

# BROWNFIELD CLEANUP PROGRAM (BCP) APPLICATION TO AMEND BROWNFIELD CLEANUP AGREEMENT AND AMENDMENT

#### PART I. BROWNFIELD CLEANUP AGREEMENT AMENDMENT APPLICATION

Check the appropriate box below based on the nature of the amendment modification requested: ✓ Amendment to [check one or more boxes below] Add Substitute Remove Change in Name applicant(s) to the existing Brownfield Cleanup Agreement [Complete Section I-IV below and Part II] Does this proposed amendment involve a transfer of title to all or part of the brownfield site? ☐ Yes ☑ No If yes, pursuant to 6 NYCRR Part 375-1.11(d), a Change of Use form should have been previously submitted. If not, please submit this form with this Amendment. See http://www.dec.ny.gov/chemical/76250.html Amendment to modify description of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Sections I and V below and Part II] Amendment to Expand or Reduce property boundaries of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Section I and V below and Part II] Sites in Bronx, Kings, New York, Queens, or Richmond counties ONLY: Amendment to request determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit. Please answer questions on the supplement at the end of the form. Other (explain in detail below) Please provide a brief narrative on the nature of the amendment: Mersen USA Rochester-NY, Corp. changed its name to Mersen USA SPM Corp. RECEIVED JAN 2 1 2020 Bur. Of Tech. Support

<sup>\*</sup>Please refer to the attached instructions for guidance on filling out this application\*

Section I. Existing Agreement In	nformation			
BCP SITE NAME: Eldre Corpor	ation	BCP SITE NUMBER: C828182		
NAME OF CURRENT APPLICAN	T(S): Mersen USA	Rochester-NY, Corp.		
INDEX NUMBER OF EXISTING A	AGREEMENT: C82818	2-05-12 DATE OF EXISTING AGREEMENT: amended June 20		
Section II. New Requestor Inform	mation (if no chang	e to Current Applicant, skip to Section V)		
NAME Mersen USA SPM Cor	p.			
ADDRESS 1500 Jefferson Road				
CITY/TOWN Henrietta. NY		ZIP CODE 14263		
PHONE 585-784-2500		E-MAIL jeff.hewitt@mersen.com		
<ul> <li>Is the requestor authorized to conduct business in New York State (NYS)?</li> <li>If the requestor is a Corporation, LLC, LLP or other entity requiring authorization from the NYS Department of State to conduct business in NYS, the requestor's name must appear, exactly as given above, in the NYS Department of State's (DOS) Corporation &amp; Business Entity Database. A print-out of entity information from the DOS database must be submitted to DEC with the application, to document that the applicant is authorized to do business in NYS. See Attachment A.</li> </ul>				
NAME OF NEW REQUESTOR'S	REPRESENTATIVE	Change in corporate name only, no changes in representative, consultant, or attorney		
ADDRESS 1500 Jefferson Ro	oad			
CITY/TOWN Henrietta. NY		ZIP CODE 14263		
PHONE 585-784-2500	FAX 585-272-0018	E-MAIL Jeff.hewitt@mersen.com		
NAME OF NEW REQUESTOR'S CONSULTANT (if applicable) No Change				
ADDRESS				
CITY/TOWN		ZIP CODE		
PHONE	FAX	E-MAIL		
NAME OF NEW REQUESTOR'S ATTORNEY (if applicable) No Change				
ADDRESS				
CITY/TOWN ZIP CODE				
PHONE	FAX .	E-MAIL .		
Requestor must submit proof that the party signing this Application and Amendment has the authority to bind the Requestor. This would be documentation from corporate organizational papers, which are updated, showing the authority to bind the corporation, or a Corporate Resolution showing the same, or an Operating Agreement or Resolution for an LLC. Is this proof attached?				
Describe Requestor's Relationship to Existing Applicant:				
Change in corporate name only  RECEIVED				
		IAN 2 1 2020		

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Section III. Current Property Owner/Operator Information (only include if new owner/operator or new existing owner/operator information is provided, and highlight new information)				
OWNER'S NAME (if different from requestor) Same as above.				
ADDRESS		AND	**************************************	
CITY/TOWN			ZIP CODE	
PHONE	FAX	E-MAIL		
OPERATOR'S NAME	(if different from requestor of	r owner)		
ADDRESS				
CITY/TOWN			ZIP CODE	
PHONE	FAX	E-MAIL		
Section IV. Eligibility	Information for New Requ	estor (Please refer to ECL § 2	7-1407 for more detail)	
If answering "yes" to a	any of the following questions	, please provide an explanation	as an attachment.	
1. Are any enforcem	ent actions pending against th	ne requestor regarding this site	? ☐Yes ☑No	
	resently subject to an existing ination at the site? See Attachr	order for the investigation, rem	noval or remediation ☐Yes 🗸 No	
3. Is the requestor subject to an outstanding claim by the Spill Fund for this site?  ☐Yes ✓No Any questions regarding whether a party is subject to a spill claim should be discussed with the Spill Fund Administrator.				
any provision of the Article 27 Title 14;	e subject law; ii) any order or	istrative, civil or criminal proceed determination; iii) any regulation allation of the state or federal go	on implementing ECL	
	s name, address, Departmen	to the BCP? If so, include info t assigned site number, the rea		
		ng to have committed a neglige osing or transporting of contam		
disposing or transp	orting of contaminants; or ii) public administration (as that	offense i) involving the handling that involves a violent felony, fr term is used in Article 195 of th	aud, bribery, perjury, theft,	
jurisdiction of the D	epartment, or submitted a fal	s or concealed material facts in se statement or made use of or submitted to the Department?		
· ·	9. Is the requestor an individual or entity of the type set forth in ECL 27-1407.9(f) that committed an act or failed to act, and such act or failure to act could be the basis for denial of a BCP application?			
-	r's participation in any remedi re to substantially comply with	al program under DEC's oversign an agreement or order?	☐ Yes ☑ No ght terminated by DEC or ☐ Yes ☑ No	
11. Are there any unre	egistered bulk storage tanks o	n-site which require registration	n? ☐Yes ☑No	

THE NEW REQUESTOR MUST CERTIFY THAT IT IS EITHER A PARTICIPANT OR VOLUNTEER IN ACCORDANCE WITH ECL §27-1405 (1) BY CHECKING ONE OF THE BOXES BELOW:				
PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.	VOLUNTEER  A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the disposal of hazardous waste or discharge of petroleum.			
	NOTE: By checking this box, a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: i) stop any continuing discharge; ii) prevent any threatened future release; iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste.			
	If a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site, submit a statement describing why you should be considered a volunteer – be specific as to the appropriate care taken.			
Requestor's Relationship to Property (check one):				
☐ Prior Owner ☑ Current Owner ☐ Potential /Fut	ure Purchaser_Other			
If requestor is not the current site owner, <b>proof of site must be submitted</b> . Proof must show that the reques  BCA and throughout the BCP project, including the abi  attached? Yes No Not Applicable  Note: a purchase contract does not suffice as proof	tor will have access to the property before signing the lity to place an easement on the site Is this proof			
Scation V. Branada description and description of	shangan/additions/raduations (if applicable)			
Section V. Property description and description of ADDRESS 1500 Jefferson Road	changes/additions/reductions (if applicable)			
CITY/TOWN Henrietta. NY	ZIP CODE 14263			
TAX BLOCK AND LOT (TBL) (in existing agreement )				
Parcel Address	Parcel No. Section No. Block No. Lot No. Acreage			
See Attachment C.				

Check appropriate boxes below:					
NA Changes to metes and bounds description or TB	Changes to metes and bounds description or TBL correction  Addition of property (may require additional citizen participation depending on the nature of the expansion – see attached instructions)				
				the	
Approximate acreage added: NA	Approximate acreage added: NA				
ADDITIONAL PARCELS:	ADDITIONAL PARCELS:				
Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage
·					
NA Reduction of property					
Approximate acreage removed: NA					
PARCELS REMOVED:					
Parcel Address	Parcel No.	Section No.	Block No.	Lot No.	Acreage
			_		
If requesting to modify a metes and bounds description or requesting changes to the boundaries of a site, please attach a revised metes and bounds description, survey, or acceptable site map to this application.					

· \* ·

Supplement to the Application To Amend Brownfield Cleanup Agreement And Amendment - Questions for Sites Seeking Tangible Property Credits in New York City ONLY.

Property is in Bronx, Kings, New York, Queens, or Richmond counties.	NA Yes No				
Requestor seeks a determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit.					
Please answer questions below and provide documentation necessary to su	pport answers.				
<ol> <li>Is at least 50% of the site area located within an environmental zone pursus Please see <u>DEC's website</u> for more information.</li> </ol>	ant to Tax Law 21(6)?  NA Yes No				
2. Is the property upside down as defined below?	NA Yes No				
From ECL 27-1405(31):					
"Upside down" shall mean a property where the projected and incurred cost of the investigation and remediation which is protective for the anticipated use of the property equals or exceeds seventy-five percent of its independent appraised value, as of the date of submission of the application for participation in the brownfield cleanup program, developed under the hypothetical condition that the property is not contaminated.					
3. Is the project an affordable housing project as defined below?	NA Yes No				
From 6 NYCRR 375- 3.2(a) as of August 12, 2016:					
(a) "Affordable housing project" means, for purposes of this part, title fourteen of article twenty seven of the environmental conservation law and section twenty-one of the tax law only, a project that is developed for residential use or mixed residential use that must include affordable residential rental units and/or affordable home ownership units.					
(1) Affordable residential rental projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, which defines (i) a percentage of the residential rental units in the affordable housing project to be dedicated to (ii) tenants at a defined maximum percentage of the area median income based on the occupants' households annual gross income.					
(2) Affordable home ownership projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, which sets affordable units aside for home owners at a defined maximum percentage of the area median income.					
(3) "Area median income" means, for purposes of this subdivision, the are for the primary metropolitan statistical area, or for the county if located outsi statistical area, as determined by the United States department of housing a development, or its successor, for a family of four, as adjusted for family size	de a metropolitan and urban				

#### PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

Existing Agreement Information			
BCP SITE NAME: Eldre Corporation	BCP SITE NUMBER: C828182		
NAME OF CURRENT APPLICANT(S): Mersen USA F	Rochester-NY, Corp.		
INDEX NUMBER OF EXISTING AGREEMENT: C828182-05-12			
EFFECTIVE DATE OF EXISTING AGREEMENT: July 1	0, 2012 amended June 2016		

#### **Declaration of Amendment:**

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

Statement of Certification and Signatures: New Requestor(s) (if applicable)				
(Individual)				
I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.				
Date:Signature:				
Print Name:				
(Entity)				
I hereby affirm that I am (title Vice President and Secretary) of (entity Mersen USA SPM Corp.); that I am authorized by that entity to make this application; that this application was prepared by me or under my supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.  Jeffrey Hewitt's signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.				
Date: 1/14/2020 Signature:  Print Name: Jeffrey Hewitt				
Print Name: Jeffrey Hewitt				

Statement of Certification and Signatures: Existing Applicant(s) (an authorized representative of each applicant must sign)			
(Individual)			
I hereby affirm that I am a party to the Brownfield Cleanup Agreement and/or Application referenced in Section I above and that I am aware of this Application for an Amendment to that Agreement and/or Application. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.			
Date:Signature:			
Print Name:			
(Entity)			
I hereby affirm that I am Vice President and Secretary (title) of Mersen USA SPM Corp. (entity) which is a party to the Brownfield Cleanup Agreement and/or Application referenced in Section I above and that I am aware of this Application for an Amendment to that Agreement and/or Application. Jeffrey Hewitt's signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.  Date: 1/14/2020 Signature:  Print Name: Jeffrey Hewitt			
REMAINDER OF THIS AMENDMENT WILL BE COMPLETED SOLELY BY THE DEPARTMENT  Status of Agreement:			
A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.			
Effective Date of the Original Agreement: フルッ/パス			
Signature by the Department:			
ATED: 5/26/20			

NEW YORK STATE DEPARTMENT OF **ENVIRONMENTAL CONSERVATION** 

Michael J. Ryan, P.E., Director Division of Environmental Remediation

#### **SUBMITTAL INFORMATION:**

• Two (2) copies, one hard copy with original signatures and one electronic copy in Portable Document Format (PDF) must be sent to:

Chief, Site Control Section New York State Department of Environmental Conservation Division of Environmental Remediation 625 Broadway Albany, NY 12233-7020

FOR DEPARTMENT USE ONLY		
BCP SITE T&A CODE:	LEAD OFFICE:	
PROJECT MANAGER:		_

# BROWNFIELD CLEANUP PROGRAM (BCP) INSTRUCTIONS FOR COMPLETING A BCP AMENDMENT APPLICATION

This form must be used to add a party, modify a property description, or reduce/expand property boundaries for an existing BCP Agreement and/or Application. NOTE: DEC requires a standard application to request major changes to the description of the property set forth in the BCA (e.g., adding a significant amount of new property, or adding property that could affect an eligibility determination due to contamination levels or intended land use). The application must be submitted to DEC in the same manner as the original application to participate.

#### **SECTION II**

#### **NEW REQUESTOR INFORMATION**

#### Requestor Name

Provide the name of the person(s)/entity requesting participation in the BCP. (If more than one, attach additional sheets with requested information. If an LLC, the members/owners names need to be provided on a separate attachment). The requestor is the person or entity seeking DEC review and approval of the remedial program.

If the requestor is a Corporation, LLC, LLP or other entity requiring authorization from the NYS Department of State to conduct business in NYS, the requestor's name must appear, exactly as given above, in the NYS Department of State's Corporation & Business Entity Database. A print-out of entity information from the database must be submitted to DEC with the application, to document that the applicant is authorized to do business in NYS.

#### Requestor Address, etc.

Provide the requestor's mailing address, telephone number; fax number and e-mail address.

#### Representative Name, Address, etc.

Provide information for the requestor's authorized representative. This is the person to whom all correspondence, notices, etc will be sent, and who will be listed as the contact person in the BCA. Invoices will be sent to the representative unless another contact name and address is provided with the application.

#### Consultant Name, Address, etc.

Provide information for the requestor's consultant.

#### Attorney Name, Address, etc.

Provide information for the requestor's attorney.

SECTION III CURRENT PROPERTY OWNER/OPERATOR INFORMATION - only include if new owner/operator or new existing owner/operator information is provided, and highlight new information in form.

#### Owner Name, Address, etc.

Provide information for the new owner of the property. List <u>all</u> new parties holding an interest in the property.

#### Operator Name, Address, etc.

Provide information for the new operator (if different from the new requestor or owner).

#### SECTION IV NEW REQUESTOR ELIGIBILITY INFORMATION

As a <u>separate attachment</u>, provide complete and detailed information in response to any eligibility questions answered in the affirmative. It is permissible to reference specific sections of existing property reports; however, it is requested that such information be summarized. For properties with multiple addresses or tax parcels, please include this information for each address or tax parcel.

## SECTION V PROPERTY DESCRIPTION AND DESCRIPTION OF CHANGES / ADDITIONS / REDUCTIONS (IF APPLICABLE)

NOTE: DEC requires a standard application to request major changes to the description of the property set forth in the BCA (e.g., adding a significant amount of new property, or adding property that could affect an eligibility determination due to contamination levels or intended land use). The application must be submitted to DEC in the same manner as the original application to participate.

#### **Property Address**

Provide a street address, city/town, and zip code. For properties with multiple addresses, provide information for all.

#### Tax Parcel Information

Provide the tax parcel/section/block/lot information. If requesting to modify a metes and bounds description or requesting changes to the boundaries of a site, please attach a revised metes and bounds description, survey, and/or acceptable site map to this application. Tax map information may be obtained from the tax assessor's office for all tax parcels that are included in the property boundaries. Attach a county tax map with identifier numbers, along with any figures needed to show the location and boundaries of the property. Include a USGS 7.5 minute quad map on which the property appears.

**Attachment A** 

### **NYS Department of State**

### **Division of Corporations**

#### **Entity Information**

The information contained in this database is current through November 14, 2019.

Selected Entity Name: MERSEN USA SPM CORP.

Selected Entity Status Information

Current Entity Name: MERSEN USA SPM CORP.

DOS ID #:

60896

**Initial DOS Filing Date:** OCTOBER 13, 1935

**County:** 

**MONROE** 

Jurisdiction:

**NEW YORK** 

**Entity Type:** 

DOMESTIC BUSINESS CORPORATION

**Current Entity Status: ACTIVE** 

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O MERSEN USA HOLDING CORP.

400 MYRTLE AVENUE

BOONTON, NEW JERSEY, 07005

**Chief Executive Officer** 

**DEAN COUSINS** 

400 MYRTLE AVENUE

BOONTON, NEW JERSEY, 07005

**Principal Executive Office** 

C/O MERSEN USA HOLDING CORP.

400 MYRTLE AVENUE

BOONTON, NEW JERSEY, 07005

**Registered Agent** 

**NONE** 

directors of nonprofessional corporations except the chief executive officer, if provided, which would be listed above. Professional corporations must include the name(s) and address(es) of the initial officers, directors, and shareholders in the initial certificate of incorporation, however this information is not recorded and only available by viewing the certificate.

#### \*Stock Information

#### # of Shares Type of Stock \$ Value per Share

750000 Par Value .1

\*Stock information is applicable to domestic business corporations.

#### **Name History**

Filing Date	Name Type	<b>Entity Name</b>
JUL 01, 2019	Actual	MERSEN USA SPM CORP.
JAN 22, 2016	Actual	MERSEN USA ROCHESTER-NY, CORP.
DEC 09, 1985	Actual	ELDRE CORPORATION
OCT 17, 1961	Actual	ELDRE COMPONENTS, INC.
OCT 13, 1935	Actual	ALLIED SOLDERING & BRAZING CORP.

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

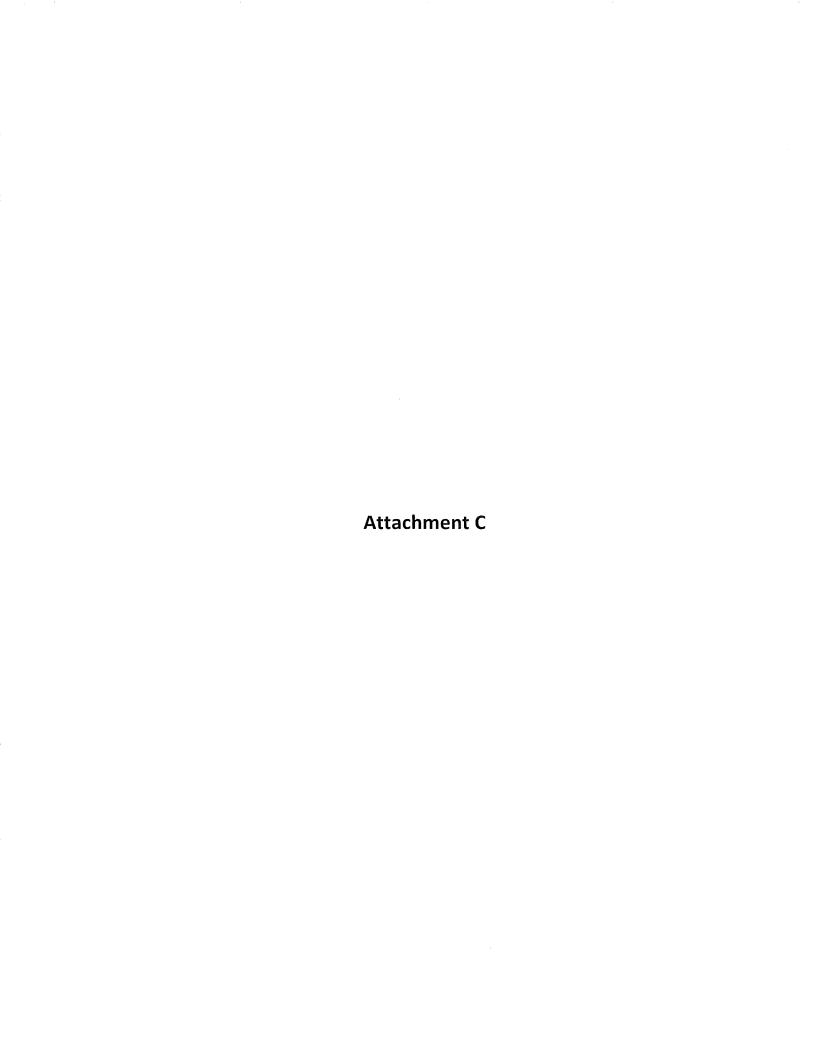
NOTE: New York State does not issue organizational identification numbers.

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#### Section IV of Mersen's Brownfield Cleanup Agreement Amendment Application

In response to Question 2, Mersen indicated that it is not subject to an existing order for the investigation of contamination at the site. In April 2018, Mersen received a request from NYSDEC to investigate "emerging contaminants" at the site. Pursuant to an agreement with the shareholders of the former Eldre Corporation, the shareholders are responsible for pre-existing contamination and, accordingly, the shareholders complied with NYSDEC's request.



#### Application to Amend Brownfield Cleanup Agreement C828182

#### Section V:

#### Parcel Address/Parcel No./Acreage

150 Jefferson Road, Henrietta

Tax Map/Parcel No.: 162.08-1-27.11

150 Jefferson Road, Henrietta

Tax Map/Parcel No.: 162.08-1-27.12

150 Jefferson Road, Henrietta

Tax Map/Parcel No.: 162.08-1-27.21

55 Hofstra Road, Henrietta

Tax Map/Parcel No.: 162.08-1-24