environmental Remediation  
625 Broadway  
Albany, NY 12233  
[richard.mustico1@dec.ny.gov](mailto:richard.mustico1@dec.ny.gov)

Note: one hard copy (unbound) of work plans and reports is required, as well as one electronic copy.

Christine Vooris (electronic copy only)  
New York State Department of Health  
Bureau of Environmental Exposure Investigation  
Empire State Plaza  
Corning Tower Room 1787  
Albany, NY 12237  
[christine.vooris@health.ny.gov](mailto:christine.vooris@health.ny.gov)

Leia Schmidt (electronic copy only)  
New York State Department of Environmental Conservation  
Office of General Counsel  
625 Broadway  
Albany, NY 12233  
[leia.schmidt@dec.ny.gov](mailto:leia.schmidt@dec.ny.gov)

2. Communication from the Department to Applicant shall be sent to:

1559 Boone Avenue L.P.  
Attn: Carole Gordon  
290 Lenox Avenue, 3rd Floor  
New York, NY 10027  
[cgordon@thebridgeny.org](mailto:cgordon@thebridgeny.org)

1559 Boone Avenue Housing Development Fund Company, Inc.  
Attn: Carole Gordon  
290 Lenox Avenue, 3rd Floor  
New York, NY 10027  
[cgordon@thebridgeny.org](mailto:cgordon@thebridgeny.org)

B. The Department and Applicant reserve the right to designate additional or different addressees for communication on written notice to the other. Additionally, the Department reserves the right to request that the Applicant provide more than one paper copy of any work plan or report.

C. Each party shall notify the other within ninety (90) days after any change in the addresses listed in this paragraph or in Paragraph III.

V. Miscellaneous

A. Applicant acknowledges that it has read, understands, and agrees to abide by all the terms set forth in Appendix A - "Standard Clauses for All New York State Brownfield Site Cleanup Agreements" which is attached to and hereby made a part of this Agreement as if set forth fully herein.

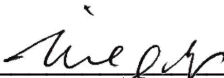
B. In the event of a conflict between the terms of this BCA (including any and all attachments thereto and amendments thereof) and the terms of Appendix A, the terms of this BCA shall control.

C. The effective date of this Agreement is the date it is signed by the Commissioner or the Commissioner's designee.

DATED: March 9, 2021

THIS BROWNFIELD CLEANUP AGREEMENT IS  
HEREBY APPROVED, Acting by and Through the  
Department of Environmental Conservation as Designee  
of the Commissioner,

By:

  
\_\_\_\_\_  
Michael J. Ryan, P.E., Director  
Division of Environmental Remediation

CONSENT BY APPLICANT

Applicant hereby consents to the issuing and entering of this Agreement, and agrees to be bound by this Agreement.

1559 Boone Avenue L.P.


By: Carole Gordon

Title: Assistant Secretary

Date: 3/8/2021

STATE OF NEW YORK )  
 ) ss:  
 COUNTY OF )

On the 8<sup>th</sup> day of March in the year 2021, before me, the undersigned, personally appeared Carole Gordon, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

  
 \_\_\_\_\_  
 Signature and Office of individual  
 taking acknowledgment

CAMILLE MORRISON  
 Notary Public, State of New York  
 No. 01MO6264761  
 Qualified in Queens County  
 Commission Expires July 2, 2024

CONSENT BY APPLICANT

Applicant hereby consents to the issuing and entering of this Agreement, and agrees to be bound by this Agreement.

Fund Company, Inc. 1559 Boone Avenue Housing Development

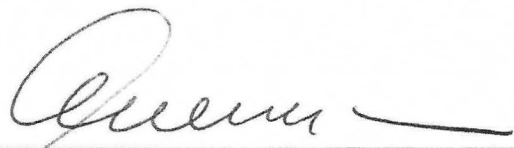
By: Carole Gordon

Title: Assistant Secretary

Date: 3/8/2021

STATE OF NEW YORK )  
  ) ss:  
COUNTY OF                                    )

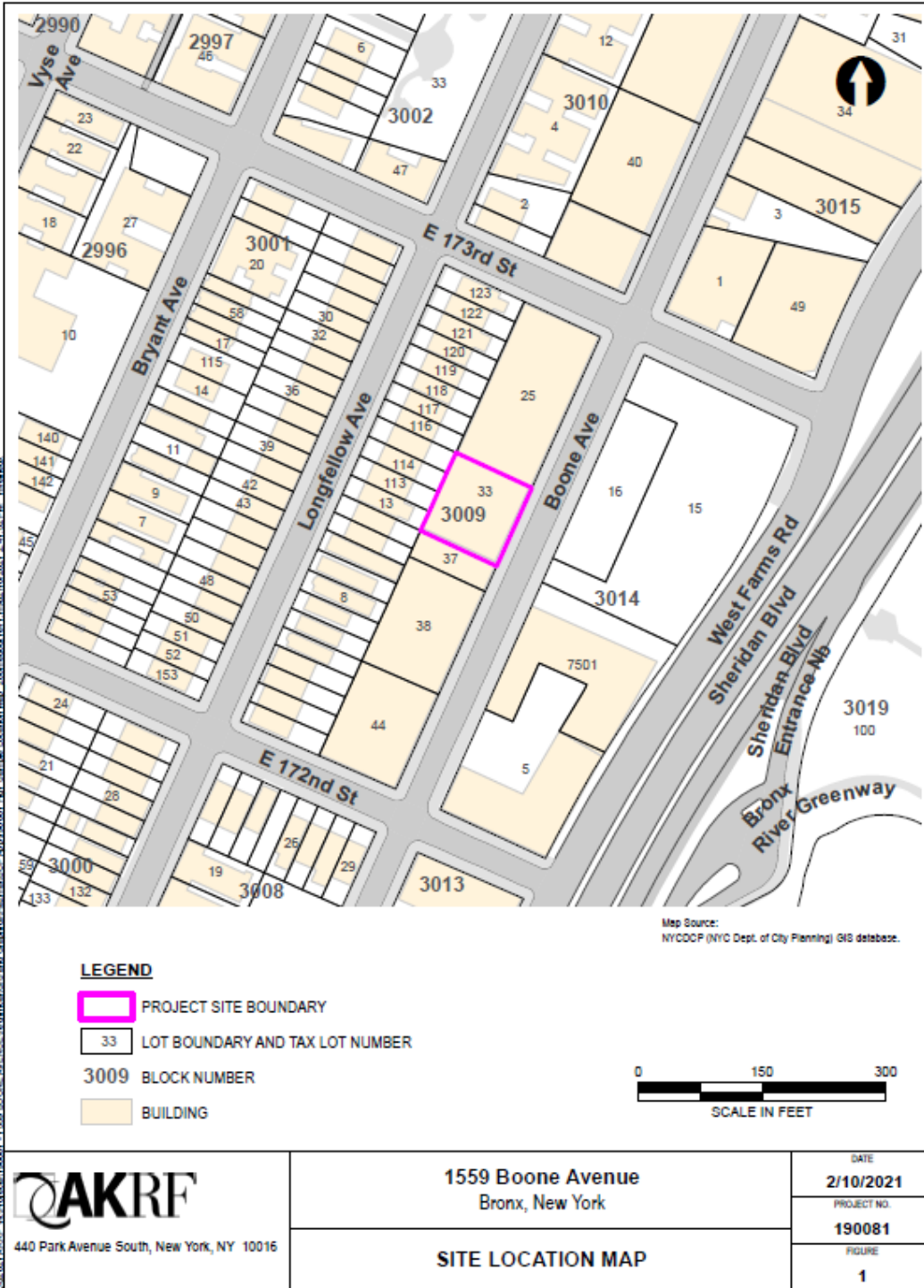
On the 8th day of March in the year 2021, before me, the undersigned, personally appeared Carole Gordon, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

  
Signature and Office of individual  
taking acknowledgment

CAMILLE MORRISON  
Notary Public, State of New York  
No. 01MO6264761  
Qualified in Queens County  
Commission Expires July 2, 2024



# EXHIBIT A SITE MAP



## APPENDIX A

### STANDARD CLAUSES FOR ALL NEW YORK STATE BROWNFIELD SITE CLEANUP AGREEMENTS

The parties to the Brownfield Site Cleanup Agreement (hereinafter "BCA" or "Agreement") agree to be bound by the following clauses which are hereby made a part of the BCA. The word "Applicant" herein refers to any party to the Agreement, other than the New York State Department of Environmental Conservation (herein after "Department").

#### I. Citizen Participation Plan

Within twenty (20) days after the effective date of this Agreement, Applicant shall submit for review and approval a written citizen participation plan prepared in accordance with the requirements of Environmental Conservation Law (ECL) § 27-1417 and 6 NYCRR §§ 375-1.10 and 375-3.10. Upon approval, the Citizen Participation Plan shall be deemed to be incorporated into and made a part of this Agreement.

#### II. Development, Performance, and Reporting of Work Plans

##### A. Work Plan Requirements

The work plans ("Work Plan" or "Work Plans") under this Agreement shall be prepared and implemented in accordance with the requirements of ECL Article 27, Title 14, 6 NYCRR §§ 375-1.6(a) and 375-3.6, and all applicable laws, rules, regulations, and guidance documents. The Work Plans shall be captioned as follows:

1. "Remedial Investigation Work Plan" if the Work Plan provides for the investigation of the nature and extent of contamination within the boundaries of the Site and, if the Applicant is a "Participant", the extent of contamination emanating from such Site. If the Applicant is a "Volunteer" it shall perform a qualitative exposure assessment of the contamination emanating from the Site in accordance with ECL § 27-1415(2)(b) and Department guidance;

2. "Remedial Work Plan" if the Work Plan provides for the development and implementation of a Remedial Program for contamination within the boundaries of the Site and, if the Applicant is a "Participant", the contamination that has emanated from such Site;

3. "IRM Work Plan" if the Work Plan provides for an interim remedial measure; or

4. "Site Management Plan" if the Work Plan provides for the identification and implementation of institutional and/or engineering controls as well as any necessary monitoring and/or operation and maintenance of the remedy.

5. "Supplemental" if additional work plans other than those set forth in II.A.1-4 are required to be prepared and implemented.

##### B. Submission/Implementation of Work Plans

1. The first proposed Work Plan to be submitted under this Agreement shall be submitted no later than thirty (30) days after the effective date of this Agreement. Thereafter, the Applicant shall submit such other and additional work plans as determined in a schedule to be approved by the Department.

2. Any proposed Work Plan shall be submitted for the Department's review and approval and shall include, at a minimum, a chronological description of the anticipated activities to be conducted in accordance with current guidance, a schedule for performance of those activities, and sufficient detail to allow the Department to evaluate that Work Plan. The Department shall use best efforts in accordance with 6 NYCRR § 375-3.6(b) to approve, modify, or reject a proposed Work Plan within forty-five (45) days from its receipt or within fifteen (15) days from the close of the comment period, if applicable, whichever is later.

i. Upon the Department's written approval of a Work Plan, such Department-approved Work Plan shall be deemed to be incorporated into and made a part of this Agreement and shall be implemented in accordance with the schedule contained therein. All work undertaken as part of a remedial program for a Site must be detailed in a department-approved Work Plan or a submittal approved in form and content by the Department.

ii. If the Department requires modification of a Work Plan, the reason for such modification shall be provided in writing and the



provisions of 6 NYCRR § 375-1.6(d)(3) shall apply.

iii. If the Department disapproves a Work Plan, the reason for such disapproval shall be provided in writing and the provisions of 6 NYCRR § 375-1.6(d)(4) shall apply.

3. A Site Management Plan, if necessary, shall be submitted in accordance with the schedule set forth in the IRM Work Plan or Remedial Work Plan.

#### C. Submission of Final Reports

1. In accordance with the schedule contained in an approved Work Plan, Applicant shall submit a Final Report for an Investigation Work Plan prepared in accordance with ECL § 27-1411(1) and 6 NYCRR § 375-1.6. If such Final Report concludes that no remediation is necessary, and the Site does not meet the requirements for Track 1, Applicant shall submit an Alternatives Analysis prepared in accordance with ECL § 27-1413 and 6 NYCRR § 375-3.8(f) that supports such determination.

2. In accordance with the schedule contained in an approved Work Plan, Applicant shall submit a Final Engineering Report certifying that remediation of the Site has been performed in accordance with the requirements of ECL §§ 27-1419(1) and (2) and 6 NYCRR § 375-1.6. The Department shall review such Report, the submittals made pursuant to this Agreement, and any other relevant information regarding the Site and make a determination as to whether the goals of the remedial program have been or will be achieved in accordance with established timeframes; if so, a written Certificate of Completion will be issued in accordance with ECL § 27-1419, 6 NYCRR §§ 375-1.9 and 375-3.9.

3. Within sixty (60) days of the Department's approval of a Final Report, Applicant shall submit such additional Work Plans as it proposes to implement. In addition, Applicant shall include with every report submitted to the Department a schedule for the submission of any subsequent work plan required to meet the requirements of ECL Article 27 Title 14. Failure to submit any additional Work Plans within such period shall, unless other Work Plans are under review by the Department or being implemented by Applicant, result in the termination of this Agreement pursuant to Paragraph XII.

#### D. Review of Submittals other than Work Plans

1. The Department shall timely notify Applicant in writing of its approval or disapproval of each submittal other than a Work Plan in accordance with 6 NYCRR § 375-1.6. All Department-approved submittals shall be incorporated into and become an enforceable part of this Agreement.

2. If the Department disapproves a submittal covered by this Subparagraph, it shall specify the reason for its disapproval and may request Applicant to modify or expand the submittal. Within fifteen (15) days after receiving written notice that Applicant's submittal has been disapproved, Applicant shall elect in writing to either (i) modify or expand it within thirty (30) days of receipt of the written notice of disapproval; (ii) complete any other Department-approved Work Plan(s); (iii) invoke dispute resolution pursuant to Paragraph XIII; or (iv) terminate this Agreement pursuant to Paragraph XII. If Applicant submits a revised submittal and it is disapproved, the Department and Applicant may pursue whatever remedies may be available under this Agreement or under law. All work undertaken as part of a remedial program, including work undertaken pursuant to submittals other than Work Plans, must be approved by the department prior to implementation by the Applicant.

#### E. Department's Determination of Need for Remediation

The Department shall determine upon its approval of each Final Report dealing with the investigation of the Site whether remediation, or additional remediation as the case may be, is needed for protection of public health and the environment.

1. If the Department makes a preliminary determination that remediation, or additional remediation, is not needed for protection of public health and the environment, the Department shall notify the public of such determination and seek public comment in accordance with ECL § 27-1417(3)(f). The Department shall provide timely notification to the Applicant of its final determination following the close of the public comment period.

2. If the Department determines that additional remediation is not needed and such determination is based upon use restrictions, Applicant shall cause to be recorded an Environmental Easement in accordance with 6 NYCRR § 375-1.8(h).

3. If the Department determines that remediation, or additional remediation, is needed, Applicant may elect to submit for review and approval a proposed Remedial Work Plan (or modify an existing Work Plan for the Site) for a remedy selected upon due consideration of the factors set forth in ECL § 27-1415(3) and 6 NYCRR § 375-1.8(f). A proposed Remedial Work Plan addressing the Site's remediation will be noticed for public comment in accordance with ECL § 27-1417(3)(f) and the Citizen Participation Plan developed pursuant to this Agreement. If the Department determines following the close of the public comment period that modifications to the proposed Remedial Work Plan are needed, Applicant agrees to negotiate appropriate modifications to such Work Plan. If Applicant elects not to develop a Work Plan under this Subparagraph then this Agreement shall terminate in accordance with Paragraph XII. If the Applicant elects to develop a Work Plan, then it will be reviewed in accordance with Paragraph II.D above.

#### F. Institutional/Engineering Control Certification

In the event that the remedy for the Site, if any, or any Work Plan for the Site, requires institutional or engineering controls, Applicant shall submit a written certification in accordance with 6 NYCRR §§ 375-1.8(h)(3) and 375-3.8(h)(2).

### III. Enforcement

Except as provided in Paragraph V, this Agreement shall be enforceable as a contractual agreement under the laws of the State of New York. Applicant shall not suffer any penalty except as provided in Paragraph V, or be subject to any proceeding or action if it cannot comply with any requirement of this Agreement as a result of a Force Majeure Event as described at 6 NYCRR § 375-1.5(b)(4) provided Applicant complies with the requirements set forth therein.

### IV. Entry upon Site

A. Applicant hereby agrees to provide access to the Site and to all relevant information regarding activities at the Site in accordance with the provisions of ECL § 27-1431. Applicant agrees to provide the Department upon request with proof of access if it is not the owner of the Site.

B. The Department shall have the right to periodically inspect the Site to ensure that the use of the property complies with the terms and conditions of this Agreement. The Department will generally conduct such inspections during business hours, but retains the right to inspect at any time.

C. Failure to provide access as provided for under this Paragraph may result in termination of this Agreement pursuant to Paragraph XII.

### V. Payment of State Costs (Applicable only to Applicants with Participant Status)

A. Within forty-five (45) days after receipt of an itemized invoice from the Department, Applicant shall pay to the Department a sum of money which shall represent reimbursement for State Costs as provided by 6 NYCRR § 375-1.5 (b)(3)(i).

B. Costs shall be documented as provided by 6 NYCRR § 375-1.5(b)(3)(ii). The Department shall not be required to provide any other documentation of costs, provided however, that the Department's records shall be available consistent with, and in accordance with, Article 6 of the Public Officers Law.

C. Each such payment shall be made payable to the "Commissioner of NYSDEC" and shall be sent to:

Division of Management and Budget  
New York State Department of Environmental  
Conservation  
625 Broadway, 10th Floor  
Albany, New York 12233-4900

D. Each party shall provide written notification to the other within ninety (90) days of any change in the foregoing addresses.

E. If Applicant objects to any invoiced costs under this Agreement, the provisions of 6 NYCRR §§ 375-1.5 (b)(3)(v) and (vi) shall apply.

Objections shall be sent to the Department as provided under subparagraph V.C above.

F. In the event of non-payment of any invoice within the 45 days provided herein, the Department may seek enforcement of this provision pursuant to Paragraph III or the Department may commence an enforcement action for non-compliance with ECL § 27-1409(2) and ECL § 71-4003.

#### VI. Liability Limitation

Subsequent to the issuance of a Certificate of Completion pursuant to this Agreement, Applicant shall be entitled to the Liability Limitation set forth at ECL § 27-1421, subject to the terms and conditions stated therein and to the provisions of 6 NYCRR §§ 375-1.9 and 375-3.9.

#### VII. Reservation of Rights

A. Except as provided in Subparagraph VII.B, Applicant reserves all rights and defenses under applicable law to contest, defend against, dispute, or disprove any action, proceeding, allegation, assertion, determination, or order of the Department, including any assertion of remedial liability by the Department against Applicant, and further reserves all rights including the rights to notice, to be heard, to appeal, and to any other due process respecting any action or proceeding by the Department, including the enforcement of this Agreement. The existence of this Agreement or Applicant's compliance with it shall not be construed as an admission of any liability, fault, wrongdoing, or violation of law by Applicant, and shall not give rise to any presumption of law or finding of fact which shall inure to the benefit of any third party.

B. Notwithstanding the foregoing, Applicant hereby waives any right it may have to make a claim pursuant to Article 12 of the Navigation Law with respect to the Site and releases the State and the New York Environmental Protection and Spill Compensation Fund from any and all legal or equitable claims, suits, causes of action, or demands whatsoever with respect to the Site that Applicant may have as a result of Applicant's entering into or fulfilling the terms of this Agreement.

#### VIII. Indemnification

Applicant shall indemnify and hold the Department, the State of New York, and their representatives and employees harmless from any claim, suit, action, and cost of every name and description arising out of or resulting from the fulfillment or attempted fulfillment of this Agreement by Applicant prior to the Termination Date except for those claims, suits, actions, and costs arising from the State's gross negligence or willful or intentional misconduct by the Department, the State of New York, and/or their representatives and employees during the course of any activities conducted pursuant to this Agreement. In the event that the Applicant is a Participant, this provision shall also include the Trustee of the State's Natural Resources. The Department shall provide Applicant with written notice no less than thirty (30) days prior to commencing a lawsuit seeking indemnification pursuant to this Paragraph.

#### IX. Change of Use

Applicant shall notify the Department at least sixty (60) days in advance of any change of use, as defined in ECL § 27-1425, which is proposed for the Site, in accordance with the provisions of 6 NYCRR § 375-1.11(d). In the event the Department determines that the proposed change of use is prohibited, the Department shall notify Applicant of such determination within forty-five (45) days of receipt of such notice.

#### X. Environmental Easement

A. Within thirty (30) days after the Department's approval of a Remedial Work Plan which relies upon one or more institutional and/or engineering controls, or within sixty (60) days after the Department's determination pursuant to Subparagraph II.E.2 that additional remediation is not needed based upon use restrictions, Applicant shall submit to the Department for approval an Environmental Easement to run with the land in favor of the State which complies with the requirements of ECL Article 71, Title 36 and 6 NYCRR § 375-1.8(h)(2). Applicant shall cause such instrument to be recorded with the recording officer for the county in which the Site is located within thirty (30) days after the Department's approval of such instrument. Applicant shall provide the Department with a copy of such instrument certified by the recording officer to be a true and faithful copy within thirty (30) days of such recording (or such longer period of time as may be required to obtain a certified copy

provided Applicant advises the Department of the status of its efforts to obtain same within such thirty (30) day period), which shall be deemed to be incorporated into this Agreement.

B. Applicant or the owner of the Site may petition the Department to modify or extinguish the Environmental Easement filed pursuant to this Agreement at such time as it can certify that the Site is protective of public health and the environment without reliance upon the restrictions set forth in such instrument. Such certification shall be made by a Professional Engineer or Qualified Environmental Professional as defined at 6 NYCRR § 375-1.2(ak) approved by the Department. The Department will not unreasonably withhold its consent.

#### XI. Progress Reports

Applicant shall submit a written progress report of its actions under this Agreement to the parties identified in Subparagraph III.A.1 of the Agreement by the 10th day of each month commencing with the month subsequent to the approval of the first Work Plan and ending with the Termination Date, unless a different frequency is set forth in a Work Plan. Such reports shall, at a minimum, include: all actions relative to the Site during the previous reporting period and those anticipated for the next reporting period; all approved activity modifications (changes of work scope and/or schedule); all results of sampling and tests and all other data received or generated by or on behalf of Applicant in connection with this Site, whether under this Agreement or otherwise, in the previous reporting period, including quality assurance/quality control information; information regarding percentage of completion; unresolved delays encountered or anticipated that may affect the future schedule and efforts made to mitigate such delays; and information regarding activities undertaken in support of the Citizen Participation Plan during the previous reporting period and those anticipated for the next reporting period.

#### XII. Termination of Agreement

Applicant or the Department may terminate this Agreement consistent with the provisions of 6 NYCRR §§ 375-3.5(b), (c), and (d) by providing written notification to the parties listed in Paragraph IV of the Agreement.

#### XIII. Dispute Resolution

A. In the event disputes arise under this Agreement, Applicant may, within fifteen (15) days after Applicant knew or should have known of the facts which are the basis of the dispute, initiate dispute resolution in accordance with the provisions of 6 NYCRR § 375-1.5(b)(2).

B. All cost incurred by the Department associated with dispute resolution are State costs subject to reimbursement pursuant to Paragraph V of Appendix A of this Agreement, if applicable.

C. Notwithstanding any other rights otherwise authorized in law or equity, any disputes pursuant to this Agreement shall be limited to Departmental decisions on remedial activities. In no event shall such dispute authorize a challenge to the applicable statute or regulation.

#### XIV. Miscellaneous

A. If the information provided and any certifications made by Applicant are not materially accurate and complete, this Agreement, except with respect to Applicant's obligations pursuant to Paragraphs V, if applicable, and VII.B, and VIII, shall be null and void ab initio fifteen (15) days after the Department's notification of such inaccuracy or incompleteness or fifteen (15) days after issuance of a final decision resolving a dispute pursuant to Paragraph XIII, whichever is later, unless Applicant submits information within that fifteen (15) day time period indicating that the information provided and the certifications made were materially accurate and complete. In the event this Agreement is rendered null and void, any Certificate of Completion and/or Liability Limitation that may have been issued or may have arisen under this Agreement shall also be null and void ab initio, and the Department shall reserve all rights that it may have under law.

B. By entering into this Agreement, Applicant agrees to comply with and be bound by the provisions of 6 NYCRR §§ 375-1, 375-3 and 375-6; the provisions of such subparts that are referenced herein are referenced for clarity and convenience only and the failure of this Agreement to specifically reference any particular regulatory provision is not intended to imply that such provision is not applicable to activities performed under this Agreement.

C. The Department may exempt Applicant from the requirement to obtain any state or local

permit or other authorization for any activity conducted pursuant to this Agreement in accordance with 6 NYCRR §§ 375-1.12(b), (c), and (d).

D. 1. Applicant shall use “best efforts” to obtain all Site access, permits, easements, approvals, institutional controls, and/or authorizations necessary to perform Applicant’s obligations under this Agreement, including all Department-approved Work Plans and the schedules contained therein. If, despite Applicant’s best efforts, any access, permits, easements, approvals, institutional controls, or authorizations cannot be obtained, Applicant shall promptly notify the Department and include a summary of the steps taken. The Department may, as it deems appropriate and within its authority, assist Applicant in obtaining same.

2. If an interest in property is needed to implement an institutional control required by a Work Plan and such interest cannot be obtained, the Department may require Applicant to modify the Work Plan pursuant to 6 NYCRR § 375-1.6(d)(3) to reflect changes necessitated by Applicant’s inability to obtain such interest.

E. The paragraph headings set forth in this Agreement are included for convenience of reference only and shall be disregarded in the construction and interpretation of any provisions of this Agreement.

F. 1. The terms of this Agreement shall constitute the complete and entire agreement between the Department and Applicant concerning the implementation of the activities required by this Agreement. No term, condition, understanding, or agreement purporting to modify or vary any term of this Agreement shall be binding unless made in writing and subscribed by the party to be bound. No informal advice, guidance, suggestion, or comment by the Department shall be construed as relieving Applicant of its obligation to obtain such formal approvals as may be required by this Agreement. In the event of a conflict between the terms of this Agreement and any Work Plan submitted pursuant to this Agreement, the terms of this Agreement shall control over the terms of the Work Plan(s). Applicant consents to and agrees not to contest the authority and jurisdiction of the Department to enter into or enforce this Agreement.

2. i. Except as set forth herein, if Applicant desires that any provision of this Agreement be changed, Applicant shall make timely written application to the Commissioner with copies to the parties in Subparagraph IV.A.1 of the Agreement.

ii. If Applicant seeks to modify an approved Work Plan, a written request shall be made to the Department’s project manager, with copies to the parties listed in Subparagraph IV.A.1 of the Agreement.

iii. Requests for a change to a time frame set forth in this Agreement shall be made in writing to the Department’s project attorney and project manager; such requests shall not be unreasonably denied and a written response to such requests shall be sent to Applicant promptly.

G. 1. If there are multiple parties signing this Agreement, the term “Applicant” shall be read in the plural, the obligations of each such party under this Agreement are joint and several, and the insolvency of or failure by any Applicant to implement any obligations under this Agreement shall not affect the obligations of the remaining Applicant(s) under this Agreement.

2. If Applicant is a partnership, the obligations of all general partners (including limited partners who act as general partners) under this Agreement are joint and several and the insolvency or failure of any general partner to implement any obligations under this Agreement shall not affect the obligations of the remaining partner(s) under this Agreement.

3. Notwithstanding the foregoing Subparagraphs XIV.G.1 and 2, if multiple parties sign this Agreement as Applicants but not all of the signing parties elect to implement a Work Plan, all Applicants are jointly and severally liable for each and every obligation under this Agreement through the completion of activities in such Work Plan that all such parties consented to; thereafter, only those Applicants electing to perform additional work shall be jointly and severally liable under this Agreement for the obligations and activities under such additional Work Plan(s). The parties electing not to implement the additional Work Plan(s) shall have no obligations under this Agreement relative to the activities set forth in such Work Plan(s). Further, only those Applicants electing to implement such additional Work Plan(s) shall be

eligible to receive the Liability Limitation referenced in Paragraph VI.

4. Any change to parties pursuant to this Agreement, including successors and assigns through acquisition of title, is subject to approval by the Department, after submittal of an application acceptable to the Department.

H. Applicant shall be entitled to receive contribution protection and/or to seek contribution to the extent authorized by ECL § 27-1421(6) and 6 NYCRR § 375-1.5(b)(5).

I. Applicant shall not be considered an operator of the Site solely by virtue of having executed and/or implemented this Agreement.

J. Applicant and Applicant's agents, grantees, lessees, sublessees, successors, and assigns shall be bound by this Agreement. Any change in ownership of Applicant including, but not limited to, any transfer of assets or real or personal property, shall in no way alter Applicant's responsibilities under this Agreement.

K. Unless otherwise expressly provided herein, terms used in this Agreement which are defined in ECL Article 27 or in regulations promulgated thereunder shall have the meaning assigned to them under said statute or regulations.

L. Applicant's obligations under this Agreement shall not be deemed to constitute any type of fine or penalty.

M. In accordance with 6 NYCRR § 375-1.6(a)(4), the Department shall be notified at least 7 days in advance of, and be allowed to attend, any field activities to be conducted under a Department approved work plan, as well as any pre-bid meetings, job progress meetings, substantial completion meeting and inspection, and final inspection and meeting; provided, however that the Department may be excluded from portions of meetings where privileged matters are discussed.

N. In accordance with 6 NYCRR § 375-1.11(a), all work plans; reports, including all attachments and appendices, and certifications, submitted by a remedial party shall be submitted in print, as well as in an electronic format acceptable to the Department.

O. This Agreement may be executed for the convenience of the parties hereto, individually or in combination, in one or more counterparts, each of which shall be deemed to have the status of an executed original and all of which shall together constitute one and the same.

**RESOLUTION OF BOARD OF DIRECTORS  
OF  
1559 BOONE AVENUE GP, INC., GENERAL PARTNER OF  
1559 BOONE AVENUE L.P.**

**INTRODUCTION AND PURPOSE:** This resolution is for the purpose of authorizing 1559 Boone Avenue GP, Inc. (“GP”), a New York corporation, on behalf of itself and 1559 Boone Avenue L.P. (“Partnership”) to take all steps necessary to develop, construct, manage and operate 1559 Boone Avenue, Bronx, NY (the “Property”) as 66 units of housing for chronically homeless adults living with serious mental illness and frail/disabled seniors (supportive units), and community seniors, plus one superintendent unit (the “Project”). All units are reserved for persons of low income, i.e., less than 60% of Area Median Income. The Project is expected to receive certain operating subsidies from Section 8 vouchers, New York City 15/15 Supportive Housing Initiative, and Empire State Supportive Housing Initiative (“Operating Subsidies”). This resolution provides in Exhibit A details on the ownership structure, anticipated financing sources and the approximate amount of funding to be received from such sources. All terms not defined herein are defined in Exhibit A.

The resolutions ratify past acts and authorize the GP on behalf of itself and as general partner of the Partnership to take all steps necessary to finance, develop, construct and operate the Project, including without limitation:

- To have the Partnership acquire beneficial interest in the Property from 1559 Boone Avenue Housing Development Fund Company, Inc. (the “HDFC”) and execute and deliver related documents establishing the Partnership’s rights and obligations (“Nominee Documents”);
- To deliver any agreements required to obtain an allocation of federal low-income housing tax credits (“LIHTC”) and the LIHTC equity investment from the sale of the LIHTC credits (the “LIHTC Documents”)
- To deliver any documents required in order to obtain brownfield tax credits and the brownfield equity investment from the sale of such credits, including without limitation the Brownfield Clean Up Agreement (the “Brownfield Documents”);
- To obtain all required financing for the development of the Project (the “Financing”) by executing and delivering all documents required by the Financing Sources which may include but are not limited to mortgages, notes, loan agreements, regulatory agreements, indemnifications, and assignment of leases and rents (collectively, the “Finance Documents”); and
- To enter into contracts and agreements as may be necessary for development and operation of the Project and to obtain the Operating Subsidies (“Contract Documents”).

**RESOLUTIONS**

The undersigned, being all the directors of 1559 Boone Avenue GP, Inc. (the “GP”) on behalf of itself and as general partner of 1559 Boone Avenue L.P. (the “Partnership”) hereby adopt the following resolutions:

- That Cynthia C. Wainwright, President; Albert E. Mayas, Treasurer; Carol Buckler, Secretary, are elected as officers of the GP; and
- GP on behalf of itself and the Partnership is authorized to take all actions necessary to: obtain the beneficial interest in the Property, the Brownfield Credits, LIHTC Credits and the Financing, and to execute and deliver and perform the obligations of the GP on behalf of itself and the Partnership under



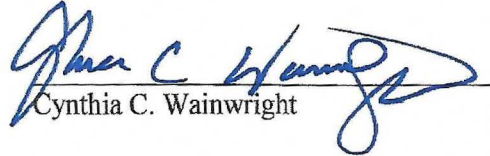
the Nominee Documents, Equity Documents, Financing Documents, Contract Documents and all other agreements necessary for the acquisition, development, construction and/or operation of the Project; and

- As may be required by any Financing Source, the Board of Directors of the GP hereby approves, authorizes, ratifies and consents to the opening of an interest-bearing checking account, in such form and requiring such signatures as may be required by such Financing Source; and
- That each officer of the GP and Susan Wiviott, its CEO and Carole Gordon, its Senior VP for Housing Development and Assistant Secretary (each as “**Authorized Person**”), is each authorized, empowered and directed to take such actions, and to execute documents and instruments, as such Authorized Person may deem necessary or appropriate in connection with the matters authorized in the foregoing resolutions, and the signature of such person on any documents or instruments or the performance of any such actions shall be conclusive evidence of such person’s authority to take such actions or execute such documents or instruments on behalf of the GP; and
- That each Authorized Person is hereby authorized, to certify more formal or detailed resolutions as such person deems necessary or appropriate to effectuate the intent of the foregoing resolutions including modifications to include final underwriting for the Project and that such person is hereby authorized and directed to annex such resolutions to these resolutions in Bridge’s minute book, and thereupon, such resolutions shall be deemed so adopted; and
- That any and all acts heretofore taken by Authorized Person in connection with the matters authorized by the foregoing resolutions are hereby ratified, confirmed, adopted and approved by the GP’s Board of Directors; and
- That such Authorized Person is directed to have a copy of such more formal or detailed resolutions, if any, submitted to the Board of Directors at its next regularly held meeting; and
- That each Financing source is hereby authorized to rely upon these resolutions, and upon any certificate of any Authorized Person with respect thereto until receipt of actual written notice of the revocation thereof and may conclusively presume that the persons so designated continue to hold office until actual receipt of a certificate from the GP to the contrary.

Dated: March 3, 2021

[Signature Pages Follows]

IN WITNESS WHEREOF, the following persons being all the directors of 1559 Boone Avenue GP, Inc. by signing herein, approve this resolution as of the date set forth above.

  
Cynthia C. Wainwright

\_\_\_\_\_  
Carol Buckler

\_\_\_\_\_  
Albert E. Mayas

IN WITNESS WHEREOF, the following persons being all the directors of 1559 Boone Avenue GP, Inc. by signing herein, approve this resolution as of the date set forth above.

\_\_\_\_\_  
Cynthia C. Wainwright

  
\_\_\_\_\_  
Carol Buckler

\_\_\_\_\_  
Albert E. Mayas

IN WITNESS WHEREOF, the following persons being all the directors of 1559 Boone Avenue GP, Inc. by signing herein, approve this resolution as of the date set forth above.

\_\_\_\_\_  
Cynthia C. Wainwright

\_\_\_\_\_  
Carol Buckler

  
\_\_\_\_\_  
Albert E. Mayas

### CERTIFICATION

I, Carol Buckler, Secretary of the 1559 Boone Avenue GP, Inc., a New York corporation, on behalf of itself and 1559 Boone Avenue L.P., do hereby certify and declare that the foregoing is a full, true and correct copy of the resolution duly passed and adopted by the Board of Directors of said corporation, by written consent of all Directors as of March 3, 2021; that there is no provision in the Certificate of Incorporation or Bylaws of said corporation, or any shareholder agreement, limiting the power of the Board of Directors of said corporation to pass the foregoing resolutions and that such resolutions are in conformity with the provisions of such Certificate of Incorporation and Bylaws; and that no approval by the shareholders of, or of the outstanding shares of said General Partner is required with respect to the matters which are the subject of the foregoing resolutions.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the corporate seal of said corporation as of March 3, 2021.

  
\_\_\_\_\_  
Carol Buckler, Secretary



**RESOLUTION OF BOARD OF DIRECTORS  
OF  
1559 BOONE AVENUE HOUSING DEVELOPMENT FUND COMPANY, INC.**

**INTRODUCTION AND PURPOSE:** This resolution is for the purpose of authorizing 1559 Boone Avenue Housing Development Fund Company, Inc. ("**HDFC**") to take all steps necessary to develop, construct, manage and operate 1559 Boone Avenue, Bronx, NY (the "**Property**") as 66 units of housing for chronically homeless adults living with serious mental illness and frail/disabled seniors (supportive units) and community seniors, plus one superintendent unit (the "**Project**"). All units are reserved for persons of low income, i.e., less than 60% of Area Median Income. The Project is expected to receive certain operating subsidies from Section 8 vouchers, New York City 15/15 Supportive Housing Initiative, and Empire State Supportive Housing Initiative ("**Operating Subsidies**"). This resolution provides in Exhibit A details on the ownership structure, anticipated financing sources and the approximate amount of funding to be received from such sources. All terms not defined herein are defined in Exhibit A.

The resolutions ratify past acts and authorize the HDFC to take all steps necessary to finance, develop, construct, and operate the Project, including without limitation:

- To transfer beneficial interest in the Property to 1559 Boone Avenue L.P. (the "**Partnership**") and execute and deliver related documents ("**Nominee Documents**");
- To deliver any agreements required to obtain an allocation of federal low-income housing tax credits ("**LIHTC**") and the LIHTC equity investment from the sale of the LIHTC credits (the "**LIHTC Documents**");
- To deliver any documents required in order to obtain brownfield tax credits and the brownfield equity investment from the sale of such credits, including without limitation the *Brownfield Clean Up Agreement* (the "**Brownfield Documents**");
- To obtain all required financing for the development of the Project (the "**Financing**") by executing and delivering all documents required by the Financing Sources which may include but are not limited to mortgages, notes, loan agreements, regulatory agreements, indemnifications, and assignment of leases and rents (collectively, the "**Finance Documents**");
- To enter into contracts and agreements as may be necessary for development and operation of the Project and to obtain the Operating Subsidies ("**Contract Documents**"); and
- To amend the certificate of incorporation of the HDFC to conform to the new New York City Department of Housing Preservation and Development ("**HPD**") and New York State Department of Taxation and Finance requirements for sales tax exemption.

**RESOLUTIONS**

The undersigned, being all the directors of 1559 Boone Avenue Housing Development Fund Company, Inc. (the "**HDFC**") hereby adopt the following resolutions:

- That Cynthia C. Wainwright, President; Albert E. Mayas, Treasurer; Carol Buckler, Secretary, are elected as officers of the HDFC; and

- That the HDFC is authorized to transfer beneficial interest of the Property to the Partnership and execute and deliver the Nominee Documents; and
- That obtaining the Financing is hereby approved and the HDFC is authorized to execute and deliver, and exercise its rights and perform its obligations under the Finance Documents; and
- That obtaining the brownfield equity investment and LIHTC equity investment is hereby approved and the HDFC is authorized to execute and deliver, and exercise its rights and perform its obligations under the Brownfield Documents and LIHTC Documents; and
- That the HDFC's certificate of incorporation be amended to conform to the HPD and NYS Department of Finance and Taxation requirements for sales tax exemption as set forth in Exhibit B; and
- That the HDFC is authorized to execute and deliver, and exercise its rights and perform its obligations under the Contract Documents; and
- That each officer of the HDFC and Susan Wiviott, its CEO and Carole Gordon, its Senior VP for Housing Development and Assistant Secretary (each as "**Authorized Person**"), is each authorized, empowered and directed to take such actions, and to execute documents and instruments, as such Authorized Person may deem necessary or appropriate in connection with the matters authorized in the foregoing resolutions, and the signature of such person on any documents or instruments or the performance of any such actions shall be conclusive evidence of such person's authority to take such actions or execute such documents or instruments on behalf of the HDFC; and
- That each Authorized Person is hereby authorized, to certify more formal or detailed resolutions as such person deems necessary or appropriate to effectuate the intent of the foregoing resolutions including modifications to include final underwriting for the Project and that such person is hereby authorized and directed to annex such resolutions to these resolutions in Bridge's minute book, and thereupon, such resolutions shall be deemed so adopted; and
- That any and all acts heretofore taken by Authorized Person in connection with the matters authorized by the foregoing resolutions are hereby ratified, confirmed, adopted and approved by the HDFC's Board of Directors; and
- That such Authorized Person is directed to have a copy of such more formal or detailed resolutions, if any, submitted to the Board of Directors at its next regularly held meeting; and
- That each Financing source is hereby authorized to rely upon these resolutions, and upon any certificate of any Authorized Person with respect thereto until receipt of actual written notice of the revocation thereof and may conclusively presume that the persons so designated continue to hold office until actual receipt of a certificate from the HDFC to the contrary.

Dated: As of March 3, 2021

[Signature Pages Follows]



IN WITNESS WHEREOF, the following persons in their capacity and as a director of 1559 Boone Avenue Housing Development Fund Company, Inc. by signing herein, approve this resolution as of the date set forth above.


  
Cynthia C. Wainwright

\_\_\_\_\_  
Carol Buckler

\_\_\_\_\_  
Albert E. Mayas

IN WITNESS WHEREOF, the following persons in their capacity and as a director of 1559 Boone Avenue Housing Development Fund Company, Inc. by signing herein, approve this resolution as of the date set forth above.

\_\_\_\_\_  
Cynthia C. Wainwright

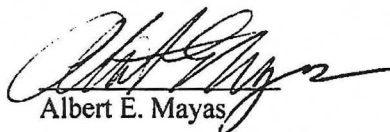
  
\_\_\_\_\_  
Carol Buckler

\_\_\_\_\_  
Albert E. Mayas

IN WITNESS WHEREOF, the following persons in their capacity and as a director of 1559 Boone Avenue Housing Development Fund Company, Inc. by signing herein, approve this resolution as of the date set forth above.

\_\_\_\_\_  
Cynthia C. Wainwright

\_\_\_\_\_  
Carol Buckler

  
Albert E. Mayas

**CERTIFICATION**

I, Carol Buckler, Secretary of the 1559 Boone Avenue Housing Development Fund Company, Inc., a New York corporation, do hereby certify and declare that the foregoing is a full, true and correct copy of the resolution duly passed and adopted by the Board of Directors of said corporation, by written consent of all Directors of said corporation as of March 3, 2021; that said resolutions are now in full force and effect; that there is no provision in the Certificate of Incorporation or Bylaws of said corporation, or any member agreement, limiting the power of the Board of Directors of said corporation to pass the foregoing resolutions and that such resolutions are in conformity with the provisions of such Certificate of Incorporation and Bylaws; and that no approval by its sole member is required with respect to the matters which are the subject of the foregoing resolutions.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the corporate seal of said corporation as of March 3, 2021.

  
Carol Buckler, Secretary