NEW YORK STATE OF OPPORTUNITY CONSERVATION

BROWNFIELD CLEANUP PROGRAM (BCP) APPLICATION TO AMEND BROWNFIELD CLEANUP AGREEMENT AND AMENDMENT

PART I. BROWNFIELD CLEANUP AGREEMENT AMENDMENT APPLICATION

Check the appropriate box below based on the nature of the amendment modification requested:

Amendment to [check one or more boxes below]

	Add
	Substitute
	Remove
1	Change in Name

applicant(s) to the existing Brownfield Cleanup Agreement [Complete Section I-IV below and Part II]

Does this proposed amendment involve a transfer of title to all or part of the brownfield site? Yes No

If yes, pursuant to 6 NYCRR Part 375-1.11(d), a Change of Use form should have been previously submitted. If not, please submit this form with this Amendment. See http://www.dec.ny.gov/chemical/76250.html

Amendment to modify description of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Sections I and V below and Part II]

Amendment to Expand or Reduce property boundaries of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Section I and V below and Part II]

Sites in Bronx, Kings, New York, Queens, or Richmond counties ONLY: Amendment to request determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit. Please answer questions on the supplement at the end of the form.

Other (explain in detail below)

Please provide a brief narrative on the nature of the amendment: Property ownership was transferred on July 24, 2015. This is simply to submit the name of the new owner. The new owner is not proposing to be an applicant.

Please refer to the attached instructions for guidance on filling out this application

July 2015

Section I. Existing Application I	nformation			
BCP SITE NAME: 5140 Site		BCP SITE NUMBER: C633079		
NAME OF CURRENT APPLICANT(S): 5140 Commercial Drive, LLC				
INDEX NUMBER OF EXISTING AGREEMENT: C633079-06-13 DATE OF EXISTING AGREEMENT:08/07/2013				
Section II. New Requestor Infor	mation (if no chang	ge to Current Applicant, skip to Section V)		
NAME				
ADDRESS				
CITY/TOWN		ZIP CODE		
PHONE	FAX	E-MAIL		
Department of State to cor above, in the NYS Departr	ration, LLC, LLP or o nduct business in Ny nent of State's (DOS ne DOS database m	other entity requiring authorization from the NYS (S, the requestor's name must appear, exactly as given S) Corporation & Business Entity Database. A print-out bust be submitted to DEC with the application, to		
NAME OF NEW REQUESTOR'S	REPRESENTATIVE			
ADDRESS				
CITY/TOWN		ZIP CODE		
PHONE	FAX	E-MAIL		
NAME OF NEW REQUESTOR'S	CONSULTANT (if a	oplicable)		
ADDRESS		5		
CITY/TOWN		ZIP CODE		
PHONE	FAX	E-MAIL		
NAME OF NEW REQUESTOR'S ATTORNEY (if applicable)				
ADDRESS				
CITY/TOWN ZIP CODE				
PHONE	FAX	E-MAIL		
the Requestor. This would be doci	orporation, or a Cor .C. Is this proof atta			
	,,, _,, _,, _			

	wner/Operator Information (only inclu nation is provided, and highlight new		
OWNER'S NAME (if different fro	m requestor) TSB Group, LLC		
ADDRESS 2 Estates Drive			
CITY/TOWN New Hartford, Ne	w York	ZIP CODE 13413	
PHONE (315) 735-5577	FAX	E-MAIL pfs@jmdoor.com	
OPERATOR'S NAME (if differen	it from requestor or owner)		
ADDRESS			
CITY/TOWN	1	ZIP CODE	
PHONE	FAX	E-MAIL	
Section IV. Eligibility Informati	on for New Requestor (Please refer to	ECL § 27-1407 for more detail)	
If answering "yes" to any of the fo	ollowing questions, please provide an ex	planation as an attachment.	
1. Are any enforcement actions	pending against the requestor regarding	g this site?	
 Is the requestor presently sul relating to contamination at the second s	oject to an existing order for the investig ne site?	ation, removal or remediation Yes No	
	outstanding claim by the Spill Fund for ther a party is subject to a spill claim sh		
any provision of the subject la	mined in an administrative, civil or crimin w; ii) any order or determination; iii) any imilar statute, regulation of the state or attachment.	regulation implementing ECL	
5. Has the requestor previously been denied entry to the BCP? If so, include information relative to the application, such as name, address, Department assigned site number, the reason for denial, and other relevant information.			
6. Has the requestor been found in a civil proceeding to have committed a negligent or intentionally tortious act involving the handling, storing, treating, disposing or transporting of contaminants?			
7. Has the requestor been convicted of a criminal offense i) involving the handling, storing, treating, disposing or transporting of contaminants; or ii) that involves a violent felony, fraud, bribery, perjury, theft, or offense against public administration (as that term is used in Article 195 of the Penal Law) under federal law or the laws of any state?			
jurisdiction of the Department,	8. Has the requestor knowingly falsified statements or concealed material facts in any matter within the jurisdiction of the Department, or submitted a false statement or made use of or made a false statement in connection with any document or application submitted to the Department?		
	or entity of the type set forth in ECL 27- or failure to act could be the basis for de	nial of a BCP application?	
· · · · · · · · · · · · · · · · · · ·	tion in any remedial program under DEC antially comply with an agreement or orc		
11. Have all known bulk storage t	tanks on-site been registered with DEC?	Yes No	

THE NEW REQUESTOR MUST CERTIFY THAT IT IS EITHER A PARTICIPANT OR VOLUNTEER IN ACCORDANCE WITH ECL §27-1405 (1) BY CHECKING ONE OF THE BOXES BELOW:

PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.	VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.
	NOTE: By checking this box, a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: i) stop any continuing discharge; ii) prevent any threatened future release; iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.
	If a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site, submit a statement describing why you should be considered a volunteer – be specific as to the appropriate care taken.

Requestor's Relationship to Property (check one):

Prior Owner Current Owner Potential /Future Purchaser Other

If requestor is not the current site owner, **proof of site access sufficient to complete the remediation must be submitted**. Proof must show that the requestor will have access to the property before signing the BCA and throughout the BCP project, including the ability to place an easement on the site Is this proof attached? Yes No

Note: a purchase contract does not suffice as proof of access.

changes/a	dditions/rea	ductions (if applical	ble)
		ZIP C	ODE	
Parcel No.	Section No.	Block No.	Lot No.	Acreage
			ZIP C	

Check appropriate boxes below:					
Changes to metes and bounds description or T	BL correctio	n			
Addition of property (may require additional citiz expansion – see attached instructions)	en participa	ation depend	ding on the	e nature of	the
Approximate acreage added:					
ADDITIONAL PARCELS:					
Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage
Reduction of property					
Approximate acreage removed:					
PARCELS REMOVED:					
Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage
If requesting to medify a motor and bounds description					

If requesting to modify a metes and bounds description or requesting changes to the boundaries of a site, please attach a revised metes and bounds description, survey, or acceptable site map to this application.

Supplement to the Application To Amend Brownfield Cleanup Agreement And Amendment - Questions for Sites Seeking Tangible Property Credits in New York City ONLY.

Property is in Bronx, Kings, New York, Queens, or Richmond counties.
Requestor seeks a determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit.
Please answer questions below and provide documentation necessary to support answers.
1. Is at least 50% of the site area located within an environmental zone pursuant to Tax Law 21(6)? Please see <u>DEC's website</u> for more information.
2. Is the property upside down as defined below?
From ECL 27-1405(31):
"Upside down" shall mean a property where the projected and incurred cost of the investigation and remediation which is protective for the anticipated use of the property equals or exceeds seventy-five percent of its independent appraised value, as of the date of submission of the application for participation in the brownfield cleanup program, developed under the hypothetical condition that the property is not contaminated.
3. Is the project an affordable housing project as defined below?
From 6 NYCRR 375- 3.2(a) as of July 1, 2015:
 (a) "Affordable housing project" means, for purposes of this part, title fourteen of article twenty seven of the environmental conservation law and section twenty-one of the tax law only, a project that is developed for residential use or mixed residential use that must include affordable residential rental units and/or affordable home ownership units. (1) Affordable residential rental projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, that defines (i) a percentage of the residential rental units in the affordable housing project to be dedicated to (ii) tenants at a defined maximum percentage of the area median income based on the occupants' households annual gross income. (2) Affordable home ownership projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, that sets affordable neurome. (2) Affordable home ownership projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, that sets affordable units aside for tenants at a defined maximum percentage of the area median income. (3) "Area median income" means, for purposes of this subdivision, the area median income for the primary metropolitan statistical area, or for the county if located outside a metropolitan statistical area, as determined by the United States department of housing and urban development, or its successor, for a family of four, as adjusted for family size.

PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

Existing Agreement Information	
BCP SITE NAME: 5140 Site	BCP SITE NUMBER: 633079
NAME OF CURRENT APPLICANT(S): 5140 Commerci	al Drive, LLC
INDEX NUMBER OF EXISTING AGREEMENT: 633079-0	06-13
EFFECTIVE DATE OF EXISTING AGREEMENT: 08/07/2	013

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

Statement of Certification and Signatures: New Requestor(s) (if applicable)

(Individual)

I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.

Date:	Signature:
Date.	olgnaturo.

Print Name:

I hereby affirm t	that I am (title) of (entity); that I
supervision and complete to the	direction; and that information best of my knowledge and be Class A misdemeanor pursua	lication; that this application was prepar in provided on this form and its attachme lief. I am aware that any false statemer ant to Section 210.45 of the Penal Law. constitutes the requisite approval for the	ents is true and ht made herein is
BCA Application	n, which will be effective upon	signature by the Department.	
Date:	Signature:		

Statement of Certification and Signatures: Existing Applicant(s) (an authorized representative of each applicant must sign)
(Individual)
I hereby affirm that I am a party to the Brownfield Cleanup Agreement and/or Application referenced in Section I above and that I am aware of this Application for an Amendment to that Agreement and/or Application. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department Date: Signature: Signature: Acobs Print Name: KENNETH JACOBS
(Entity)
I hereby affirm that I am President (title) of 5140 Commercial Drive, LLC (entity) which is a party to the Brownfield Cleanup Agreement and/or Application referenced in Section I above and that I am aware of this Application for an Amendment to that Agreement and/or Application. Kenneth Jacobs signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.
Print Name: Kenneth Jacobs, President of 5140 Commercial Drive, LLC

REMAINDER OF THIS AMENDMENT WILL BE COMPLETED SOLELY BY THE DEPARTMENT

Status of Agreement:

owner of the site at the time of the	VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the contamination.
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Effective Date of the Original Agreement:

8/2/13

Signature by the Department:

DATED:

OCT 20 2015

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

By

Robert W. Schick, P.E., Director Division of Environmental Remediation

SUBMITTAL INFORMATION:

Two (2) copies, one hard copy with original signatures and one electronic copy in Portable Document Format (PDF) must be sent to:

Chief, Site Control Section New York State Department of Environmental Conservation Division of Environmental Remediation 625 Broadway Albany, NY 12233-7020

FOR DEPARTMENT USE ONLY

BCP SITE T&A CODE:_____ LEAD OFFICE:_____

PROJECT MANAGER:



