

BROWNFIELD CLEANUP PROGRAM (BCP) APPLICATION TO AMEND BROWNFIELD CLEANUP AGREEMENT AND AMENDMENT

PART I. BROWNFIELD CLEANUP AGREEMENT AMENDMENT APPLICATION

1. (Check the appropriate box(es) below based on the nature of the amendment modification requested:
√	Amendment to modify the existing BCA: [check one or more boxes below]
	 □ Add applicant(s) □ Substitute applicant(s) □ Remove applicant(s) □ Change in Name of applicant(s)
√	Amendment to reflect a transfer of title to all or part of the brownfield site
	1a. A copy of the recorded deed must be provided. Is this attached? ☑ Yes ☐ No1b. ☑Change in ownership ☐ Additional owner (such as a beneficial owner)
	If yes, pursuant to 6 NYCRR Part 375-1.11(d), a Change of Use form should have been previously submitted. If not, please submit this form with this Amendment. See http://www.dec.ny.gov/chemical/76250.html
	Amendment to modify description of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Sections I and V below and Part II]
	Amendment to Expand or Reduce property boundaries of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Section I and V below and Part II]
	Sites in Bronx, Kings, New York, Queens, or Richmond counties ONLY: Amendment to request determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit. Please answer questions on the supplement at the end of the form.
	Other (explain in detail below)
_	2. Required: Please provide a brief narrative on the nature of the amendment:
-	Current site owners listed on the COC Site Information Confirmation include: - East Lake Commons LLC (the current property owner) - SRE Midtown Acquisitions, LLC (former property owner) - SRE-Midtown Garage Acquisitions, LLC (former property owner)
ļ i	This application is being filed to remove the SRE LLCs as site owners as these entities have conveyed the property to East Lake Commons LLC and are no longer involved in the project. Attached, please find property information obtained from Oswego County indicating the Site parcels were sold to East Lake Commons LLC in March 2020. Note that a Change of Use was filed with the Department at that time.
	Note that East Lake Commons LLC is already correctly listed in the COC Site Information Confirmation as the sole Applicant. This amendment is only to correct the site owner information.

Please refer to the attached instructions for guidance on filling out this application

Submission of a full BCP application will be required should this application be determined to be a major amendment. If the amendment involves a non-insignificant change in acreage, applicants are encouraged to consult with the DEC project team prior to submitting this application.

March 2021 1

Section I. Current Agreement In	formation					
BCP SITE NAME: Midtown Plaza BCP SITE NUMBER: C738045						
NAME OF CURRENT APPLICAN	T(S): East Lake Cor	nmons LLC				
INDEX NUMBER OF AGREEMEN	_{NT:} C738045-11-1	8 DATE OF ORIGINAL A	AGREEMENT: 12/28/2018			
Section II. New Requestor Inform	mation (complete on	ly if adding new requestor or	name has changed)			
NAME						
ADDRESS						
CITY/TOWN		Z	IP CODE			
PHONE	FAX	E-MAIL				
 Is the requestor authorized to conduct business in New York State (NYS)? Yes No If the requestor is a Corporation, LLC, LLP or other entity requiring authorization from the NYS Department of State to conduct business in NYS, the requestor's name must appear, exactly as given above, in the NYS Department of State's (DOS) Corporation & Business Entity Database. A print-out of entity information from the DOS database must be submitted to DEC with the application, to document that the applicant is authorized to do business in NYS. 						
NAME OF NEW REQUESTOR'S	REPRESENTATIVE					
ADDRESS						
CITY/TOWN			ZIP CODE			
PHONE	FAX	E-MAIL				
NAME OF NEW REQUESTOR'S	CONSULTANT (if ap	plicable)				
ADDRESS						
CITY/TOWN			ZIP CODE			
PHONE	FAX	E-MAIL				
NAME OF NEW REQUESTOR'S	ATTORNEY (if applic	cable)				
ADDRESS						
CITY/TOWN			ZIP CODE			
PHONE	FAX	E-MAIL				
2. Requestor must submit proof that the party signing this Application and Amendment has the authority to bind the Requestor. This would be documentation from corporate organizational papers, which are updated, showing the authority to bind the corporation, or a Corporate Resolution showing the same, or an Operating Agreement or Resolution for an LLC. Is this proof attached?						
Agreement or Resolution for an LLC. Is this proof attached? JYes No S. Describe Requestor's Relationship to Existing Applicant:						

Section III. Current Property Owner/Operator Information (only include if new owner/operator) Owner below is: Existing Applicant New Applicant Non-Applicant						
OWNER'S NAME (if different from	n requestor)					
ADDRESS						
CITY/TOWN		ZIP CO	DE			
PHONE	FAX	E-MAIL				
OPERATOR'S NAME (if differen	t from requestor or owner)					
ADDRESS						
CITY/TOWN		ZIP CC	DDE			
PHONE	FAX	E-MAIL				
Section IV. Eligibility Information	on for New Requestor (Please refer to	ECL § 27-1407 fo	r more detail)			
If answering "yes" to any of the fo	ollowing questions, please provide an ex	planation as an atta	achment.			
1. Are any enforcement actions	pending against the requestor regarding	g this site?	☐Yes ☐No			
2. Is the requestor presently sub- relating to contamination at the	e site?	ation, removal or re	mediation Yes No			
	3. Is the requestor subject to an outstanding claim by the Spill Fund for this site?					
any provision of the subject la	mined in an administrative, civil or crimir w; ii) any order or determination; iii) any imilar statute, regulation of the state or attachment.	regulation implem	enting ECL			
	peen denied entry to the BCP? If so, inc dress, Department assigned site number					
• • • • • • • • • • • • • • • • • • •	in a civil proceeding to have committed ring, treating, disposing or transporting of	0 0	ntionally tortious Yes No			
disposing or transporting of co	cted of a criminal offense i) involving the ontaminants; or ii) that involves a violent nistration (as that term is used in Article state?	felony, fraud, bribe	ry, perjury, theft,			
jurisdiction of the Department,	alsified statements or concealed material or submitted a false statement or made ant or application submitted to the Depart	use of or made a f				
or failed to act, and such act o	or entity of the type set forth in ECL 27- or failure to act could be the basis for de tion in any remedial program under DEC	nial of a BCP applic	ation?			
	antially comply with an agreement or ord	•	Yes No			
11. Are there any unregistered bu	ılk storage tanks on-site which require re	egistration?	☐Yes ☐No			

THE NEW REQUESTOR MUST CERTIFY THAT IT IS ACCORDANCE WITH ECL §27-1405 (1) BY CHECKIN						
PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.	VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.					
	NOTE: By checking this box, a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: i) stop any continuing discharge; ii) prevent any threatened future release; iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.					
	If a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site, submit a statement describing why you should be considered a volunteer – be specific as to the appropriate care taken.					
12. Requestor's Relationship to Property (check one):						
☐ Prior Owner ☐ Current Owner ☐ Potential /Future Purchaser ☐ Other						
13. If requestor is not the current site owner, proof of site access sufficient to complete the remediation must be submitted . Proof must show that the requestor will have access to the property before signing the BCA and throughout the BCP project, including the ability to place an easement on the site. Is this proof attached? Yes Note: a purchase contract does not suffice as proof of access.						

Section V. Property description and description of changes/ac	dditions/re	ductions (if applicab	le)
Property information on current agreement:				
ADDRESS				
CITY/TOWN		ZIP C	CODE	
TAX BLOCK AND LOT (SBL)	TAL ACREA	AGE OF CU	IRRENT SIT	E:
Parcel Address	Section No.	Block No.	Lot No.	Acreage
2. Check appropriate boxes below:				
Addition of property (may require additional citizen participat the expansion – see attached instructions)	ion depend	ing on the	nature of	
2a. PARCELS ADDED:				Acreage Added by
Parcel Address	Section No.	Block No.	Lot No.	Parcel
	То	tal acreage	to be added	:
Reduction of property				
2b. PARCELS REMOVED:				Acreage Removed
Parcel Address	Section No.	Block No.	Lot No.	by Parcel
Change to SBL (e.g. merge, subdivision, address change)	Total ac	reage to be	removed: _	
2c. NEW SBL INFORMATION:				
Parcel Address	Section No	. Block No	. Lot No.	Acreage
If requesting to modify a metes and bounds description or reques please attach a revised metes and bounds description, survey, or				
3. TOTAL REVISED SITE ACREAGE:				

Supplement to the Application To Amend Brownfield Cleanup Agreement And Amendment - Questions for Sites Seeking Tangible Property Credits in New York City ONLY.

Property is in Bronx, Kings, New York, Queens, or Richmond counties.	Yes No
Requestor seeks a determination that the site is eligible for the tangible property credit cobrownfield redevelopment tax credit.	omponent of the Yes No
Please answer questions below and provide documentation necessary to support and	swers.
 Is at least 50% of the site area located within an environmental zone pursuant to Tax Please see <u>DEC's website</u> for more information. 	x Law 21(6)?
2. Is the property upside down as defined below?	Yes No
From ECL 27-1405(31):	
"Upside down" shall mean a property where the projected and incurred cost of the invest remediation which is protective for the anticipated use of the property equals or exceeds so of its independent appraised value, as of the date of submission of the application for particle brownfield cleanup program, developed under the hypothetical condition that the property contaminated.	eventy-five percent cipation in the
3. Is the project an affordable housing project as defined below?	Yes No
From 6 NYCRR 375- 3.2(a) as of August 12, 2016:	
(a) "Affordable housing project" means, for purposes of this part, title fourteen of artic seven of the environmental conservation law and section twenty-one of the tax law of that is developed for residential use or mixed residential use that must include afford residential rental units and/or affordable home ownership units.	only, a project
(1) Affordable residential rental projects under this subdivision must be subject to a state, or local government housing agency's affordable housing program, or a local gregulatory agreement or legally binding restriction, which defines (i) a percentage of rental units in the affordable housing project to be dedicated to (ii) tenants at a define percentage of the area median income based on the occupants' households annual	government's the residential ed maximum
(2) Affordable home ownership projects under this subdivision must be subject to state, or local government housing agency's affordable housing program, or a local gregulatory agreement or legally binding restriction, which sets affordable units aside owners at a defined maximum percentage of the area median income.	government's
(3) "Area median income" means, for purposes of this subdivision, the area media for the primary metropolitan statistical area, or for the county if located outside a met statistical area, as determined by the United States department of housing and urbar development, or its successor, for a family of four, as adjusted for family size.	ropolitan

PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

Existing Agreement Information	
BCP SITE NAME: Midtown Plaza	BCP SITE NUMBER: C738045
NAME OF CURRENT APPLICANT(S): East Lake Commons LLC	
INDEX NUMBER OF AGREEMENT: C738045-11-18	
EFFECTIVE DATE OF ORIGINAL AGREEMENT: 12/28/2018	

Declaration of Amendment:

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

Statement of Certification and Signatures: New Requestor(s) (if applicable)				
(Individual)				
I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.				
Date:Signature:				
Print Name:				
(Entity)				
I hereby affirm that I am (title				
Date:Signature:				
Print Name:				

Statement of Certification and Signature applicant must sign)	es: Existing Applicant(s) (an authorized representative of each
(Individual)	
Section I above and that I am aware of this	wnfield Cleanup Agreement and/or Application referenced in Application for an Amendment to that Agreement and/or es the requisite approval for the amendment to the BCA gnature by the Department.
Date: 08/06/2021 Signature:	MARA
(Entity)	
I hereby affirm that I am Owner's Representative Brownfield Cleanup Agreement and/or App Application for an Amendment to that Agreement	(title) of
Print Name: Megan Houppert	
REMAINDER OF THIS AMENDMENT WIL	L BE COMPLETED SOLELY BY THE DEPARTMENT
Please see the following page for submittal NOTE: Applications submitted in fillable Status of Agreement:	instructions. format will be rejected.
Status of Agreement.	
PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.	X VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the contamination.
Effective Date of the Original Agreement:	: 12/28/2018
Signature by the Department:	
DATED: 09/01/2021	NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Michael J. Ryan, P.E., Director
Division of Environmental Remediation

SUBMITTAL INFORMATION:

• **Two (2)** copies, one hard copy with original signatures and one electronic copy in final, non-fillable Portable Document Format (PDF) must be sent to:

Chief, Site Control Section
New York State Department of Environmental Conservation
Division of Environmental Remediation
625 Broadway
Albany, NY 12233-7020

NOTE: Applications submitted in fillable format will be rejected.

FOR DEPARTMENT USE ONLY	
BCP SITE T&A CODE:	LEAD OFFICE:
PROJECT MANAGER:	



Property Description Report For: 83-87 E First St, Municipality of City of Oswego



Total Acreage/Size: 135 x 230

Land Assessment: 2021 - Tentative

\$250,200 2020 - \$250,200

Full Market Value: 2021 - Tentative

\$258,500

2020 - \$258,500

Equalization Rate: ----

Deed Book: 2020 **Grid East:** 840386

Status:ActiveRoll Section:TaxableSwis:351200Tax Map ID #:128.47-02-05

Property Class: 438 - Parking lot

Site: COM 1

In Ag. District:

Site Property Class: 438 - Parking lot

No

Zoning Code: TB
Neighborhood Code: 12402
School District: Oswego

Total Assessment: 2021 - Tentative

\$258,500

2020 - \$258,500

Property Desc: B 82, L 52,53,54 187-

006-000

Deed Page: 2703 **Grid North:** 1260080

Owners

East Lake Commons LLC 700 Clinton Ave Rochester NY 14604

Sales

Sale Date	Price	Property Class	Sale Type	Prior Owner	Value Usable	Arms Length	Addl. Parcels	Deed Book and Page
3/23/2020	\$450,000	438 - Parking lot	Land Only	SRE Midtown Garage Acq LLC	Yes	No	No	2020/2703
4/27/2015	\$258,500	400 - Commercial	Land & Building	City of Oswego	No	No	No	2015/3411

Utilities

Sewer Type: Comm/public Water Supply: Comm/public

Utilities: Gas & elec

Inventory

Overall Eff Year Built:Overall Condition:FairOverall Grade:EconomyOverall Desirability:3

Buildings

						Eff				
				Basement	Year	Year			Gross Floor	
AC%	Sprinkler%	Alarm%	Elevators	Туре	Built	Built	Condition	Quality	Area (sqft)	Stories

Improvements

Structure	Size	Grade	Condition	Year

Special Districts for 2021 (Tentative)

No information available for the 2021 roll year.

Special Districts for 2020

No information available for the 2020 roll year.

Exemptions

Year	Description	Amount	Exempt %	Start Yr	End Yr	V Flag H Code	Own %
2021	Ind. Dev. Agency	(Tentative)\$258,500	0	2021	2050		0

Taxes

Year	Description	Amount
2021	County	\$2,349.57
2020	City	\$3,952.21
2020	County	\$2,473.54
2020	School	\$4,862.77

^{*} Taxes reflect exemptions, but may not include recent changes in assessment.



Property Description Report For: 18 E Cayuga St, Municipality of City of Oswego



Total Acreage/Size: 1.29

Land Assessment: 2021 - Tentative

\$268,800

2020 - \$268,800

Full Market Value: 2021 - Tentative

\$550,000

2020 - \$550,000

Equalization Rate: ---Deed Book: 2020
Grid East: 840307

Status:ActiveRoll Section:TaxableSwis:351200Tax Map ID #:128.47-02-04Property Class:452 - Nbh shop ctr

Site: COM 1

In Ag. District: No

Site Property Class: 452 - Nbh shop ctr

Zoning Code: TB
Neighborhood Code: 12402
School District: Oswego

Total Assessment: 2021 - Tentative

\$550,000

2020 - \$550,000

Property Desc: B 82 103-003-000

Deed Page: 2704 **Grid North:** 1260269

Owners

East Lake Commons LLC 700 Clinton Ave Rochester NY 14604

Sales

Sale Date	Price	Property Class	Sale Type	Prior Owner	Value Usable	Arms Length	Addl. Parcels	Deed Book and Page
3/23/2020	\$500,000	452 - Nbh shop ctr	Land & Building	SRE Midtown Acquisitions LLC	Yes	No	No	2020/2704
7/25/2012	\$450,000	452 - Nbh shop ctr	Land & Building	Dawn-BV II LLC	Yes	Yes	No	2012/7388
7/17/2012	\$0	452 - Nbh shop ctr	Land Only	City of Oswego	No	No	No	2012/7389
9/13/2011	\$0	452 - Nbh shop ctr	Land & Building	Oswego Center Associates	No	No	No	2011/8547
4/1/1986	\$1,050,000	451 - Reg shop ctr	Building Only	Oswego Midtown Center Cor	Yes	Yes	No	976/259

Utilities

Sewer Type: Utilities:

Comm/public Gas & elec

Water Supply:

Comm/public

Inventory

Overall Eff Year Built: Overall Grade:

Average

Overall Condition: Overall Desirability: Normal

Average

3

Buildings

				Basement	
AC%	Sprinkler%	Alarm%	Elevators	Туре	Built
100	100	100	0		1975

Eff Year **Built Condition Quality**

Normal

Gross Floor Area (sqft) Stories

71272

2

Improvements

Structure	Size	Grade	Condition	Year
Canpy-w/scrn	640.00 sq ft	Economy	Normal	1975
Canpy-com st	2,832.00 sq ft	Average	Normal	1975
Canpy-com st	490.00 sq ft	Average	Normal	1975
Ld dock-st/c	5,664.00 sq ft	Average	Normal	1975
Sign-sgl pls	20 x 1	Average	Normal	1975
Sign-sgl pls	20 x 2	Average	Normal	1975
Sign-sgl pls	14 x 2	Average	Normal	1975
Sign-sgl pls	12 x 2	Average	Normal	1975
Sign-sgl pls	35 x 2	Average	Normal	1975
Sign-sgl pls	18 x 2	Average	Normal	1975
Sign-sgl pls	25 x 3	Average	Normal	1975
Sign-sgl pls	10 x 4	Average	Normal	1975
Sign-dbl pls	4 x 1	Average	Normal	1975

Special Districts for 2021 (Tentative)

No information available for the 2021 roll year.

Special Districts for 2020

Description	Units	Percent	Туре	Value
UW999-Unpaid Water	0	0%	Т	0

Exemptions

Year	Description	Amount	Exempt %	Start Yr	End Yr	V Flag H Code	Own %
2021	Ind. Dev. Agency	(Tentative)\$550,000	0	2021	2050		0

Taxes

Year	Description	Amount
2021	County	\$4,999.08
2020	City	\$15,289.00
2020	County	\$9,568.85
2020	School	\$10,346.33

^{*} Taxes reflect exemptions, but may not include recent changes in assessment.



OSWEGO COUNTY - STATE OF NEW YORK

MICHAEL C. BACKUS COUNTY CLERK 46 EAST BRIDGE STREET, OSWEGO, NEW YORK 13126

COUNTY CLERK'S RECORDING PAGE ***THIS PAGE IS PART OF THE DOCUMENT -- DO NOT DETACH***



INSTRUMENT #: R-2020-002703

Receipt#: 20209136988

D

clerk: MBACON

Rec Date: 04/02/2020 03:14:33 PM

Doc Grp:

Descrip: DEED

Num Pgs: 5

Rec'd Frm: CHICAGO TITLE INSURANCE CO

Party1:

SRE-MIDTOWN GARAGE ACQUISITIONS

LLC

Party2: EAST LAKE COMMONS LLC

Town: OSWEGO

Recording:

Number of Pages	25.00
Recording Fee/Cover Sheet	20.00
TP 584	5.00
RP5217 - County	9.00
RP5217 All others - State	241.00
Cultural Ed	14.25
Records Management - Coun	1.00
Records Management - Stat	4.75
-	

Sub Total: 320.00

Transfer Tax

Transfer Tax 1800.00

Sub Total: 1800.00

Total: 2120.00

**** NOTICE: THIS IS NOT A BILL ****

***** Transfer Tax *****
Transfer Tax #: 2613
Standard Transfer Tax

Transfer Tax 1800.00

Total: 1800.00

Record and Return To:

CHICAGO TITLE 120 MADISON STREET SUITE 1610 SYRACUSE, NY 13202 I hereby certify that the within and foregoing was recorded in the Oswego County Clerk's Office

Michael C. Backus Oswego County Clerk

WARRANTY DEED CAUTION: THIS AGREEMENT SHOULD BE PREPARED BY AN ATTORNEY AND REVIEWED BY ATTORNEYS FOR SELLER AND PURCHASER BEFORE SIGNING.

THIS INDENTURE, made the 30 day of Mach, 2020, between

SRE-MIDTOWN GARAGE ACQUISITIONS, LLC, a New York limited liability company, having a mailing address at 525 Plum Street, Suite 100, Syracuse, New York 13204

party of the first part, and

EAST LAKE COMMONS LLC, a New York limited liability company having a mailing address at 180 Clinton Square, Rochester, New York 14604

party of the second part,

WITNESSETH, that the party of the first part, in consideration of One and 00/100/ (\$1.00) dollars, lawful money of the United States, paid by the party of the second part, does hereby grant and release unto the party of the second part, the heirs or successors and assigns of the party of the second part forever,

SEE Schedule A attached.

SUBJECT to easements and restrictions of record, if any.

BEING AND INTENDING TO CONVEY the same premises conveyed from The City of Oswego to SRE- Midtown Garage Acquisitions, LLC by Warranty Deed dated April 27, 2015 and recorded in the Oswego County Clerk's Office on April 27, 2015 as Instrument #R-2015-003411.

TOGETHER with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof,

TOGETHER with the appurtenances and all the estate and rights of the party of the first part in and to said premises,

TO HAVE AND TO HOLD the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

AND the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the costs of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

AND the party of the first part covenants as follows:

THAT the party of the second part shall quietly enjoy the said premises;

THAT said party of the first part will forever warrant the title to said premises.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, the party of the first part has duly executed this deed the day and year first above written.

SRE-MIDTOWN GARAGE ACQUISITIONS, LLC

By:	du 6 Junn A
Name:	Louis G. Fournier III
Title:	Manager

STATE OF NEW YORK)) ss.:

COUNTY OF ONONDAGA

On the ______ day of March in the year 2020, before me, the undersigned, a Notary Public in and for said State, personally appeared <u>Louis G. Fournier III</u>, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument, and that such individual made such appearance before the undersigned.

Notary Public

THOMAS E. TAYLOR

Notary Public in the State of New York

Qualified in Onondaga County No. 02TA4904070

My Commission Expires August 10,

SCHEDULE A

All that tract or parcel of land, situate in the City of Oswego, County of Oswego and State of New York, being more particularly described as being Block No. 82, East Oswego, New York.

ALSO, all that tract or parcel of land, situate in the City of Oswego, County of Oswego and State of New York, and being the former westerly fifty (50) feet of East Second Street (said westerly part of East Second Street now abandoned) between the southerly line of East Cayuga Street and the northerly line of East Bridge Street, and being a parcel fifty (50) feet wide east to west, and approximately 396 feet in length, north to south, in right angles and parallel lines.

EXCEPTING, from the above-described parcels the following two (2) parcels of

land: Exception Parcel I

All that certain parcel or parcels of land located in the City of Oswego, County of Oswego, State of New York, more particularly described as follows:

BEGINNING at a point, said point being the intersection of the East line of East First Street and the South line of East Cayuga Street and being more particularly described as the Northwest corner of Block #82, East Oswego, New York; thence easterly along the south line of East Cayuga Street and the north line of Block #82, and said line extended, a distance of 250 feet to a point in the former center-line, now West line of East Second Street, said point being the 50 feet easterly from the Northeast corner of Block #82; thence southerly and at right angles along the former center line (now West line) of East Second Street, a distance of 200 feet to a point; thence westerly and at right angles, a distance of 250 feet to a point in the west line of Block #82, which is also the East line of East First Street; thence northerly and at right angles to the aforementioned course and along the West line of Block # 82, which is also the East line of East First Street, a distance of 200 feet to the point of beginning.

INTENDING to describe the land in the northerly 200 feet of Block #82 and the North 200 feet of the former West 50 feet of East Second Street contiguous thereto. The area is contained in right angles and parallel lines.

Exception Parcel II

BEGINNING at a point at the intersection of the easterly line of East First Street and the northerly line of East Bridge Street, said point being the southwesterly corner of Block No. 82, East Oswego, and proceeding thence northerly along said easterly line of East First Street, a distance of 63.0 feet to a point; thence easterly and parallel to the northerly line of East Bridge Street, a distance of 80.0 feet to a point; thence southerly

and parallel to the easterly line of East First Street, a distance of 63.0 feet to a point on the northerly line of East Bridge Street; thence westerly along the northerly line of East Bridge Street, a distance of 80.0 feet to the point and place of beginning.

Intending to describe a parcel of land in the Southwest corner of Block #82, East Oswego, New York, being 80 feet fronting on East Bridge Street and 63 feet in depth along East First Street contained in parallel lines and right angles.

ALSO being more modernly described as follows:

ALL THAT TRACT OR PARCEL OF LAND situate in the City of Oswego, County of Oswego and State of New York, bounded and described as follows:

Commencing at a point in the northeast corner of the Right of Way for East Bridge Street and East First Street thence N 67°52'05 E along said northerly Right of Way for East Bridge Street a distance of 80.00' to a point at its intersection with the division line between lands now or formerly of SRE-Midtown Garage Acquisitions, LLC, Tax Account #128.47-2-5 on the east and lands now or formerly of NORFLEET FAMILY REVOCABLE TRUST, DOREN P. NORFLEET, Tax Account #128.47-2-6 on the west. Said point being the True point of beginning; thence

- 1) N 22°07'55" W along said division line a distance of 63.00' to an angle point; thence
- 2) S 67°52'05" W continuing along said division line a distance of 80.00' to a point in the easterly Right of Way of East First Street; thence
- 3) N 22°07'55" W along said easterly Right of Way a distance of 133.00' to a point at its intersection with the division line between lands now or formerly of SRE Midtown Garage Acquisitions, LLC, Tax Account #128.47-2-5 on the south and lands now or formerly of SRE Midtown Acquisitions, LLC, Tax Account #128.47-2-4 on the north; thence
- 4)N 67°52'05" E along said division line a distance of 250.00' to a point in the westerly Right of Way of East Second Street; thence
- 5) S 22°07'55" E along said westerly Right of Way a distance of 196.00' to a point in the northerly Right of Way for East Bridge Street; thence
- 6) S 67°52'05" W along said northerly Right of Way of East Bridge Street a distance of 170.00' to the POINT AND PLACE OF BEGINNING.



OSWEGO COUNTY - STATE OF NEW YORK

MICHAEL C. BACKUS COUNTY CLERK
46 EAST BRIDGE STREET, OSWEGO, NEW YORK 13126

COUNTY CLERK'S RECORDING PAGE ***THIS PAGE IS PART OF THE DOCUMENT -- DO NOT DETACH***



INSTRUMENT #: R-2020-002704

Receipt#: 20209136988

clerk: MBACON

Rec Date: 04/02/2020 03:14:33 PM

Doc Grp: D
Descrip: DEED
Num Pgs: 5

Rec'd Frm: CHICAGO TITLE INSURANCE CO

Party1: SRE-MIDTOWN ACQUISITIONS LLC

Party2: EAST LAKE COMMONS LLC

Town: OSWEGO

Recording:

Number of Pages	25.00
Recording Fee/Cover Sheet	20.00
TP 584	5.00
RP5217 - County	9.00
RP5217 All others - State	241.00
Cultural Ed	14.25
Records Management - Coun	1.00
Records Management - Stat	4.75
3	

Sub Total: 320.00

Transfer Tax Transfer Tax 2000.00

Sub Total: 2000.00

Total: 2320.00 **** NOTICE: THIS IS NOT A BILL ****

***** Transfer Tax *****
Transfer Tax #: 2614
Standard Transfer Tax

Transfer Tax 2000.00

Total: 2000.00

Record and Return To:

CHICAGO TITLE 120 MADISON STREET SUITE 1610 SYRACUSE, NY 13202 I hereby certify that the within and foregoing was recorded in the Oswego County Clerk's Office

Michael C. Backus Oswego County Clerk

WARRANTY DEED

CAUTION: THIS AGREEMENT SHOULD BE PREPARED BY AN ATTORNEY AND REVIEWED BY ATTORNEYS FOR SELLER AND PURCHASER BEFORE SIGNING.

THIS INDENTURE, made the 31 st day of March, 2020, between

SRE- MIDTOWN ACQUISITIONS, LLC, a New York limited liability company, having a mailing address at 525 Plum Street, Suite 100, Syracuse, New York 13204

party of the first part, and

EAST LAKE COMMONS LLC, a New York limited liability company having a mailing address at 180 Clinton Square, Rochester, New York 14604

party of the second part,

WITNESSETH, that the party of the first part, in consideration of One and 00/100/ (\$1.00) dollars, lawful money of the United States, paid by the party of the second part, does hereby grant and release unto the party of the second part, the heirs or successors and assigns of the party of the second part forever,

SEE Schedule A attached.

SUBJECT to easements and restrictions of record, if any.

TOGETHER with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof,

TOGETHER with the appurtenances and all the estate and rights of the party of the first part in and to said premises,

TO HAVE AND TO HOLD the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

AND the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the costs of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

AND the party of the first part covenants as follows:

THAT the party of the second part shall quietly enjoy the said premises;

THAT said party of the first part will forever warrant the title to said premises.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, the party of the first part has duly executed this deed the day and year first above written.

	SRE MIDTOWN ACQUISITIONS, LLC By: AML Lymn	
	Name: Louis G. Fournier, III Title: Manager Authorized Agent	
STATE OF NEW YORK)) ss.:	
COUNTY OF ONONDAGA)	

On the 21 day of March in the year 2020, before me, the undersigned, a Notary Public in and for said State, personally appeared Louis G. Fournier III, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument, and that such individual made such appearance before the undersigned.

Notary Public

Notary Public in the State of New York Qualified in Onondaga County No. 02TA4904070

My Commission Expires Aug. 10, 19

SCHEDULE A

ALL THAT TRACT OR PARCEL OF LAND, situate in the City of Oswego, County of Oswego and State of New York, being Lots 49, 50 & 51 and part Lot 52, Block 82 in said City and being further described as Parcel 5, Block 3 of the East Central Urban Renewal Project of the City of Oswego, New York, bounded and described as follows:

BEGINNING at a point, said point being the intersection of the east line of East First Street and the south line of East Cayuga Street and being more particularly described as the northwest corner of Block No. 82, East Oswego, New York, which is also the northwest comer of Parcel No. 5, Block No. 3 of the East Central Urban Renewal Project of the City of Oswego, New York; thence easterly along the south line of East Cayuga Street and the north line of Block No. 82 which is also the north line of Parcel No. 5, Block No. 3 of the East Central Urban Renewal Project, a distance of 200 feet to a point in the west line East Second Street, said point being the northeast comer of Block No. 82; thence continuing easterly and along the aforementioned course, a distance of 50 feet to a point in the center line of East Second Street, which is also the northeast comer of Parcel No. 5, Block No. 3 of the Urban Renewal Project; thence southerly and at right angles along the center line of East Second Street, which is also the east line of Parcel No. 5, Block No. 3 of the Urban Renewal Project, a distance of 200 feet to a point; thence westerly and at right angles, a distance of 50 feet to a point in the east line of Block No. 82, which is also the west line of East Second Street; thence continuing westerly and along the aforementioned course a distance of 200 feet to a point in the west line of Block No. 82, which is also the east line of East First Street, and which is also the west line of Parcel No. 5, Block No. 3 of the Urban Renewal Project; thence northerly and at right angles to the aforementioned course and along the west line of Block No. 82 which is also the east line of East First Street and which is also the west line of Parcel No. 5, Block No. 3 of the Urban Renewal Project, a distance of 200 feet to the point of beginning.

BEING THE SAME PREMISES conveyed from Dawn-BV II, LLC to SRE Midtown Acquisitions, LLC by deed Dated May 16, 2012 and recorded in the Oswego County Clerk's Office on July 27, 2012 as Instrument #R-2012-007388.

ALSO ALL THAT TRACT OR PARCEL OF LAND, situate in the Second Ward of the City of Oswego, County of Oswego and State of New York, being a portion of East Cayuga Street between East First Street and East Second Street, more particularly described as follows:

Beginning at a point located at the intersection of the south street line of East Cayuga Street and the east street line of East First Street, said point being the northwest comer of lot 49, block 82, and running;

value of the second

- 1. Thence, N 09° 12' 13" W, 26.83', to a point located at the northwest corner of an existing concrete retaining wall;
- 2. Thence, N 67° 46' 16" E, 182.66', along the north face of said retaining wall and loading dock, to a point located at the northeast comer of said concrete retaining wall;
- 3. Thence, N 70° 56' 47"E, 29.50', to a point;
- 4. Thence, S 74° 09 06" E, 40.45' to a point located at the northeast comer of Parcel 5, Block 3 of the East Central Urban Renewal Project;
- 5. Thence, S 67° 52' 17" W, 250.00', along the north line of Parcel 5, Block 3 and the north line of Lot 49, to the point and place of beginning;

Containing 5034.08 Sq. Ft. of land.

BEING THE SAME PREMISES conveyed from The City of Oswego to SRE-Midtown Acquisitions, LLC by Quit Claim Deed dated July 17, 2012 and recorded in the Oswego County Clerk's Office on July 27, 2012 as Instrument #R-2012-007389.

ALSO being more modernly described as follows:

ALL THAT TRACT OR PARCEL OF LAND situate in the City of Oswego, County of Oswego and State of New York, bounded and described as follows:

Commencing at a point in the northeast corner of the Right of Way for East Bridge Street and East First Street thence N 22°07'55 W along said easterly Right of Way for East First Street a distance of 196.00' to a point at its intersection with the division line between lands now or formerly of SRE Midtown Acquisitions, LLC, Tax Account #128.47-2-4 on the north and lands now or formerly of SRE Midtown Garage Acquisitions, LLC, Tax Account #128.47-2-5 on the south. Said point being the TRUE point of beginning, thence;

- 1) N 22°07'55" W along said easterly Right of Way a distance of 200.00' to an angle point; thence
- 2) N 09°12'25" W continuing along said Right of Way a distance of 26.83' to a point in the southerly Right of Way of East Cayuga Street; thence
- 3) N 67°46'04" E along said southerly Right of Way a distance of 182.66' to an angle point; thence
- 4) N 70°56'35" E continuing along said Right of Way a distance of 29.50' to an angle point; thence
- 5) S 74°09'18" E continuing along said Right of Way a distance of 40.44' to a point in the westerly Right of Way of East Second Street; thence
- 6) S 22°07'55" E along said westerly Right of Way a distance of 200.00' to a point at in the aforementioned division line between lands now or formerly of SRE Midtown Acquisitions, LLC, Tax Account #128.47-2-4 on the north and lands now or formerly of SRE Midtown Garage Acquisitions, LLC, Tax Account #128.47-2-5 on the south; thence
- 7) \$ 67°52'05" W along said division line a distance of 250.0' to the POINT AND PLACE OF BEGINNING.

HOME LEASING, LLC (Written Consent of Authorized Managers in Lieu of Meeting)

WHEREAS, East Lake Commons LLC (the "Company") has entered into a Brownfield Cleanup Agreement (the "BCA") (Site No. 738045) with the New York State Department of Environmental Conservation relating to the environmental remediation at certain real property owned by the Company in the City of Oswego, New York; and

WHEREAS, East Lake Commons MM LLC (the "Managing Member") is the managing member of the Company; and

WHEREAS, Home Leasing, LLC (the "Sole Member") is the sole member of the Managing Member; and

WHEREAS, pursuant to the second amended and restated operating agreement of the Sole Member, management of the Sole Member is vested in the undersigned; and

WHEREAS, the Sole Member now desires to authorize the Company to execute and deliver any and all documents required by the BCA and to perform its obligations thereunder.

NOW, THEREFORE, on this Let day of July, 2021, the undersigned, being the Authorized Managers of the Sole Member hereby consent and agree as follows:

RESOLVED, that Company is hereby authorized to executed, deliver and perform all of its obligations under the BCA; and it is

FURTHER RESOLVED, that Megan Houppert is authorized, in the name and on behalf of the Company, the Managing Member and the Sole Member, as applicable, to negotiate, execute, deliver and approve the BCA and all such further instruments, agreements, certificates and other documents, and to take all other actions on behalf of the Company, the Managing Member and the Company, as applicable, as are necessary or appropriate to cause the Company to perform its obligations under the BCA; and it is

FURTHER RESOLVED, that this Consent may be executed in counterparts, each of which shall be an original and all of which, taken together, shall constitute one and the same instrument; and it is

FURTHER RESOLVED, that all action taken and all instruments executed by authorized persons on behalf of the Company, the Managing Member and/or the Company, as applicable, prior to the adoption of these resolutions with respect to the BCA and all matters related thereto, are hereby ratified, confirmed and approved.

[REMAINDER OF THIS PAGE LEFT INTENTIONALLY BLANK]

IN WITNESS WHEREOF, the undersigned have executed this instrument as of the day and year first above written.

HOME LEASING, LLC,

By:

Name: Nelson Leenhouts

Title: Chairman and Authorized Manager

By:

Name: Catherine Sperrick

Title: Vice Chairman and Authorized Manager

By:

Name: Bret Garwood

Title: CEO and Authorized Manager

EAST LAKE COMMONS LLC AND EAST LAKE COMMONS MM LLC (Written Consent in Lieu of Meeting)

WHEREAS, East Lake Commons LLC (the "Company") has entered into a Brownfield Cleanup Agreement (the "BCA") (Site No. 738045) with the New York State Department of Environmental Conservation relating to the environmental remediation at certain real property owned by the Company in the City of Oswego, New York; and

WHEREAS, East Lake Commons MM LLC (the "Managing Member") is the managing member of the Company; and

WHEREAS, Home Leasing, LLC (the "Sole Member") is the sole member of the Managing Member; and

WHEREAS, pursuant to the amended and restated operating agreement of the Company, management of the Company is vested in the Managing Member; and

WHEREAS, pursuant to the operating agreement of the Managing Member, management of the Managing Member is vested in the Sole Member; and

WHEREAS, the Managing Member and the Sole Member, respectively, now desire to authorize the Company to execute and deliver any and all documents required by the BCA and to perform its obligations thereunder.

NOW, THEREFORE, on this 28th day of July, 2021, the undersigned, being the Sole Member of the Managing Member of the Company, hereby consents and agrees as follows:

RESOLVED, that Company is hereby authorized to executed, deliver and perform all of its obligations under the BCA; and it is

FURTHER RESOLVED, that Megan Houppert is authorized, in the name and on behalf of the Company, the Managing Member and the Sole Member, as applicable, to negotiate, execute, deliver and approve the BCA and all such further instruments, agreements, certificates and other documents, and to take all other actions on behalf of the Company, the Managing Member and the Company, as applicable, as are necessary or appropriate to cause the Company to perform its obligations under the BCA; and it is

FURTHER RESOLVED, that all action taken and all instruments executed by authorized persons on behalf of the Company, the Managing Member and/or the Company, as applicable, prior to the adoption of these resolutions with respect to the BCA and all matters related thereto, are hereby ratified, confirmed and approved.

[REMAINDER OF THIS PAGE LEFT INTENTIONALLY BLANK]

IN WITNESS WHEREOF, the undersigned have executed this instrument as of the day and year first above written.

EAST LAKE COMMONS LLC

By: East Lake Commons MM LLC,

Its Managing Member

By: Home Leasing, LLC,

Its sole member

By:

Name: Catherine Sperrick

Title: Vice Chairman and Authorized Manager

EAST LAKE COMMONS MM LLC

By: Home Leasing, LLC,

Its sole member

By:

Name: Catherine Sperrick

Title: Vice Chairman and Authorized Manager

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION



60-Day Advance Notification of Site Change of Use, Transfer of Certificate of Completion, and/or Ownership

Required by 6NYCRR Part 375-1.11(d) and 375-1.9(f)

To be submitted at least 60 days prior to change of use to:

Chief, Site Control Section New York State Department of Environmental Conservation Division of Environmental Remediation, 625 Broadway Albany NY 12233-7020

I.	Site Name:	Midtown Plaza	DEC Site ID No.	C738045	
II.		formation of Person Submitting No Ms. Megan Houppert	tification:		
		East Lake Commons LLC, 700 Clinton S	quare		
	Address2:	Rochester, New York 14604			
	Phone:	585-262-6210 E-mail:	meganho@homeleasing.net		
III.	 Type of Change and Date: Indicate the Type of Change(s) (check all that apply): ✓ Change in Ownership or Change in Remedial Party(ies) ☐ Transfer of Certificate of Completion (CoC) ✓ Other (e.g., any physical alteration or other change of use) Proposed Date of Change (mm/dd/yyyy): ✓ Description: Describe proposed change(s) indicated above and attach maps, drawings, and/or 				
	LLC (the constant)	ormation. Ily received COC Site Info form indicated for the control of the SRE entities from the ownership recontrol of the SRE entities from the ownership recontrol of the SRE entities from the ownership recontrol of the control of the control of the same control	quisitions, LLC (former property perty owner). This COU is asso	owner); and, ciated with the	
	not affect needed).	'the description must explain <u>and</u> adv the site's proposed, ongoing, or comp to the ownership change, this COU also a	leted remedial program (attached acknowledges that the property	ch additional sheets if	
		nent for mixed use (commercial and reside early as November 2021, pending Certific		begin moving into the	

Certification Statement: Where the change of use results in a change in ownership or in responsibility for the proposed, ongoing, or completed remedial program for the site, the following certification must be completed (by owner or designated representative; see §375-1.11(d)(3)(i)):			
I hereby certify that the prospective purchaser and/or remedial party has been provided a copy of any order, agreement, Site Management Plan, or State Assistance Contract regarding the Site's remedial program as well as a copy of all approved remedial work plans and reports.			
Name:	(Signature) 08/06/2021 (Date)		
	Megan Houppert (Print Name)		
Address1:	700 Clinton Square		
Address2:	Rochester, NY 14604		
Phone:	585-262-6210 E-mail: meganho@homeleasing.net		
Manageme (IC/ECs), i	n. If the site is subject to an Environmental Easement, Deed Restriction, or Site ent Plan requiring periodic certification of institutional controls/engineering controls indicate who will be the certifying party (attach additional sheets if needed).		
Name:	ctive Owner Prospective Remedial Party Prospective Owner Representative		
Address1:			
Address1: Address2:			
Address1: Address2: Phone:	E-mail:		
Address1: Address2: Phone: Certifying	E-mail: Party Name:		
Address1: Address2: Phone: Certifying Address1:	E-mail: Party Name:		
Address1: Address2: Phone: Certifying Address1: Address2:	E-mail: Party Name:		

VII. Agreement to Notify DEC after Transfer: If Section VI applies, and all or part of the site will be sold, a letter to notify the DEC of the completion of the transfer must be provided. If the current owner is also the holder of the CoC for the site, the CoC should be transferred to the new owner using DEC's form found at http://www.dec.ny.gov/chemical/54736.html. This form has its own filing requirements (see 6NYCRR Part 375-1.9(f)).

Signing below indicates that these notices will be provided to the DEC within the specified time frames. If the sale of the site also includes the transfer of a CoC, the DEC agrees to accept the notice given in VII.3 below in satisfaction of the notice required by VII.1 below (which normally must be submitted within 15 days of the sale of the site).

Within 30 days of the sale of the site, I agree to submit to the DEC:

- 1. the name and contact information for the new owner(s) (see §375-1.11(d)(3)(ii));
- 2. the name and contact information for any owner representative; and
- 3. a notice of transfer using the DEC's form found at http://www.dec.ny.gov/chemical/54736.html (see §375-1.9(f)).

Name:				
	(Signature)		 (Date)	<u></u>
	(Print Name)			
Address1:				
Address2:				
Phone:		E-mail:		

Continuation Sheet Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Name: Address1: Address2: E-mail: Phone: Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Name: Address1: Address2: E-mail: Phone: Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Name: Address1: Address2: E-mail: Phone: Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Name: Address1: Address2: E-mail: Phone: Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Name: Address1: _____ E-mail: _____ Phone: Prospective Owner/Holder Prospective Remedial Party Prospective Owner Representative Address1: E-mail: Phone:

New York State Department of Environmental Conservation



Instructions for Completing the 60-Day Advance Notification of Site Change of Use, Transfer of Certificate of Completion (CoC), and/or Ownership Form

Submit to: Chief, Site Control Section, New York State Department of Environmental Conservation, Division of Environmental Remediation, 625 Broadway, Albany NY 12233-7020

Section I	Description
------------------	-------------

Site Name Official DEC site name.

(see http://www.dec.ny.gov/cfmx/extapps/derexternal/index.cfm?pageid=3)

DEC Site ID No. DEC site identification number.

Section II Contact Information of Person Submitting Notification

Name Name of person submitting notification of site change of use, transfer of certificate of

completion and/or ownership form.

Address 1 Street address or P.O. box number of the person submitting notification.

Address2 City, state and zip code of the person submitting notification.

Phone Phone number of the person submitting notification.

E-mail address of the person submitting notification.

Section III Type of Change and Date

Check Boxes Check the appropriate box(s) for the type(s) of change about which you are notifying the

Department. Check all that apply.

Proposed Date of

Change

Date on which the change in ownership or remedial party, transfer of CoC,

or other change is expected to occur.

Section IV Description

Description For each change checked in Section III, describe the proposed change.

Provide all applicable maps, drawings, and/or parcel information.

If "Other" is checked in Section III, explain how the change may affect the site's

proposed, ongoing, or completed remedial program at the site.

Please attach additional sheets, if needed.

1 03/2014

Section V Certification Statement

This section must be filled out if the change of use results in a change of ownership or responsibility for the proposed, ongoing, or completed remedial program for the site. When completed, it provides DEC with a certification that the prospective purchaser has been provided a copy of any order, agreement, or State assistance contract as well as a copy of all approved remedial work plans and reports.

Name The owner of the site property or their designated representative must sign and date the

certification statement. Print owner or designated representative's name on the line provided

below the signature.

Address 1 Owner or designated representative's street address or P.O. Box number.

Address2 Owner or designated representative's city, state and zip code.

Phone Owner or designated representative's phone number.

E-Mail Owner or designated representative's E-mail.

Section VI Contact Information for New Owner, Remedial Party, and CoC Holder (if a CoC was issued)

Fill out this section only if the site is to be sold or there will be a new remedial party. Check the appropriate box to indicate whether the information being provided is for a Prospective Owner, CoC Holder (if site was ever issued a COC), Prospective Remedial Party, or Prospective Owner Representative. Identify the prospective owner or party and include contact information. A Continuation Sheet is provided at the end of this form for additional owner/party information.

Name Name of Prospective Owner, Prospective Remedial Party or Prospective Owner Representative.

Address 1 Street address or P.O. Box number for the Prospective Owner, Prospective Remedial Party, or

Prospective Owner Representative.

Address2 City, state and zip code for the Prospective Owner, Prospective Remedial Party, or Prospective

Owner Representative.

Phone Phone number for the Prospective Owner, Prospective Remedial Party or Prospective Owner

Representative.

E-Mail E-mail address of the Prospective Owner, Prospective Remedial Party or Prospective Owner

Representative.

2 03/2014

If the site is subject to an Environmental Easement, Deed Restriction, or Site Management Plan requiring periodic certification of institutional controls/engineering controls (IC/EC), indicate who will be the certifying party(ies). Attach additional sheets, if needed.

Certifying Party

Name of Certifying Party.

Address 1 Certifying Party's street address or P.O. Box number.

Address2 Certifying Party's city, state and zip code.

Phone Certifying Party's Phone number.

E-Mail Certifying Party's E-mail address.

Section VII Agreement to Notify DEC After Property Transfer/Sale

This section must be filled out for all property transfers of all or part of the site. If the site also has a CoC, then the CoC shall be transferred using DEC's form found at http://www.dec.nv.gov/chemical/54736.html

Filling out and signing this section of the form indicates you will comply with the post transfer notifications within the required timeframes specified on the form. If a CoC has been issued for the site, the DEC will allow 30 days for the post transfer notification so that the "Notice of CoC Transfer Form" and proof of it's filing can be included. Normally the required post transfer notification must be submitted within 15 day (per 375-1.11(d)(3)(ii)) when no CoC is involved.

Name Current property owner must sign and date the form on the designated lines. Print owner's name

on the line provided.

Address1 Current owner's street address.

Address2 Current owner's city, state and zip code.

3 03/2014