

# NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Remediation, Region 8  
6274 East Avon-Lima Road, Avon, NY 14414-9516  
P: (585) 226-5353 | F: (585) 226-8139  
www.dec.ny.gov

January 9, 2019

Ms. Sally Lu  
WBS Capital Inc  
136-20 38<sup>th</sup> Ave, Suite 9J  
Flushing, NY 11354

**Re: Hawkeye Trade Center and Residences (C828203)  
1405 St. Paul Street, Rochester, Monroe County  
Revised Remedial Investigation Work Plan, November 2018**

Dear Ms. Lu;

The New York State Departments of Environmental Conservation (NYSDEC) and Health (NYSDOH; collectively referred to as the Departments) have completed their review of the document entitled "*Remedial Investigation Work Plan*" (the Work Plan) dated November 2018 and prepared by BE3 Corp Panamerican. Based on this review, the Departments have determined that the Work Plan, with the following modifications, substantially addresses the requirements of the Brownfield Cleanup Program:

1. A conceptual site model, as defined in DER-10 Section 3.2.2 will be provided in the RI report in accordance with DER-10.
2. Section 5.2: To clarify, surface soil samples will be collected from 0-2".
3. Section 5.2: In accordance with DER-10 Section 3.11(b)(1)(ii), a minimum of four soil samples per acre will be collected to assess the fill material and to establish the vertical limit of the fill material at the site. Please ensure adequate coverage of historical fill material at the site.
4. Section 5.3: The second round of groundwater sampling will be determined in consultation with the NYSDEC.
5. Section 5.5 is not covered under this approval since it is not part of the Brownfield Cleanup Program. However, should sampling/investigation indicate detections of materials that warrant concern or are indicative of past operations (such as disposal), further investigation may be needed.
6. Figure 2: Please submit an updated schedule in the monthly progress report.
7. Figure 7: The Departments will be consulted if the sample locations indicated on Figure 7 change considerably.
8. The final Citizen Participation Plan (CPP) is attached. Please include this and revised Figure 5 (also attached) to the Final RIWP.

With the understanding that the modified Work Plan is agreed to, the Remedial Investigation Work Plan is hereby approved. By **January 24, 2019** and before field work begins, please attach a copy of this letter to the RIWP and provide copies of the RIWP as follows:

- Danielle Miles (NYSDEC – Avon, 1 bound hard copy);
- Harolyn Hood (NYSDOH – Albany, electronic file/CD); and,
- The document repository at the Lincoln Branch Library located at 851 Joseph Ave. Rochester, NY 14621 (1 bound hard copy).

We look forward to working with you. Please notify the NYSDEC at least 7 days prior to any field work. If you have any questions or concerns on this matter, please contact me at (585) 226-5349 or [danielle.miles@dec.ny.gov](mailto:danielle.miles@dec.ny.gov).

Sincerely,



Danielle Miles, EIT  
Assistant Engineer

cc w/ attach: Jason Brydges, BE3 Corp Panamerican  
Alex Brennen, BE3 Corp Panamerican  
Francis Gorman, Harris Beach  
Frank Sowers, NYSDEC  
Bernette Schilling, NYSDEC  
Dusty Tinsley, NYSDEC  
Harolyn Hood, NYSDOH  
Justin Deming, NYSDOH

# REMEDIAL INVESTIGATION WORK PLAN

HAWKEYE TRADE CENTER & RESIDENCES  
PARCEL 3  
1405 ST. PAUL STREET  
ROCHESTER, NEW YORK 14650  
NYSDEC SITE # C828203


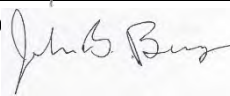
Prepared for:

WBS Capital, Inc.  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, New York 11354

Prepared by:



1270 Niagara Street  
Buffalo, New York 14213

<b>Prepared By:</b> Jason M. Brydges, PE	<b>Signature:</b> 	<b>Date:</b> 11/05/18	<b>Title:</b> BE3 - PE
<b>Reviewed By:</b> John Berry, PE	<b>Signature:</b> 	<b>Date:</b> 11/5/18	<b>Title:</b> BE3 - PE

November 2018

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# 1.0 INTRODUCTION

This document presents details of work activities designed to support a Remedial Investigation (RI) at the future Hawkeye Trade Center & Residences (Site) located at 1405 St. Paul Street in Rochester, New York (See **Figure 1**). WBS Capital, Inc. has entered the Brownfield Cleanup Program (BCP) to conduct an RI and remediate the site for redevelopment into a mixture of commercial/office space, flex space, and manufacturing. A BCP project schedule is provided in **Figure 2**.

Environmental assessments and investigations that have been completed on the Site concluded that there is impacted groundwater, impacted structures, and potentially impacted soil due to the former use of the Site as an industrial/manufacturing facility for over 110 years.

The purpose of the RI is to address the following activities and requirements:

- Obtain environmental data from the site under site specific quality assurance and quality control (QA/QC) for sampling, analyses, and data evaluation.
- Provide plans and approaches for health and safety and air monitoring for field activities.
- Summarize previous environmental assessments and investigations.
- Describe and illustrate the physical conditions of the site including wetlands, surface waterbodies, ecological receptors, and significant utility corridors.
- Tabulate and illustrate proposed sampling plan to include location, matrix, depth, analytes, methodologies, rationale, and QA/QC.
- Provide a schedule of activities and details of the proposed investigation team.
- Describe the areas of concern including impacted soils, fill material, groundwater, indoor air, surface waterbodies, and building conditions.
- Determine the necessity of a fish and wildlife impact analysis and, if required, gather data to evaluate impacts.
- Complete a qualitative exposure assessment for human health and fish/wildlife resources.
- Ensure (1) field work is sufficiently comprehensive to evaluate natural attenuation of groundwater, as applicable, and (2) all waste derived from the field work is managed per DER-10 Section 3.3(e).

## 1.1 SITE HISTORY AND DESCRIPTION

The Site has been associated with industrial/manufacturing since the early 1900s. The Site had multiple uses associated with transportation including the New York State Railway machine and repair shop in 1911 and Rochester Transit Corporation rail car maintenance in the late 1930's. A former gasoline filling station was also located at the Site in the early to mid-1900s. Eastman Kodak Company purchased the Site in 1942 when they began manufacturing optical lenses and equipment using thoriated glass. Building 5 was used for office space, equipment assembly, non-hazardous and hazardous waste storage laboratory, and cafeteria. A building labeled "kerosene" was utilized in the northwest corner of the Site since at least the 1950s. Potential sources of contamination from all past uses include a former drywell of unknown use located southwest of the Site, solvents below Building 5, past releases from kerosene, and petroleum migration from the former gas station at Lot #11.

The site is currently vacant and is zoned for M-1 industrial use. The Site is surrounded by additional industrial uses, and residential zones begin a few blocks to the south, east and

northeast of the Site. A school exists to the immediate north of the property and Seneca park zoned O-S for open space exists to the west along the Genesee River. The property is in the City of Rochester but north of downtown approximately half of a mile south of State Route 104. The Site is located at the intersection of St. Paul Street and Avenue E within the Group 14261 Neighborhood Revitalization Plan BOA. The Site is also in an En-Zone. A large manufacturing/office building comprises most of the parcel aside from a driveway located north of St. Paul Street that wraps around the building. The Site is surrounded by other former Kodak buildings (i.e., Parcels 1A and 1B) along its west and north borders. See **Figure 3** for details of major infrastructure, utilities, surface waterbodies, and buildings that currently exist at the Site.

There are no major changes of topography on the Site, which is generally flat with a gentle slope westward towards the river. Site soil has been generally classified as fine sand and fine to coarse gravel with some silt and clay at deeper depths of soil borings. Silt and clay have been noted at shallower depths towards western edge of the property and the Genesee River gorge. Groundwater flow direction has been determined to flow west towards the Genesee River with a depth to groundwater of approximately 8 to 10 feet bgs. Much of the site is covered by impervious features such as building and paved parking lots. Depths and characteristics of the fill and native subsurface material at the Site have yet to be determined. The River is the only surface waterbody or wetland area of significance near the Site, and the Site is not within a floodplain. No known drinking water wells exist in the vicinity. See **Figure 4** for more details on wetlands and surface waterbodies.

## 1.2 CONTEMPLATED USE OF THE SITE

The proposed project is a multiuse commercial development that will include modifying the former Kodak Building 5 into a mixture of commercial, office, storage, and flex space to promote economic growth in the area. The concept is to incentivize a variety of different businesses into the region by creating a business park atmosphere into this section of the City of Rochester. The total square footage per use category and estimated value are unknown currently.

## 1.3 PROJECT ORGANIZATION

The following personnel constitute the primary members of the project team:

Project Manager – Jason M. Brydges, P.E.  
Engineers – John Berry, P.E. and Jason M. Brydges, P.E.  
Field Technicians – Alex Brennen, EIT, John Boyd, and Cory Lauber  
Health and Safety Officer – Peter J. Gorton, CHCM  
QA/QC – John Berry, P.E.  
Project Geologist – John Boyd  
Attorney – FL Gorman, Harris Beach  
Asbestos/lead/universal waste subcontractor – AMD Environmental  
Drilling/Excavation subcontractors – to be determined  
Analytical Laboratory – to be determined

## **2.0 GOALS AND OBJECTIVES**

## 2.1 RI OBJECTIVES

In general, an RI has the following objectives as described in NYCRR Part 375-1.8(e):

- Delineation of the extent of the contamination at and emanating from all media at the Site and the nature of that contamination;
- Characterization of the surface and subsurface characteristics of the Site, including topography, surface drainage, stratigraphy, depth to groundwater, and any aquifers that have been impacted or have the potential to be impacted;
- Identification of the sources of contamination, the migration pathways, and actual or potential receptors of contaminants;
- Evaluation of actual and potential threats to public health and the environment; and,
- Production of data of sufficient quality and quantity to support the necessity for, and the proposed extent of, remediation and to support the evaluation of proposed alternatives.

## 2.2 SPECIFIC GOALS

Based on the data collected to date and history of the Site, RI activities have been developed that will allow for determining depth of fill material, depth of native soil, depth to bedrock, and depth to groundwater. Limited Site knowledge to date indicates that depth to groundwater is approximately 8' to 10' bgs, but it is unknown if groundwater resides in the native soils, urban fill, or potentially bedrock. Vapor impacts to existing structures has already been established; however, the exact source in the subsurface has not been identified. Specific goals for the RI are as follows:

- Perform enough soil borings or test pits across the Site in a quasi-grid pattern primarily focusing on areas not investigated during the Phase II. Borings and pits will better delineate contamination and confirm depth of fill, native soil, groundwater, and bedrock, if sufficiently shallow.
- Collect and analyze representative surface/subsurface soil samples to supplement samples collected in the Phase II and better characterize fill soils and native soils to quantify and assess contamination;
- Install and sample groundwater wells to assess known contamination and its sources (i.e., on or off-Site), direction of groundwater flow, and potential impacts to the Genesee River;
- Investigate soils beneath Building 5 as a potential source of the known TCE contamination in groundwater;
- Conduct soil vapor investigations beneath building 5 with an approximate footprint of 80,000 SF;
- Conduct building environmental condition assessments within same large building beneath which the soil vapor investigation will occur;
- Perform a hydraulic assessment of the groundwater in the subsurface using the installed wells; and
- Fill any data gaps resulting from previous assessments.

To the extent possible, RI field work will also include the identification of any significant structures, sensitive areas, or appurtenances that could have an impact on contaminant migration or remediation such as the previously known filling station at southeast corner of the site, the river, the area between Parcel 3 and 1A with a PCB detection, and subsurface utilities



and drains leading from Building 5.

### 2.3 CONTAMINANTS AND AREAS OF CONCERN

Based on the previous environmental investigations, the primary contaminants of concern at the Site are metals, SVOCs, and VOCs. TCE was detected in groundwater above pertinent cleanup objectives west of Building 5. TCE and dichloroethylene were also discovered in a hydraulically down gradient well near the western property line. Metals and SVOCs were also detected during a 2005 investigation above NYSDEC groundwater standards in the northeast corner of the parcel. Soil vapor analyses indicated TCE was present in sub slab and indoor air samples in the northern and southern sections of the Site at concentrations requiring mitigation. The former gasoline filling station on site is believed to pose a petroleum impact, however sampling of the suspected contaminants was not completed in previous investigations. See **Figure 5** for details on exceedances and area of concern.

Drains and sumps within the building are also potential areas of concern regarding contamination sources based upon past use of Parcel 3. These areas could be the source of some of the TCE contamination observed in site groundwater and sub-slab soil vapor. Site buildings have also been assessed for thorium contamination, and an unrestricted use determination from the NYSDOH has been granted. Asbestos containing material (ACM), lead-based paint, PCBs, mercury, etc. has not yet been surveyed within the building; however, through various site visits, the Site is anticipated to contain various quantities of these materials. These contaminants will not be the subject of the RI but will be addressed during development activities and selective demolition.

## **3.0 PAST ENVIRONMENTAL CONDITIONS/INVESTIGATIONS**

### 3.1 DECEMBER 2003 – PHASE I ESA

There were significant findings of environmental conditions at the Site, which has been used for industrial or commercial operations since the 1880's. Most notably, the Site was used for manufacturing of optical and photographic products, maintenance of railcars, a gasoline filling station, and tool and dye operations. The following are Recognized Environmental Conditions ("RECs") summarized from the Phase I ESA:

- Potential thorium residue associated with drain pipes and sewers in and adjacent to Buildings 5, including a former shed in the courtyard on the northern side of the building. Thorium assessment and remediation were performed in the 1990s and the predecessor to the NYSDOH released the Site for unrestricted use; however, building material potentially containing thorium residues should be surveyed prior to off-site disposal. This is a not an RI activity, but should be addressed during selective demolition activities (e.g., asbestos abatement).
- The area containing the closed thorium glass settling pits located west of Building 5. However, investigations and the license termination activities have been performed and allow for unrestricted use of the facility with respect to thorium contamination. Like the above, this is a not an RI activity, but should be addressed during selective demolition activities (e.g., asbestos abatement).

- Piping to the drywell located northwest of Building 5 is abandoned/plugged; however, past use of the drywell is unknown and therefore so are the environmental conditions surrounding this area.
- The area formerly occupied by the Rochester Transit Corporation for use as an equipment repair shop and filling station in the southeast corner of the Site is collocated with Building 5.

The following RECs are not directly associated with Parcel 3 but could have related impacts to the Building 5 area based upon proximity:

- The former use of parking lot #5 as a gasoline station.
- The paint company property directly east of Parcel 3 possessed an active UST spill file with the NYSDEC in 2003.

See **Figure 6** illustrating the proximity of various characteristics of the surrounding areas of the Site including sensitive receptors, sites logged in environmental databases, and floodplain areas. The only data point of significance is the Cumberland Farm site that is an active remediation site under NYSDEC guidance. This site is a former hazardous waste small quantity generator and could potentially impact Parcel 3.

### 3.2 JUNE 2005 – PHASE II ESA

The Phase II ESA was conducted to address the RECs established in the Phase I from 2003. Accordingly, soil and groundwater were investigated throughout the Site in areas known to contain previous manufacturing operations and commercial/industrial activities. See **Tables 1 and 2** for Phase II results from 2005. In summary, the investigation determined the following with respect to the Site and potential contaminants of concern:

- Although southeast of the Parcel 3 boundary, parking lot #11 showed evidence of petroleum impact to soil and groundwater.
- Soil and groundwater samples collected within the footprint of the Site indicated low-level impacts of trichloroethylene and 1,2-dichloroethylene, which may be a degradation product of TCE or been used as an industrial chemical.
- The presence of metals in groundwater within Parcel 3 includes iron, magnesium, manganese and sodium, which are common mineral forming metals and not considered to be significant. However, other metals exceeding background throughout the entire Hawkeye facility that are deemed more significant include lead, cadmium and zinc.
- Thorium isotopes were detected at activities ranging from 0.2 to 0.49 pCi/g in soil, which was not considered significant.
- Thorium isotopes were also detected in the groundwater and range from 0.46 to 3.7 pCi/L, which is also not considered significant.

### 3.3 OCTOBER 2017 – PHASE II ESA

A second ESA was conducted in 2017 that included 31 soil borings, 13 overburden groundwater monitoring wells, 4 test pits, laboratory analysis of soil and groundwater samples, and a limited SVI assessment of Site buildings. This ESA was performed to further evaluate impacts identified in 2005, and results indicate potential impacts related to petroleum, chlorinated solvents, metals, PCBs, soil vapor, and thorium. Although some of these

contaminants did not exceed various cleanup objectives, many were found in both soil and groundwater within or in proximity Parcel 3 boundaries.

Petroleum impacted soil was encountered with elevated PID readings up to 994 ppm in Parking Lot No. 5 (due south of Parcel 3), 987.6 ppm in Parking Lot No. 6, and 1,449 ppm in Parking Lot No. 11 (due east of Parcel 3). Several compounds exceeded Restricted Residential Use SCOs in Parking lot #11, confirming the detections obtained in the 2005 Phase II. Xylene was detected in groundwater at 21.9 ppb in SL6NE, and historical gasoline filling stations are likely the sources of these impacts. Impacts identified in the southern portion of Parking Lot No. 5 appear to be associated with the former Cumberland Farms facility, which is an active NYSDEC Spill #9708387; and based on the petroleum impacts, new spills were recorded with the NYSDEC and assigned the following spill numbers:

- Parking Lot No. 5 – NYSDEC Spill # 1706563
- Parking Lot No. Lot 6 – NYSDEC Spill # 1706564
- Parking Lot No. Lot 11 - NYSDEC Spill # 1706565

Chlorinated VOCs were detected at low-levels in soil and groundwater including TCE and cis-1,2-dichloroethene. TCE was detected up to 15.4 ppb (MW-07) and cis-1,2-dichloroethene was detected up to 5.4 ppb (IB11AW), which is related to the highest concentration of TCE in groundwater in the 2005 Phase II ESA of 28 ppb in IB12SW (near Building 12). No specific source of chlorinated solvents was identified, but the low-level and wide-spread characteristics could be indicative of multiple discrete sources.

Urban fill material consisting of wood, glass and brick was observed in various parking lots throughout the entire Hawkeye facility at depths ranging from 0.5 to 4.0-ft bgs. Several metals were identified in soil and groundwater samples at concentrations exceeding SCOs, and these results are consistent with the 2005 Phase II (e.g., cadmium, lead, sodium magnesium, and iron). The presence of these metals in groundwater may be from naturally occurring conditions. Conversely, the man-made PCB, Aroclor 1242, was detected in soil sample SB-29 located due north of Buildings 5/Parcel 3. Although this result did not exceed SCOs, it was detected within the former kerosene and maintenance/repair shop area.

SVI sampling at the Hawkeye facility identified concentrations of TCE in sub-slab vapor and indoor air in 6 of the 8 buildings that warrant mitigation including Buildings 5, 10, 11, 11A, 12, and 12A. Although SVI testing did not result in mitigation for Buildings 4 and 6, additional monitoring and investigation in these buildings was recommended. Since the footprint of the entire complex includes approximately 120,000-square feet and only 9 locations were selected for SVI investigation, all buildings should be considered as candidates for installation for sub-slab depressurization systems. Lastly, thorium isotopes were detected in soil and groundwater, but at concentrations below applicable regulatory comparison criteria (i.e., concentrations in soil were compared to the General Soil Screening Levels for Radionuclides: Migration to Groundwater: 20DAF obtained from Appendix A of the USEPA's Soil Screening Guidance for Radionuclides, and concentrations in groundwater were compared to the Radionuclide Drinking Water Maximum Contaminant Levels obtained from the USEPA's Soil Screening Guidance for Radionuclides Technical Background Document). See **Tables 3-5** for Phase II results from 2017.

## 4.0 INTERIM REMEDIAL MEASURE (IRM)

A primary objective of remediation is to stabilize sources of contamination identified in media to reduce or eliminate receptor exposure to contaminants or to contain migration of contamination. IRMs are actions taken to mitigate exposures before the completion of the RI and remedial alternative selection. Examples of typical IRMs include the removal of source areas/hotspots/wastes, construction of collection or recovery systems, installation of engineered barriers and controls, and installation of vapor control systems.

If the need for implementing an IRM is determined, then the DEC must be notified and the IRM defined as emergency or non-emergency. Emergency IRMs are addressed as a spill response and are time-critical that are not subject to DER-10 (i.e., other guidance applies, such as CERCLA, spill response guidance manual, etc.). Non-emergency IRMs such as drum removals, construction of fencing, and posting of warning signs can be performed at any time during the BCP, but in response to existing or potential exposures at the Site. These are best utilized when it is cost effective to complete the IRM prior to the remedial investigation and remedy selection process. In these cases, DER-10 should be followed and include corresponding documentation and oversight/approval by DEC.

The most significant advantage to using IRMs is the reduction in schedule of any impending remedial activities since they may be conducted concurrently with sampling to delineate the contamination and to confirm contaminant removal. The entire Hawkeye facility possesses potential exposures from SVI and scheduling issues that require the use of an IRM. A comprehensive inspection, building design plan review, and sub slab vacuum analysis of the Hawkeye Facility has been performed. Based upon the data obtained, a Sub-Slab Depressurization System (SSDS) has been designed to prevent suspect air contaminants from entering the building via soil vapor intrusion. The design for the entire Hawkeye facility was submitted previously in parallel with this RIWP for Parcel 3.

## 5.0 INVESTIGATION SCOPE OF WORK

### 5.1 INTRODUCTION

The investigation will include soil analyses, groundwater analyses, hydraulic assessment, building assessment, and other assessments related to potential gasoline filling station, kerosene, and PCB releases. Additional soil vapor assessment will not be required, as the proposed IRM (i.e., SSDS) as described above will be performed. All field work will be completed in accordance with the Health and Safety Plan (HASP) provided in **Appendix A**. Asbestos, lead based paint, mold, and universal waste will also be surveyed and provided to the NYSDEC upon completion. This survey and selective interior demolition anticipated for Site re-development will occur independently of the following RI work activities:

- Soil investigation (soil borings, test pits, geophysical surveys, sampling and analyses);
- Groundwater investigation (well installation, sampling, and analyses);
- Hazardous materials inspection (ACM, LBP, mold, and universal waste);
- Building inventory assessment (as a supplement to SSDS installation);
- Hydraulic assessment of subsurface groundwater;

It is important to note that the soil investigation will address sampling and analyses beneath and in proximity to Building 5. This includes areas previously flagged areas as potentially containing USTs, releases of PCBs, and the kerosene area.

## 5.2 SURFACE AND SUBSURFACE SOIL

Soil borings will be completed across the Site with a focus on (1) previously identified impacted areas, (2) areas where no investigation has been performed, and (3) interior locations of potential sources of contamination, such as drains and sumps (See **Figure 7**). The precise sampling locations will be based on real-time field observations and will specifically target potential contaminant features while ensuring that areas of concern are examined (e.g., proximity to drains/sumps, historical filling station, etc.).

It is anticipated that approximately 16 soil borings will be completed within Parcel 3. At least 4 borings are proposed within Building 5 to better understand the nature and extent of the TCE exceedances and the potential presence of petroleum contaminants in the southeast corner of the parcel (e.g., drains, sumps, USTs, process piping, etc.). Test pits and geophysical surveys (e.g., ground penetrating radar (GPR) and magnetometry) are not currently proposed due to the limited access to subsurface soil outside Building 5; however, if there is still uncertainty regarding some of the environmental conditions after the soil boring efforts, then performance of test pits or geophysical surveys will be reconsidered.

The primary purpose of the subsurface assessment is to visually inspect and characterize surface and subsurface soil conditions across the entire site. Secondly, the extent of known contamination should be quantified as data allows. The borings will be advanced to a depth of approximately 16 feet below ground surface (bgs) or to refusal using Geoprobe® direct push technology. Continuous soil sampling will be conducted using the Geoprobe® with a two-inch diameter sampler. At each boring (or test pit, if needed) location the following will be recorded:

- Thickness and characteristics of the cover/fill material;
- Depth to bedrock, if encountered;
- Depth to the water table, if encountered;
- Thickness and characteristics of the native soil, if encountered;
- Photoionization detector (PID) screening results; and
- Samples collected at an estimated depth.

A detailed log of these records will be maintained to assist field personnel in selecting most appropriate sample at each location, and to supplement future analytical results. An estimated 25 soil samples from the surface and subsurface will be collected for laboratory analyses. Samples will be selected based upon (1) areas that appear to be impacted whether native or fill, (2) areas of natural soil at interface with fill material, and (3) known fill material that may or may not be impacted but believed to represent Site soils. Proposed soil samples to be collected are summarized in **Appendix B** – Quality Assurance/Quality Control Plan.

The soil samples will be analyzed by a NYSDOH environmental laboratory accreditation program (ELAP) certified laboratory that produces NYSDEC Category B data package deliverables. Data Usability Summary Reports (DUSRs) will be prepared for all samples. All samples will be analyzed for the full Part 375 Brownfields constituent list plus Tentatively Identified Compounds (TICs), which includes the following:

- VOCs (not for surface samples)
- SVOCs
- Metals
- PCBs
- Pesticides

Any subsurface disturbance, boring or test pit, will be performed at a minimum distance of 2.5 feet away from marked utilities to reduce the risk damaging an underground utility line. All boreholes will be filled with indigenous soil or clean sand prior to leaving each location. Field equipment will be operated in accordance with standard practices and in a safe and efficient manner as to minimize any hydraulic system leaks or lubricant and fuel leaks (See **Appendix A** – HASP for details).

Additional field activities performed by the geologist/technician include properly labeling, packaging, delivering samples to the laboratory; supervising field operations; and completing boring logs, which can be performed in the office after recording field notes. The geologist/technician will update the Project Manager at least daily on progress in the field and results of the subsurface investigation. No major changes in the subsurface investigations will occur unless approved by the Project Manager, who will also notify the Client and NYSDEC regarding project developments. A detailed description of the sampling methods is provided in the **Appendix C** – Field Sampling Plan.

### 5.3 GROUNDWATER

Seven groundwater monitoring wells will be installed (see **Figure 7**) using a conventional truck mounted drill rig as accessibility allows. Groundwater was detected in the most recent Phase II ESA at approximately 6 feet bgs within the overburden; however, bedrock appears to be shallow as well at approximately 10 feet bgs. This is not unusual as the Site is in proximity to the Genesee River gorge and the presence of bedrock is evident within 100 yards to the west. Accordingly, it is anticipated that most of the groundwater wells will be installed into bedrock with a minimum quantity of three bedrock wells. The wells will have a 4-inch diameter PVC casing installed into overburden and bedrock materials. The casing will be allowed to set over night with the core approximately 10 feet into the observed groundwater table.

The data obtained from the initial well installation, such as soil type and bedrock/groundwater depth, will be used to guide the installation of the remaining wells with the understanding that at least 3 wells will be installed into bedrock. In addition, the location of interior wells will consider the presence of drains or sumps within the building and information obtained from interior soil borings. Installation of wells will also adhere to the requirements provided in the Field Sampling Plan provided in **Appendix C**. Boring logs and well completion diagrams will be provided in the RI report. All field work will adhere to the HASP provided in **Appendix A**.

A minimum of 1 groundwater sample will be collected from each of the 7 wells. A second round of groundwater sampling will be performed, as needed, to glean more physical and contamination data based upon the results of the first round of sampling (e.g., seasonal influences on water table, modification of analyte list, changes in number of wells, etc.). Well development and sampling will be in accordance with the **Appendix C** Field Sampling Plan. Groundwater samples will be submitted to the same New York State ELAP-certified laboratory and analyzed for the following Part 375 brownfield constituents and emerging contaminants:

- VOCs + TICs
- SVOCs + TICs
- Metals
- PCBs
- Pesticides
- 1,4-dioxane
- PFOA/PFOS

All sample analysis will be in accordance with ASP, Cat B requirements. QA/QC requirements for all sample analysis are provided in **Appendix B** QA/QC Plan that summarizes the number of Groundwater samples to be collected. All detected sample concentrations will be included in a table and compared to NYSDEC Groundwater Standards (TOGS).

#### 5.4 SOIL VAPOR INTRUSION INVESTIGATION

Building 5, which is the multi-story building remaining on site, is the focus of the proposed development and has already undergone a soil vapor intrusion investigation. The Phase II ESA results from the evaluation indicate concentrations of TCE in sub-slab vapor and indoor air that warrants mitigation (see **Figure 5**). As a result, we have provided the IRM in Section 4 to remedy the elevated concentrations of TCE beneath and within the building. Therefore, no additional SVI investigation will be warranted during this RI effort. A building inventory assessment will be performed, however, to help identify any potential volatile contaminant sources originating from within the building that could impact the vapor exceedances. This information may also be recorded in the inspection performed for ACM, lead-based paint, etc.; however, additional and comprehensive inventory will be collected focusing on potential volatile contaminants.

#### 5.5 HAZARDOUS MATERIALS BUILDING INSPECTION

A building inspection will be performed to qualify and quantify, where possible, various hazardous materials such as asbestos containing materials (ACM), lead based paint, PCB containing materials, mold, mercury, and other universal waste. ACM sampling activities will be performed in accordance with guidelines and techniques identified in NY Code Rule 56. Bulk sample analyses will be performed via PLM / TEM according to EPA Method 198.4 with a 5 Day TAT upon receipt of samples. An inspection-specific Health and Safety Plan will be developed to comply with NYS HCR project requirements. Representative lead-based paint inspection services will be conducted for all renovations areas according to Title X chapter 7 of the HUD Guidelines. Lastly, representative PCB caulk sampling in accordance with EPA guidelines and regulations in representative locations throughout the building will also be conducted.

#### 5.6 GROUNDWATER HYDRAULIC ASSESSMENT

Hydraulic assessment includes the completion of hydraulic conductivity tests and the measurement of water levels in monitoring wells. Hydraulic conductivity testing will be performed on the newly installed monitoring wells using a variable head method. Variable head tests will be completed using a stainless steel or PVC slug to displace water within the well or by removing water from the well with a bailer or pump. The recovery of the initial water level is then measured with respect to time. Data obtained using this test procedures will be evaluated using procedures presented in “The Bouwer and Rice Slug Test - An Update”, Bouwer, H.,

Groundwater Journal, Vol. 27, No. 3, May-June 1989, or similar method.

## 5.7 SUPPLEMENTAL FIELD INVESTIGATIONS

As previously described in Sections 2 and 3, the Hawkeye facility has a history of producing thoriated glass using the thorium 232 isotope, and the facility was released for unrestricted use upon license termination in 1995. Despite past investigations, surveys, and remedial efforts for addressing thorium wastes, the areas and soils encountered during this investigation will be surveyed and documented. For example, the following standard radiological protocol will be utilized:

- Use of calibrated gamma radiation equipment,
- Source check gamma radiation equipment,
- Obtain daily gamma radiation background measurements,
- Survey surface area for intended well or boring,
- Record downhole measurements of gamma radiation,
- Survey spoils, as produced, from soil borings and well cuttings, and
- Document gamma radiation readings in counts per minute (cpm).

Additionally, with respect to gamma radiation protocol, samples collected for Part 375/BCP RI purposes in an area that exhibits gamma radiation in excess of twice background will be analyzed for alpha and gamma spectroscopy. This will be indicated by the field technician on the chain of custody.

Laboratory data generated from the RI activities described in Section 5 is submitted to NYSDEC as electronic data deliverables (EDD) that comply with the State's Electronic Data Warehouse Standards. The data is evaluated to determine if additional investigation activities are needed beyond what is described herein. Additional assessment may include an additional subsurface boring or test trench and sample analysis limited to contaminants identified during the RI program. Should the site investigations indicate the likelihood of site contaminants leaching outside the Site boundary, additional assessment for potential off-site soil vapor intrusion may also be necessary.

## **6.0 QUALITATIVE EXPOSURE ASSESSMENT**

Qualitative exposure assessments will be completed in accordance with DER-10 sections 3.3(c) 3 & 4. The assessments will include what impacts site contaminants and field activities may have, if any, on human health and fish and wildlife resources considering all media (ground/surface water, soil, soil vapor, ambient air and biota).

The qualitative human health exposure assessment will evaluate the five elements (DER-10 Appendix 3B) associated with exposure pathways and describe how each of these elements pertains to the Site. The exposure pathway elements that will be addressed include:

- A description of the contaminant source(s) including the location of the contaminant release to the environment (any waste disposal area or point of discharge) or if the original source is unknown, the contaminated environmental medium (soil, indoor or outdoor air, biota, water) at the point of exposure;



- An explanation of the contaminant release and transport mechanisms to the exposed population;
- Identification of all potential exposure point(s) where actual or potential human contact with a contaminated medium may occur;
- Description(s) of the route(s) of exposure (i.e., ingestion, inhalation, dermal absorption); and,
- A characterization of the receptor populations who may be exposed to contaminants at a point of exposure.

As called for in DER-10 for volunteers in the BCP, sufficient field information and sampling data will be provided to identify the presence of contamination, if any, that maybe leaving the Site to support qualitative off-site exposure assessments by others.

DER-10 section 3.10.1 and Appendix 3C provide guidance on determining if a fish and wildlife resource impact analysis (FWRIA) is necessary. After or during the RI field activities, the determination will be made regarding the process to perform an FWRIA Part 1, Part 2 or both. Should the decision key indicate a Part 1 impact analyses is required, resource characterization activities performed by a qualified individual per DER-10 section 1.5(a)3.ii will be implemented and include the following:

1. Identify all fish and wildlife resources based upon knowledge of the site and a search of DEC records and/or other sources
2. Describe the resources on the site and within one-quarter mile of the site
3. Identify contaminant migration pathways and any fish and wildlife exposure pathways
4. Identify contaminants of ecological concern
5. Based upon the resources and pathways identified and the toxicity of the contaminants of ecological concern, the FWRIA Part 1 should draw conclusions regarding the actual or potential adverse impacts to fish and wildlife resources

Documentation and results from the FWRIA Part 1 will be submitted to the NYSDEC, who will determine whether the fish and wildlife resources identified constitute an important component of the environment at or near the site; and whether there are actual or potential impacts to the resources. For sites where, further evaluation or definition of ecological impact is necessary, DEC will identify the need for a FWRIA Part 2 ecological impact assessment and request a work plan that includes provisions for gathering the necessary data to define and evaluate the adverse impacts to the resources. It should be noted that DEC's Division of Fish Wildlife and Marine Resources shall be contacted at least 7 calendar days prior to the initiation of any field work or biota sampling related to the FWRIA.

## 7.0 REPORTING

An RI report will be prepared in accordance with the applicable requirements of DER-10 and Part 375. Pertinent field logs and forms generated during the remedial investigation activities are presented in **Appendix D**. A schedule of all BCP activities including the RI field work is provided in **Figure 2**. The RI report will be drafted soon after all work plan activities have been completed. It is anticipated that the RI report will include a corresponding alternatives analysis report (AAR) that (1) evaluates remedial alternatives based upon the data obtained in the RI,

and (2) initiates the 45-day public comment period for the generation of the remedial action work plan (RAWP) and final decision document produced by the NYSDEC.

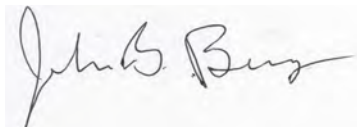
A Citizen Participation Plan (CPP) has been prepared for the Site in accordance with the requirements outlined in NYSDEC's DER- 23 Citizen Participation Handbook for Remedial Programs, issued January 2010, as amended. The CPP provides for issuance of fact sheets and public meetings at various stages in the investigation/remedial process. A fact sheet will be prepared by NYSDEC to announce the availability of the RIWP for review, followed by a 30-day comment period. A public meeting will be held, if requested, during the public comment period. A copy of this RIWP will be made available for public review at Lincoln Branch Library, and an announcement will be issued in the Environmental Notice Bulletin. The major components of the CPP are as follows:

- Names and addresses of the interested public as set forth on the Brownfield site contact list provided with the BCP application;
- Identification of major issues of public concern related to the site and that may be encountered during the remediation project;
- A description of citizens participation activities already performed and to be performed during remediation;
- Identification of document repositories for the project; and,
- A description and schedule of public participation activities that are either required by law or needed to address public concerns related to the Site.

Fact sheets documenting the goals and progress of the project will be prepared at key milestones during the project and distributed to those on the project mailing list. The distribution list is included in the CPP, which is provided in **Appendix E**.

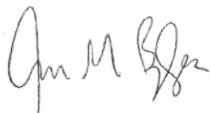
## 8.0 WORK PLAN CERTIFICATION

John B. Berry and Jason M. Brydges certify that we are currently NYS registered professional engineers as defined in 6 NYCRR Part 375 and that this Remedial Investigation Work Plan was prepared in accordance with all applicable statutes and regulations and in substantial conformance with the DER Technical Guidance for Site Investigation and Remediation (DER-10).



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John B Berry, PE



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Jason M. Brydges, PE

# FIGURES



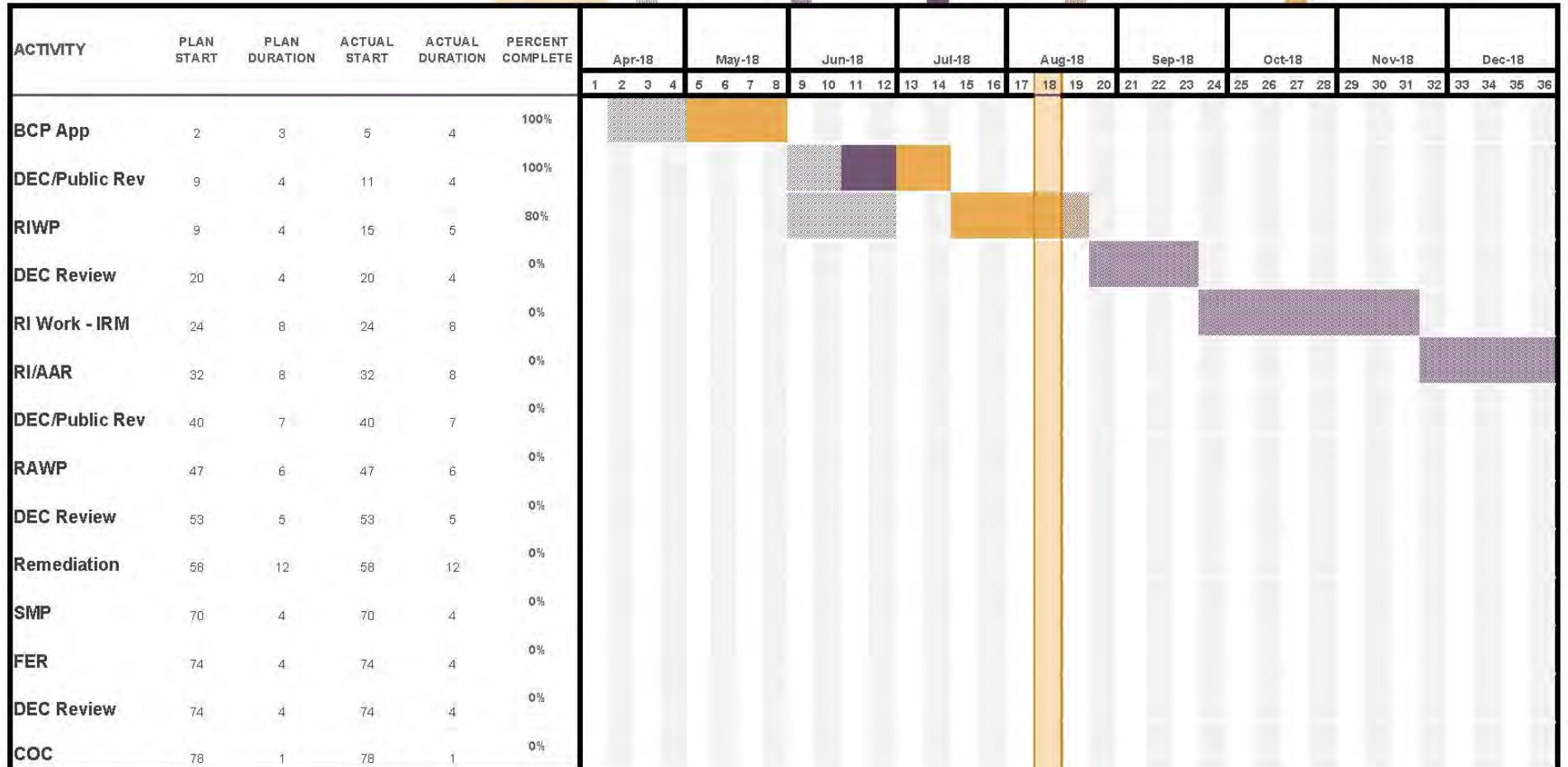
1270 Niagara Street  
 Buffalo, NY 14213  
 716.249.6880 [be3corp.com](http://be3corp.com)


## Figure 1: USGS Topo Map

1405 St. Paul Street  
 Rochester, NY

8/22/2018  
 WBS Capital, Inc.

Week: 18 Plan Duration Actual Start % Complete Actual Duration % Complete beyond plan

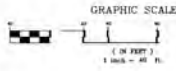


 <p>1270 Niagara Street Buffalo, NY 14213 716.249.6880   be3corp.com</p>	<b>Figure 2: BCP Schedule</b>	
	Hawkeye Parcel 3 BCP Project Rochester, NY WBS Capital, Inc.	
08/16/2018	SC□L□: N/□	SH□□T 1 OF 2



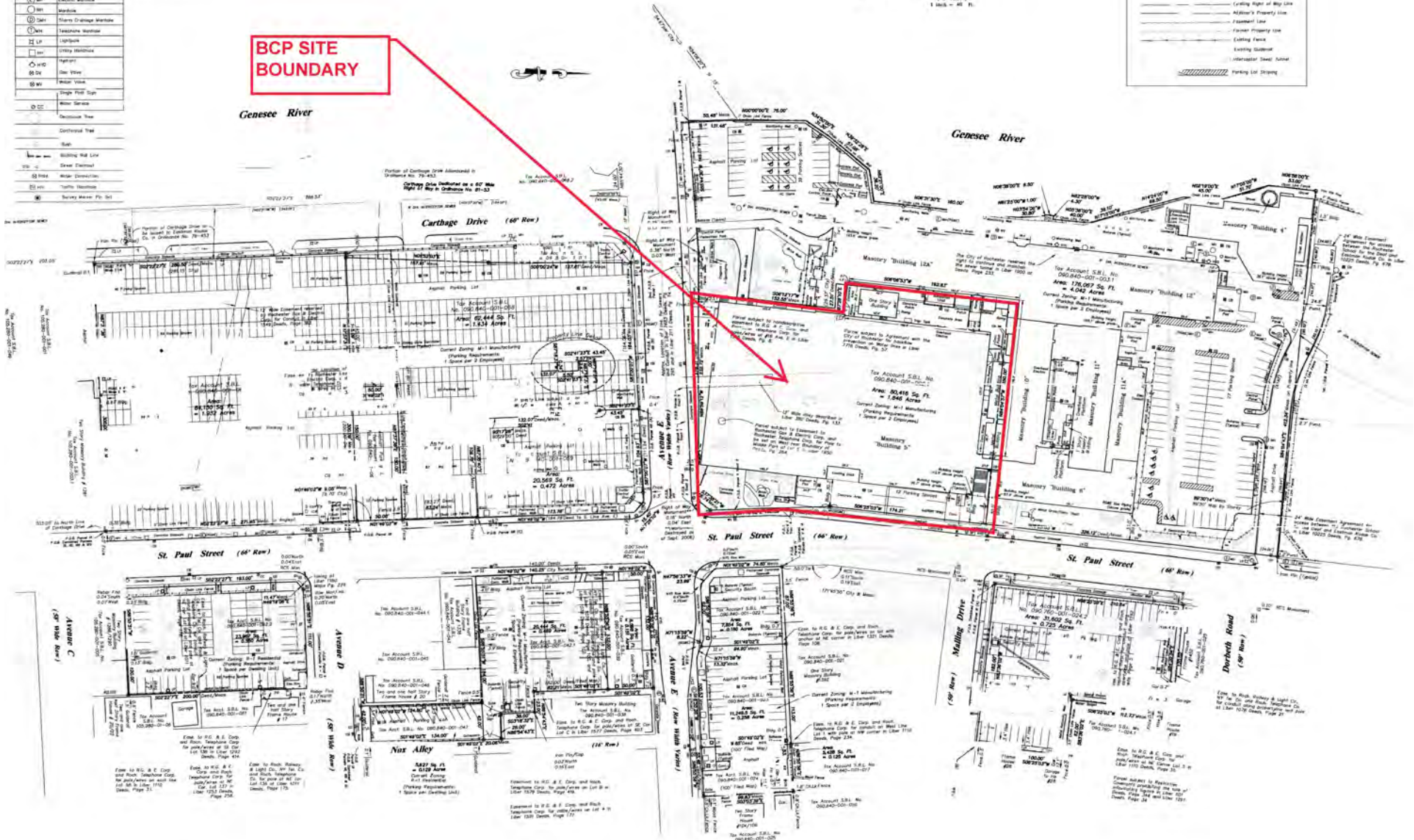
Symbol Legend	
☐	Continuation
▬	Point Mark for Utility
⊕	Utility Flag Marker
⊖	Electric Meter
⊙	Meter
⊚	Storm Drainage Manhole
⊛	Treatment Structure
⊜	Manhole
⊝	City Manhole
⊞	Hydrant
⊠	Gas Valve
⊡	Water Valve
⊣	Single Post Sign
⊤	Water Service
⊥	Decorative Tree
⊦	Tree
⊧	Building Mid Line
⊨	Street Centerline
⊩	Wide Direction
⊪	Traffic Waymark
⊫	Survey Marker Pin Set

Point marks for utilities are shown in the direction of the Point Mark in the Field.



Line Legend	
—	Boundary Line
—	Continuation of Right of Way
—	Center Right of Way Line
—	Adjacent Property Line
—	Frontset Line
—	Front Property Line
—	Center Line
—	Existing Culvert
—	Intermittent Street Light
—	Parking Lot Striping

**BCP SITE BOUNDARY**



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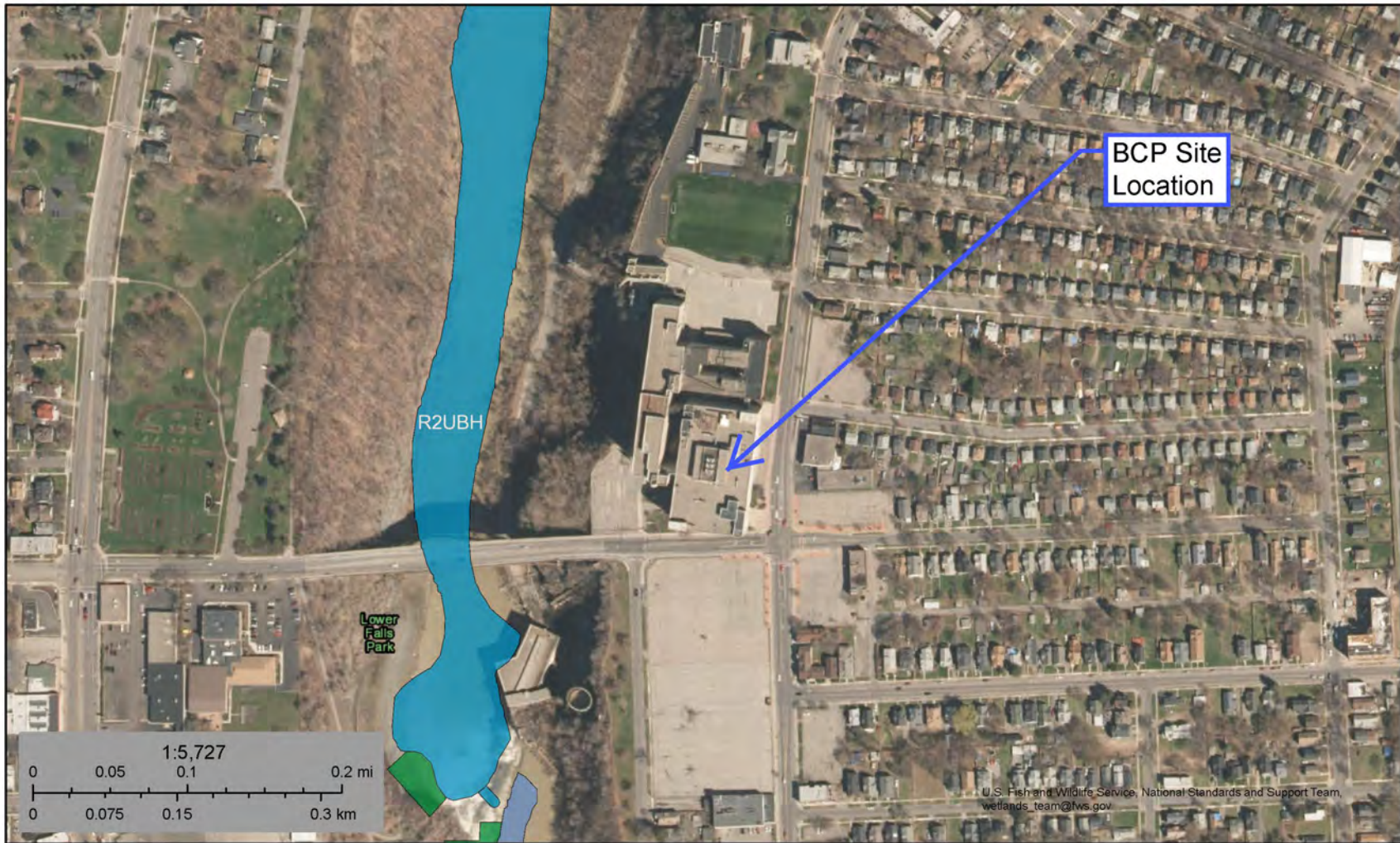
**Figure 3: Site Survey Details**

Hawkeye Parcel 3 BCP Project  
 Rochester, New York  
 WBS Capital, Inc.

08/16/2018

SC00: N/0

SH00T 1 OF 1



- |  |   |  |
|--|---|--|
|  Estuarine and Marine Deepwater |  Freshwater Emergent Wetland       |  Lake     |
|  Estuarine and Marine Wetland   |  Freshwater Forested/Shrub Wetland |  Other    |
|  |  Freshwater Pond                   |  Riverine |

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**Figure 4: Wetland and Surface Waterbodies**

Hawkeye Parcel 3 BCP Project  
 Rochester, New York  
 WBS Capital, Inc.

08/19/2018

SCALE: N/A

SHOT 1 OF 1





**IB11AW**  
 iron - 4930 ppb  
 magnesium - 92,200 ppb  
 manganese - 464 ppb  
 sodium - 648,000 ppb  
 thallium - 4.52 ppb  
 TCE - 28 ppb  
 1,2-dichloroethylene - 12 ppb

Former kerosene area

**SS-08**  
 TCE - 260 subslab  
 TCE - 1.3 indoor

**MW-12**  
 TCE - 13.6 ppb

**SS-07**  
 TCE - 110 subslab  
 TCE - 2.5 indoor

**MW-IB5SW2**  
 iron - 5530 ppb  
 magnesium - 87500 ppb  
 manganese - 1660 ppb  
 sodium - 1170000 ppb  
 TCE - 7.7 ppb

LOT #2

Parcel 3 BCP boundary

Extent of former repair and machine shop

LOT #9

**MW-IB5NE**  
 iron - 7100 ppb  
 magnesium - 203000 ppb  
 manganese - 1340 ppb  
 sodium - 3150000 ppb  
 bis(2-ethylhexyl)phthalate - 7.1 ppb

**MW-IB5SW**  
 iron - 4760 ppb  
 magnesium - 69800 ppb  
 sodium - 367000 ppb  
 1,2 dichloroethene - 23 ppb

Former gasoline filling station

LOT #1

LOT #11

Former gasoline filling station

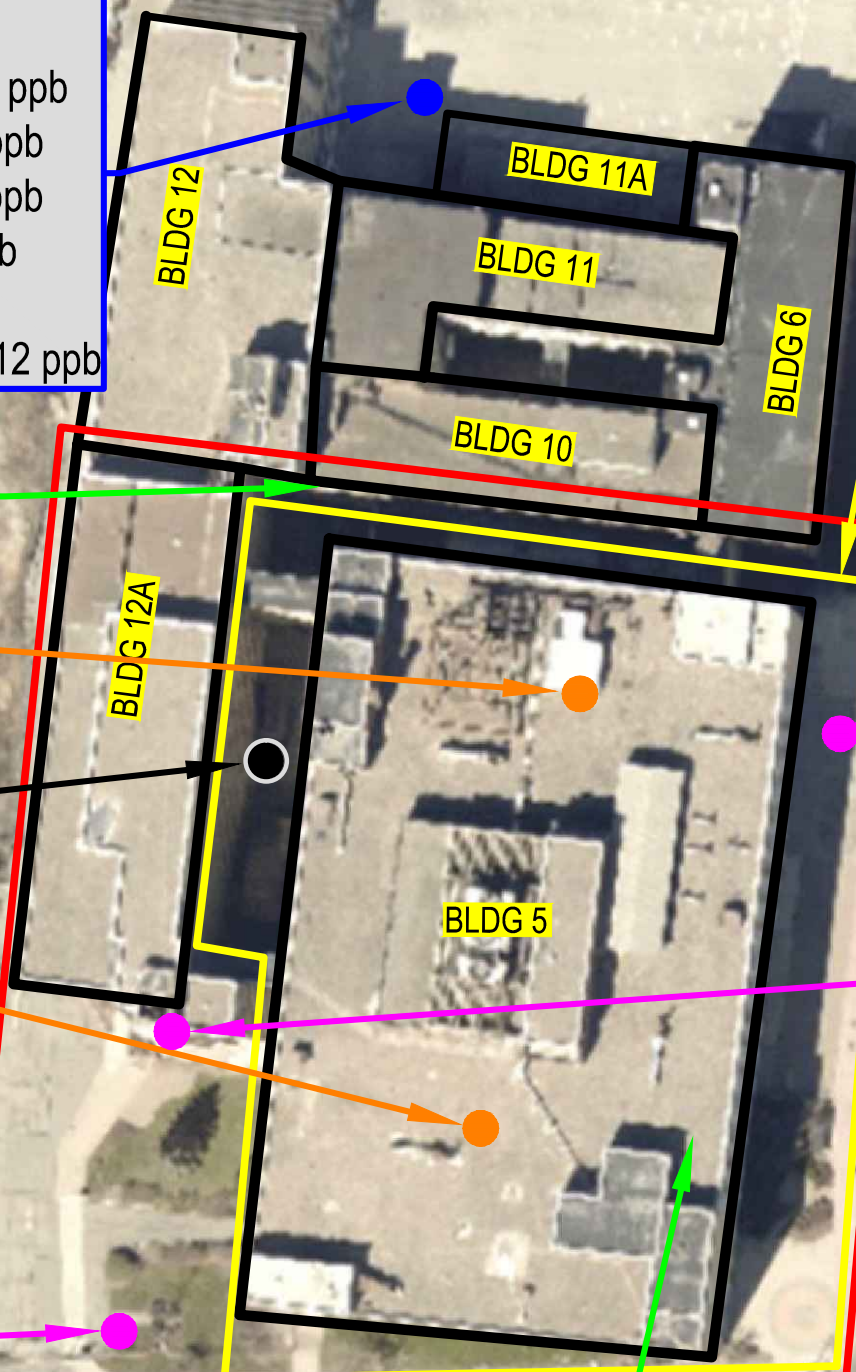
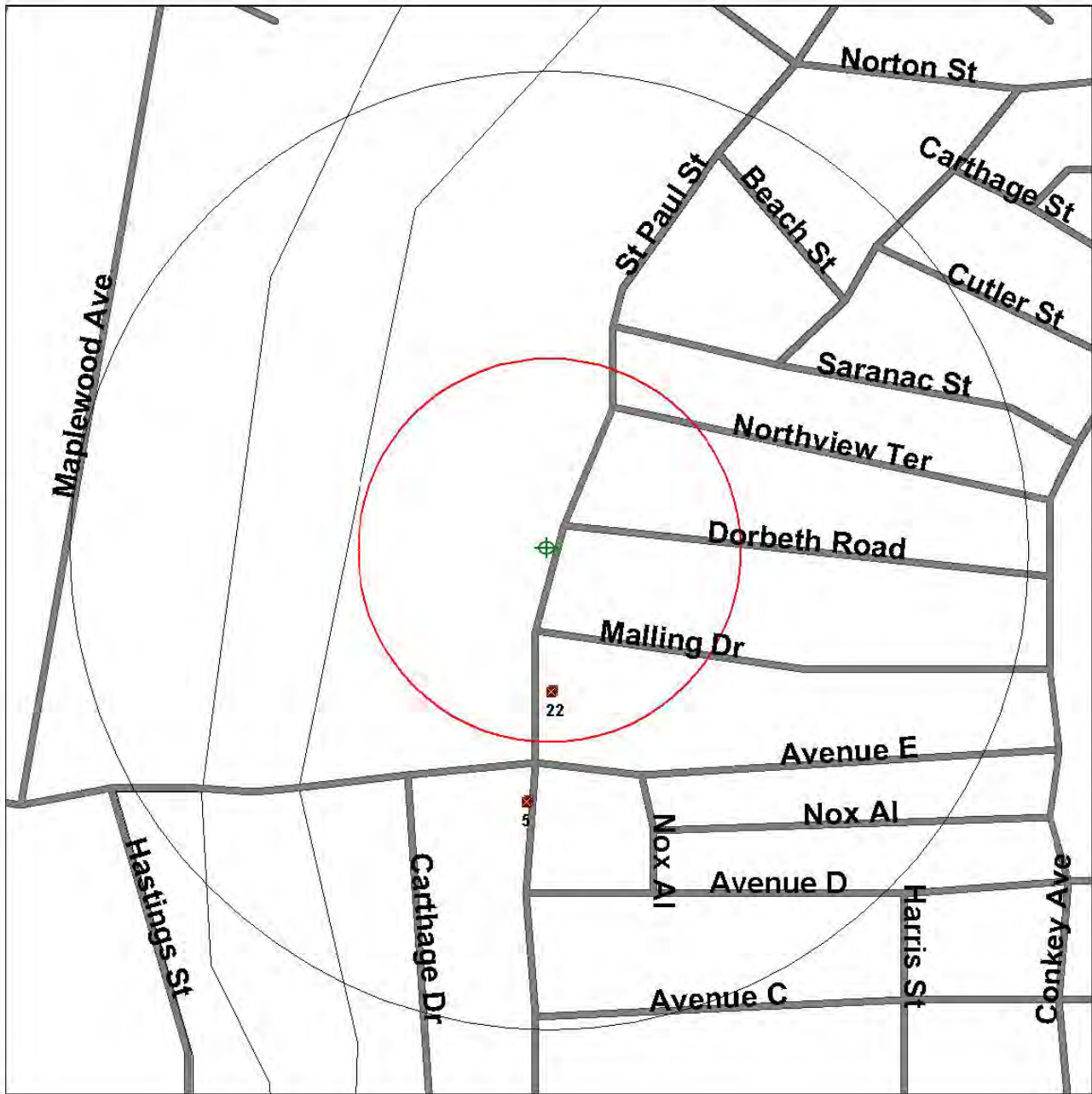


FIGURE 5: PAST INVESTIGATION RESULTS CONTAMINANTS OF CONCERN

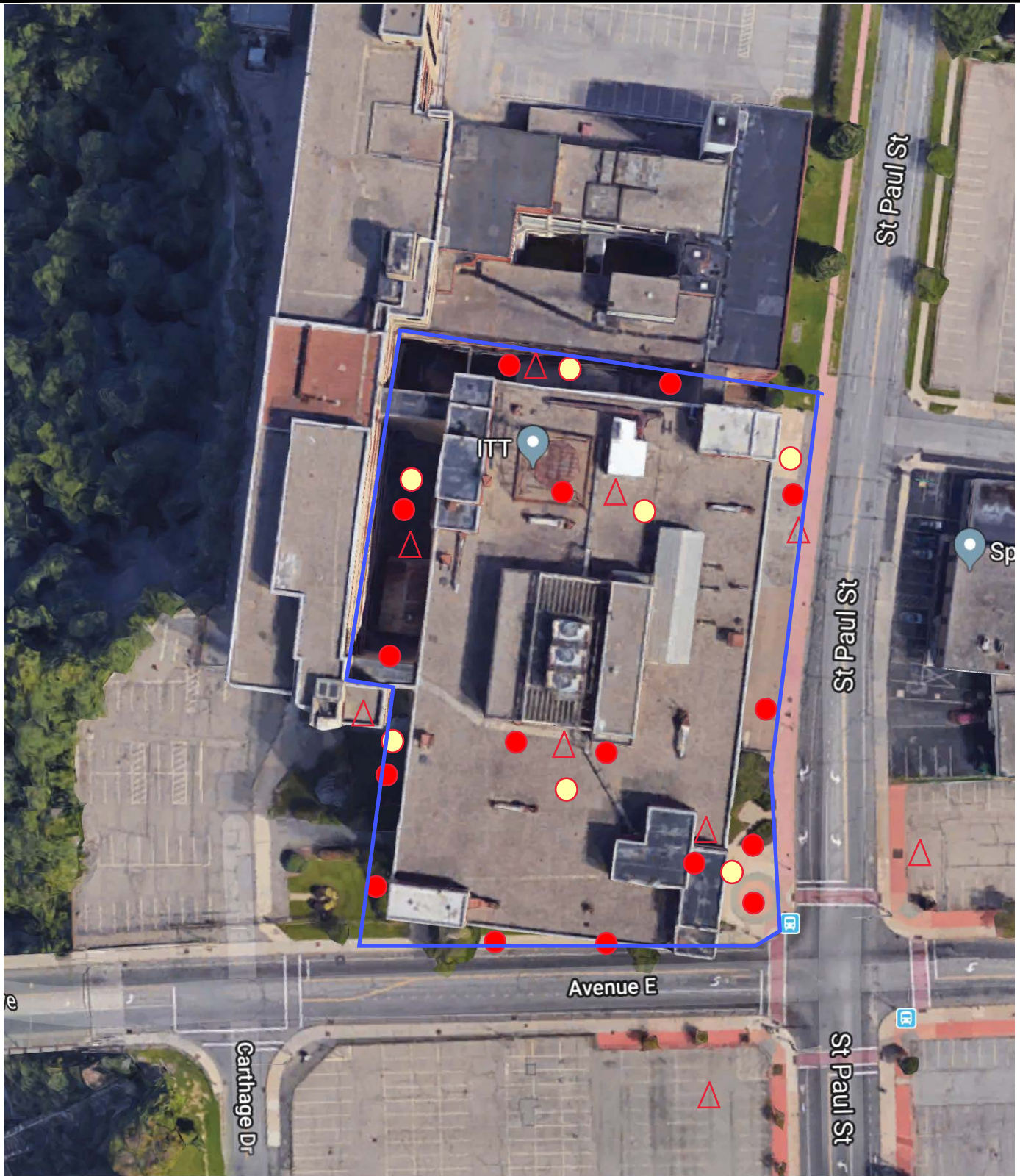
WBS CAPITAL, INC.  
 HAWKEYE PARCEL 3 BCP PROJECT  
 ROCHESTER, NEW YORK



Source: 1999 U.S. Census TIGER Files

- Target Site (Latitude: 43.182788 Longitude: -77.625232) .....
  - Identified Site, Multiple Sites, Receptor .....
  - NPL, Solid Waste Landfill (SWL) or Hazardous Waste .....
  - Railroads .....
- Black Rings Represent 1/4 Mile Radii; Red Ring Represents 500 ft. Radius

<p style="font-size: small; margin: 0;">1270 Niagara Street Buffalo, NY 14213 716.249.6880 <a href="http://be3corp.com">be3corp.com</a></p>	<b>Figure 6: Environmental Records Radius Map</b>	
	<p>Hawkeye Parcel 3 BCP Project Rochester, New York WBS Capital, Inc.</p>	
08/16/2018	SCALE: N/A	SHEET 1 OF 1



- Parcel 3 BCP Boundary
- Proposed Groundwater Wells
- Proposed Boring Locations
- △ Previous Phase II Exceedence, Detect, or Historical Impact



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### Figure 7: Proposed Sampling Locations

Hawkeye Parcel 3 BCP Project  
Rochester, New York  
WBS Capital, Inc.

11/4/2018

SC□L□: N/□

SH□□T 1 OF 1

# TABLES

**TABLE 1 - 2005 Phase II ESA (Page 1)**

DETECTED SOIL ANALYTICAL RESULTS  
VOLATILE ORGANIC COMPOUNDS, SEMI-VOLATILE ORGANIC COMPOUNDS AND INORGANIC COMPOUNDS  
HAWKEYE FACILITY, ROCHESTER, NEW YORK

Sample Location: Sample Date: Sample ID: Sample Depth: Sample Matrix Code: Class Code:	Recommended Soil Cleanup Objectives TAGM 4046 (Jan. 24, 1994)	B01HEB4NE04212004	B01HEB5NE04152004	B01HEB5SW04192004	B01HEB5SW04202004	B01HEB12N04192004	B01HEB12SW04202004	B01HEB12W04212004	B01HEB12ASW04202004		B01HEPL204182004	B02HEPL204182004
		4/21/2004	4/15/2004	4/19/2004	4/20/2004	4/19/2004	4/20/2004	4/21/2004	4/20/2004		4/18/2004	4/18/2004
		L22483-3	L22455-1	L22477-4	L22480-2	L22477-3	L22480-5	L22483-2	L22480-3	L22480-4	L22468-1	L22468-2
		11-12.3 ft	20-25 ft	6-7.5 ft	6-8 ft	6-7.5 ft	8-10 ft	5-7 ft	4-6 ft	8-9.2 ft	4-6 ft	4-6 ft
		SO	SO	SO	SO	SO	SO	SO	SO	SO	SO	SO
		Boring	Boring	Boring	Boring	Boring	Boring	Boring	Boring	Boring	Boring	Boring
<b>Volatiles</b>												
ACETONE	0.2	--	NA	0.013	0.0087 J	0.012	0.01 J	0.0084 J	NA	--	--	0.0098 J
BUTANONE, 2- (MEK)	0.3	--	NA	--	--	--	--	--	NA	--	--	--
ETHYLBENZENE	5.5	--	NA	--	--	0.0021 SJ	--	--	NA	0.0022 IJ	--	--
METHYLENE CHLORIDE	0.1	0.014 DJ	NA	0.011	0.036	0.024	0.017	0.012	NA	0.016	--	--
TETRACHLOROETHYLENE	1.4	--	NA	--	--	0.0023 SJ	--	--	NA	--	--	--
TOLUENE	1.5	0.024 DJ	NA	0.0056	0.024	0.025	0.015	0.0094	NA	0.0043 IJ	0.0041 J	0.0036 J
TRICHLOROETHYLENE	0.7	--	NA	--	--	0.0034 J	--	--	NA	0.093 I	0.063	--
XYLENE (TOTAL)	1.2	--	NA	--	--	0.0018 SJ	--	--	NA	0.0025 IJ	--	--
<b>Semi-Volatiles</b>												
ACENAPHTHENE	50	1 DJ	NA	--	--	--	--	--	NA	--	--	--
ANTHRACENE	50	1.1 DJ	NA	--	--	--	--	--	NA	--	--	--
BENZO(A)ANTHRACENE	0.224 or MDL	3.2 DJ	NA	--	--	--	--	0.083 J	NA	--	--	--
BENZO(A)PYRENE	0.061 or MDL	2.6 DJ	NA	--	--	--	--	--	NA	--	--	--
BENZO(B)FLUORANTHENE	1.1	3.1 DJ	NA	--	--	--	--	--	NA	--	--	--
BENZO(G,H,I)PERYLENE	50	1.4 DJ	NA	--	--	--	--	--	NA	--	--	--
BENZO(K)FLUORANTHENE	1.1	1.2 DJ	NA	--	--	--	--	--	NA	--	--	--
BIS(2-ETHYLHEXYL)PHTHALATE	50	0.48 DJ	NA	0.45 J	0.16 J	1.4	0.29 J	0.14 J	NA	1	0.095 J	--
CHRYSENE	0.4	3.7 DJ	NA	--	--	--	--	0.085 J	NA	--	--	--
DI-N-BUTYLPHTHALATE	8.1	--	NA	--	--	0.098 J	--	--	NA	--	--	--
FLUORANTHENE	50	5.1 DJ	NA	--	--	--	--	0.18 J	NA	--	--	--
FLUORENE	50	0.88 DJ	NA	--	--	--	--	--	NA	--	--	--
INDENO(1,2,3-CD)PYRENE	3.2	1.5 DJ	NA	--	--	--	--	--	NA	--	--	--
METHYLNAPHTHALENE, 2-	36.4	6.7 D	NA	--	--	--	--	--	NA	--	--	--
NAPHTHALENE	13.0	1.7 DJ	NA	--	--	--	--	--	NA	--	--	--
NITROSODIPHENYLAMINE, N-	NV	1.2 DJ	NA	--	--	--	--	--	NA	--	--	--
PHENANTHRENE	50	4.3 DJ	NA	--	--	--	--	0.11 J	NA	--	--	--
PYRENE	50	6.2 DJ	NA	--	--	--	--	0.17 J	NA	--	--	--
<b>Metals</b>												
ALUMINUM	SB	4980	NA	5540	3910	2890	3080	3730	NA	3030	6420	5720
ANTIMONY	SB	--	NA	--	--	1.8 NJ	--	1.84 NJ	NA	1.64 NJ	--	--
ARSENIC	7.5 or SB	3.71	NA	3.85	8.17	15.1	2.93	5.37	NA	4.76	4.76	4.65
BARIUM	300 or SB	29.4	NA	39.3	21.6 J	48.8	15.8 J	29.8	NA	14.1 J	88.5	28.8
BERYLLIUM	0.16 (HEAST) or SB	0.242 J	NA	0.261 J	0.325 J	0.374 J	0.155 J	0.231 J	NA	0.26 J	0.333 J	0.269 J
CADMIUM	1 or SB	--	NA	0.666 N*	--	--	--	--	NA	--	--	--
CALCIUM	SB	136000 D	NA	77000 D	154000 D	181000 D	9650	117000 D	NA	224000 D	180000 D	22000
CHROMIUM	10 or SB	7.91	NA	5.64	9.37	5.25	5.04	4.86	NA	4.86	9.42	15.5
COBALT	30 or SB	3.8 J	NA	3.43 J	4.77 J	9.76	3.66 J	4.5 J	NA	4.31 J	4.08 J	6.74
COPPER	25 or SB	14.5	NA	24.5	6.39	24.1	6.34	11.4	NA	8.83	14.1	38.3
IRON	2000 or SB	10100	NA	10800	11900	19900	8960	11300	NA	8910	10800	12300
LEAD	SB****	13.8 *	NA	29.8 *	15.4 *	41.3 *	5.25 *	16.1 *	NA	12.3 *	34.5 *	6.84 *
MAGNESIUM	SB	29500	NA	19400	7060	29000	6160	13700	NA	13700	21400	7700
MANGANESE	SB	363	NA	261	298	901	204	316	NA	343	367	570
MERCURY	0.1	0.046	NA	--	--	--	--	--	NA	--	--	--
NICKEL	13 or SB	11.5	NA	9.96	12.7	21.4	9.56	12.2	NA	12.3	11.9	134
POTASSIUM	SB	1840	NA	1220	3110	1780	506 J	1800	NA	2900	2370	1060
SELENIUM	2 or SB	0.23 J	NA	0.22 J	0.32 J	0.28 J	0.19 J	0.23 J	NA	0.21 J	0.25 J	0.28 J
SILVER	SB	5.64	NA	2.75	1.37	0.336 J	3.43	0.347 J	NA	0.854 J	12	0.625 J
SODIUM	SB	364 J	NA	345 J	644	1860	456 J	405 J	NA	581	905	439 J
VANADIUM	150 or SB	12.1	NA	16.1	7.09	12.7	8.02	9.27	NA	6.19	13.1	13.9
ZINC	20 or SB	44.9	NA	68.2	8.36	11.1	31.3	29.5	NA	37.7	73.8	124
<b>Radiology</b>												
THORIUM-228 (pCi/g)	NV	NA	0.37	0.33	NA	NA	0.29	NA	0.49	NA	NA	NA
THORIUM-230 (pCi/g)	NV	NA	0.42	0.22	NA	NA	0.29	NA	0.2	NA	NA	NA
THORIUM-232 (pCi/g)	NV	NA	0.45	0.3	NA	NA	0.26	NA	0.42	NA	NA	NA
<b>General Chemistry</b>												
pH (s.u.)	NV	NA	NA	NA	NA	8.7 H	NA	9.6 H	NA	NA	NA	NA
CYANIDE (TOTAL)	***	NA	NA	NA	NA	0.598	NA	0.382	NA	NA	NA	NA
NITRATE	NV	NA	NA	NA	NA	4.7 J	NA	7.7 J	NA	NA	NA	NA
SULFATE	NV	NA	NA	NA	NA	84	NA	83	NA	NA	NA	NA

Notes:  
All results are reported in milligrams per kilogram (mg/kg) unless otherwise noted.  
Analytical qualifiers and other notes are presented on final page.  
Highlighted cells indicate the concentration shown is above the cleanup guidance value.

**TABLE 1 - 2005 Phase II ESA (Page 2)**

DETECTED SOIL ANALYTICAL RESULTS  
VOLATILE ORGANIC COMPOUNDS, SEMI-VOLATILE ORGANIC COMPOUNDS AND INORGANIC COMPOUNDS  
HAWKEYE FACILITY, ROCHESTER, NEW YORK

Sample Location: Sample Date: Sample ID: Sample Depth: Sample Matrix Code: Class Code:	Recommended Soil Cleanup Objectives TAGM 4046 (Jan. 24, 1994)	B03HEPL204182004	B01HEPL504172004	B02HEPL504172004	B01HEPL604172004	B02HEPL604172004	B01HEPL1104182004		B01HEPL1104192004
		4/18/2004	4/17/2004	4/17/2004	4/17/2004	4/17/2004	4/18/2004		4/19/2004
		L22468-3	L22467-4	L22467-5	L22467-2	L22467-3	L22468-4	L22468-5	L22477-2
		6-8 ft	10-12 ft	6-8 ft	4-6 ft	8-10 ft	4-6 ft	8-10 ft	6-8 ft
		SO	SO	SO	SO	SO	SO	SO	SO
		Boring	Boring	Boring	Boring	Boring	Boring	Boring	Boring
<b>Volatiles</b>									
ACETONE	0.2	--	0.0098 J	--	0.033	--	0.065 D	0.015	--
BUTANONE, 2- (MEK)	0.3	--	--	--	0.011 J	--	--	0.0062 J	--
ETHYLBENZENE	5.5	--	--	--	0.036	--	0.046 D	0.0023 J	29 DJ
METHYLENE CHLORIDE	0.1	--	--	--	0.0016 J	--	0.017 DJ	0.0072	--
TETRACHLOROETHYLENE	1.4	0.015	--	--	--	--	--	--	--
TOLUENE	1.5	0.0041 J	0.004 J	0.0016 J	0.0069	--	0.011 DJ	0.0026 J	--
TRICHLOROETHYLENE	0.7	0.021	--	--	--	--	--	--	--
XYLENE (TOTAL)	1.2	--	--	--	0.35 DH	--	0.079 D	0.0073	27 DJ
<b>Semi-Volatiles</b>									
ACENAPHTHENE	50	--	--	--	--	--	--	--	0.095 J
ANTHRACENE	50	--	--	--	--	--	--	--	--
BENZO(A)ANTHRACENE	0.224 or MDL	--	--	--	0.1 J	--	--	--	--
BENZO(A)PYRENE	0.061 or MDL	--	--	--	0.13 J	--	--	--	--
BENZO(B)FLUORANTHENE	1.1	--	--	--	--	--	--	--	--
BENZO(G,H,I)PERYLENE	50	--	--	--	0.12 J	--	--	--	--
BENZO(K)FLUORANTHENE	1.1	--	--	--	--	--	--	--	--
BIS(2-ETHYLHEXYL)PHTHALATE	50	1.3	--	--	--	--	0.1 J	0.099 J	20 D
CHRYSENE	0.4	--	--	--	0.12 J	--	--	--	--
DI-N-BUTYLPHTHALATE	8.1	0.12 J	--	--	--	0.096 J	--	--	0.12 J
FLUORANTHENE	50	--	--	--	0.2 J	--	--	--	--
FLUORENE	50	--	--	--	0.17 J	--	--	--	0.16 J
INDENO(1,2,3-CD)PYRENE	3.2	--	--	--	0.11 J	--	--	--	--
METHYLNAPHTHALENE, 2-	36.4	--	--	--	--	--	--	--	19 D
NAPHTHALENE	13.0	--	--	--	--	--	--	--	10
NITROSODIPHENYLAMINE, N-	NV	--	--	--	--	--	--	--	--
PHENANTHRENE	50	--	--	--	0.23 J	--	0.089 J	--	0.29 J
PYRENE	50	--	--	--	0.23 J	--	--	--	0.096 J
<b>Metals</b>									
ALUMINUM	SB	4500	3540	3340	5710	5980	3570	NA	3460
ANTIMONY	SB	2.04 NJ	--	--	--	--	--	NA	--
ARSENIC	7.5 or SB	8.09	4.13	3.1	5.58	6.92	2.23	NA	1.94
BARIUM	300 or SB	31.5	36.3	46.4	51.3	37.4	17.4 J	NA	15.9 J
BERYLLIUM	0.16 (HEAST) or SB	0.373 J	0.19 J	0.18 J	0.327 J	0.314 J	0.164 J	NA	0.155 J
CADMIUM	1 or SB	--	--	--	6.2 N*	--	--	NA	--
CALCIUM	SB	140000 D	92400 D	85500 D	18500	35100	38400	NA	29300
CHROMIUM	10 or SB	7.51	6.07	6.63	8.56	10.4	5.62	NA	6.11
COBALT	30 or SB	4.84 J	3.73 J	4.48 J	5.28 J	9.73	4.29 J	NA	3.69 J
COPPER	25 or SB	10.9	7.92	7.83	38.1	21.7	11.2	NA	7.41
IRON	2000 or SB	13600	9800	9650	11500	21700	9070	NA	8900
LEAD	SB****	22.3 *	4.53 *	7.03 *	1080 *	8.57 *	5.86 *	NA	4.94 *
MAGNESIUM	SB	17700	20500	12000	4880	4850	7840	NA	6370
MANGANESE	SB	360	488	333	259	441	480	NA	315
MERCURY	0.1	--	--	--	0.0559	--	--	NA	--
NICKEL	13 or SB	16.3	9.36	10.3	14.6	18.9	9.77	NA	8.73
POTASSIUM	SB	3190	1290	1430	942	1510	846	NA	723
SELENIUM	2 or SB	0.27 J	0.22 J	0.2 J	0.24 J	0.4 J	0.18 J	NA	0.19 J
SILVER	SB	0.323 J	--	--	--	--	--	NA	--
SODIUM	SB	1660	743	642	902	1250	1730	NA	1680
VANADIUM	150 or SB	9.15	11.9	10.7	14.3	22.1	10.3	NA	12.8
ZINC	20 or SB	10.5	18.7	24.1	244	36.7	46.7	NA	16.7
<b>Radiology</b>									
THORIUM-228 (pCi/g)	NV	NA	NA	NA	NA	NA	NA	NA	NA
THORIUM-230 (pCi/g)	NV	NA	NA	NA	NA	NA	NA	NA	NA
THORIUM-232 (pCi/g)	NV	NA	NA	NA	NA	NA	NA	NA	NA
<b>General Chemistry</b>									
pH (s.u.)	NV	NA	NA	NA	NA	NA	NA	NA	NA
CYANIDE (TOTAL)	***	NA	NA	NA	NA	NA	NA	NA	0.257 J
NITRATE	NV	NA	NA	NA	NA	NA	NA	NA	NA
SULFATE	NV	NA	NA	NA	NA	NA	NA	NA	NA

Notes:  
All results are reported in milligrams per kilogram (mg/kg) unless otherwise noted.  
Analytical qualifiers and other notes are presented on final page.  
Highlighted cells indicate the concentration shown is above the cleanup guidance value.

**TABLE 2 - 2005 Phase II ESA**

**DETECTED GROUNDWATER ANALYTICAL RESULTS  
VOLATILE ORGANIC COMPOUNDS, SEMI-VOLATILE ORGANIC COMPOUNDS AND INORGANIC COMPOUNDS  
HAWKEYE FACILITY, ROCHESTER, NEW YORK**

<i>Well ID:</i>	<i>NYS Ambient</i>	<i>SB4NE</i>	<i>SB4NE</i>	<i>IB5NE</i>	<i>IB5SW</i>	<i>IB5SW2</i>	<i>IB6NE</i>	<i>IB11AW</i>	<i>IB12SW</i>	<i>IL2NE</i>	<i>SL6SE</i>	<i>SL11W</i>
<i>Loc Group:</i>	<i>Water Quality</i>	<i>B4NE</i>	<i>B4NE</i>	<i>B5NE</i>	<i>B5SW</i>	<i>B5SW2</i>	<i>B6NE</i>	<i>B11AW</i>	<i>B12SW</i>	<i>L2NE</i>	<i>L6SE</i>	<i>L11W</i>
<i>Flow Zone:</i>	<i>Standards</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR/TOR</i>	<i>OVR</i>	<i>OVR</i>
<i>Sample ID:</i>	<i>Guidance Values</i>	L22580-4	L22591-6	L22591-4	L22580-6	L22580-7	L22591-3	L22580-2	L22580-3	L22580-5	L22591-2	L22591-5
<i>Sample Type:</i>	<i>(TOGs 1.1.1)</i>	N	N	N	N	N	N	N	N	N	N	N
<i>Sample Date:</i>	<i>(June 1998)</i>	5/6/2004	5/7/2004	5/7/2004	5/6/2004	5/6/2004	5/7/2004	5/6/2004	5/6/2004	5/6/2004	5/7/2004	5/7/2004
<b>Volatile Organics</b>												
ACETONE	0.050	0.12 P	NA	--	--	--	--	--	--	--	--	--
BENZENE	0.001	0.0031 JP	NA	--	--	--	--	--	--	--	--	0.029 DJ
BUTANONE, 2- (MEK)	0.050	0.018 P	NA	--	--	--	--	--	--	--	--	--
CARBON DISULFIDE	NV	0.0055 P	NA	--	--	0.0031 JP	--	--	0.0028 JP	--	--	--
DICHLOROETHYLENE, 1,2- (TOTAL)	0.005	--	NA	--	0.023	0.0035 JP	--	0.012	--	--	--	--
ETHYLBENZENE	0.005	0.012 P	NA	--	--	--	--	--	--	--	--	0.87 D
TOLUENE	0.005	0.0085 P	NA	--	--	--	--	--	0.0015 JP	--	--	0.38 D
TRICHLOROETHYLENE	0.005	--	NA	--	0.0048 J	0.0077 P	--	0.016	0.028 P	0.0039 J	--	--
XYLENE (TOTAL)	0.005	0.048 P	NA	--	--	--	--	--	--	--	0.13 D	1.7 D
<b>TOTAL VOCs</b>	NV	0.2151	NA	0	0.0278	0.0143	0	0.028	0.0323	0.0039	0.13	2.979
<b>Semi-Volatiles</b>												
ACENAPHTHENE	0.020	NA	0.0059 DJ	--	--	--	--	--	--	--	--	--
BENZOIC ACID	NV	NA	0.042 DJ	--	--	--	--	--	--	--	--	--
BIS(2-ETHYLHEXYL)PHTHALATE	0.005	NA	0.029 D	0.0071 J	--	--	0.0027 J	--	0.0031 J	--	0.003 J	0.011 DJ
FLUORENE	0.050	NA	0.0046 DJ	--	--	--	--	--	--	--	--	--
METHYLNAPHTHALENE, 2-	NV	NA	0.02 D	--	--	--	--	--	--	--	0.016	0.056 D
METHYLPHENOL, 2-	0.001	NA	0.031 D	--	--	--	--	--	--	--	--	--
METHYLPHENOL, 3&4-	0.001	NA	0.018 D	--	--	--	--	--	--	--	--	--
NAPHTHALENE	0.010	NA	0.0047 DJ	--	--	--	--	--	--	--	0.018	0.31 D
PHENANTHRENE	0.050	NA	0.013 DJ	--	--	--	--	--	--	--	--	--
PHENOL	0.001	NA	0.014 DJ	--	--	--	--	--	--	--	--	--
PYRENE	0.050	NA	0.0057 DJ	--	--	--	--	--	--	--	--	--
<b>Metals</b>												
ALUMINUM	NV	7.16	NA	2.43	1.71	0.499	0.437	2.1	0.827	2.11	0.611	5.12
ARSENIC	0.025	0.0108	NA	0.00549 J	--	--	--	--	0.00683 J	--	0.0047 J	0.00323 J
BARIUM	1	0.168 J	NA	0.173 J	0.343	0.135 J	0.296	0.253	0.107 J	0.127 J	2.49	0.796
CALCIUM	NV	1450 D	NA	761 D	447	1400 D	1220 D	408	363	481	1370 D	1050 D
CHROMIUM	0.050	0.00646 J	NA	0.00351 J	0.00524 J	--	--	0.0039 J	0.00256 J	0.00851 J	--	0.0104
COBALT	NV	--	NA	--	--	0.0341 J	--	--	0.0133 J	--	--	--
COPPER	0.2	0.00955 J	NA	0.0165 J	0.0073 J	--	--	--	0.00961 J	0.00809 J	--	0.0307
IRON	0.3	5.57	NA	7.1	4.76	5.53	6.19	4.93	6.29	6.87	20.4	13.7
LEAD	0.025	0.00921	NA	0.0219	0.0164	0.00144 J	0.00286 J	0.012	0.018	0.0185	0.00519	0.0349
MAGNESIUM	35	40.5	NA	203	69.8	87.5	82.2	92.2	102	78.4	101	98.5
MANGANESE	0.3	1.27	NA	1.34	0.507	1.66	2.04	0.464	0.657	0.612	1.31	4.11
NICKEL	0.1	0.0293 J	NA	0.0108 J	0.00931 J	0.0404	0.0187 J	0.00754 J	0.0306 J	0.0241 J	0.0113 J	0.0101 J
POTASSIUM	NV	159 D	NA	32.8	31	54.3 D	15.9	16	44.9	20.5	17.8	80.6 D
SELENIUM	0.010	0.00179 J	NA	0.0014 J	0.00144 J	0.00157 J	0.00168 J	0.00144 J	0.00139 J	0.00141 J	0.0018 J	0.00194 J
SILVER	0.050	0.00865 J	NA	0.00543 J	0.00267 J	0.00784 J	0.00757 J	--	--	0.00361 J	0.00847 J	0.00576 J
SODIUM	20	515	NA	3150 D	1650 D	1170 D	362	367	783	1300 D	3640 D	11700 D
THALLIUM	0.0005	0.00329 J	NA	--	--	--	--	0.00452 J	0.00407 J	0.00439 J	0.00795 J	--
ZINC	2	0.0375 B	NA	0.0277 B	0.0175 J	0.015 JB	0.0226 B	0.0365 B	0.0368 B	0.0305 B	0.0329 B	0.0632 B
<b>Radiology</b>												
THORIUM-228 (pCi/L)	NV	NA	NA	NA	--	NA	NA	0.58	0.53	1.1	NA	NA
THORIUM-230 (pCi/L)	NV	NA	NA	NA	--	NA	NA	1.3	2.1	2.1	NA	NA
THORIUM-232 (pCi/L)	NV	NA	NA	NA	3.7	NA	NA	0.54	0.46	1.1	NA	NA

Notes:  
All results are reported in milligrams per liter (mg/L) unless otherwise noted.  
Qualifiers are presented on final page.  
Highlighted cells indicate the concentration shown is above the guidance value.

**Table 3 - 2017 Phase II ESA (Page 1)**

Summary of Detected Compounds in Soil

Sample ID	Units	NYCRR Part 375 Unrestricted Use SCOs	NYCRR Part 375 Restricted Residential Use SCOs	NYCRR Part 375 Commercial Use SCOs	TP-1B	TP-4	SB-01	SB-02	SB-10	SB-10	SB-11	SB-13	SB-13	SB-13	SB-13	SB-14	SB-15	SB-16	SB-17	SB-18	SB-18
Sample Depth (ft bgs)					5-6	5-6	11-13	8-10	9-10	10-11	11-12	6-8	7-10	8-11	11-11.8	1.9-2.4	4-6	4-9.8	0.5-2.3	0.4-1.7	4-5
Sample Date					9/14/2017	9/14/2017	8/30/2017	8/30/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017
<b>Metals</b>																					
Aluminum	mg/kg	NL	NL	NL			3470					3780					8350	4240	4310	5700	
Antimony	mg/kg	NL	NL	NL			<3.4					<3.3					<3.9	<3.8	<3.2	<3.1	
Arsenic	mg/kg	13	16	16			4.6					6.6					14.3	7.0	6.2	5.2	
Barium	mg/kg	350	400	400			36.5					25.5					83.1	22.7	26.5	75.2	
Beryllium	mg/kg	7.2	72	590			0.21 J					0.28					0.35	0.22 J	0.28	0.10 J	
Cadmium	mg/kg	2.5	4.3	9.3			0.25					0.31					0.50	1.6	0.33	0.52	
Calcium	mg/kg	NL	NL	NL			157000					134000					14000	119000	137000	145000	
Chromium	mg/kg	30	180	1500			2.0					2.0					5.3	3.3	2.8	8.0	
Cobalt	mg/kg	NL	NL	NL			3.2					3.4					6.9	4.1	3.1	3.0	
Copper	mg/kg	50	270	270			14.1					17.3					25.8	53.8	10.4	12.6	
Iron	mg/kg	NL	NL	NL			9210					10300					22100	11000	10400	9480	
Lead	mg/kg	63	400	1000	NA	NA	16.6	NA	NA	NA	NA	15.7	NA	NA	NA	NA	16.6	18.8	20.6	21.2	NA
Magnesium	mg/kg	NL	NL	NL			14800					21900					4570	18200	22100	48800	
Manganese	mg/kg	1600	2000	10000			342					334					898	367	277	319	
Nickel	mg/kg	30	310	310			8.2					7.1					16.8	95.5	8.4	7.9	
Potassium	mg/kg	NL	NL	NL			1930					2330					1580	1870	2550	1420	
Selenium	mg/kg	3.9	180	1500			<0.57					<5.5					<0.66	<6.3	<5.3	<5.2	
Silver	mg/kg	2	180	1500			<0.57					<0.55					1.2	1.5	1.3	2.3	
Sodium	mg/kg	NL	NL	NL			2240					1890					1720	2040	2680	2760	
Thallium	mg/kg	NL	NL	NL			<0.57					0.34 J					0.97	<0.63	0.30 J	<0.52	
Vanadium	mg/kg	NL	NL	NL			6.7					6.7					16.6	8.0	6.9	13.0	
Zinc	mg/kg	109	10000	10000			16.8					43.1					62.3	91.7	59.7	80.2	
Mercury	mg/kg	0.18	0.81	2.8			0.13					0.057					0.13	0.29	0.052	0.091	
<b>PCBs</b>																					
PCB-1242	mg/kg	0.1	1	1	NA	NA	NA	NA	<0.0364	NA	<0.0386	NA	<0.0371	NA	NA	NA	NA	NA	NA	NA	NA
Total PCBs	mg/kg	0.1	1	1	NA	NA	NA	NA	ND	NA	ND	NA	ND	NA	NA	NA	NA	NA	NA	NA	NA
<b>VOCs</b>																					
2-Butanone (MEK)	mg/kg	0.12	100	500	NA	NA	<0.0027	<0.121			<0.0023	<0.0023					<0.0021	0.0035			<0.0025
Acetone	mg/kg	0.05	100	500	NA	NA	<0.0027	<0.121			0.0069	0.0012 J					0.0036	0.0114			0.0131
Cyclohexane	mg/kg	NL	NL	NL	NA	NA	<0.0027	1.7			<0.0023	<0.0023					<0.0021	<0.0026			<0.0025
Ethylbenzene	mg/kg	1	41	390	0.913	115	<0.0027	0.100 J			<0.0023	<0.0023					<0.0021	<0.0026			<0.0025
Isopropylbenzene (Cumene)	mg/kg	NL	NL	NL	1.41	23.3	<0.0027	0.255			<0.0023	<0.0023					<0.0021	<0.0026			<0.0025
Methylcyclohexane	mg/kg	NL	NL	NL	NA	NA	<0.0027	8.31			<0.0023	<0.0023					<0.0021	<0.0026			<0.0025
Methylene Chloride	mg/kg	0.05	100	500	NA	NA	0.0024 J	<0.121			0.0018 J	0.0016 J					0.0016 J	0.0014 J			<0.0025
Tetrachloroethene	mg/kg	1.3	19	150	NA	NA	<0.0027	<0.121			<0.0023	<0.0023					<0.0021	0.008			<0.0025
Trichloroethene	mg/kg	0.47	21	200	NA	NA	<0.0027	<0.121			<0.0023	0.0012 J					<0.0021	0.0436			0.0017 J
Xylene (Total)	mg/kg	0.26	100	500	<0.5	134	<0.0055	0.120 J			<0.0047	<0.0046					<0.0043	<0.0052			<0.0049
cis-1,2-Dichloroethene	mg/kg	0.25	100	500	NA	NA	<0.0027	<0.121	NA		<0.0023	<0.0023	NA	NA	NA		<0.0021	0.0014 J	NA	NA	NA
n-Butylbenzene	mg/kg	12	NL	NL	9.38	52.5	<0.0027	NA			NA	NA					NA	NA			NA
sec-Butylbenzene	mg/kg	11	100	500	4.43	<2.82	<0.0027	NA			NA	NA					NA	NA			NA
tert-Butylbenzene	mg/kg	5.9	100	500	<0.25	<2.82	<0.0027	NA			NA	NA					NA	NA			NA
p-Isopropyltoluene	mg/kg	NL	NL	NL	0.983	9.6	<0.0027	NA			NA	NA					NA	NA			NA
Methyl tert-butyl ether	mg/kg	0.93	100	500	<0.25	<2.82	<0.0027	<0.121			<0.0023	<0.0023					<0.0021	0.0014 J			<0.0025
Naphthalene	mg/kg	12	100	500	0.365	28.3	<0.0027	NA			NA	NA					NA	NA			NA
n-Propylbenzene	mg/kg	3.9	100	500	8.02	97.4	<0.0027	NA			NA	NA					NA	NA			NA
Toluene	mg/kg	0.7	100	500	<0.25	<2.82	<0.0027	<0.121			<0.0023	<0.0023					<0.0021	0.0014 J			<0.0025
1,2,4-trimethylbenzene	mg/kg	3.6	52	190	<0.25	585	<0.0027	NA			NA	NA					NA	NA			NA
1,3,5-trimethylbenzene	mg/kg	8.4	52	190	<0.25	63.5	<0.0027	NA			NA	NA					NA	NA			NA
<b>SVOCs</b>																					
2-Methylnaphthalene	mg/kg	NL	NL	NL	NA	NA	<0.0800										<0.0751		<0.0822		
Naphthalene	mg/kg	12	100	500	<0.0817	7.05	<0.0800		NA	NA	NA	NA	NA	NA	NA		<0.0751		<0.0822		NA
Phenanthrene	mg/kg	100	100	500	<0.0817	0.0925	<0.0800										<0.0751		<0.0822		NA
<b>Thorium</b>																					
Thorium-228	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>																	
Thorium-230	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Thorium-232	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>																	

NOTES:

"<" indicates compound not detected above laboratory method detection limit (MDL) with the limit shown

Thorium data displayed as "Activity (± Uncertainty - 95% Confidence Interval)".

<sup>(A)</sup>Part 375 comparison criteria not listed for radionuclides. Values shown are the "General Soil Screening Levels for Radionuclides: Migration to Groundwater: 20DAF" obtained from Appendix A of the USEPA's Soil Screening Guidance for Radionuclides Technical Background Document.

"20 DAF" indicates a dilution factor of 20 to account for natural processes that reduce contaminant concentrations in the subsurface.

VOCs analyzed by USEPA Method 8260

SVOCs analyzed by USEPA Method 8270

Metals analyzed by USEPA Method 6010/7470

PCBs analyzed by USEPA Method 8082

Thorium isotopes analyzed by USEPA Method HSL 300

**Bold font indicates value above NYCRR Part 375 6-8 (a) Unrestricted Use SCOs**

**Yellow highlighted cells indicates value above NYCRR Part 375 6-8 (b) Restricted Residential Use SCOs**

**Red font indicates value above NYCRR Part 375-6.8(b) Commercial Use SCOs**

NL indicates Not Listed

NA indicates Not Analyzed

ND indicates non-detect

J indicates an estimated value

\* indicates data not yet received from laboratory.



Phase II ESA  
 Eastman Kodak Company  
 Hawkeye Facility  
 St. Paul Street  
 Rochester, New York

**Table 3 - 2017 Phase II ESA (Page 2)**  
 Summary of Detected Compounds in Soil

Sample ID	Units	NYCRR Part 375 Unrestricted Use SCOs	NYCRR Part 375 Restricted Residential Use SCOs	NYCRR Part 375 Commercial Use SCOs	SB-22	SB-24	SB-26	SB-27	SB-29	SB-29	SB-29	SB-30	SB-31	BLIND DUP 1 (SB-10)	BLIND DUP 1 (SB-10)	BLIND DUP 2 (SB-13)	BLIND DUP 3 (SB-15)	BLIND DUP-4 (SB-26)	BLIND DUP-5 (SB-27)	BLIND DUP-6 (SB-29)	BLIND DUP-6 (SB-29)	BLIND DUP-7 (SB-30)	
Sample Depth (ft bgs)					8-10	6-9	6.5-10	7-10	4-4.8	6-8	8-8.4	6-8.6	3-6	9-10	10-11	8-11	4-6	6.5-10	7-10	8-8.4	6-8	6-8.6	
Sample Date					9/1/2017	9/1/2017	9/1/2017	9/1/2017	9/6/2017	9/6/2017	9/6/2017	9/6/2017	9/6/2017	9/6/2017	8/31/2017	8/31/2017	8/31/2017	8/31/2017	9/1/2017	9/1/2017	9/6/2017	9/6/2017	9/6/2017
<b>Metals</b>																							
Aluminum	mg/kg	NL	NL	NL	3530		3870					3500					10000	6500				6120	
Antimony	mg/kg	NL	NL	NL	<3.5		<3.7					<3.2					<4.0	<3.5				<3.7	
Arsenic	mg/kg	13	16	16	4.3		4.3					8.1					11.5	8.0				8.1	
Barium	mg/kg	350	400	400	43.1		36.3					44.9					93.3	47.1				37	
Beryllium	mg/kg	7.2	72	590	<0.29		0.058 J					0.20 J					0.47	0.14 J				0.26 J	
Cadmium	mg/kg	2.5	4.3	9.3	0.19		0.24					0.37					0.71	0.42				0.36	
Calcium	mg/kg	NL	NL	NL	27800		42400					156000					33700	99700				14400	
Chromium	mg/kg	30	180	1500	3.0		6.1					2.2					9.3	8.3				4.5	
Cobalt	mg/kg	NL	NL	NL	3.7		4.1					4.5					8.2	6.3				7.6	
Copper	mg/kg	50	270	270	9.6		13.9					12.5					40.8	19.8				15.9	
Iron	mg/kg	NL	NL	NL	9320		9690					11600					21100	14900				16100	
Lead	mg/kg	63	400	1000	1.9	NA	7.2	NA	NA	NA	NA	9.6	NA	NA	NA	NA	40.1	10.8	NA	NA	NA	12.7	
Magnesium	mg/kg	NL	NL	NL	6060		10500					7630					13600	13800				6250	
Manganese	mg/kg	1600	2000	10000	311		350					862					1030	778				630	
Nickel	mg/kg	30	310	310	7.6		8.7					9.1					25.4	13.3				16.5	
Potassium	mg/kg	NL	NL	NL	698		1060					1230					2330	1770				986	
Selenium	mg/kg	3.9	180	1500	<0.58		<0.62					<0.53					<6.7	<0.59				<6.2	
Silver	mg/kg	2	180	1500	<0.58		<0.62					<0.53					3.6	<0.59				<0.62	
Sodium	mg/kg	NL	NL	NL	814		1330					1290					2380	1840				<312	
Thallium	mg/kg	NL	NL	NL	0.22 J		0.26 J					0.49 J					0.98	0.79				0.31 J	
Vanadium	mg/kg	NL	NL	NL	11.8		11.5					6.7					20.1	15.5				11.6	
Zinc	mg/kg	109	10000	10000	18.2		53.3					37.1					90.4	47.5				45.9	
Mercury	mg/kg	0.18	0.81	0.81	0.029 J		0.045					0.057					0.21	0.043				0.06	
<b>PCBs</b>																							
PCB-1242	mg/kg	0.1	1	1						0.0647	NA	<0.0370	NA	<0.0398	NA	NA	NA	NA	NA	NA	NA	<0.0398	NA
Total PCBs	mg/kg	0.1	1	1	NA	NA	NA	NA	NA	0.0647	NA	ND	NA	ND	NA	NA	NA	NA	NA	NA	NA	ND	NA
<b>VOCs</b>																							
2-Butanone (MEK)	mg/kg	0.12	100	500	<0.0024	<b>0.141</b>	<0.124	0.105				<0.0026				<0.0023		0.0977			<0.0023		
Acetone	mg/kg	0.05	100	500	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
Cyclohexane	mg/kg	NL	NL	NL	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
Ethylbenzene	mg/kg	1	41	390	<0.0024	<0.111	0.143	0.221				<0.0026				<0.0023		0.856			<0.0023		
Isopropylbenzene (Cumene)	mg/kg	NL	NL	NL	<0.0024	<0.111	<0.124	0.180				<0.0026				<0.0023		0.349			<0.0023		
Methylcyclohexane	mg/kg	NL	NL	NL	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
Methylene Chloride	mg/kg	0.05	100	500	0.0021 J	<0.111	<0.124	<0.0852				<0.0026				0.0019 J		<0.0824			<0.0023		
Tetrachloroethene	mg/kg	1.3	19	150	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
Trichloroethene	mg/kg	0.47	21	200	<0.0024	<0.111	<0.124	<0.0852				0.0121				<0.0023		<0.0824			<0.0023		
Xylene (Total)	mg/kg	0.26	100	500	<0.0047	<0.223	<0.248	0.114 J				<0.0052				<0.0046		0.115 J			<0.0045		
cis-1,2-Dichloroethene	mg/kg	0.25	100	500	<0.0024	<0.111	<0.124	<0.0852	NA	NA		<0.0026	NA	NA	NA	<0.0023	NA	<0.0824	NA		<0.0023	NA	NA
n-Butylbenzene	mg/kg	12	NL	NL	NA	NA	NA	NA				NA				NA		NA			NA		
sec-Butylbenzene	mg/kg	11	100	500	NA	NA	NA	NA				NA				NA		NA			NA		
tert-Butylbenzene	mg/kg	5.9	100	500	NA	NA	NA	NA				NA				NA		NA			NA		
p-Isopropyltoluene	mg/kg	NL	NL	NL	NA	NA	NA	NA				NA				NA		NA			NA		
Methyl tert-butyl ether	mg/kg	0.93	100	500	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
Naphthalene	mg/kg	12	100	500	NA	NA	NA	NA				NA				NA		NA			NA		
n-Propylbenzene	mg/kg	3.9	100	500	NA	NA	NA	NA				NA				NA		NA			NA		
Toluene	mg/kg	0.7	100	500	<0.0024	<0.111	<0.124	<0.0852				<0.0026				<0.0023		<0.0824			<0.0023		
1,2,4-trimethylbenzene	mg/kg	3.6	52	190	NA	NA	NA	NA				NA				NA		NA			NA		
1,3,5-trimethylbenzene	mg/kg	8.4	52	190	NA	NA	NA	NA				NA				NA		NA			NA		
<b>SVOCS</b>																							
2-Methylnaphthalene	mg/kg	NL	NL	NL				1.430									<0.0747				0.194		
Naphthalene	mg/kg	12	100	500	NA	NA	NA	1.240	NA	NA	NA	NA	NA	NA	NA		<0.0747	NA	NA		0.139	NA	NA
Phenanthrene	mg/kg	100	100	500				<0.0857									<0.0747				<0.0745		
<b>Thorium</b>																							
Thorium-228	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>					0.560 (±0.212)		NA	NA	NA	0.443 (±0.222)			NA	NA	NA	NA	NA	NA	NA
Thorium-230	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>					0.473 (±0.184)		NA	NA	NA	0.415 (±0.196)			NA	NA	NA	NA	NA	NA	NA
Thorium-232	pCi/g	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>	6.06 <sup>(A)</sup>					0.742 (±0.239)		NA	NA	NA	0.478 (±0.212)			NA	NA	NA	NA	NA	NA	NA

NOTES:

"<" indicates compound not detected above laboratory method detection limit (MDL) with the lin Thorium data displayed as "Activity (± Uncertainty - 95% Confidence Interval)".

<sup>(A)</sup>Part 375 comparison criteria not listed for radionuclides. Values shown are the "General Soil S "20 DAF" indicates a dilution factor of 20 to account for natural processes that reduce contaminant

VOCs analyzed by USEPA Method 8260

SVOCS analyzed by USEPA Method 8270

Metals analyzed by USEPA Method 6010/7470

PCBs analyzed by USEPA Method 8082

Thorium Isotopes analyzed by USEPA Method HSL 300

**Bold font indicates value above NYCRR Part 375 6-8 (a) Unrestricted Use SCOs**

**Yellow highlighted cells indicates value above NYCRR Part 375 6-8 (b) Restricted Residential Use :**

**Red font indicates value above NYCRR Part 375-6.8(b) Commercial Use SCOs**

NL indicates Not Listed

NA indicates Not Analyzed

ND indicates non-detect

J indicates an estimated value

\* indicates data not yet received from laboratory.

On-Site  
 Monitoring Well

**Table 4 - 2017 Phase II ESA (Page 1)**  
 Summary of Detected Compounds in Groundwater

Sample ID	Units	NYSDEC Groundwater Quality Standards	MW-01	MW-02	MW-03	MW-04	MW-07	MW-08	MW-09	MW-10	MW-11	MW-12
Screened Interval (ft bgs)			5-10	7-12	4-9	3.5-8.5	4.5-9.5	8.3-18.3	10.8-15.8	8.5-13.5	2.5-12.5	3.6-8.6
Sample Date			9/6/2017	9/6/2017	9/6/2017	9/6/2017	9/6/2017	9/7/2017	9/6/2017	9/7/2017	9/7/2017	9/7/2017
<b>Metals</b>												
Aluminum	ug/L	NL										
Arsenic	ug/L	25										
Barium	ug/L	1,000										
Cadmium	ug/L	5										
Calcium	ug/L	NL										
Chromium	ug/L	50										
Cobalt	ug/L	NL										
Copper	ug/L	200										
Iron	ug/L	300										
Lead	ug/L	25										
Magnesium	ug/L	35,000	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Manganese	ug/L	300										
Nickel	ug/L	100										
Potassium	ug/L	NL										
Selenium	ug/L	10										
Silver	ug/L	50										
Sodium	ug/L	20,000										
Thallium	ug/L	0.5										
Vanadium	ug/L	NL										
Zinc	ug/L	2,000										
Mercury	ug/L	0.7										
<b>VOCs</b>												
2-Butanone (MEK)	ug/L	50	<5.0	1.5 J	1.8 J	<5.0	<5.0	<5.0	1.8 J	<5.0	1.7 J	3.1 J
2-Hexanone	ug/L	50	<5.0	<5.0	<5.0	<5.0	<5.0	<5.0	<5.0	<5.0	<5.0	1.8 J
Acetone	ug/L	50	45.2	69	73.5	40.9	50.1	40.8	34.7	57.4	31.3	15.9
Benzene	ug/L	1	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	0.72 J
Carbon disulfide	ug/L	60	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	1.2
Ethylbenzene	ug/L	5	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	1.1	<1.0	<1.0
Isopropylbenzene (Cumene)	ug/L	5	<1.0	<1.0	<1.0	1.5	<1.0	<1.0	<1.0	8.3	<1.0	<1.0
Methyl acetate	ug/L	NL	<1.0	<1.0	5.3	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0
Methyl-tert-butyl ether	ug/L	10	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0
Methylcyclohexane	ug/L	NL	<1.0	<1.0	<1.0	3.9	1.2	<1.0	<1.0	<1.0	<1.0	1.3
Tetrachloroethene	ug/L	5	<1.0	<1.0	<1.0	<1.0	2.2	<1.0	<1.0	<1.0	<1.0	<1.0
Toluene	ug/L	5	<1.0	<1.0	1.1	1.5	1.3	<1.0	<1.0	<1.0	<1.0	1.9
Trichloroethene	ug/L	5	<1.0	<1.0	<1.0	<1.0	15.4	<1.0	<1.0	<1.0	<1.0	13.6
Xylene (Total)	ug/L	5	<2.0	<2.0	<2.0	1.3 J	1.0 J	<2.0	<2.0	3.0	<2.0	1.5 J
cis-1,2-Dichloroethene	ug/L	5	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0
trans-1,2-Dichloroethene	ug/L	5	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0	<1.0
1,2-Dichloroethylene (Total)	ug/L	5	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<b>SVOCs</b>												
2-Methylnaphthalene	ug/L	NL		<5.0							<5.0	
Acenaphthene	ug/L	20		<5.0							<5.0	
Benzoic Acid	ug/L	NL		NA							NA	
Bis(2-ethylhexyl)phthalate	ug/L	5		NA							NA	
Fluorene	ug/L	50		<5.0							<5.0	
2-Methylphenol	ug/L	1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
3,4-Methylphenol	ug/L	1		NA							NA	
Phenanthrene	ug/L	50		<5.0							<5.0	
Phenol	ug/L	1		NA							NA	
Pyrene	ug/L	50		<5.0							<5.0	
Naphthalene	ug/L	10		<5.0							<5.0	
<b>Cyanide</b>												
Cyanide	ug/L	200	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<b>Thorium</b>												
Thorium-228	pCi/L	15 <sup>(A)</sup>									0.020 (±0.119)	0.098 (±0.231)
Thorium-230	pCi/L	15 <sup>(A)</sup>	NA	NA	NA	NA	NA	NA	NA	NA	0.045 (±0.088)	0.040 (±0.111)
Thorium-232	pCi/L	15 <sup>(A)</sup>									0.024 (±0.088)	0.009 (±0.111)

NOTES:  
 "<" indicates compound not detected above laboratory method detection limit (MDL) with the limit shown  
 Thorium data displayed as "Activity (± Uncertainty - 95% Confidence Interval)".  
<sup>(A)</sup>NYCRR Part 703 Groundwater Quality Standard not listed. Values shown are the "Radionuclide Drinking Water Maximum Contaminant Levels" obtained from the USEPA's Soil Screening Guidance for Radionuclides Technical Background Document.  
 VOCs analyzed by USEPA Method 8260  
 SVOCs analyzed by USEPA Method 8270  
 Metals analyzed by USEPA Method 6010/7470  
 Cyanide analyzed by USEPA Method 9012  
 Thorium Isotopes analyzed by USEPA Method HSL 300  
 Yellow highlighted cells indicates value above NYSDEC NYCRR Part 703 Groundwater Quality Standards  
 NL indicates Not Listed  
 NA indicates Not Analyzed  
 2004 samples collected by Leader and the data was obtained from the 2005 Phase II ESA Report by Leader. ND indicates compound not detected  
 J indicates an estimated value  
 D indicates result is from a dilution  
 P indicates preservation  
 B indicates analyte detected in a blank  
 \* indicates data not yet received from laboratory.

**Table 4 - 2017 Phase II ESA (Page 2)**  
 Summary of Detected Compounds in Groundwater

Sample ID	Units	NYSDEC Groundwater Quality Standards	IBSNE		IBSSW		IB5SW2		IB6NE		IB11AW		IB12SW		IL2NE		SL6SE		SL11W	SB4NE
			19.8-29.8		5-15		4.5-19.5		14.5-24.5		13-23		5-15		14-24		5.5-14.5		8.5-22.5	5.6-13.6
			5/7/2004	9/7/2017	5/6/2004	9/6-7/2017	5/6/2004	9/6-7/2017	5/7/2004	9/7/2017	5/6/2004	9/7/2017	5/6/2004	9/6-7/2017	5/6/2004	9/7/2017	5/7/2004	9/6-7/2017	5/7/2004	5/6-7/2004
<b>Metals</b>																				
Aluminum	ug/L	NL	2430		1710	97.0 J	499		437		2,100	<200	827		2,110		611	<200	5120	7160
Arsenic	ug/L	25	5.49 J		ND	<10.0	ND		ND		ND	<10.0	6.83 J		ND		4.7 J	<10.0	3.23 J	10.8
Barium	ug/L	1,000	173 J		343	14.2 J	135 J		296		253	122 J	107 J		127 J		2490	63.2 J	796	168 J
Cadmium	ug/L	5	ND		ND	0.19 J	ND		ND		ND	<2.5	ND		ND		ND	<2.5	ND	ND
Calcium	ug/L	NL	761,000 D		447,000	21,800	1,400,000 D		1,220,000 D		408	166,000	363,000		481,000		1,370,000 D	14,400	1,050,000 D	1,450 D
Chromium	ug/L	50	3.51 J		5.24 J	<10.0	ND		ND		3.9 J	<10.0	2.56 J		8.51 J		ND	<10.0	10.4	6.46 J
Cobalt	ug/L	NL	ND		ND	<50.0	34.1 J		ND		ND	1.1 J	13.3 J		ND		ND	<50.0	ND	ND
Copper	ug/L	200	16.5 J		7.3 J	<25.0	ND		ND		ND	<25.0	9.61 J		8.09 J		ND	<25.0	30.7	9.55 J
Iron	ug/L	300	7100		4760	131	5530		6190		4,930	<200	6290		6870		20,400	1,290	13,700	5570
Lead	ug/L	25	21.9		16.4	1.6 J	1.44 J		2.86 J		12	2.3 J	18		18.5		5.19	<5.0	34.9	9.21
Magnesium	ug/L	35,000	203,000	NA	69,800	6,800	87,500	NA	82,200	NA	92,200	63,700	102,000	NA	78,400	NA	101,000	2,210	98,500	40500
Manganese	ug/L	300	1340		507	2.3 J	1660		2040		464	44.2	657		612		1,310	6.2 J	4110	1270
Nickel	ug/L	100	10.8 J		9.31 J	<40.0	40.4		18.7 J		7.54 J	2.1 J	30.6 J		24.1 J		11.3 J	<40.0	10.1 J	29.3 J
Potassium	ug/L	NL	32,800		31,000	2,710 J	54300		15,900		16,000	9,100	44,900		20,500		17,800	2,400 J	80600 D	159,000 D
Selenium	ug/L	10	1.4 J		1.44 J	<10.0	1.57 J		1.68 J		1.44 J	<10.0	1.39 J		1.41 J		1.8 J	<10.0	1.94 J	1.79 J
Silver	ug/L	50	5.43 J		2.67 J	<10.0	7.84 J		7.57 J		ND	<10.0	ND		3.61 J		8.47 J	<10.0	5.76 J	8.65 J
Sodium	ug/L	20,000	3,150,000		1,650,000	81,900	1,170,000 D		362,000		367,000	648,000	783,000		1,300,000 D		3,640,000	498,000	11,700,000 D	515,000
Thallium	ug/L	0.5	ND		ND	<10.0	ND		ND		4.52 J	<10.0	4.07 J		4.39 J		7.95 J	<10.0	ND	3.29 J
Vanadium	ug/L	NL	ND		ND	1.4 J	ND		ND		ND	1.0 J	ND		ND		ND	1.9 J	ND	ND
Zinc	ug/L	2,000	27.7 B		17.5 J	<20.0	15 JB		22.6 B		36.5 B	21.5	36.8 B		30.5 B		32.9 B	<20.0	63.2 B	37.5 B
Mercury	ug/L	0.7	ND		ND	0.066 J	ND		ND		ND	<0.20	ND		ND		ND	0.056 J	ND	ND
<b>VOCs</b>																				
2-Butanone (MEK)	ug/L	50	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	18 P
2-Hexanone	ug/L	50	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	<5.0	ND	ND
Acetone	ug/L	50	ND	22.8	ND	56.2	ND	52.7	ND	10.9	ND	16.2	ND	41.2	ND	19	ND	34.4	ND	120 J
Benzene	ug/L	1	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	29 DJ	3.1 JP
Carbon disulfide	ug/L	60	ND	<1.0	ND	<1.0	3.1 JP	<1.0	ND	<1.0	ND	<1.0	2.8 JP	<1.0	ND	<1.0	ND	<1.0	ND	5.5 P
Ethylbenzene	ug/L	5	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	2.0	870 D	12
Isopropylbenzene (Cumene)	ug/L	5	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	14.8	ND	ND
Methyl acetate	ug/L	NL	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	ND
Methyl-tert-butyl ether	ug/L	10	ND	<1.0	ND	<1.0	ND	<1.0	ND	3.1	ND	4.8	ND	<1.0	ND	<1.0	ND	<1.0	ND	ND
Methylcyclohexane	ug/L	NL	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	16.2	ND	ND
Tetrachloroethene	ug/L	5	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	2.2	ND	<1.0	ND	ND
Toluene	ug/L	5	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	ND	<1.0	1.5 JP	<1.0	ND	<1.0	ND	<1.0	380 D	8.5 P
Trichloroethene	ug/L	5	ND	<1.0	4.8 J	<1.0	7.7 P	2.1	ND	<1.0	16	13.9	28 P	<1.0	3.9 J	8.1	ND	<1.0	ND	ND
Xylene (Total)	ug/L	5	ND	<2.0	ND	<2.0	ND	<2.0	ND	<2.0	ND	<2.0	ND	<2.0	ND	<2.0	130 D	21.9	1700 D	48 P
cis-1,2-Dichloroethene	ug/L	5	NA	<1.0	NA	<1.0	NA	<1.0	NA	<1.0	NA	5.4	NA	1.6	NA	<1.0	NA	<1.0	NA	NA
trans-1,2-Dichloroethene	ug/L	5	NA	<1.0	NA	<1.0	NA	<1.0	NA	<1.0	NA	<1.0	NA	1.3	NA	<1.0	NA	<1.0	NA	NA
1,2-Dichloroethylene (Total)	ug/L	5	ND	NA	23	NA	3.5 JP	NA	ND	NA	12	NA	ND	NA	ND	NA	ND	NA	ND	ND
<b>SVOCs</b>																				
2-Methylnaphthalene	ug/L	NL	ND		ND		ND		ND		ND		ND		ND		16	2.2 J	56 D	20 D
Acenaphthene	ug/L	20	ND		ND		ND		ND		ND		ND		ND		ND	<5.0	<5.0	5.9 DJ
Benzoic Acid	ug/L	NL	ND		ND		ND		ND		ND		ND		ND		ND	NA	NA	42 DJ
Bis(2-ethylhexyl)phthalate	ug/L	5	7.1 J		ND		ND		2.7 J		ND		3.1 J		ND		3 J	NA	11 DJ	29 D
Fluorene	ug/L	50	ND		ND		ND		ND		ND		ND		ND		ND	<5.0	ND	4.6 DJ
2-Methylphenol	ug/L	1	ND	NA	ND	NA	ND	NA	ND	NA	ND	NA	ND	NA	ND	NA	ND	NA	ND	31 D
3,4-Methylphenol	ug/L	1	ND		ND		ND		ND		ND		ND		ND		ND	NA	ND	18 D
Phenanthrene	ug/L	50	ND		ND		ND		ND		ND		ND		ND		ND	<5.0	ND	13 DJ
Phenol	ug/L	1	ND		ND		ND		ND		ND		ND		ND		ND	NA	ND	14 DJ
Pyrene	ug/L	50	ND		ND		ND		ND		ND		ND		ND		ND	<5.0	ND	5.7 DJ
Naphthalene	ug/L	10	ND		ND		ND		ND		ND		ND		ND		18	9.4	310 D	4.7 DJ
<b>Cyanide</b>																				
Cyanide	ug/L	200	NA	NA	NA	<10.0	NA	NA	NA	NA	NA	NA	NA	<10.0	NA	NA	NA	NA	NA	NA
<b>Thorium</b>																				
Thorium-228	pCi/L	15 <sup>(A)</sup>	NA	NA	ND	0.029 (±0.141)	NA	0.117 (±0.166)	NA	0.004 (±0.401)	0.58	1.30 (±0.458)	0.53	0.185 (±0.191)	1.1	NA	NA	NA	NA	NA
Thorium-230	pCi/L	15 <sup>(A)</sup>	NA	NA	ND	-0.007 (±0.110)	NA	-0.022 (±0.108)	NA	-0.061 (±0.115)	1.3	0.061 (±0.122)	2.1	0.061 (±0.110)	2.1	NA	NA	NA	NA	NA
Thorium-232	pCi/L	15 <sup>(A)</sup>	NA	NA	3.7	-0.007 (±0.110)	NA	-0.007 (±0.108)	NA	-0.008 (±0.114)	0.54	0.522 (±0.258)	0.46	0.030 (±0.109)	1.1	NA	NA	NA	NA	NA

NOTES:  
 "<" indicates compound not detected above laboratory method detection limit (MDL) with the limit shown  
 Thorium data displayed as "Activity (± Uncertainty - 95% Confidence Interval)".  
<sup>(A)</sup>NYCRR Part 703 Groundwater Quality Standard not listed. Values shown are the "Radionuclide Drinking Water Maximum Contaminant Levels" obtained from the USEPA's Soil Screening Guidance for Radionuclides Technical Background Document.  
 VOCs analyzed by USEPA Method 8260  
 SVOCs analyzed by USEPA Method 8270  
 Metals analyzed by USEPA Method 6010/7470  
 Cyanide analyzed by USEPA Method 9012  
 Thorium isotopes analyzed by USEPA Method HASL 300  
 Yellow highlighted cells indicates value above NYSDEC NYCRR Part 703 Groundwater Quality Standards  
 NL indicates Not Listed  
 NA indicates Not Analyzed  
 2004 samples collected by Leader and the data was obtained from the 2005 Phase II ESA Report by Leader. ND indicates compound not detected  
 J indicates an estimated value  
 D indicates result is from a dilution  
 P indicates preservation  
 B indicates analyte detected in a blank  
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Phase II ESA  
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St. Paul Street  
Rochester, New York

**Table 4 - 2017 Phase II ESA (Page 3)**  
Summary of Detected Compounds in Groundwater

Sample ID	Units	NYSDEC Groundwater Quality Standards	BLIND DUPLICATE 1 (SL6SE)	BLIND DUPLICATE 2 (IB5SW)	BLIND DUPLICATE 3 (IB5SW)	BLIND DUPLICATE 4 (IB12SW)	TRIP BLANK 1	TRIP BLANK 2
Screened Interval (ft bgs)			5.5-14.5	5-15	5-15	5-15	NA	NA
Sample Date			9/7/2017	9/6/2017	9/6/2017	9/6/2017	9/7/2017	9/7/2017
<b>Metals</b>								
Aluminum	ug/L	NL		129 J				
Arsenic	ug/L	25		<10.0				
Barium	ug/L	1,000		14.8 J				
Cadmium	ug/L	5		0.20 J				
Calcium	ug/L	NL		22,000				
Chromium	ug/L	50		1.6 J				
Cobalt	ug/L	NL		<50.0				
Copper	ug/L	200		<25.0				
Iron	ug/L	300		146				
Lead	ug/L	25		<5.0				
Magnesium	ug/L	35,000	NA	6,960	NA	NA	NA	NA
Manganese	ug/L	300		2.6 J				
Nickel	ug/L	100		1.2 J				
Potassium	ug/L	NL		2,640 J				
Selenium	ug/L	10		<10.0				
Silver	ug/L	50		<10.0				
Sodium	ug/L	20,000		82,600				
Thallium	ug/L	0.5		<10.0				
Vanadium	ug/L	NL		2.4 J				
Zinc	ug/L	2,000		<20.0				
Mercury	ug/L	0.7		0.046 J				
<b>VOCs</b>								
2-Butanone (MEK)	ug/L	50				<5.0	<5.0	<5.0
2-Hexanone	ug/L	50				<5.0	<5.0	<5.0
Acetone	ug/L	50				66.9	27.9	46.1
Benzene	ug/L	1				<1.0	<1.0	<1.0
Carbon disulfide	ug/L	60				<1.0	<1.0	<1.0
Ethylbenzene	ug/L	5				<1.0	<1.0	<1.0
Isopropylbenzene (Cumene)	ug/L	5				<1.0	<1.0	<1.0
Methyl acetate	ug/L	NL				<1.0	<1.0	<1.0
Methyl-tert-butyl ether	ug/L	10				<1.0	<1.0	<1.0
Methylcyclohexane	ug/L	NL				<1.0	<1.0	<1.0
Tetrachloroethene	ug/L	5				<1.0	<1.0	<1.0
Toluene	ug/L	5				<1.0	<1.0	<1.0
Trichloroethene	ug/L	5				<1.0	<1.0	<1.0
Xylene (Total)	ug/L	5				<2.0	<2.0	<2.0
cis-1,2-Dichloroethene	ug/L	5				1.8	<1.0	<1.0
trans-1,2-Dichloroethene	ug/L	5				1.5	<1.0	<1.0
1,2-Dichloroethylene (Total)	ug/L	5						
<b>SVOCs</b>								
2-Methylnaphthalene	ug/L	NL	3.2 J					
Acenaphthene	ug/L	20	<5.0					
Benzoic Acid	ug/L	NL	NA					
Bis(2-ethylhexyl)phthalate	ug/L	5	NA					
Fluorene	ug/L	50	1.4 J					
2-Methylphenol	ug/L	1	NA	NA	NA	NA	NA	NA
3,4-Methylphenol	ug/L	1	NA					
Phenanthrene	ug/L	50	<5.0					
Phenol	ug/L	1	NA					
Pyrene	ug/L	50	<5.0					
Naphthalene	ug/L	10	10.9					
<b>Cyanide</b>								
Cyanide	ug/L	200	NA	NA	<10.0	NA	NA	NA
<b>Thorium</b>								
Thorium-228	pCi/L	15 <sup>(A)</sup>						
Thorium-230	pCi/L	15 <sup>(A)</sup>	NA	NA	NA	NA	NA	NA
Thorium-232	pCi/L	15 <sup>(A)</sup>						

NOTES:  
 "<" indicates compound not detected above laboratory method detection limit (MDL) with the limit shown  
 Thorium data displayed as "Activity (± Uncertainty - 95% Confidence Interval)".  
<sup>(A)</sup>NYCRR Part 703 Groundwater Quality Standard not listed. Values shown are the "Radionuclide Drinking Water Maximum Contaminant Levels" obtained from the USEPA's Soil Screening Guidance for Radionuclides Technical Background Document.  
 VOCs analyzed by USEPA Method 8260  
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 Metals analyzed by USEPA Method 6010/7470  
 Cyanide analyzed by USEPA Method 9012  
 Thorium Isotopes analyzed by USEPA Method HASL 300  
 Yellow highlighted cells indicates value above NYSDEC NYCRR Part 703 Groundwater Quality Standards  
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**Phase II ESA  
Eastman Kodak Company  
Hawkeye Facility  
St. Paul Street  
Rochester, New York**

**Table 5 - 2017 Phase II ESA (Page 1)  
Summary of Soil Vapor Intrusion Testing**

Building Number	Building 11		Building 11a		Building 6		Building 10		Building 12		NYSDOH Sub-Slab Vapor Concentration Decision Matrix (minimum action level) <sup>(1)</sup>	NYSDOH Indoor Air Concentration (minimum action level) <sup>(1)</sup>	NYSDOH Guidance Table C2. USEPA BASE Database - 90th Percentile <sup>(2)</sup>
Sample ID	SS-01	IAQ-01	SS-02	IAQ-02	SS-03	IAQ-03	SS-04	IAQ-04	SS-05	IAQ-05			
Sample Type	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air			
Sample Date	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017			
1,1,1-Trichloroethane	13	<0.82	13	<0.82	2.0	<0.82	2.7	<0.82	<0.82	<0.82	100***	3***	20.6
1,2,4-Trimethylbenzene	3.4	<0.74	9.0	0.54 J	6.3	0.64 J	6.7	0.59 J	6.3	0.59 J	NL	NL	9.5
1,3,5-Trimethylbenzene	1.3	<0.74	3.5	<0.74	2.7	<0.74	2.7	<0.74	2.5	<0.74	NL	NL	3.7
4-ethyltoluene	0.98	<0.74	2.4	<0.74	2.1	<0.74	1.9	<0.74	1.9	<0.74	NL	NL	3.6
Acetone	110	7.0	250	19	68	14	1300	17	380	18	NL	NL	98.9
Benzene	3.5	0.35 J	11	0.35 J	5.7	0.45 J	23	0.48	11	0.38 J	NL	NL	9.4
Carbon Disulfide	3.4	<0.47	16	<0.47	2.7	<0.47	26	<0.47	3.5	<0.47	NL	NL	4.2
Carbon Tetrachloride	0.82 J	0.63	1.0	0.50	0.88 J	0.69	<0.94	0.63	0.69 J	0.69	6**	0.2**	<1.3
Chloroform	1.5	<0.73	7.0	<0.73	0.93	<0.73	1.1	<0.73	10	<0.73	NL	NL	1.1
Chloromethane	1.1	1.4	4.5	0.93	1.8	1.2	1.5	1.2	<0.31	1.2	NL	NL	3.7
cis-1,2-Dichloroethene	<0.59	<0.59 <sup>(3)</sup>	0.71	<0.59 <sup>(3)</sup>	<0.59	<0.59 <sup>(3)</sup>	<0.59	<0.59 <sup>(3)</sup>	50	<0.59 <sup>(3)</sup>	6**	0.2**	NL
Cyclohexane	16	<0.52	35	<0.52	10	<0.52	42	<0.52	20	<0.52	NL	NL	NL
Ethyl acetate	<0.54	<0.54	<0.54	0.50 J	<0.54	0.61	<0.54	0.50 J	<0.54	0.47 J	NL	NL	5.4
Ethylbenzene	0.82	<0.65	1.5	<0.65	1.1	<0.65	1.3	<0.65	2.0	<0.65	NL	NL	5.7
Freon 11	6.1	1.6	4.6	3.0	3.3	2.2	12	2.1	2.5	1.5	NL	NL	18.1
Freon 113	2.3	<1.1	2.3	<1.1	1.9	<1.1	1.5	<1.1	1.1 J	<1.1	NL	NL	<5.0
Freon 12	1.8	2.7	2.9	2.9	3.6	3.0	2.9	3.1	3.1	2.8	NL	NL	16.5
Heptane	50	<0.61	96	<0.61	20	<0.61	89	0.45 J	43	0.45 J	NL	NL	NL
Hexane	49	<0.53	79	0.56	16	0.49 J	79	0.53	44	0.67	NL	NL	10.2
Isopropyl alcohol	48	2.1	53	3.0	29	1.7	47	2.5	41	1.8	NL	NL	NL
m&p-xylene	2.1	<1.3	3.9	0.48 J	3.0	0.56 J	3.0	0.52 J	4.6	0.78 J	NL	NL	22.2
Methyl Ethyl Ketone	6.0	0.47 J	5.6 J	0.91	6.0	1.3	26	0.71 J	9.4	0.59 J	NL	NL	NL
Methyl Isobutyl Ketone	<1.2	<1.2	<1.2	<1.2	<1.2	<1.2	<1.2	<1.2	<1.2	5.7	NL	NL	NL
Methylene chloride	6.9	1.5	15	1.6	14	1.4	19	2.6	11	0.97	100***	3***/60*	NL
o-xylene	0.82	<0.65	1.6	<0.65	1.2	<0.65	1.4	<0.65	1.6	<0.65	NL	NL	7.9
Styrene	0.60 J	<0.64	0.98	<0.64	0.89	<0.64	0.94	<0.64	0.89	<0.64	NL	NL	1.9
Tetrachloroethylene	0.95 J	<1.0	2.2	<1.0	1.1	<1.0	9.9	<1.0	2.6	<1.0	100***	3***/30*	NL
Tetrahydrofuran	<0.44	<0.44	<0.44	<0.44	1.3	<0.44	<0.44	<0.44	2.1	<0.44	NL	NL	3.3
Toluene	29	0.90	26	1.6	16	1.6	27	1.1	22	1.9	NL	NL	43
trans-1,2-Dichloroethene	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	0.87	<0.59	NL	NL	NL
Trichloroethene	180	<0.21	190	1.6	11	0.75	74	0.75	310	6.1	6**	0.2** / 2*	4.2
Vinyl chloride	0.41	<0.10	0.56	<0.10	0.97	<0.10	2.3	<0.10	1.2	<0.10	6****	0.2****	<1.9

**Notes:**  
Concentrations in micrograms per cubic meter (ug/m<sup>3</sup>)  
Samples analyzed by USEPA Method TO-15  
< indicates the concentration was not detected above the reporting limit  
(1) New York State Department of Health (NYSDOH), *Guidance for Evaluating Soil Vapor Intrusion in the State of New York*, October 2006 and subsequent updates. [Note: This Guidance uses a combination of indoor air and sub-slab soil vapor when comparing to the matrices. In addition, for compounds not listed in the matrices an overall site approach is employed which utilizes the USEPA BASE Database (see 2. below) as typical background for commercial buildings and also uses the outdoor air sample, refer to Guidance document for details.]  
(2) USEPA Building Assessment and Survey Evaluation (BASE) Database (90th Percentile). As recommended in Section 3.2.4 of the NYSDOH Guidance (Refer to Footnote "1") this database is referenced for the indoor air sampling results. This database is also referenced to provide initial benchmarks for comparison to the air sampling data and does not represent regulatory standards or compliance values.  
(3) The reporting limit of 0.59 ug/m<sup>3</sup> is above the minimum action level in the decision matrix of 0.2 ug/m<sup>3</sup>, therefore although the compound was not detected it is possible for the compound to be present above 0.2 ug/m<sup>3</sup>  
\* = Air Guideline Values obtained from Table 3.1, NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* and updates in September 2013 for PCE and August 2015 for TCE.  
\*\* = Guideline Value obtained from Soil Vapor/Indoor Air Matrix A (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
\*\*\* = Guidance Value obtained from Soil Vapor/Indoor Air Matrix B (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
\*\*\*\* = Guidance Value obtained from Soil Vapor/Indoor Air Matrix C (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
Red values are above Air Guideline Derived by NYSDOH in Table 3.1 of NYSDOH Guidance titled "Evaluating Soil Vapor Intrusion in the State of New York", October 2006 (and subsequent updates).  
J indicates an estimated value  
**NYSDOH Guidance for Evaluating Soil Vapor Intrusion in the State of New York, May 2017 Decision Matrices Notes:**  
**NO FURTHER ACTION:**  
Given that the compound was not detected in the indoor air sample and that the concentration detected in the sub-slab vapor sample is not expected to significantly affect indoor air quality, no additional actions are needed to address human exposures.  
**IDENTIFY SOURCE(S) AND RESAMPLE OR MITIGATE:**  
The concentration detected in the indoor air sample is likely due to indoor and/or outdoor sources rather than soil vapor intrusion given the concentration detected in the sub-slab vapor sample. Therefore, steps should be taken to identify potential source(s) and to reduce exposures accordingly (e.g., by keeping containers tightly capped or by storing volatile organic compound-containing products in places where people do not spend much time, such as a garage or outdoor shed). Resampling may be recommended to demonstrate the effectiveness of actions taken to reduce exposures.  
**MONITOR:**  
Monitoring, including sub-slab vapor, basement air, lowest occupied living space air, and outdoor air sampling, is needed to determine whether concentrations in the indoor air or sub-slab vapor have changed. Monitoring may also be needed to determine whether existing building conditions (e.g., positive pressure heating, ventilation and air-conditioning systems) are maintaining the desired mitigation endpoint and to determine whether changes are needed. The type and frequency of monitoring is determined on a site-specific and building-specific basis, taking into account applicable environmental data and building operating conditions. Monitoring is an interim measure required to evaluate exposures related to soil vapor intrusion until contaminated environmental media are remediated.  
**MITIGATE:**  
Mitigation is needed to minimize current or potential exposures associated with soil vapor intrusion. The most common mitigation methods are sealing preferential pathways in conjunction with installing a sub-slab depressurization system, and changing the pressurization of the building in conjunction with monitoring. The type, or combination of types, of mitigation is determined on a building-specific basis, taking into account building construction and operating conditions. Mitigation is considered a temporary measure implemented to address exposures related to soil vapor intrusion until contaminated environmental media are remediated.

**Phase II ESA  
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On Site Building

**Table 5 - 2017 Phase II ESA (Page 2)  
Summary of Soil Vapor Intrusion Testing**

Building Number	Building 12a		Building 5		Building 5		Building 4		N/A	NYSDOH Sub-Slab Vapor Concentration Decision Matrix (minimum action level) <sup>(1)</sup>	NYSDOH Indoor Air Concentration (minimum action level) <sup>(1)</sup>	NYSDOH Guidance Table C2. USEPA BASE Database - 90th Percentile <sup>(2)</sup>	
	Sample ID	SS-06	IAQ-06	SS-07	IAQ-07	SS-08	IAQ-08	SS-09	IAQ-09				Outdoor Air
Sample Type	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Sub-Slab	Indoor Air	Outdoor Air				
Sample Date	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017	9/8/2017				
1,1,1-Trichloroethane	0.87	<0.82	2.6	<0.82	2.4	<0.82	<0.82	<0.82	<0.82	<0.82	100***	3***	20.6
1,2,4-Trimethylbenzene	9.4	<0.74	11	0.64 J	8.7	<0.74	8.5	0.84	<0.74	<0.74	NL	NL	9.5
1,3,5-Trimethylbenzene	3.5	<0.74	4.2	0.74	3.4	<0.74	3.4	<0.74	<0.74	<0.74	NL	NL	3.7
4-ethyltoluene	2.3	<0.74	2.9	<0.74	2.4	<0.74	2.2	<0.74	<0.74	<0.74	NL	NL	3.6
Acetone	79	16	110	25	210	21	98	23	23	23	NL	NL	98.9
Benzene	3.7	1.1	2.4	0.54	1.3	0.42 J	1.6	0.42 J	0.35 J	0.35 J	NL	NL	9.4
Carbon Disulfide	<0.47	0.37 J	11	<0.47	6.8	<0.47	3.9	<0.47	0.37 J	0.37 J	NL	NL	4.2
Carbon Tetrachloride	<0.94	0.63	0.69 J	0.63	0.69 J	0.63	1.7	0.69	0.69	0.69	6 **	0.2**	<1.3
Chloroform	4.2	0.54 J	4.2	0.54 J	3.3	<0.73	3.6	<0.73	<0.73	<0.73	NL	NL	1.1
Chloromethane	1.1	1.5	2.2	1.4	2.7	1.2	1.7	2.2	1.7	1.7	NL	NL	3.7
cis-1,2-Dichloroethene	25	<0.59 <sup>(3)</sup>	<0.59	<0.59 <sup>(3)</sup>	<0.59	<0.59 <sup>(3)</sup>	<0.59	<0.59 <sup>(3)</sup>	<0.59	<0.59	6**	0.2**	NL
Cyclohexane	5.4	<0.52	5.0	<0.52	1.8	<0.52	1.9	<0.52	<0.52	<0.52	NL	NL	NL
Ethyl acetate	<0.54	0.47 J	9.0	0.90	8.3	<0.54	5.6	0.65	0.47 J	0.47 J	NL	NL	5.4
Ethylbenzene	1.9	<0.65	1.3	<0.65	1.1	<0.65	2.0	<0.65	<0.65	<0.65	NL	NL	5.7
Freon 11	1.9	1.7	2.0	2.6	2.4	2.0	21	37	1.5	1.5	NL	NL	18.1
Freon 113	3100	1.6	4.5	1.1	6.1	<1.1	5.1	<1.1	<1.1	<1.1	NL	NL	<5.0
Freon 12	<0.74	2.9	34	3.4	20	3.3	2.6	3.1	2.7	2.7	NL	NL	16.5
Heptane	22	0.53 J	8.2	0.57 J	7.3	<0.61	9.0	0.45 J	<0.61	<0.61	NL	NL	NL
Hexane	18	0.67	8.1	0.74	7.0	0.46 J	5.9	1.1	0.39 J	0.39 J	NL	NL	10.2
Isopropyl alcohol	50	2.5	42	2.9	53	3.8	29	3.0	4.5	4.5	NL	NL	NL
m&p-xylene	5.4	0.65 J	3.6	0.65 J	3.0	<1.3	3.7	0.61 J	<1.3	<1.3	NL	NL	22.2
Methyl Ethyl Ketone	7.7	0.71 J	6.5 J	1.2	6.2	0.68 J	8.8	1.0	0.77 J	0.77 J	NL	NL	NL
Methyl Isobutyl Ketone	<1.2	0.98 J	1.8	0.57 J	1.0 J	<1.2	<1.2	<1.2	<1.2	<1.2	NL	NL	NL
Methylene chloride	10	1.6	28	2.9	26	2.0	18	2.5	1.3	1.3	100***	3***/60*	NL
o-xylene	2.1	<0.65	1.6	<0.65	1.3	<0.65	<0.65	<0.65	<0.65	<0.65	NL	NL	7.9
Styrene	1.3	<0.64	1.2	<0.64	0.89	<0.64	<0.64	<0.64	<0.64	<0.64	NL	NL	1.9
Tetrachloroethylene	1.4	<1.0	0.95 J	<1.0	0.81 J	<1.0	<1.0	<1.0	<1.0	<1.0	100***	3***/30*	NL
Tetrahydrofuran	<0.44	<0.44	<0.44	<0.44	1.1	<0.44	<0.44	<0.44	<0.44	<0.44	NL	NL	3.3
Toluene	44	1.2	29	2.8	23	1.0	15	2.7	1.4	1.4	NL	NL	43
trans-1,2-Dichloroethene	1.1	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	<0.59	NL	NL	NL
Trichloroethene	2900	4.5	110	2.5	260	1.3	3.0	1.5	<0.21	<0.21	6 **	0.2** / 2*	4.2
Vinyl chloride	0.49	<0.10	0.79	<0.10	0.66	<0.10	<0.38	<0.10	<0.10	<0.10	6****	0.2****	<1.9

**Notes:**  
 Concentrations in micrograms per cubic meter (ug/m<sup>3</sup>)  
 Samples analyzed by USEPA Method TO-15  
 < indicates the concentration was not detected above the reporting limit  
 (1) New York State Department of Health (NYSDOH), *Guidance for Evaluating Soil Vapor Intrusion in the State of New York*, October 2006 and subsequent updates. [Note: This Guidance uses a combination of indoor air and sub-slab soil vapor when comparing to the matrices. In addition, for compounds not listed in the matrices an overall site approach is employed which utilizes the USEPA BASE Database (see 2. below) as typical background for commercial buildings and also uses the outdoor air sample, refer to Guidance document for details.]  
 (2) USEPA Building Assessment and Survey Evaluation (BASE) Database (90th Percentile). As recommended in Section 3.2.4 of the NYSDOH Guidance (Refer to Footnote "1") this database is referenced for the indoor air sampling results. This database is also referenced to provide initial benchmarks for comparison to the air sampling data and does not represent regulatory standards or compliance values.  
 (3) The reporting limit of 0.59 ug/m<sup>3</sup> is above the minimum action level in the decision matrix of 0.2 ug/m<sup>3</sup>, therefore although the compound was not detected it is possible for the compound to be present above 0.2 ug/m<sup>3</sup>  
 \* = Air Guideline Values obtained from Table 3.1, NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* and updates in September 2013 for PCE and August 2015 for TCE.  
 \*\* = Guideline Value obtained from Soil Vapor/Indoor Air Matrix A (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
 \*\*\* = Guidance Value obtained from Soil Vapor/Indoor Air Matrix B (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
 \*\*\*\* = Guidance Value obtained from Soil Vapor/Indoor Air Matrix C (minimum action level), NYSDOH, *Guidance for Evaluating Soil Vapor Intrusion in the State of New York* May 2017.  
 Red values are above Air Guideline Derived by NYSDOH in Table 3.1 of NYSDOH Guidance titled "Evaluating Soil Vapor Intrusion in the State of New York", October 2006 (and subsequent updates).  
 J indicates an estimated value  
 NYSDOH Guidance for Evaluating Soil Vapor Intrusion in the State of New York, May 2017 Decision Matrices Notes:  
**NO FURTHER ACTION:**  
 Given that the compound was not detected in the indoor air sample and that the concentration detected in the sub-slab vapor sample is not expected to significantly affect indoor air quality, no additional actions are needed to address human exposures.  
**IDENTIFY SOURCE(S) AND RESAMPLE OR MITIGATE:**  
 The concentration detected in the indoor air sample is likely due to indoor and/or outdoor sources rather than soil vapor intrusion given the concentration detected in the sub-slab vapor sample. Therefore, steps should be taken to identify potential source(s) and to reduce exposures accordingly (e.g., by keeping containers tightly capped or by storing volatile organic compound-containing products in places where people do not spend much time, such as a garage or outdoor shed). Resampling may be recommended to demonstrate the effectiveness of actions taken to reduce exposures.  
**MONITOR:**  
 Monitoring, including sub-slab vapor, basement air, lowest occupied living space air, and outdoor air sampling, is needed to determine whether concentrations in the indoor air or sub-slab vapor have changed. Monitoring may also be needed to determine whether existing building conditions (e.g., positive pre-ssure heating, ventilation and air-conditioning systems) are maintaining the desired mitigation endpoint and to determine whether changes are needed. The type and frequency of monitoring is determined on a site-specific and building-specific basis, taking into account applicable environmental data and building operating conditions. Monitoring is an interim measure required to evaluate exposures related to soil vapor intrusion until contaminated environmental media are remediated.  
**MITIGATE:**  
 Mitigation is needed to minimize current or potential exposures associated with soil vapor intrusion. The most common mitigation methods are sealing preferential pathways in conjunction with installing a sub-slab depressurization system, and changing the pressurization of the building in conjunction with monitoring. The type, or combination of types, of mitigation is determined on a building-specific basis, taking into account building construction and operating conditions. Mitigation is considered a temporary measure implemented to address exposures related to soil vapor intrusion until contaminated environmental media are remediated.

# APPENDIX A

**HEALTH AND SAFETY PLAN**  
**for**  
**SITE INVESTIGATIONS AND REMEDIAL OVERSIGHT**

**HAWKEYE TRADE CENTER & RESIDENCES**  
**PARCEL 3**  
**1405 ST. PAUL STREET**  
**ROCHESTER, NEW YORK 14650**  
**NYSDEC SITE # C828203**

Prepared for:

WBS Capital, Inc.  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, New York 11354

Prepared by:



1270 Niagara Street  
Buffalo, New York 14213

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### ATTACHMENTS

- 1 – Table of Potential Hazards and OSHA Standards
- 2 – Heat Stress Management Program and Procedures
- 3 – Trenching and Excavation Health and Safety Requirements
- 4 – Map to Hospital
- 5 – NYSDOH Generic CAMP and Fugitive Dust and Particulate Monitoring

## 1.0 INTRODUCTION

The following health and safety procedures apply to BCP project personnel, including subcontractors, performing activities described in the RI Work Plan for the Hawkeye Parcel 3 BCP Project. Please note, however, contractors performing remedial work are required to either develop their own plans meeting these requirements at a minimum or adopt this plan.

### 1.1 PURPOSE

Directed at protecting the health and safety of the field personnel during field activities, the following Health and Safety Plan (HASP) was prepared to provide safe procedures and practices for personnel engaged in conducting the field activities associated with this project. The plan has been developed using the Occupational Safety and Health Administration (OSHA) 1910 and 1926 regulations and NYSDEC Brownfields DER-10 as guidance. The purpose of this HASP is to establish personnel protection standards and mandatory safety practices and procedures for this task specific effort. This plan assigns responsibilities, establishes standard operating procedures, and provides for contingencies that may arise during the field efforts.

### 1.2 APPLICABILITY

The provisions of the plan are mandatory for all personnel engaged in field activities. All personnel who engage in these activities must be familiar with this plan and comply with its requirements. The plan is based on available information concerning the project area and planned tasks. If more data concerning the project area becomes available that constitute safety concerns, the plan will be modified accordingly. A member of each contractor on the BCP project will be designated as Field Safety Officer and will be responsible for field safety. Any modifications to the plan will be made by the Field Safety Officer after discussion with the Project Manager and Health and Safety Officer. All modifications will be documented and provided to the Project Manager and the Health and Safety Officer for approval. A copy of this plan will be available to all on-site personnel, including subcontractors prior to their initial entry onto the site.

Before field activities begin, all personnel will be required to read the plan. All personnel must agree to comply with the minimum requirements of the plan, be responsible for health and safety, and sign the Statement of Compliance before site work begins.

### 1.3 FIELD ACTIVITIES

The work addressed by this HASP includes remedial investigation (RI) activities such as assessment of subsurface conditions related to soil and groundwater and oversight activities related to remediation. Field work will be conducted that can include test trenches/soil borings, monitoring well installation, groundwater and soil sampling, building demolition, soil excavation, etc.

### 1.4 PERSONNEL REQUIREMENTS

Key personnel are as follows:

Health and Safety Officer - Peter J. Gorton, CHCM  
Engineer and Project Manager - Jason Brydges, P.E.  
Geologist – John Boyd, PG

Technicians – Cory Lauber and Alex Brennen, EIT  
QA/QC – John Berry, P.E.

Responsibilities of some of the key personnel are as follows:

#### Project Manager

- Assuring that personnel are aware of the provisions of the HASP and are proficient in work practices necessary to ensure safety and in emergencies;
- Verifying that the provisions of this plan are implemented;
- Assuring that appropriate personnel protective equipment (PPE), if necessary, is available and properly utilized by all personnel;
- Assuring that personnel are aware of the potential hazards associated with Site operations;
- Supervising the monitoring of safety performance by all personnel and ensuring that required work practices are employed; and,
- Maintaining sign-off forms and safety briefing forms.

#### Health and Safety Officer:

- Monitoring work practices to determine if potential hazards are present, such as heat/cold stress, safety rules near heavy equipment, etc.;
- Determining changes to work efforts or equipment to ensure the safety of personnel;
- Evaluating on-site conditions and recommend to the Project Manager modifications to work plans needed to maintain personnel safety;
- Determining that appropriate safety equipment is readily available and monitor its proper use;
- Stopping work if unsafe conditions occur or if work is not being performed in compliance with this plan:
- Monitoring personnel performance to ensure that the required safety procedures are followed.
- Documenting incident and reporting to Project Manager within 48 hours of occurrence if established safety rules and practices are violated; and,
- Conducting safety meetings as necessary.

#### Field Personnel, including geologists and technicians:

- Understanding the procedures outlined in this plan;
- Taking precautions to prevent injury to themselves and co-workers;
- Performing only those tasks believed to be safe;
- Reporting accidents or unsafe conditions to the Health and Safety Officer and Project Manager;
- Notifying the Health and Safety Officer and Project Manager of special medical problems (e.g., allergies, medical restrictions, etc.);
- Thinking about safety first while conducting field work; and,
- Not eating, drinking or smoking in work areas.

All Site personnel has the authority to stop work if conditions are deemed to be unsafe. Visitors will be required to report to the overall Site PM or designee and follow the requirements of this plan and the Contractor's HASP (if different).

## 2.0 SITE DESCRIPTION AND SAFETY CONCERNS

### 2.1 SITE BACKGROUND AND DESCRIPTION

The Site had multiple uses associated with transportation including the New York State Railway machine and repair shop in 1911 and Rochester Transit Corporation rail car maintenance in the late 1930's. A former gasoline filling station was also located at the Site in the early to mid-1900s. Eastman Kodak Company purchased the Site in 1942 when they began manufacturing optical lenses and equipment using thoriated glass. Building 5 was used for office space, equipment assembly, non-hazardous and hazardous waste storage laboratory, and cafeteria. A building labeled "kerosene" was utilized in the northwest corner of the Site since at least the 1950s. Potential sources of contamination include a former drywell of unknown use, solvents below Building 5, past releases from kerosene, and petroleum migration from on and off-Site sources.

The site is currently vacant and is zoned for M-1 industrial use within the City of Rochester but north of downtown approximately half of a mile south of State Route 104. The Site is located at the intersection of St. Paul Street and Avenue E within the Group 14261 Neighborhood Revitalization Plan BOA. The Site is also in an En-Zone. A large manufacturing/office building comprises most of the parcel aside from a driveway located north of St. Paul Street that wraps around the building. The Site is surrounded by other former Kodak buildings (i.e., Parcels 1A and 1B) along its west and north borders.

### 2.2 HAZARD EVALUATION

Specific health and safety concerns to the project tasks include working around low levels of metals, PCBs, SVOCs and VOCs in the soil and groundwater. Physical hazards include those associated with working near open excavations and adjacent to manual/mechanical field equipment. Contractors will have separate detailed health and safety procedures/requirements for excavations and the transportation and disposal of impacted material that will meet or exceed requirements in this plan. A table of potential hazards and OSHA Standards for consideration during investigation and remedial activities is provided in **Attachment 1**.

#### 2.2.1 *Chemical Hazards*

Chemical hazards detected at the site include metals and organic compounds that were detected in soil samples at elevated concentrations that exceed Part 375 soil cleanup objectives. These compounds could be encountered during the RI and remedial activities and potential routes of exposure include:

- Skin contact;
- Inhalation of vapors or particles;
- Ingestion; and,
- Entry of contaminants through cuts, abrasions or punctures.

The anticipated levels of personnel protection will include Level D PPE that includes the following:

1. Long sleeve shirt and long pants
2. Work boots with steel toe
3. Hard hats when heavy equipment or overhead hazards are present
4. Safety glasses
5. Work gloves and chemical resistant gloves when sampling potentially contaminated

- materials
6. High visibility vests or outer gear when Site traffic is significant

Modifications may include booties, overalls, hearing protection, or respiratory protection if air monitoring levels indicate sustained PID readings greater than 5 ppm above established background. When these levels are reached, work will be halted pending discussions with field and office management. If any readings are recorded above background, work will proceed with caution and breathing zone monitoring will be conducted.

### 2.2.2 Other Physical Hazards

Depending on the time of year, weather conditions or work activity, some of the following physical hazards could result from project activities:

- Noise
- Heat Stress
- Cold Stress
- Slips, trips, and falls
- Exposure to moving machinery during drilling and excavation activities
- Physical eye hazards
- Lacerations and skin punctures
- Back strain from lifting equipment
- Electrical storms and high winds
- Contact with overhead or underground utilities

*Slips, Trips, and Falls.* Field personnel shall become familiar with the general terrain and potential physical hazards that is associated with the risk of slips, trips, and falls. Special care shall be taken when working near demolition and excavation operations and material stockpiles. Workers will observe all pedestrian and vehicle rules and regulations. Extra caution will be observed while working near roadways and while driving in reverse to ensure safety.

*Noise.* All personnel shall wear hearing protection devices, such as ear muffs or ear plugs, if work conditions warrant. These conditions would include difficulty hearing while speaking to one another at a normal tone within three feet. If normal speech is interfered with due to work noise, the Health and Safety Officer or designee will mandate the use of hearing protection or other noise-producing equipment or events.

*Heat/Cold Stress.* Heat stress work modification may be necessary during ambient temperatures of greater than 29° C (85° F) while wearing normal clothing or exceeding 21° C (70° F) while wearing PPE. Because heat stress is one of the most common and potentially serious illnesses at work sites, regular monitoring and preventive measures will be utilized such as additional rest periods, supplemental fluids, restricted consumption of drinks containing caffeine, use of cooling vests, or modification of work practices. Most of the work to be conducted during the oversight and monitoring operations is expected to consist of light manual labor and visual observation. Given the nature of the work and probable temperatures, heat stress hazards are not anticipated. See **Attachment 2** for heat stress management procedures.

If work is to be conducted during winter conditions, cold stress may be a concern to the health and safety of personnel. Wet clothes combined with cold temperatures can lead to hypothermia. If air temperature is less than 40° F (4° C) and a worker perspires, the worker should change to dry clothes. The following summary of the signs and symptoms of cold stress are provided as a

guide for field personnel.

1. Incipient frostbite is a mild form of cold stress characterized by sudden blanching or whitening of the skin.
2. Chilblain is an inflammation of the hands and feet caused by exposure to cold moisture. It is characterized by a recurrent localized itching, swelling, and painful inflammation of the fingers, toes, or ears. Such a sequence produces severe spasms, accompanied by pain.
3. Second-degree frostbite is manifested by skin with a white, waxy appearance and the skin is firm to the touch. Individuals with this condition are generally not aware of its seriousness because the underlying nerves are frozen and unable to transmit signals to warn the body. Immediate first aid and medical treatment are required.
4. Third-degree frostbite will appear as blue blotchy skin. The tissue is cold, pale, and solid. Immediate medical attention is required.
5. Hypothermia develops when body temperature falls below a critical level. In extreme cases, cardiac failure and death may occur. Immediate medical attention is warranted when the following symptoms are observed:
  - Involuntary shivering
  - Irrational behavior
  - Slurred speech
  - Sluggishness

*Fire and Explosion.* These hazards will be minimal for activities associated with this project. All heavy equipment will be equipped with a fire extinguisher.

*Trenching and Excavations.* There are a variety of potential health and safety hazards associated with excavations. These include:

- Surface encumbrances, such as structures, fencing, stored materials, etc.;
- Below- and above-ground utilities, such as water and sewer lines, gas lines, telephone lines, and optical cable lines, etc.;
- Overhead power lines and other utilities;
- Vehicle and heavy equipment traffic around the excavations;
- Falling loads from lifting or digging equipment;
- Water accumulation within excavations;
- Hazardous atmospheres, such as oxygen deficiency, flammable gases, and toxic gases;
- Falling into or driving equipment into unprotected or unmarked excavations; and,
- Cave-in of loose rocks and soil at the excavation face.

OSHA requirements for trenching and excavations are contained in 29 CFR, subpart P, 1926.650 thru 1926.652. See **Attachment 3** for details on excavation and trenching safety requirements, which include the following basic minimum excavation requirements:

- Personnel entry into excavations should be minimized whenever possible and no entry will occur in pits greater than 4 feet bgs. Sloping, shoring or equivalent means should be utilized.
- Surface encumbrances such as structures, fencing, piping, stored material etc. that may interfere with safe excavations should be avoided, removed or adequately supported prior to the start of excavations. Support systems should be inspected daily.
- Underground utility locations should be checked and determined, and permits should be obtained prior to initiating excavations. Local utility companies will be contacted at least two days in advance, advised of proposed work, and requested to locate underground

installations. When excavations approach the estimated location of utilities, the exact location should be determined by careful probing or hand digging and when it is uncovered, proper supports should be provided.

- A minimum safe distance of 15 feet should be maintained when working around overhead high-voltage lines or the line should be de-energized following appropriate lock-out and tag-out procedures by qualified utility personnel.
- Excavations five feet or more, if entered, will require an adequate means of exit, such as a ladder, ramp, or steps and located to require no more than 25 feet of lateral travel. Under no circumstances should personnel be exited/entered an excavation using heavy equipment.
- Personnel working around heavy equipment, or who may be exposed to public vehicular traffic should wear high visibility clothes, especially at night.
- Heavy equipment or other vehicles operating next to or approaching the edge of an excavation will require that the operator have a clear view of the edge of the excavation, or that warning systems such as barricades, hand or mechanical signals, or stop logs be used. If possible, the surface grade should slope away from the excavation.
- Personnel should be safely located in and around the trench/excavation face and should not work underneath loads handled by lifting or digging equipment.
- Hazardous atmospheres, such as oxygen deficiency (atmospheres containing less than 19.5% oxygen), flammable gases (airborne concentrations greater than 20% of the lower explosive limit), and toxic gases (airborne concentrations above the OSHA Permissible Exposure Limit or other exposure limits) may occur in excavations. Monitoring should be conducted for hazardous atmospheres prior to entry and at regular intervals. Ventilation or respiratory protection may be provided to prevent personnel exposures to oxygen deficient or toxic atmospheres. Periodic retesting (at least each shift) of the excavation will be conducted to verify that the atmosphere is acceptable. A log or field book records should be maintained.
- Personnel should not work in excavations that have accumulated water or where water is accumulating unless adequate precautions have been taken. These precautions can include shield systems, water removal systems, or safety harnesses and lifelines. Groundwater entering the excavation should be properly directed away and down gradient from the excavation.
- Safety harnesses and lifelines should be worn by personnel entering excavations that qualify as confined spaces.
- Excavations near structures should include support systems such as shoring, bracing, or underpinning to maintain the stability of adjoining buildings, walls, sidewalks, or other structures endangered by the excavation operations.
- Loose rock, soil, and spoils should be piled at least two and preferably 5 feet or more from the edge of the excavation. Barriers or other effective retaining devices may be used to prevent spoils or other materials from falling into the excavation.
- Walkways or bridges with standard guardrails that meet OSHA specifications will be provided where employees, the public, or equipment are required to cross over excavations.
- Adequate barrier physical protection should be provided, and excavations should be barricaded or covered when not in use or left unattended. Excavations should be backfilled as soon as possible when completed.
- Safety personnel should conduct inspections prior to the start of work and as needed throughout the work shift and after occurrence that increases the hazard of collapse (i.e., heavy rain, vibration from heavy equipment, freezing and thawing, etc.).

- Personnel working in excavations should be protected from cave-ins by sloping or benching of excavation walls, a shoring system or some other equivalent means in accordance with OSHA regulations. Soil type is important in the determination of the angle of repose for sloping and benching, and the design of shoring systems.

### 2.2.3 Biological Hazards

Biological hazards can result from encounters with mammals, insects, snakes, spiders, ticks, plants, parasites, and pathogens. Mammals can bite or scratch when cornered or surprised. The bite or scratch can result in local infection with systemic pathogens or parasites. Insect and spider bites can result in severe allergic reactions in sensitive individuals. Exposure to poison ivy, poison oak or poison sumac results in skin rash. Ticks are a vector for several serious diseases. Dead animals, organic wastes, and contaminated soil and water can harbor parasites and pathogens. These hazards are reduced if work is conducted during late fall and winter months. The following are highlighted because they represent more likely concerns for the site-specific tasks and location:

*Bees, Ants, Wasps and Hornets.* Sensitization by the victim to the venom from repeated stings can result in anaphylactic reactions. If a stinger remains in the skin, it should be removed by teasing or scraping, rather than pulling. An ice cube placed over the sting will reduce pain. An analgesic corticosteroid lotion is often useful. People with known hypersensitivity to such stings should consult with their doctor about carrying a kit containing an antihistamine and aqueous epinephrine in a pre-filled syringe when in endemic areas. Nests and hives for bees, wasps, hornets and yellow jackets often occur in the ground, trees and brush. Before any nests or hives are disturbed, an alternate sampling location should be selected. If the sample location cannot be relocated, site personnel who may have allergic reactions shall not work in these areas.

*Ticks.* The incidence of Lyme disease is correlated to outdoor workers in areas where the disease is widespread and heightened risk of encountering ticks infected with *B. burgdorferi*, which varies from state to state, within states, and even within counties. Preventing tick bites is of utmost importance in preventing Lyme disease and other tickborne illnesses. Tick bite prevention strategies include avoidance or clearing of tick-infested habitats and use of personal protective measures (e.g., repellents and protective clothing). Tick checks should be done regularly, and ticks should be removed promptly. If a worker in a high-risk area develops flu-like symptoms (fever, chills, muscle aches, joint pains, neck stiffness, headache) or a bulls-eye rash, they should seek medical attention even if there is no recall of a tick bite. Workers who have experienced a tick bite should remove the tick and seek medical attention if signs and symptoms of tick-borne diseases occur.

*Storm Conditions.* When lightening is within 10 miles of the work site, all personnel should evacuate to a safe area.

*Sun.* When working in the sun, personnel should apply appropriate sun screening lotions (30 sun screen or above), and/or wear long sieve clothing and hats.



## 2.2.4 Activity Hazard Analysis

Table 1 presents a completed activity hazard analysis for the performance of an RI.

Table 1. Activity Hazard Analysis

PRINCIPAL STEPS	POTENTIAL SAFETY/HEALTH HAZARDS	RECOMMENDED CONTROLS
RI soil/groundwater investigation	Potential exposure to low levels of petroleum products	<ol style="list-style-type: none"> <li>1. Use of administrative controls (site control and general safety rules), work cloths, dust suppression</li> <li>2. Use of real-time monitoring and action levels</li> <li>3. Use Physical Hazards SOPs</li> </ol>
EQUIPMENT TO BE USED	INSPECTION REQUIREMENTS	TRAINING REQUIREMENTS
Excavation and other heavy equipment, Backhoe or Geoprobe	<ol style="list-style-type: none"> <li>1. Daily inspection of equipment</li> <li>2. Continuous safety oversight</li> </ol>	<ol style="list-style-type: none"> <li>1. Safety plan review</li> <li>2. Routine safety briefings</li> </ol>

## 3.0 MONITORING

The purpose of air monitoring for potential airborne contaminants is to verify that protection levels are suitable. Monitoring will be performed for dust/particulates and volatile organic compounds during excavation activities. Daily background and calibration readings will be recorded prior to the start of field activities. All monitoring equipment used during this investigation will be maintained and calibrated and records of calibration and maintenance will be kept in accordance with 29 CFR 1910.120(b)4(11)E.

### 3.1 PARTICULATE MONITORING

Real-time air monitoring readings are obtained from upwind and downwind locations in accordance with DER-10 for community air-monitoring. Daily field reports will be completed that document activities performed, equipment and manpower onsite, screening and monitoring results, general Site conditions, and weather conditions.

### 3.2 AIR MONITORING FOR WORKER PROTECTION

Real time air monitoring will be conducted whenever site soils are disturbed during sampling, excavation, grading, etc. A real time personal aerosol monitor (i.e., TSI SidePak AM5 10 Personal Aerosol monitor or equivalent) will be used. This monitor is a laser photometer that measures data as both real-time aerosol mass-concentration and 8-hour time weighted

average (TWA). The monitor will be used to measure real-time concentrations in milligrams per meter cubed (mg/m<sup>3</sup>). Action levels are based on potential exposure to calcium carbonate and will be as follows:

- 15 mg/m<sup>3</sup> total dust
- 5 mg/m<sup>3</sup> respirable fraction for nuisance dusts

Dust suppression techniques should be employed prior to exceeding the action levels. However, if these levels are exceeded, then work will be halted, and additional dust suppression techniques employed until safe levels are reached.

### 3.3 TOTAL VOLATILE ORGANICS MONITORING

Monitoring of VOCs will be conducted using a photo-ionization detector (PID). If a sustained reading of 5 ppm above background occurs, then work will be halted, and personnel will evacuate the work area. Levels will be allowed to stabilize, and another reading will be taken in the breathing zone. If background levels continue to be exceeded, then work will not continue at that location and the project manager will be notified of the situation. Action levels will remain the same.

## **4.0 SAFE WORKING PRACTICES**

The following general safe work practices always apply to a construction site:

- Eating, drinking, chewing gum or tobacco and smoking are prohibited within the work area.
- Contact with potentially contaminated substances should be avoided.
- Puddles, pools, mud, etc. should be avoided if possible.
- Kneeling, leaning, or sitting on equipment or on the ground should be avoided if possible.
- Upon leaving the work area, hands, face and other exposed skin surfaces should be thoroughly washed.
- Unusual site conditions shall be promptly conveyed to the project manager, health and safety officer, or site superintendent for resolution.
- A first-aid kit shall be available at the site.
- Field personnel should use all their senses to alert themselves to potentially dangerous situations (i.e., presence of strong, irritating, or nauseating odors).
- If severe dusty conditions are present, then soils will be dampened to mitigate dust.
- All equipment will be cleaned before leaving the work area.
- Field personnel must attend safety briefings and should be familiar with the physical characteristics of the investigation, including:
  1. Accessibility to personnel, equipment, and vehicles.
  2. Areas of known or suspected contamination.
  3. Site access.
  4. Routes and procedures to be used during emergencies.
- Personnel will perform all investigation activities with a “buddy” who is able to:
  - Provide his or her partner with assistance.
  - Notify management or emergency personnel if needed.
- Excavation activities shall be terminated immediately in event of thunder or electrical storm.

- The use of alcohol or drugs at the site is strictly prohibited.

## 5.0 PERSONAL SAFETY EQUIPMENT AND SITE CONTROL

### 5.1 PERSONAL SAFETY EQUIPMENT

As required by OSHA in 29 CFR 1920.132, this plan constitutes a workplace hazard assessment to select personal protective equipment (PPE) to perform the site investigation. The PPE to be donned by on-site personnel during this investigation are those associated with the industry standard of level D. Protective clothing and equipment to initiate the project will include:

- Work clothes, pants and long sleeves
- Work boots with steel toe
- Work gloves as necessary
- Hard hat if work is conducted near equipment
- Safety glasses
- Hearing protection as necessary

Modifications may include chemically resistant gloves, booties, and overalls. If air monitoring indicates levels are encountered that require respiratory protection (sustained readings at or above action levels above a daily established background), then work will be halted, and an adequate resolution of PPE will be made by the health and safety manager, field manager, and project manager.

### 5.2 SITE CONTROL

Site control will be established near each work zone by the Contractor. The purpose is to control access to the immediate work areas from individuals not associated with the project. All work zones will be fenced off with controlled access and appropriately designated as an exclusion area.

Each excavation or drilling area where heavy equipment is being utilized will be set up as a work zones and include an exclusion area and support zone. Exact configuration of each zone is dependent upon location, weather conditions, wind direction and topography. The Contractor's safety manager will establish the control areas daily at each excavation.

An area of 10 feet (as practical) around each excavation will be designated as the exclusion area. This is the area where potential physical hazards are most likely to be encountered by field personnel. The size of the exclusion area may be altered to accommodate site conditions and the drilling/excavation location. If levels of protection higher than level D are used, this plan will be modified to include decontamination procedure. The Site excavation contractor will be required to have eye/face wash equipment/means available on-site.

A support area will be defined for each field activity where support equipment will be located. Normal work clothes are appropriate within this area. The location of this area depends on factors such as accessibility, wind direction (upwind of the operation.), and resources (i.e., roads, shelter, utilities). The location of this zone will be established daily. Excavation areas will be filled or secured (fencing) to prevent access from the public.

## 6.0 EMERGENCY INFORMATION

In the event of an emergency, the field personnel or the health and safety manager will employ emergency procedures. A copy of emergency information will be kept in the field and will be reviewed during the initial site briefing. Copies of emergency telephone numbers and directions to the nearest hospital will be prominently posted in the field.

### 6.1 MEDICAL TREATMENT AND FIRST AID

A first aid kit adequate for anticipated emergencies will be maintained in the field. If any injury should require advanced medical assistance, emergency personnel will be notified, and the victim will be transported to the hospital. The Contractor will establish his own first aid station and details will be provided in his HASP.

In the event of an injury or illness, work will cease until the field safety and oversight inspector has examined the cause of the incident and taken appropriate corrective action. Any injury or illness, regardless of extent, is to be reported to the project manager and health and safety officer.

### 6.2 EMERGENCY CONTACTS

Emergency telephone numbers will be posted in the field and are listed below:

- Ambulance, Fire, Police 911
- Poison Control Center 800-222-1222
- NYSDEC Spills Hotline 800-457-7362
- Jason M. Brydges, PM 716-830-8636
- Danielle Miles, NYSDEC PM 585-226-5349
- Harolyn Hood, NYSDOH 518-402-7860
- Rochester General Hospital 585-922-4000 See **Attachment 4** for route to facility.

Verbal communications between workers or use of a vehicle horn repeatedly at intervals of three short beeps shall be used to signal all on-site personnel to immediately evacuate the area and report to the vehicle parking area.

### 6.3 EMERGENCY STANDARD OPERATING PROCEDURES

The following standard operating procedures are to be implemented by on-site personnel in the event of an emergency. The health and safety manager and Contractor's field manager shall manage response actions.

1. Upon notification of injury to personnel, the designated emergency signal shall be sounded. All personnel are to terminate their work activities and assemble in a safe location. The emergency facility listed above shall be notified. If the injury is minor, but requires medical attention, the Contractor's field manager or the health and safety manager shall accompany the victim to the hospital and help in describing the circumstances of the accident to the attending physician.
2. Upon notification of an equipment failure or accident, the Contractor's field manager or the health and safety manager shall determine the effect of the failure or accident on site operations. If the failure or accident affects the safety of personnel or prevents completion of the scheduled operations, all personnel are to leave the area until the

situation is evaluated, and appropriate actions taken.

3. Upon notification of a natural disaster, such as tornado, high winds, flood, thunderstorm or earthquake, on-site work activities are to be terminated and all personnel are to evacuate the area.

#### 6.4 EMERGENCY RESPONSE FOLLOW-UP ACTIONS

Following activation of an emergency response, the health and safety officer shall notify the project manager, and the Contractor's field manager shall submit a written report documenting the incident to the project manager.

#### 6.5 MEDICAL TREATMENT

The Contractor's field manager shall be informed of any site-related injury, exposure or medical condition resulting from work activities. All personnel are entitled to medical evaluation and treatment in the event of a site accident or incident.

#### 6.6 SITE MEDICAL SUPPLIES AND SERVICES

The Contractor's field manager or a trained first aid crew member shall evaluate all injuries at the site and render emergency first-aid treatment, as appropriate. If an injury is minor but requires professional medical evaluation, the field manager shall escort the employee to the appropriate emergency room. For major injuries occurring at the site, emergency services shall be requested. A first-aid kit shall be readily accessible, fully supplied, and maintained at specified locations used for on-site operations.

#### 6.7 PRECAUTIONS

Universal precautions shall be followed on-site that consist of treating all human blood and certain body fluids as being infected with Human Immune Deficiency Virus (HIV), Hepatitis B virus (HBV), or other blood borne pathogens. Clothing and first-aid materials visibly contaminated with blood or other body fluids will be collected and placed into a biohazard bag. Individuals providing first aid or cleanup of blood- or body-fluid contaminated items should wear latex gloves. If providing CPR, a one-way valve CPR device should be used. Biohazard bags, latex gloves, and CPR devices will be included in the site first-aid kits.

Work areas visibly contaminated with blood or body fluids shall be cleaned using a 1:10 dilution of household bleach. If equipment becomes contaminated with blood or body fluids, and can not be sufficiently cleaned, the equipment shall be placed in a plastic bag and sealed. Any personnel servicing the equipment shall be made aware of the contamination, so that proper precautions can be taken.

## **7.0 RECORDKEEPING**

The Contractor's field manager and health and safety officer are responsible for site record keeping. Prior to the start of work, they will review this Plan along with the Contractor's HASP. A Site safety briefing will be completed prior to the initiation of field activities. This shall be recorded in the field log book. An accident report should be completed by the Field Manager if an accident occurs and forwarded to the project manager.

## 8.0 PERSONNEL TRAINING REQUIREMENTS

### 8.1 INITIAL SITE BRIEFING

Prior to site entry, the Contractor's health and safety manager shall provide all personnel (including site visitors) with site-specific health and safety training. A record of this training shall be maintained. This training shall consist of the following:

- Discussion of the elements contained within this plan
- Discussion of responsibilities and duties of key site personnel
- Discussion of physical, biological and chemical hazards present at the site
- Discussion of work assignments and responsibilities
- Discussion of the correct use and limitations of the required PPE
- Discussion of the emergency procedures to be followed at the site
- Safe work practices to minimize risk
- Communication procedures and equipment
- Emergency notification procedures

### 8.2 DAILY SAFETY BRIEFINGS

The Contractor's health and safety manager will determine if a daily safety briefing is required. The briefing shall discuss the specific tasks scheduled for that day and the following topics:

- Specific work plans
- Physical, chemical or biological hazards anticipated
- Fire or explosion hazards
- PPE required
- Emergency procedures, including emergency escape routes, emergency medical treatment, and medical evacuation from the site
- Weather forecast for the day
- Buddy system
- Communication requirements
- Site control requirements
- Material handling requirements

## 9.0 COMMUNITY AIR MONITORING PROGRAM (CAMP)

A Community Air Monitoring Program (CAMP) requires real-time monitoring for volatile organic compounds (VOCs) and particulates (i.e., dust) at the upwind and downwind perimeter of each designated work area when certain activities are in progress at contaminated sites. The program is not intended for use in establishing action levels for worker respiratory protection. Rather, its intent is to provide a measure of protection for the downwind community (i.e., off-site receptors and on-site workers not directly involved with work activities) from potential airborne contaminant releases as a direct result of investigative and remedial work activities. A NYSDOH generic CAMP obtained from NYSDEC DER-10 is presented in **Attachment 5** that will be followed and adhered to for work activities that could generate dust from an impacted area.

A program for suppressing fugitive dust and particulate matter monitoring will also be conducted in accordance *NYSDEC DER-10* titled *Appendix 1B Fugitive Dust and Particulate Monitoring*, which is also provided in **Attachment 5**. The fugitive dust suppression and particulate monitoring program will be employed at the site during building demolition, IRM site remediation and other intrusive activities which warrant its use.

Both the CAMP and the fugitive dust and particulate monitoring program will be administered by the environmental engineer/consultant. Monitoring results of the CAMP will be reported to the New York State Department of Health daily for review.

# ATTACHMENT 1

## Table of Potential Hazards and OSHA Standards



Potential Hazards and OSHA Standards for Consideration during IRMs

Site Exposure/Control	Potentially Applicable OSHA Standard*	
	1910 General Industry	1926 Construction
Hazard Assessment & Employee Training	29 CFR 1910.132(d)	29 CFR 1926.21(b)
Chemical Exposure	29 CFR 1910.1000	29 CFR 1926.55
Noise Exposure	29 CFR 1910.95	29 CFR 1926.52
Sanitation	29 CFR 1910.141	29 CFR 1926.51
Wiring Methods (temporary wiring )	29 CFR 1910.305(a)(2) 29 CFR 1910.333	29 CFR 1926.405(a)(2)
Electrical Hazards		29 CFR 1926.416
Emergency Action Planning	29 CFR 1910.38	29 CFR 1926.35
Excavation	covered by 1926	29 CFR 1926 Subpart P
Confined Space Entry	29 CFR 1910.146	29 CFR 1926.21(b)(6)29 CFR 1926.353(b)
Material Handling	29 CFR Subpart N	29 CFR Subpart N29 CFR 1926.600-60229 CFR 1926.604
Building Demolition	covered by 1926	29 CFR 1926 Subpart T
Site Contaminant Abatement	29 CFR 1910.1000-1029 29 CFR 1910.1043-1052	29 CFR 1926.5529 CFR 1926.6229 CFR 1926.1101-1152
Elevated Work Surfaces	29 CFR 1910 Subpart D 29 CFR 1910 Subpart F	29 CFR 1926 Subpart L29 CFR 1926 Subpart M29 CFR 1926.552
Chemical Storage	29 CFR 1910 Subpart H29 CFR 1910.1200	29 CFR 1926.5929 CFR 1926 Subpart F
Personal Protective Equipment	29 CFR 1910 Subpart I	29 CFR 1926 Subpart E
Heavy Equipment Operation	29 CFR 1910.9529 CFR 1910 Subpart N	29 CFR 1926.5229 CFR 1926 Subpart O
Tasks-Long Duration	29 CFR 1910.141-142	29 CFR 1926.51

The Federal General Industry and Construction citations are provided above

# ATTACHMENT 2

## Heat Stress Management Program and Procedures

## INTRODUCTION

Panamerican employees engage in a variety of activities with potential exposure to excessive ambient temperatures and humidity, with the overall result being Aheat stress@. This procedure establishes the Panamerican Heat Stress Management Program. It establishes responsibilities and basic requirements for personnel who may be required to work in situations where the ambient temperature exceeds 21° C (70° F) while wearing protective equipment (e.g., hazardous waste site investigations) or when the ambient temperature exceeds 29° (85° F) while wearing normal clothing. Because heart stress is one of the most common and potentially serious illnesses at job sites and particularly hazardous waste sites, regular monitoring and other preventive measures are warranted.

There are no regulations addressing heat stress. However, it should be noted that OSHA does recognize heat stress as a potentially serious health hazard and can site employers under the Ageneral duty clause@ of the Occupational Safety Health Act if heat-related illness is occurring or likely to occur.

## PROGRAM ADMINISTRATION AND RESPONSIBILITIES

The Heat Stress Management Program is administered by Panamerican Managers and Health and Safety personnel.

These Individuals:

- Oversee the implementation of the Heat Stress Management Program;
- Periodically audit and evaluate program implementation;
- Evaluate this procedure on an ongoing basis to see that it reflects current practice and regulations;
- Assist field crews in their implementation of this procedure.

Project Managers (PM) and Safety Personnel are responsible for:

- Implementing this Procedure in all field operations;
- Providing guidance to staff regarding heat stress management as described in the Procedure; and
- Providing feedback to management regarding program effectiveness.

Staff Members are responsible for:

- Complying with this Procedure as it applies to their activities; and
- Providing feed back to their supervisor regarding program effectiveness.

## HEAT STRESS HAZARDS AND RISK FACTORS

Heat Stress is defined as the total net load on the body with contributions from both exposure to external sources, such as sunshine and hot surfaces, and from internal metabolic heat production. A person=s

exposure to the increased ambient temperatures and humidity produces physiological responses referred to as heat stress which are characterized by an increase in the: a) Core or deep body temperature, b) heart rate, c) blood flow to the skin, and d) water and salt loss due to sweating. Conditions of excessive heat stress may occur either when the physical work is too heavy or the environment is too hot in relation to the work being performed. If work is performed under hot environmental conditions, the work load effort must be reviewed and the heat exposure limit maintained at or below the levels to protect the worker from the risk of acute heat illness.

In general, there are four types of physiological disorders associated with heat stress. They include:

- Heat Rash - a skin reaction occurring as a result of obstructed sweat glands, often associated with impermeable clothing.
- Heat Cramps - painful muscle spasms of extremities and abdomen, resulting from inadequate balance of electrolytes which are lost from sweating.
- Heat Exhaustion - a mild form of heat stroke due to depletion of body fluids and electrolytes. Blood vessels dilate despite decreased volume of blood. Symptoms include weakness, dizziness, nausea, rapid pulse, and a small increase in body temperature.
- Heatstroke - a potentially fatal disorder resulting from failure of the body's thermoregulatory system. The classical description of heatstroke includes (1) a major disruption of central nervous function (unconsciousness or convulsions), (2) a lack of sweating (3) hot, dry, red or mottled skin, and (4) a core temperature in excess of 41°C (105.8°F). Heatstroke is a serious medical condition which calls for emergency medical action.

Seven factors play significant roles in the development of or predisposition to, heat stress disorders. These factors include:

- Acclimatization - Heat acclimatization leads to increased and quicker sweating, cooler skin due to an increase in evaporative cooling and a lower, more stable core body temperature. Maximal sweating rates in unacclimatized persons are lower, but salt concentrations in their perspiration are higher, requiring a higher rate of salt replacement.
- Age - Older individuals are generally more susceptible to heat stress than younger individuals. However, older healthy workers are able to perform well in hot jobs if permitted to proceed at a self-regulated pace.
- Gender - The average woman has a lower aerobic capacity than a similar-sized man. Nevertheless, when working at similar proportions of their maximum aerobic capacity, women perform similarly or only slightly less well than men.
- Body Fat - The lower level of physical fitness, decreased maximum work capacity and decreased cardiovascular capacity frequently associated with obesity predispose individuals to heat disorders.
- Water and Electrolyte Balance - Sustained, effective work performance in heat requires a

replacement of body water and electrolytes lost through sweating. If this water is not replaced by drinking, continued sweating will draw on water reserves from both tissues and body cells leading to dehydration.

- Use of Alcohol and Medication - Notwithstanding the potential hazards from impaired coordination and judgment, the ingestion of alcohol before or during work in the heat should not be permitted because it reduces heat tolerance and increases the risk of heat illness. Many drugs, including diuretics and antihypertensives, can interfere with the body's thermoregulation.
- Physical Fitness - Physical conditioning enhances heat tolerance by increasing the functional capacity of the cardiovascular system, and reduces the time required to develop heat acclimatization by about 50% over those not physically fit.

The factors listed above are to be taken into account by all project personnel when planning or executing a project subject to heat stress conditions. The factors should be taken into consideration for:

- the development of the project schedule;
- the ordering of supplies/equipment;
- the support facilities to be made available at the site;
- the execution of work tasks; and
- the after work hours activities.

The following is a summary of signs and symptoms of heat stress:

**Heat Rash** may result from continuous exposure to heat or humid air .

**Heat cramps** are caused by heavy sweating with inadequate electrolyte replacement. Signs and symptoms include:

- Muscle Spasms
- Pain in the hands, feet and abdomen.

**Heat Exhaustion** occurs from increased stress on various body organs, including inadequate blood circulation due to cardiovascular insufficiency or dehydration. Signs and symptoms include:

- Pale, cool and moist skin
- Heavy sweating
- Dizziness, fainting and nausea

**Heat stroke** is the most serious form of heat stress. Temperature regulation fails, and the body temperature rises to critical levels. Immediate action must be taken to cool the body before serious injury or death occurs. Competent medical help must be obtained. Signs and symptoms are:

- Red, hot and unusually dry skin
- Lack of or reduced perspiration
- Dizziness and confusion

- Strong, rapid pulse and coma.

## **HEAT AND STRESS PREVENTION**

Preventive measures should be taken to prevent personnel from experiencing heat stress illness. Prevention of heat stress is also important because if an individual has experienced a heat illness incident, he has an increased likelihood of future occurrences. Preventive measures include: favorable work scheduling, acclimatization of workers to hot environments, drinking sufficient quantities of fluids, providing cool, sheltered work and rest areas, and utilizing cooling devices as appropriate of feasible. Heat stress monitoring/work rest regimens are discussed below.

### Work Schedules and Activity

If possible, work should be scheduled during the coolest part of the day. Early morning and evening work can be considerably more effective than working midday when the additional time for breaks and heat stress monitoring are taken into account.

Employees should also be encouraged to maintain a certain level of activity during the work shift. Prolonged standing in hot environments can lead to heat illness because the blood pools in the lower extremities. Workers should periodically walk about to encourage blood circulation from the feet and legs.

### Acclimatization of Workers

A properly designed and applied heat acclimatization program will dramatically increase the ability of workers to work at a hot job and will decrease the risk of heat-related illnesses and unsafe acts. Heat acclimatization can usually be induced in 5 to 7 days of exposure to the hot job. For workers who have had previous experience with the job, the acclimatization regimen should be exposure for 50% on day 1, 60% on day 2, 80% on day 3 and 100% on day 4. For workers new to job the schedule should be 20% on day 1 with a 20% increase in each additional day.

Acclimatization can be induced by sustained elevations of the skin and core body temperatures above levels for the same work in cool environments for an hour or more per day. Acclimatization needs periodic reinforcement such as occurs daily during the work week. Persons may show some loss of acclimatization on the first day of the new shift after being idle for two days or over a weekend. After vacations of two weeks or longer the loss of acclimatization is substantial, several days at work will be needed before heat tolerance is fully restored.

### Drinking Sufficient Quantities of Fluids

Under hot conditions where sweat production may reach 6 to 8 liters per day, voluntary replacement of the water lost is usually incomplete. The normal thirst mechanism is not sensitive enough to urge us to drink enough water to prevent dehydration. Individuals are seldom aware of the exact amount of sweat they produce or how much water is needed to replace that lost in sweat; 1 liter/hour is not an uncommon rate of water loss. Every effort should be made to encourage individuals to drink water, low-sodium noncarbonated beverages or electrolyte replacement fluids (e.g., Gatorade). Lightly salted water (1 gram/liter of water (0.1%) or one level teaspoon per 15 quarts of water), should be provided to unacclimated workers. The salt should be dissolved completely and the water kept cool. Salt tablets as dietary supplements are not generally recommended.

Workers should drink at least 500 ml (one pint) of water before beginning work. The fluid should be maintained at temperatures of 10° to 15° (50 to 59° F). If possible, small quantities of fluids should be consumed at frequent intervals (e.g., 150 to 250 milliliters (ml), or at least a quarter pint, every 20 minutes) rather than the intake of 750 ml (3 cups) or more once per hour. Individuals vary, but water intake should total 4 to 8 liters (quarts) per day. When heat stress is considered a potential problem, a minimum of 1 liter/hour/person of water are to be maintained onsite. Individual paper or plastic cups will be provided in order to prevent the spread of communicable disease.

Alcohol and diuretics such as caffeine (contained in coffee, tea and soft drinks) can increase dehydration. Therefore employees with potential exposure to heat stress should be discouraged from the consumption of these types of fluids during and after working hours.

### Cool, sheltered Work and Rest Areas

Exposure to direct sunlight significantly increases the overall thermal loading of the body, thereby increasing an individuals susceptibility to heat stress illnesses. Whenever possible work should be conducted under suspended tarps, in shady areas or in other sheltered areas in order to reduce thermal loading caused by the sun. Cool sheltered areas should be provided also for rest breaks. A rest area should be situated so that part of it is in the contamination reduction area so that workers can take breaks without being required to undertake a full decontamination procedure. Canopies or tarps and open air tents, are types of cool shelters which can provide shaded rest areas.

### Cooling Devices

Auxiliary cooling devices can be successfully used to provide body cooling, especially to workers wearing protective garments at hazardous waste sites. Vortex coolers utilize high velocity air which is directed inside the protective clothing. Vortex coolers have been used successfully in some operations. Cooling vests utilizing Ablue ice@ type packs can provide some cooling to the torso, but add weight for the wearer and can inhibit body movements.

Newer, more sophisticated tube and refrigerant systems woven into undergarments are also available. However, some of these systems „may not be effective in situations where the work involves considerable motion, since bending and lifting can crimp the tubes, impeding the flow of refrigerant.

### Heat Stress Monitoring

Several heat stress monitoring systems have been devised to help manage heat stress in hot work environments. Panamerican performs heat stress monitoring when: 1) employees are wearing normal work clothing in ambient temperatures exceeding 29° C, (85° F) and 2) employees wearing chemical protective clothing (including paper coveralls) working in ambient temperatures exceeding 21° C (70° F). The temperature differential is related to the reduced ability of a person to maintain a core temperature of  $\pm 37^{\circ}$  C (98.6° F) when wearing chemical protective clothing.

It should be noted by personnel that there are no Afast and true@ methods of heat stress monitoring; likewise there are no regulations concerning heat stress monitoring. Individual susceptibility to heat stress is highly variable. Some individuals are highly susceptible to any increase in their internal body temperature while other individuals can work very well with internal body temperatures of 39° C (102.2° F) or higher.

The heat stress monitoring systems should be used by Site Safety Officers as guidelines and not necessarily as hard, fast rules. Individuals working in elevated temperatures should be queried on a regular basis regarding their perceived state of heat stress. If the calculated heat stress index value indicates that work can continue but a person states that they believe they are experiencing heat stress, the work effect should be discontinued and a rest break taken.

Likewise, if the calculated heat stress index value indicates that a rest break should be taken but the workers believe they can work longer, they should be permitted to work longer providing that their heart rates do not exceed 110 beats per minute. If the individual's heart rate rates exceed 110 beats per minute a rest break will be taken. In all cases, individual workers should not be permitted or expected to perform excessive work which could result in heat stress. If a SSO has any concerns that an individual may be pushing himself/herself past the Abreaking point@ the calculated work/rest regimen will be followed.

For strenuous field activities that are part of ongoing site work activities in hot weather, the following procedures shall be used to monitor the body's physiological response to heat, and to monitor the work cycle of each site worker. There are two phases to this monitoring: the initial work/rest cycle is used to estimate how long the first work shifts of the day should be. Heart rate monitoring of each worker will establish the length of the successive work periods. Both phases are to be used are to be used for heat stress monitoring. Failure to use either one could place workers at risk of heat-related disorders.

#### Phase 1 - Determination of the Initial Work - Rest Regimen

The determination of the initial work - rest regimen can be performed using either of two methods:

- The Modified Dry Bulb Index; or
- The Wet Bulb Globe Thermometer (WBGT) Index

After the initial work - rest regimen has been determined, environmental conditions must be monitored for changes which would require a modification to the work - rest regimen. This, coupled with the heart rate monitoring, determines the work cycles to be followed on a site.

The Modified Dry Bulb Index accounts for the effects caused by solar, load, air temperature, and chemical protective clothing, under a light work load (walking at approximately 3 mph). A mercury thermometer, shielded from direct sunlight, is used to measure ambient temperature. The percentages of (of time) of sunlight and cloud cover are then estimated to determine a sunshine quality factor (e.g., 100% sunshine - no cloud cover = 1.0; 50% sunshine - 50% cloud cover = 0.5; 0% sunshine - 100% cloud cover = 0.0). When these two sets of values have been obtained, they are inserted into the following equation to calculate the adjusted temperature:

$$T (^{\circ}\text{C, adjusted}) = T (^{\circ}\text{C, actual}) + (7.2 \times \text{sunshine quality factor})$$

-OR-

$$T (^{\circ}\text{F, adjusted}) = T (^{\circ}\text{F, actual}) + (13 \times \text{sunshine quality factor})$$

After the adjusted temperature has been calculated, the length of the first work shift can be determined using the following table:



### Initial Break and Physiological Monitoring Cycles

ADJUSTED TEMPERATURE	NORMAL WORK CLOTHES	PROTECTIVE CLOTHING
90 <sup>0</sup> F (32.2 <sup>0</sup> C) or above	After each 45 minutes of work	After each 15 minutes of work
87.5 <sup>0</sup> -90 <sup>0</sup> F (30.8 <sup>0</sup> -32.2 <sup>0</sup> C)	After each 60 minutes of work	After each 30 minutes of work
82.5 <sup>0</sup> -87.5 <sup>0</sup> F (28.1 <sup>0</sup> -30.8 <sup>0</sup> C)	After each 90 minutes of work	After each 60 minutes of work
77.5 <sup>0</sup> -82.5 <sup>0</sup> F (25.3 <sup>0</sup> -28.1 <sup>0</sup> C)	After each 120 minutes of work	After each 90 minutes of work
72.5 <sup>0</sup> -77.5 <sup>0</sup> F (22.5 <sup>0</sup> -25.3 <sup>0</sup> C)	After each 150 minutes of work	After each 120 minutes of work

NOTE: The standard rest period is 15 minutes

### WET BULB GLOBE THERMOMETER INDEX

The Wet Bulb Globe Thermometer (WBGT) Index was developed by the U.S. Army in the 1950s to prevent heat stress in army recruits. The WBGT Index accounts for the effects caused by humidity, air movement, evaporation, air temperature and work rate. It does not, however, account for the effects of chemical protective clothing, non-acclimatized workers, age, or other factors which may affect the likelihood of heat stress. Because of this, it is necessary to make adjustments to the index and conduct Heart Rate Monitoring.

WBGT measurements are usually obtained through the use of are-contained electronic devices. Such devices are easy to set up and can provide the user with the capabilities to store data and download to print out a hard copy.

Heat produced by the body and the environmental heat together determine the total heat load. Therefore, after the WBGT Index has been obtained, the anticipated work load category of each job shall be determined and the initial-rest regimen established using the table below.

The work load category may be determined by ranking each job into light, medium and heavy categories on the basis of type of operation. Examples of each category are:

- Light work: sitting or standing to control machines, performing light hand work
- Moderate work: walking about with moderate lifting and pushing; and
- Heavy work: pick and shovel work.

PERMISSIBLE HEAT EXPOSURE			
WORK-REST REGIMEN	WORK LOAD		
	LIGHT	MODERATE	HEAVY
	30.0 <sup>0</sup> C/86 <sup>0</sup> F	26.7 <sup>0</sup> C/80.1 <sup>0</sup> F	25 <sup>0</sup> C/77 <sup>0</sup> F
75% Work-25% Rest Each Hour	30.6 <sup>0</sup> C/87.1 <sup>0</sup> F	28 <sup>0</sup> C/82.4 <sup>0</sup> F	25.9 <sup>0</sup> C/78.6 <sup>0</sup> F
50% Work-50% Rest Each Hour	31.4 <sup>0</sup> C/88.5 <sup>0</sup> F	29.4 <sup>0</sup> C/85.0 <sup>0</sup> F	27.9 <sup>0</sup> C/82.2 <sup>0</sup> F
25% Work-75 % Rest Each Hour	32.2 <sup>0</sup> C/90.0 <sup>0</sup> F	31.1 <sup>0</sup> C/88.0 <sup>0</sup> F	30.0 <sup>0</sup> C/86.0 <sup>0</sup> F

The table reads as follows:

Light, continuous work is possible at any WBGT reading up to 30<sup>0</sup> C (86<sup>0</sup>F) but above that limit work breaks

are needed to recover from the heat; light work at temperatures of between 30.0 and 30.6°C (86 to 87°F) can be conducted, but 15 minute breaks must be taken every hour, etc. It is important to note that this table is applicable primarily to healthy, acclimatized personnel; wearing standard work clothing.

NOTE: An additional 6 to 11°C ( 42.8 to 51.8°F) must be added to the calculated WBGT temperature for personnel wearing chemical protective clothing prior to determining the initial work - rest regimen from this table. Because the WBGT Index does not take into account unacclimatized workers, or individual susceptibilities, the addition to the WBGT value does not eliminate the requirement for Heart Rate Monitoring after work has begun.

#### Phase 2 - Heart Rate Monitoring

An increase in the heart rate is a significant indication of stress, whether induced by exposure to heat or through physical labor. Although baseline heart rates can vary significantly between individuals and during the day for an individual, a heart rate of 110 beats per minute or greater is an indication of physiological stress. To prevent heat stress illnesses, the heart rate (HR) should be measured by radial (wrist) or carotid (neck) pulse for 30 seconds as early as possible in the rest period. The HR at the beginning of the rest period should not exceed 110 beats/minute. If the HR is higher, the next work period should be shortened by 33 percent while the length of the rest period stays the same. If the pulse rate still exceeds 110 beats/minute at the beginning of the next rest period, the following work period should be further shortened by 33 percent while the length of the rest period stays the same.

# ATTACHMENT 3

## Trenching and Excavation Health and Safety Requirements

## **REGULATORY AUTHORITY**

Excavations will be performed in accordance with OSHA 29 CFR, subpart P, 1926:650-1926.652 and USACOE EM 385-1-1 section 25 requirements as they apply to project activities.

## **GENERAL**

- At all times the need for personnel to enter excavations will be minimized. Inspections or sample removal will be done from above the excavation, whenever possible.
- Personnel will only enter excavations after the requirements of this plan have been met.
- Personnel protective equipment including hard hat, safety glasses and steel-toe work boots may be required.

## **SURFACE ENCUMBRANCES**

Surface encumbrances such as structures, fencing, piping, stored material etc. which may interfere with safe excavations will be avoided, removed or adequately supported prior to the start of excavations. Support systems will be inspected daily.

## **UNDERGROUND UTILITIES**

Underground utility locations will be checked and determined and permits as necessary will be in place prior to initiating excavations. Local utility companies will be contacted at least two days in advance, advised of proposed work, and requested to locate underground installations. When excavations approach the estimated location of utilities, the exact location will be determined by careful probing or hand digging and when it is uncovered, proper supports will be provided.

## **OVERHEAD OBSTACLES**

A minimum safe distance of 20 feet will be maintained when working around overhead high-voltage lines or the line will be de-energized following appropriate lock-out and tag-out procedures by qualified utility personnel.

## **ENTRY/EXIT ROUTES**

Excavations five feet or more deep will require an adequate means of exit, such as a ladder, ramp, or steps and located so as to require no more than 25 feet of lateral travel. Under no circumstances will

personnel be raised.

### **VEHICLE CONTROL/SAFETY**

Personnel working around heavy equipment, or who may be exposed to public vehicular traffic will wear a traffic warning vest consisting of at least 400 square inches of red or orange material. At night, at least 400 square inches of florescent or other reflective material will be worn.

For excavation work on or adjacent to highways or streets, signs, signals, and barricades tat conform to the requirements of the current American National Standards Institute (ANSI) D6.1, Manual on Uniform Traffic Control Devices for Streets and Highways will be used to protect work areas. Signs, signals, and barricades will be adequately lighted at night. Flagmen will be provided when signs, signals and barricades do not provide adequate protection. Flagmen will use signals and procedures contained in the current issue of ANSI D6.1. At night, flagmen will be clearly illuminated so as to be easily seen by approaching traffic.

For mobile equipment operating next to or approaching the edge of an excavation, the operator will have a clear view of the edge of the excavation, or a warning system such as barricades, hand or mechanical signals, or stop logs will be used. If possible the surface grade will slope away from the excavation.

Personnel will be safely located in and around the trench and will not be permitted to work underneath loads handled by lifting or digging equipment. Personnel are required to stand away from vehicles being loaded and unloaded. Operators can remain in the cabs of vehicles being loaded or unloaded provided the vehicles are equipped to provide adequate protection to the operator.

### **HAZARDOUS ATMOSPHERES**

Hazardous atmospheres, such as oxygen deficiency (atmospheres containing less than 19.5% oxygen), flammable gases or vapors (airborne concentrations greater than 20% of the lower explosive limit), and toxic gases or vapors (airborne concentrations above the OSHA Permissible Exposure Limit or other exposure limits) may occur in excavations, especially around landfills and hazardous waste sites.

In locations where oxygen deficiency or hazardous gaseous conditions are possible, the air in the excavation will be tested before personnel are permitted to enter an excavation deeper than 4 feet. When flammable gases are present, adequate ventilation will be provided and sources of ignition will be eliminated. Ventilation or respiratory protection will be provided to prevent personnel exposures to oxygen deficient or toxic atmospheres. Periodic retesting (at least each shift) of the excavation will be conducted to verify that the atmosphere is acceptable. A log or field book records will be maintained of all test results.

### **WATER ACCUMULATION HAZARDS**

Personnel will not work in excavations that have accumulated water or where water is accumulating unless adequate precautions have been taken. These precautions can include special support or shield systems, water removal systems such as pumps, or safety harnesses and lifelines. Water removal systems will be operated and monitored by experienced personnel. Diversion ditches or dikes will be used to prevent surface water from entering the excavation and to provide adequate drainage of the area around the excavation. Adequate precautions, as described above, will be taken for excavating

subject to heavy rains.

### **STABILITY OF ADJACENT STRUCTURES**

Support systems such as shoring, bracing, or underpinning will be provided to maintain the stability of adjoining buildings, walls, or other structures endangered by the excavation operations. Excavations below a foundation or retaining wall that could be reasonably expected to pose a hazard to personnel will not be permitted unless:

- a support system is provided
- The excavation is in stable rock; or
- A Registered Professional Engineer has determined that the structure will not be effected by the excavation activity or that the excavation work will pose a hazard to employees. The Professional Engineer is required to demonstrate how the above determination was made on the basis of appropriate calculations.

Sidewalks will not be undermined unless shored to protect from possible collapse.

### **PROTECTION FROM LOOSE ROCK, MATERIALS OR SPOILS**

In excavations and trenches that personnel may be required to enter, loose rock, excavated or other material, and spoils will be effectively stored and retained at least two feet or more from the edge of the excavation.

As an alternative to the clearance prescribed above, barriers or other effective retaining devices may be used in order to prevent spoils or other materials from falling into the excavation.

Walkways, runways, and sidewalks will be kept clear of excavated material from other obstructions.

Scaling operations may be used to remove loose material and will be performed only by experienced crews under the direct supervision of a competent supervisor. The scalers will be provided with scaler=s lifelines, safety belts, boatswain chair, and other safety equipment necessary for their protection.

### **FALL PROTECTION**

Walkways or bridges with standard guardrails that meet OSHA specifications will be provided where employees, the public, or equipment are required to cross over excavations.

Adequate barrier physical protection will be provided at all remotely located excavations. All excavations will be barricaded or covered.

### **EMERGENCY RESCUE**

In the event of a cave-in, the Emergency Rescue Squad will be immediately notified. The caller should provide his name, location, nature of the accident (an excavation collapse), the dimensions of the excavation, and number of people trapped in the excavation. Personnel are not to enter a collapsed trench to attempt rescue. This may cause a further collapse of the trench. Under no circumstance is heavy equipment to be used to attempt rescue of personnel in a collapsed excavation; injury or decapitation could be the result. All heavy equipment and traffic in the area is to be shut down and

stopped to reduce vibration. Pumps should be started if water ensues.

### **INSPECTION PROGRAM**

Safety personnel will conduct daily inspections of the excavation, the adjacent areas, and protective systems. Inspections will be conducted prior to the start of work and as needed throughout the work shift. Inspections will also be made after every rainstorm or other occurrence that increases the hazard of collapse (i.e., vibration from heavy equipment, freezing and thawing, etc.).

The excavation inspection will include a check for the following:

- Evidence if situations that could result in possible cave-in (i.e. soil crumbling or sloughing, water saturated soils, freezing and thawing, unusual vibrations such as from heavy equipment, heavy rains, surface run off entering trench, etc.);
- Indications of failure of protective systems;
- Hazardous atmosphere (oxygen deficiency, flammable and toxic gases and vapors);
- Condition and support of exposed underground installations;
- Adequate means of egress;
- Signs, signals, and barricades for work area protection;
- Precautionary measures to control water accumulation;
- Stability and support of adjacent structures; and
- Adequate protection from loose rock and soil.

### **PROTECTIVE SYSTEMS**

Personnel working in excavations will be protected from cave-ins by sloping and/or benching of excavation walls, a shoring system or some other equivalent means except when:

- The excavation is made entirely in stable rock; or
- Excavations are less than five feet deep and safety personnel have determined that there is no indication of potential cave-in. Depending on site and soil conditions protective measures may be taken for the excavations less than five feet in depth.

The most important factor influencing the choice of protective systems is the soil type classification. Once the soil type has been classified, selection of the protective system, the determination of the angle of repose for sloping and benching, and the design of shoring systems will be made. Decisions will be based on careful evaluation of pertinent factors such as depth of cut; possible variation in water content of the material while the excavation is open; anticipated changes in materials from exposure to air, sun, water, or freezing; loading imposed structures equipment, overlying material, or stored material; and vibration from equipment, blasting traffic or other sources.

### **Soil Classification**

Appendix A of the OSHA Excavation Standard describes a method to classify soils into four types:

1. **Stable Rock** - Solid mineral matter that can be excavated with vertical sides.
2. **Type A** - cohesive soils with an unconfined compressive strength of 1.5 ton per square foot (tsf) or greater. Examples include: clay; silty clay; sandy clay; clayey loam; and cemented soils such as caliche and hardpan. No soil is considered to be Type A if it is fissured, subject to vibration, previously disturbed, or part of a sloped, layered system.
3. **Type B** - cohesive soils with an unconfined compressive strength of greater than 0.5 tsf but less than 1.5 tsf. Examples include: angular gravel similar to crushed rock; silt; silty loam; and sandy loam; Type B soils also include : previously disturbed soils that are not type C; Type A soils that are fissured or subject to vibration; and dry rock that is not stable.
4. **Type C** - cohesive soils with an unconfined compressive strength of 0.5 tsf or less. Examples include: gravel; sand; loamy sand; submerged soil or soil from which water is seeping; submerged rock that is not stable.

The engineer, geologist, or safety personnel will conduct at least one visual and at least one manual test as described in the OSHA excavation standard in order to classify soils. Visual tests include looking for : particle size and soil cohesiveness (clumping); cracking in the excavation sides which suggests fissured material; underground installations and previously disturbed soils; layered soil systems that slope toward the excavation; evidence of surface water and water seeping from the sides of the excavation; and sources of vibration that may affect the excavation stability. Manual tests include: plasticity; dry strength; tumb penetration; drying test; and strength tests using a pocket penetrometer or hand-operated sheervane.

### Sloping and Benching

One of the following options for sloping and benching systems described in section 1926.652(b) of the OSHA Excavation Standard will be used in excavations of .5 foot or deeper or at the discretion of the safety personnel:

- The walls of excavation will be sloped at an angle not steeper than one-and one-half horizontal to one vertical. Sloping configurations will follow the slopes shown for Type C soils in Appendix B of the OSHA Excavation Standard.
- Maximum allowable slopes and sloping and benching configurations will be determined according to soil type as described in Appendices A and B of the OSHA Excavation Standard.
- Use of other written tabulated data and designs, such as tables and charts, to design sloping and benching systems. A copy of the tabulated data must be approved by a registered Professional Engineer. A copy of the tabulated data must be kept at the job site.

Personnel are not allowed to work on the faces of sloped or benched excavations above other workers unless the workers at the lower levels are protected from falling material or equipment. Similar protection will be provided for personnel working in excavations below other workers.

### Support Systems, Shield Systems, and Other Protective Devices



One of the following options described in OSHA (1926.652 (c)) will be followed.

- Timber shoring, designed according to the conditions and requirements of Appendix C of the OSHA Excavation Standard or aluminum hydraulic shoring designed according to manufacturers tabulated data or Appendix D of the OSHA Excavation Standard. In order to use the information in Appendices C or D, the soil type must first be determined using the classification system in Appendix A. For each soil type the size and spacing of the cross braces, uprights, and walls that comprise the shoring system are then selected based on the depth and width of the trench.
- Use of the manufacturer=s written tabulated to design support systems, shielded systems, and other protective devices. Any deviation from this tabulated data must be approved by the manufacturer. A copy of the tabulated data as well as any approvals to deviate from the tabulated data must be kept at the job site.
- Use of other written tabulated data to design support systems, shield systems, and other protective devices. The tabulated data must be approved by a Registered Professional Engineer. A copy of the tabulated data must be kept at the job site.
- Use of a written support system, shield system, and other protective device design that has been approved by a Registered Professional Engineer. A copy of the written design must be kept at the job site.

#### **Installation and Removal of Support**

Cross braces or trench jacks, uprights, and walls will be secured together to prevent sliding, falling or kickouts.

Additional precautions by way of shoring and bracing will be taken to prevent slides or cave-ins when excavations or trenches are made in locations adjacent to backfilled excavations, or where excavations are subjected to vibrations from railroad or highway traffic, the operation of machinery, or any other source.

If it is necessary to place or operate power shovels, derricks, trucks, materials, or other heavy objects on a level above or near any excavation, the side of the excavation will be sheetpiled, shored, and braced as necessary to resist the extra pressure due to such superimposed loads.

Backfilling and removal of trench supports will progress together from the bottom of the trench. Jacks or braces will be released slowly and , in unstable soil, ropes will be used to pull out the jacks or braces from above after employees have cleared the trench.

#### **Shield Systems**

Portable trench boxes or sliding trench shields may be used for protection of personnel in lieu of a shoring system or sloping. Where such trench boxes or shields are used, they will be designed, constructed and maintained in a manner which will provide protection equal to or greater than the sheeting or shoring required for the trench. Shields will be installed so as to restrict lateral or other hazardous movement. Personnel are not allowed inside shields when shields are being moved.

## EXCAVATION SAFETY LIST

To be completed prior to each work shift, or prior to personnel entering a new trench for the first time, by the Site Safety Officer/Competent Person:

Project \_\_\_\_\_ Location \_\_\_\_\_

Job Number \_\_\_\_\_

Competent Person(CP)\* \_\_\_\_\_ Date \_\_\_\_\_

	<u>Yes</u>	<u>No</u>	<u>N/A</u>
1. Has the site been cleared for utilities and other underground obstructions?	_____	_____	_____
2. If on public property, has the regional utility locating service been notified?	_____	_____	_____
3. Has the excavation equipment been safety checked by the operator?	_____	_____	_____
4. Are copies of relevant OSHA excavation regulations available on site?	_____	_____	_____
5. Will the excavation be 5 feet or more in depth?	_____	_____	_____
6. If 4 is yes, will personnel enter the excavation at any time?	_____	_____	_____
7. If 4a is yes, have provisions been made for shoring, sloping, or benching the excavation? Describe: _____ _____ _____	_____	_____	_____
8. Has an inspection of the site and excavation been conducted by the SSO?	_____	_____	_____
9. Has the Competent Person conducted visual and manual tests to classify the soil?	_____	_____	_____

\* According to Federal OSHA, A Competent Person is a person who is capable of identifying existing and predictable hazards in the surroundings; or working conditions which are unsanitary, hazardous, or dangerous to employees; and who has the authority to take prompt corrective measures to eliminate them.

- |     |   |  |       |       |       |
|-----|---|--|-------|-------|-------|
| 10. | G | Visual Test _____ (type)   |       |       |       |
|     | G | Manual Test _____ (type)   |       |       |       |
|     | G | Soil Classification _____ (type)   |       |       |       |
| 11. |   | Are there any conditions that might expose employees to injury from possible moving ground?  | _____ | _____ | _____ |
| 12. |   | Is excavated material being placed at least 2 feet from the edge of the excavation?  | _____ | _____ | _____ |
| 13. |   | Is work in the excavation at all times under the immediate supervision of the SSO or other competent person?   | _____ | _____ | _____ |
| 14. |   | Is there a stairway, ladder, or ramp securely fastened in place to provide ingress and egress from the excavation?   | _____ | _____ | _____ |
| 15. |   | If the excavation is 4 feet or more in depth, are safe means of access (see 8) provided so as to require no more than 25 feet of lateral travel to reach them? | _____ | _____ | _____ |
| 16. |   | If structural ramps are installed that are used for access/egress: were they designed by a qualified engineer?   | _____ | _____ | _____ |
| 17. |   | Do the structural ramps have appropriate means to prevent slipping and are the ramps uniform in thickness?   | _____ | _____ | _____ |
| 18. |   | Are walkways or bridges provided across the excavation to safe crossing?   | _____ | _____ | _____ |
| 19. |   | If excavations are 7 1/2 or more feet in depth, do the walkways have guardrails and toeboards?   | _____ | _____ | _____ |
| 20. |   | Are undermined structures adequately supported to safely carry all anticipated loads and protect workers?  | _____ | _____ | _____ |
| 21. |   | Are there adequate means provided to prevent mobile equipment from inadvertently entering the excavation?  | _____ | _____ | _____ |
| 22. |   | Is the excavation well marked and barricaded to prevent personnel from falling IN?   | _____ | _____ | _____ |
| 23. |   | Are means available to prevent surface water from entering the excavation and to provide   | _____ | _____ | _____ |

**adequate drainage of the area adjacent to the trench?**

- |            |  |     |     |     |
|------------|--|-----|-----|-----|
| <b>24.</b> | <b>Where it is reasonable to expect hazardous atmospheres, including oxygen deficiency, to exist in the excavation, is appropriate atmosphere testing equipment available.</b> | ___ | ___ | ___ |
| <b>25.</b> | <b>Has the testing equipment been calibrated, and the calibrations recorded, today?</b>  | ___ | ___ | ___ |
| <b>26.</b> | <b>Are employees trained in proper use of this equipment?</b>  | ___ | ___ | ___ |
| <b>27.</b> | <b>Has a harness and lifeline been provided whenever an employee is required to enter a confined footing excavation?</b>   | ___ | ___ | ___ |
| <b>28.</b> | <b>Is appropriate personal protective equipment (hardhat, safety boots, eye protection, etc.) available and in use?</b>  | ___ | ___ | ___ |

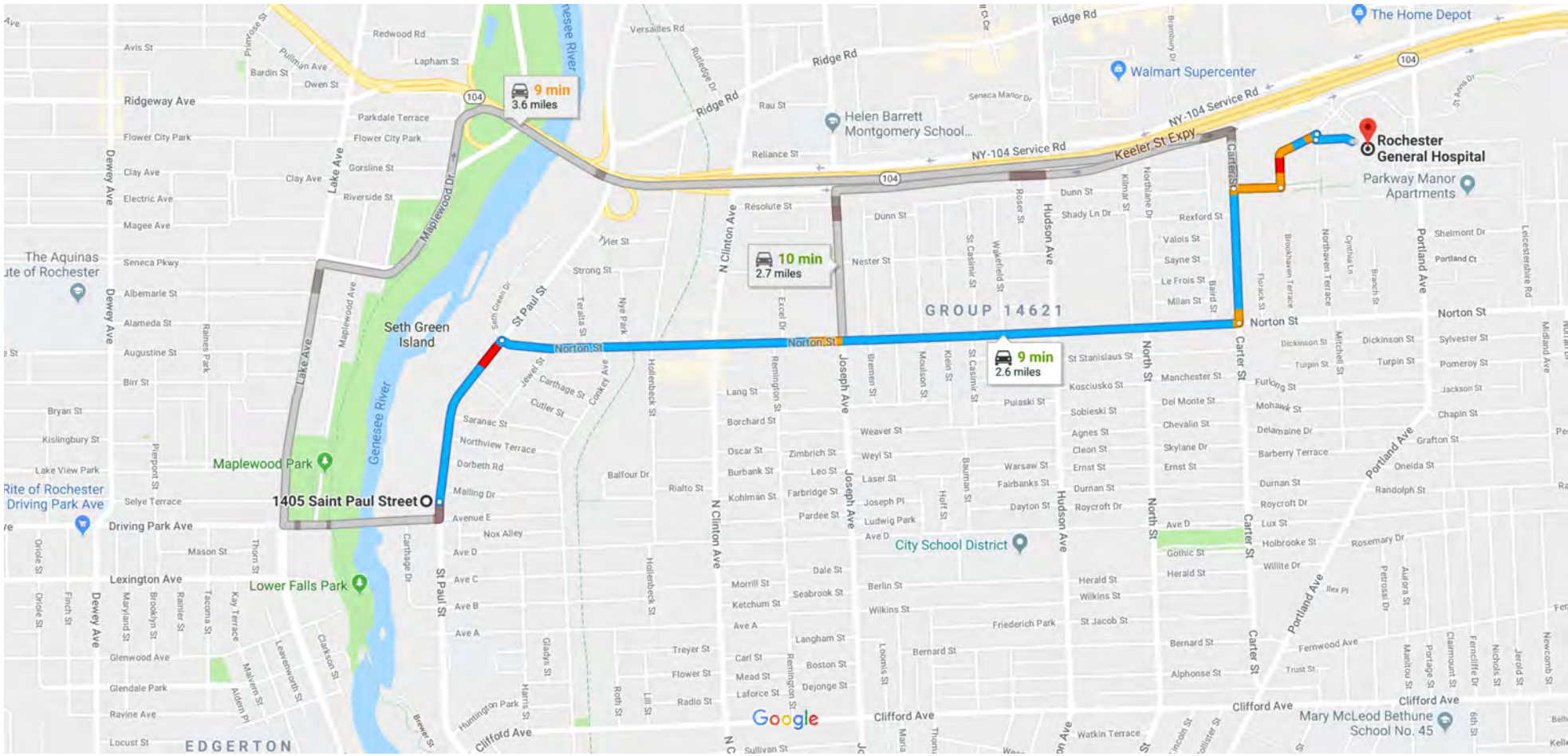
**Notes:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
**CPs Name (Print)**

\_\_\_\_\_  
**Signature**

# ATTACHMENT 4

Map to Hospital



# ATTACHMENT 5

## NYSDOH Generic CAMP and Fugitive Dust and Particulate Monitoring

**Appendix 1A**  
**New York State Department of Health**  
**Generic Community Air Monitoring Plan**

Overview

A Community Air Monitoring Plan (CAMP) requires real-time monitoring for volatile organic compounds (VOCs) and particulates (i.e., dust) at the downwind perimeter of each designated work area when certain activities are in progress at contaminated sites. The CAMP is not intended for use in establishing action levels for worker respiratory protection. Rather, its intent is to provide a measure of protection for the downwind community (i.e., off-site receptors including residences and businesses and on-site workers not directly involved with the subject work activities) from potential airborne contaminant releases as a direct result of investigative and remedial work activities. The action levels specified herein require increased monitoring, corrective actions to abate emissions, and/or work shutdown. Additionally, the CAMP helps to confirm that work activities did not spread contamination off-site through the air.

The generic CAMP presented below will be sufficient to cover many, if not most, sites. Specific requirements should be reviewed for each situation in consultation with NYSDOH to ensure proper applicability. In some cases, a separate site-specific CAMP or supplement may be required. Depending upon the nature of contamination, chemical-specific monitoring with appropriately-sensitive methods may be required. Depending upon the proximity of potentially exposed individuals, more stringent monitoring or response levels than those presented below may be required. Special requirements will be necessary for work within 20 feet of potentially exposed individuals or structures and for indoor work with co-located residences or facilities. These requirements should be determined in consultation with NYSDOH.

Reliance on the CAMP should not preclude simple, common-sense measures to keep VOCs, dust, and odors at a minimum around the work areas.

Community Air Monitoring Plan

Depending upon the nature of known or potential contaminants at each site, real-time air monitoring for VOCs and/or particulate levels at the perimeter of the exclusion zone or work area will be necessary. Most sites will involve VOC and particulate monitoring; sites known to be contaminated with heavy metals alone may only require particulate monitoring. If radiological contamination is a concern, additional monitoring requirements may be necessary per consultation with appropriate DEC/NYSDOH staff.

**Continuous monitoring** will be required for all ground intrusive activities and during the demolition of contaminated or potentially contaminated structures. Ground intrusive activities include, but are not limited to, soil/waste excavation and handling, test pitting or trenching, and the installation of soil borings or monitoring wells.

**Periodic monitoring** for VOCs will be required during non-intrusive activities such as the collection of soil and sediment samples or the collection of groundwater samples from existing monitoring wells. "Periodic" monitoring during sample collection might reasonably consist of taking a reading upon arrival at a sample location, monitoring while opening a well cap or



overturning soil, monitoring during well baling/purging, and taking a reading prior to leaving a sample location. In some instances, depending upon the proximity of potentially exposed individuals, continuous monitoring may be required during sampling activities. Examples of such situations include groundwater sampling at wells on the curb of a busy urban street, in the midst of a public park, or adjacent to a school or residence.

#### VOC Monitoring, Response Levels, and Actions

Volatile organic compounds (VOCs) must be monitored at the downwind perimeter of the immediate work area (i.e., the exclusion zone) on a continuous basis or as otherwise specified. Upwind concentrations should be measured at the start of each workday and periodically thereafter to establish background conditions, particularly if wind direction changes. The monitoring work should be performed using equipment appropriate to measure the types of contaminants known or suspected to be present. The equipment should be calibrated at least daily for the contaminant(s) of concern or for an appropriate surrogate. The equipment should be capable of calculating 15-minute running average concentrations, which will be compared to the levels specified below.

1. If the ambient air concentration of total organic vapors at the downwind perimeter of the work area or exclusion zone exceeds 5 parts per million (ppm) above background for the 15-minute average, work activities must be temporarily halted and monitoring continued. If the total organic vapor level readily decreases (per instantaneous readings) below 5 ppm over background, work activities can resume with continued monitoring.

2. If total organic vapor levels at the downwind perimeter of the work area or exclusion zone persist at levels in excess of 5 ppm over background but less than 25 ppm, work activities must be halted, the source of vapors identified, corrective actions taken to abate emissions, and monitoring continued. After these steps, work activities can resume provided that the total organic vapor level 200 feet downwind of the exclusion zone or half the distance to the nearest potential receptor or residential/commercial structure, whichever is less - but in no case less than 20 feet, is below 5 ppm over background for the 15-minute average.

3. If the organic vapor level is above 25 ppm at the perimeter of the work area, activities must be shutdown.

4. All 15-minute readings must be recorded and be available for State (DEC and NYSDOH) personnel to review. Instantaneous readings, if any, used for decision purposes should also be recorded.

#### Particulate Monitoring, Response Levels, and Actions

Particulate concentrations should be monitored continuously at the upwind and downwind perimeters of the exclusion zone at temporary particulate monitoring stations. The particulate monitoring should be performed using real-time monitoring equipment capable of measuring particulate matter less than 10 micrometers in size (PM-10) and capable of integrating over a period of 15 minutes (or less) for comparison to the airborne particulate action level. The equipment must be equipped with an audible alarm to indicate exceedance of the action level. In addition, fugitive dust migration should be visually assessed during all work activities.

1. If the downwind PM-10 particulate level is 100 micrograms per cubic meter ( $\text{mcg}/\text{m}^3$ ) greater than background (upwind perimeter) for the 15-minute period or if airborne dust is observed leaving the work area, then dust suppression techniques must be employed. Work may continue with dust suppression techniques provided that downwind PM-10 particulate levels do not exceed  $150 \text{ mcg}/\text{m}^3$  above the upwind level and provided that no visible dust is migrating from the work area.

2. If, after implementation of dust suppression techniques, downwind PM-10 particulate levels are greater than  $150 \text{ mcg}/\text{m}^3$  above the upwind level, work must be stopped and a re-evaluation of activities initiated. Work can resume provided that dust suppression measures and other controls are successful in reducing the downwind PM-10 particulate concentration to within  $150 \text{ mcg}/\text{m}^3$  of the upwind level and in preventing visible dust migration.

3. All readings must be recorded and be available for State (DEC and NYSDOH) and County Health personnel to review.

December 2009

## Appendix 1B Fugitive Dust and Particulate Monitoring

A program for suppressing fugitive dust and particulate matter monitoring at hazardous waste sites is a responsibility on the remedial party performing the work. These procedures must be incorporated into appropriate intrusive work plans. The following fugitive dust suppression and particulate monitoring program should be employed at sites during construction and other intrusive activities which warrant its use:

1. Reasonable fugitive dust suppression techniques must be employed during all site activities which may generate fugitive dust.

2. Particulate monitoring must be employed during the handling of waste or contaminated soil or when activities on site may generate fugitive dust from exposed waste or contaminated soil. Remedial activities may also include the excavation, grading, or placement of clean fill. These control measures should not be considered necessary for these activities.

3. Particulate monitoring must be performed using real-time particulate monitors and shall monitor particulate matter less than ten microns (PM10) with the following minimum performance standards:

- (a) Objects to be measured: Dust, mists or aerosols;
- (b) Measurement Ranges: 0.001 to 400 mg/m<sup>3</sup> (1 to 400,000 µg/m<sup>3</sup>);
- (c) Precision (2-sigma) at constant temperature: +/- 10 µg/m<sup>3</sup> for one second averaging; and +/- 1.5 g/m<sup>3</sup> for sixty second averaging;
- (d) Accuracy: +/- 5% of reading +/- precision (Referred to gravimetric calibration with SAE fine test dust (mmd= 2 to 3 µm, g= 2.5, as aerosolized);
- (e) Resolution: 0.1% of reading or 1g/m<sup>3</sup>, whichever is larger;
- (f) Particle Size Range of Maximum Response: 0.1-10;
- (g) Total Number of Data Points in Memory: 10,000;
- (h) Logged Data: Each data point with average concentration, time/date and data point number
- (i) Run Summary: overall average, maximum concentrations, time/date of maximum, total number of logged points, start time/date, total elapsed time (run duration), STEL concentration and time/date occurrence, averaging (logging) period, calibration factor, and tag number;
- (j) Alarm Averaging Time (user selectable): real-time (1-60 seconds) or STEL (15 minutes), alarms required;
- (k) Operating Time: 48 hours (fully charged NiCd battery); continuously with charger;
- (l) Operating Temperature: -10 to 50° C (14 to 122° F);
- (m) Particulate levels will be monitored upwind and immediately downwind at the working site and integrated over a period not to exceed 15 minutes.

4. In order to ensure the validity of the fugitive dust measurements performed, there must be appropriate Quality Assurance/Quality Control (QA/QC). It is the responsibility of the remedial party to adequately supplement QA/QC Plans to include the following critical features: periodic instrument calibration, operator training, daily instrument performance (span) checks, and a record keeping plan.

5. The action level will be established at 150 µg/m<sup>3</sup> (15 minutes average). While conservative,

this short-term interval will provide a real-time assessment of on-site air quality to assure both health and safety. If particulate levels are detected in excess of 150 ug/m<sup>3</sup>, the upwind background level must be confirmed immediately. If the working site particulate measurement is greater than 100 ug/m<sup>3</sup> above the background level, additional dust suppression techniques must be implemented to reduce the generation of fugitive dust and corrective action taken to protect site personnel and reduce the potential for contaminant migration. Corrective measures may include increasing the level of personal protection for on-site personnel and implementing additional dust suppression techniques (see paragraph 7). Should the action level of 150 ug/m<sup>3</sup> continue to be exceeded work must stop and DER must be notified as provided in the site design or remedial work plan. The notification shall include a description of the control measures implemented to prevent further exceedances.

6. It must be recognized that the generation of dust from waste or contaminated soil that migrates off-site, has the potential for transporting contaminants off-site. There may be situations when dust is being generated and leaving the site and the monitoring equipment does not measure PM<sub>10</sub> at or above the action level. Since this situation has the potential to allow for the migration of contaminants off-site, it is unacceptable. While it is not practical to quantify total suspended particulates on a real-time basis, it is appropriate to rely on visual observation. If dust is observed leaving the working site, additional dust suppression techniques must be employed. Activities that have a high dusting potential--such as solidification and treatment involving materials like kiln dust and lime--will require the need for special measures to be considered.

7. The following techniques have been shown to be effective for the controlling of the generation and migration of dust during construction activities:

- (a) Applying water on haul roads;
- (b) Wetting equipment and excavation faces;
- (c) Spraying water on buckets during excavation and dumping;
- (d) Hauling materials in properly tarped or watertight containers;
- (e) Restricting vehicle speeds to 10 mph;
- (f) Covering excavated areas and material after excavation activity ceases; and
- (g) Reducing the excavation size and/or number of excavations.

Experience has shown that the chance of exceeding the 150ug/m<sup>3</sup> action level is remote when the above-mentioned techniques are used. When techniques involving water application are used, care must be taken not to use excess water, which can result in unacceptably wet conditions. Using atomizing sprays will prevent overly wet conditions, conserve water, and provide an effective means of suppressing the fugitive dust.

8. The evaluation of weather conditions is necessary for proper fugitive dust control. When extreme wind conditions make dust control ineffective, as a last resort remedial actions may need to be suspended. There may be situations that require fugitive dust suppression and particulate monitoring requirements with action levels more stringent than those provided above. Under some circumstances, the contaminant concentration and/or toxicity may require additional monitoring to protect site personnel and the public. Additional integrated sampling and chemical analysis of the dust may also be in order. This must be evaluated when a health and safety plan is developed and when appropriate suppression and monitoring requirements are established for protection of health and the environment.

# APPENDIX B

# QUALITY ASSURANCE/QUALITY CONTROL PLAN

HAWKEYE TRADE CENTER & RESIDENCES  
PARCEL 3  
1405 ST. PAUL STREET  
ROCHESTER, NEW YORK 14650  
NYSDEC SITE # C828203

Prepared for:

WBS Capital, Inc.  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, New York 11354

Prepared by:



1270 Niagara Street  
Buffalo, New York 14213

November 2018

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## 1.0 INTRODUCTION

This Quality Assurance/Quality Control (QA/QC) Plan provides an overview of QA/QC procedures required for the project. It also provides methods for laboratory testing of environmental samples obtained from the Site, which helps to ensure the quality of the data produced. The organizational structure for this project is presented in the Work Plan, which identifies the names of key project personnel. The project manager is responsible for verifying that QA procedures are followed in the field so that quality, representative samples are collected. The Project Manager is in contact with the analytical laboratory to monitor laboratory activities so that holding times and other QA/QC requirements are met. The anticipated quantity of field samples collected, and corresponding analytical parameters/methods are provided below.

### ANALYTICAL SUMMARY TABLE

PARAMETER	ANALYTICAL METHOD	QUANTITY(GW) <sup>A</sup>	Soil <sup>B</sup>	Air <sup>C</sup>
Part 375 VOCs	8260	7	25	NA
Part 375 SVOCs	8270	7	25	NA
Part 375 Metals	6010/7470/7471	7	25	NA
Part 375 PCBs	8082	7	25	NA
Part 375 Pesticides	8081	7	25	NA
Air	TO-15	NA	NA	NA
Emerging Contaminants NA	537/8270	7	NA	NA

Holding Times: 8260-14 days and 8270, 8081, and 8082-7 days

A = 1 MS, 1 MSD and 1 duplicate,

B = 2 MS, 2 MSD and 2 duplicates,

C = 1 duplicate – however, no air samples proposed for Hawkeye Parcel 3

The analytical laboratory proposed for use for the analysis of samples will be a certified NYSDOH ELAP laboratory. The QA Manager of the laboratory will be responsible for performing project-specific audits and for overseeing the quality control data generated. The field geologist/technician coordinates all personnel involved with field sampling, verifies that all sampling is conducted per the FSP, and communicates regularly with the Project Manager. The ultimate responsibility for maintaining quality throughout the project rests with the Project Manager, including field and laboratory QA/QC.

## 2.0 DATA QUALITY OBJECTIVES

### 2.1 BACKGROUND

Data quality objectives (DQOs) are qualitative and quantitative statements, which specify the quality of data required supporting the investigation for the site. DQOs focus on the identification of the end use of the data to be collected. The project DQOs are achieved utilizing the definitive data category as outlined in *Guidance for the Data Quality Objectives Process*, EPA QA/G-4 (September 1994). All sample analyses will provide definitive data, which are generated using rigorous analytical methods such as reference methods approved by the United States



Environmental Protection Agency (USEPA). The purpose of this investigation is to determine the nature and extent of contamination at the site.

Within the context of the purpose stated above, the project DQOs for data collected during this investigation are:

- To assess the nature and extent of contamination in soil, groundwater, and soil vapor, as applicable
- To maintain the highest possible scientific/professional standards for each procedure
- To develop enough data to assess whether the levels of contaminants identified in the media sampled exceed regulatory guidelines

## 2.2 QA OBJECTIVES FOR CHEMICAL DATA MEASUREMENT

Sample analytical methodology for the media sampled and data deliverables are required to adhere to the requirements in NYSDEC Analytical Services Protocol. Laboratories are instructed to complete Sample Preparation and Analysis Summary forms and submit with the data packages. The laboratory is instructed that matrix interferences must be fixed to the extent practicable. To achieve the definitive data category described above, the data quality indicators of precision, accuracy, representativeness, comparability, and completeness are measured during analysis.

### *2.2.1 Precision*

Precision examines the distribution of the reported values about their mean. The distribution of reported values refers to how different the individual reported values are from the average reported value. Precision may be affected by the natural variation of the matrix or contamination within that matrix and by errors made in field or laboratory handling procedures. Precision is evaluated using analyses of a laboratory matrix spike/matrix spike duplicate (for organics) and matrix duplicates (for inorganics), which indicate analytical precision through the reproducibility of the analytical results. Relative Percent Difference (RPD) is used to evaluate precision and it must meet the method requirements.

### *2.2.2 Accuracy*

Accuracy measures the analytical bias in a measurement system. Sources of error are the sampling process, field contamination, preservation, handling, sample matrix, sample preparation, and analysis techniques. These data help to assess the potential concentration contribution from various outside sources. The laboratory objective for accuracy is to equal or exceed the accuracy demonstrated for the applied analytical methods on samples of the same matrix. The percent recovery criterion is used to estimate accuracy based on recovery in the matrix spike/matrix spike duplicate and matrix spike blank samples. The spike and spike duplicate, which will give an indication of matrix effects that may be affecting target compounds is also a good gauge of method efficiency.

### *2.2.3 Representativeness*

Representativeness expresses the degree to which the sample data accurately and precisely represent the characteristics of a population of samples, parameter variations at a sampling point, or environmental conditions. Representativeness is a qualitative parameter, which is most concerned with the proper design of the sampling program or sub-sampling of a given sample. Objectives for representativeness are defined for sampling and analysis tasks and are a function of the

investigative objectives. The sampling procedures described in the Field Sampling Plan have been selected with the goal of obtaining representative samples for the media of concern.

#### 2.2.4 Comparability

Comparability is a qualitative parameter expressing the confidence with which one data set can be compared with another. A DQO for this program is to produce data with the greatest possible degree of comparability. This goal is achieved through using standard techniques to collect and analyze representative samples and reporting analytical results in appropriate units. Complete field documentation will support the assessment of comparability. Comparability is limited by the other parameters (e.g., precision, accuracy, representativeness, completeness, comparability), because only when precision and accuracy are known can data sets be compared with confidence. For data sets to be comparable, it is imperative that contract-required methods and procedures be explicitly followed.

#### 2.2.5 Completeness

Completeness is defined as a measure of the amount of valid data obtainable from a measurement system compared to the amount that was expected to be obtained under normal conditions. It is important that appropriate QA procedures be maintained to verify that valid data are obtained to meet project needs. For the data generated, a goal of 90% is required for completeness (or usability) of the analytical data. If this goal is not met, then project personnel will determine whether the deviations might cause the data to be rejected.

### 3.0 SAMPLING LOCATIONS, CUSTODY, AND HOLDING TIMES

Sampling locations are discussed in Work Plan. Procedures addressing field and laboratory sample chain-of-custody and holding times details are presented in the Field Sampling Plan. The laboratory must meet the method required detection limits which are referenced within the methods.

### 4.0 CALIBRATION PROCEDURES AND FREQUENCY

To obtain a high level of precision and accuracy during sample processing procedures, laboratory instruments must be calibrated properly. Several analytical support areas must be considered so the integrity of standards and reagents is upheld prior to instrument calibration. The following sections describe the analytical support areas and laboratory instrument calibration procedures.

#### 4.1 ANALYTICAL SUPPORT AREAS

Prior to generating quality data, several analytical support areas must be considered; these are detailed in the following paragraphs.

Standard/Reagent Preparation - Primary reference standards and secondary standard solutions shall be obtained from National Institute of Standards and Technology (NIST), or other reliable commercial sources to verify the highest purity possible. The preparation and maintenance of standards and reagents will be accomplished according to the methods referenced. All standards

and standard solutions are to be formally documented (i.e., in a logbook) and should identify the supplier, lot number, purity/concentration, receipt/preparation date, preparers name, method of preparation, expiration date, and any other pertinent information. All standard solutions shall be validated prior to use. Care shall be exercised in the proper storage and handling of standard solutions (e.g., separating volatile standards from nonvolatile standards). The laboratory shall continually monitor the quality of the standards and reagents through well documented procedures.

Balances - The analytical balances shall be calibrated and maintained in accordance with manufacturer specifications. Calibration is conducted with two Class AS" weights that bracket the expected balance use range. The laboratory shall check the accuracy of the balances daily and they must be properly documented in permanently bound logbooks.

Refrigerators/Freezers - The temperature of the refrigerators and freezers within the laboratory shall be monitored and recorded daily. This will verify that the quality of the standards and reagents is not compromised, and the integrity of the analytical samples is upheld. Appropriate acceptance ranges (2 to 6°C for refrigerators) shall be clearly posted on each unit in service.

Water Supply System - The laboratory must maintain enough water supply for all project needs. The grade of the water must be of the highest quality (analyte-free) to eliminate false-positives from the analytical results. Ultraviolet cartridges or carbon absorption treatments are recommended for organic analyses and ion-exchange treatment is recommended for inorganic tests. Appropriate documentation of the quality of the water supply system(s) will be performed on a regular basis.

#### 4.2 LABORATORY INSTRUMENTS

Calibration of instruments is required to verify that the analytical system is operating properly and at the sensitivity necessary to meet established quantitation limits. Each instrument for organic and inorganic analyses shall be calibrated with standards appropriate to the type of instrument and linear range established within the analytical method(s). Calibration of laboratory instruments will be performed according to specified methods.

In addition to the requirements stated within the analytical methods, the contract laboratory will be required to analyze an additional low-level standard at or near the detection limits. In general, standards will be used that bracket the expected concentration of the samples. This will require the use of different concentration levels, which are used to demonstrate the instrument's linear range of calibration.

Calibration of an instrument must be performed prior to the analysis of any samples and then at periodic intervals (continuing calibration) during the sample analysis to verify that the instrument is still calibrated. If the contract laboratory cannot meet the method required calibration requirements, corrective action shall be taken. All corrective action procedures taken by the contract laboratory are to be documented, summarized within the case narrative, and submitted with the analytical results.

## **5.0 INTERNAL QUALITY CONTROL CHECKS**

Internal QC checks are used to determine if analytical operations at the laboratory are in control, as well as determining the effect sample matrix may have on data being generated. Two types of internal checks are performed and are described as batch QC and matrix-specific QC procedures. The type and frequency of specific QC samples performed by the contract laboratory will be according to the specified analytical method and project specific requirements. Acceptable criteria and target ranges for these QC samples are presented within the referenced analytical methods.

QC results which vary from acceptable ranges shall result in the implementation of appropriate corrective measures, potential application of qualifiers, and/or an assessment of the impact these corrective measures have on the established data quality objectives. Quality control samples including any project-specific QC will be analyzed are discussed below.

## 5.1 BATCH QC

Method Blanks - A method blank is defined as laboratory-distilled or deionized water that is carried through the entire analytical procedure. The method blank is used to determine the level of laboratory background contamination. Method blanks are analyzed at a frequency of one per analytical batch.

Matrix Spike Blank Samples - A matrix spike blank (MSB) sample is an aliquot of water spiked (fortified) with all the elements being analyzed for calculation of precision and accuracy to verify that the analysis that is being performed is in control. An MSB will be performed for each matrix and organic parameter only.

## 5.2 MATRIX-SPECIFIC QC

Matrix Spike Samples - An aliquot of a matrix is spiked with known concentrations of specific compounds as stipulated by the methodology. The matrix spike (MS) and matrix spike duplicate (MSD) are subjected to the entire analytical procedure to assess both accuracy and precision of the method for the matrix by measuring the percent recovery and relative percent difference of the two spiked samples. The samples are used to assess matrix interference effects on the method, as well as to evaluate instrument performance. MS/MSDs are analyzed at a frequency of one each per 20 samples per matrix.

Matrix Duplicates - The matrix duplicate (MD) is two representative aliquots of the same sample which are prepared and analyzed identically. Collection of duplicate samples provides for the evaluation of precision both in the field and at the laboratory by comparing the analytical results of two samples taken from the same location. Obtaining duplicate samples from a soil matrix requires homogenization (except for volatile organic compounds) of the sample aliquot prior to filling sample containers, to best achieve representative samples. Every effort will be made to obtain replicate samples; however, due to interferences, lack of homogeneity, and the nature of the soil samples, the analytical results are not always reproducible.

Rinsate (Equipment) Blanks - A rinsate blank is a sample of laboratory demonstrated analyte-free water passed through and over the cleaned sampling equipment. A rinsate blank is used to indicate potential contamination from ambient air and from sample instruments used to collect and transfer samples. This water must originate from one common source within the laboratory and must be the same water used by the laboratory performing the analysis. The rinsate blank should be collected, transported, and analyzed in the same manner as the samples acquired that day. Rinsate blanks for nonaqueous matrices should be performed at a rate of 10 percent of the

total number of samples collected throughout the sampling event. Rinse blanks will not be performed on samples (i.e., groundwater) where dedicated disposable equipment is used.

Trip Blanks - Trip blanks are not required for nonaqueous matrices. Trip blanks are required for aqueous sampling events. They consist of a set of sample bottles filled at the laboratory with laboratory demonstrated analyte free water. These samples then accompany the bottles that are prepared at the lab into the field and back to the laboratory, along with the collected samples for analysis. These bottles are never opened in the field. Trip blanks must return to the lab with the same set of bottles they accompanied to the field. Trip blanks will be analyzed for volatile organic parameters. Trip blanks must be included at a rate of one per volatile sample shipment.

## 6.0 CALCULATION OF DATA QUALITY INDICATORS

### 6.1 PRECISION

Precision is evaluated using analyses of a field duplicate or a laboratory MS/MSD that indicate analytical precision through the reproducibility of the analytical results. RPD is used to evaluate precision by the following formula:

$$RPD = \frac{(X_1 - X_2)}{[(X_1 + X_2)/2]} \times 100\%$$

where:

- X<sub>1</sub> = Measured value of sample or matrix spike
- X<sub>2</sub> = Measured value of duplicate or matrix spike duplicate

Precision will be determined using MS/MSD (for organics) and matrix duplicates (for inorganics) analyses.

### 6.2 ACCURACY

Accuracy is defined as the degree of difference between the measured or calculated value and the true value. The closer the numerical value of the measurement comes to the true value or actual concentration, the more accurate the measurement is. Analytical accuracy is expressed as the percent recovery of a compound or element that has been added to the environmental sample at known concentrations before analysis. Analytical accuracy may be assessed using known and unknown QC samples and spiked samples. It is presented as percent recovery. Accuracy will be determined from matrix spike, matrix spike duplicate, and matrix spike blank samples, as well as from surrogate compounds added to organic fractions (i.e., volatiles, semivolatiles, PCB), and is calculated as follows:

$$Accuracy (\%R) = \frac{(X_s - X_u)}{K} \times 100\%$$

where:

- X<sub>s</sub> = Measured value of the spike sample
- X<sub>u</sub> = Measured value of the unspiked sample
- K = Known amount of spike in the sample

### 6.3 COMPLETENESS

Completeness is calculated on a per matrix basis for the project and is calculated as follows:

$$\text{Completeness (\%C)} = \frac{(X_v - X_n)}{N} \times 100\%$$

where:

$X_v$  = Number of valid measurements

$X_n$  = Number of invalid measurements

$N$  = Number of valid measurements expected to be obtained

## **7.0 CORRECTIVE ACTIONS**

Laboratory corrective actions shall be implemented to resolve problems and restore proper functioning to the analytical system when errors, deficiencies, or out-of-control situations exist at the laboratory. Full documentation of the corrective action procedure needed to resolve the problem shall be filed in the project records, and the information summarized in the case narrative. A discussion of the corrective actions to be taken is presented in the following sections.

### 7.1 INCOMING SAMPLES

Problems noted during sample receipt shall be documented by the laboratory. The Project Manager shall be contacted immediately for problem resolution. All corrective actions shall be documented thoroughly.

### 7.2 SAMPLE HOLDING TIMES

If any sample extraction or analyses exceed method holding time requirements, the Project Manager shall be notified immediately for problem resolution. All corrective actions shall be documented thoroughly.

### 7.3 INSTRUMENT CALIBRATION

Sample analysis shall not be allowed until all initial calibrations meet the appropriate requirements. All laboratory instrumentation must be calibrated in accordance with method requirements. If any initial/continuing calibration standards exceed method QC limits, recalibration must be performed and, if necessary, reanalysis of all samples affected back to the previous acceptable calibration check.

### 7.4 REPORTING LIMITS

The laboratory must meet the method required detection limits listed in NYSDEC ASP, 10/95 criteria. If difficulties arise in achieving these limits due to a sample matrix, the laboratory must notify PEI project personnel for problem resolution. To achieve those detection limits, the laboratory must utilize all appropriate cleanup procedures to retain the project required detection limits. When any sample requires a secondary dilution due to high levels of target analytes, the laboratory must document all initial analyses and secondary dilution results. Secondary dilution

will be permitted only to bring target analytes within the linear range of calibration. If samples are analyzed at a secondary dilution with no target analytes detected, the Project Manager will be immediately notified so that appropriate corrective actions can be initiated.

## 7.5 METHOD QC

All QC method-specified QC samples shall meet the method requirements referenced in the analytical methods. Failure of method-required QC will result in the review and possible qualification of all affected data. If the laboratory cannot find any errors, the affected sample(s) shall be reanalyzed or re-extracted/redigested, then reanalyzed within method-required holding times to verify the presence or absence of matrix effects. If matrix effect is confirmed, the corresponding data shall be flagged accordingly using the flagging symbols and criteria. If matrix effect is not confirmed, then the entire batch of samples may have to be reanalyzed or re-extracted/redigested, then reanalyzed at no cost. Project Manager shall be notified as soon as possible to discuss possible corrective actions should unusually difficult sample matrices be encountered.

## 7.6 CALCULATION ERRORS

All analytical results must be reviewed systematically for accuracy prior to submittal. If upon data review calculation or reporting errors exist, the laboratory will be required to reissue the analytical data report with the corrective actions appropriately documented in the case narrative.

# **8.0 DATA REDUCTION, VALIDATION, AND USABILITY**

## 8.1 DATA REDUCTION

Laboratory analytical data are first generated in raw form at the instrument. These data may be either in a graphic or printed tabular format. Specific data generation procedures and calculations are found in each of the referenced methods. Analytical results must be reported consistently. Identification of all analytes must be accomplished with an authentic standard of the analyte traceable to NIST or USEPA sources. Individuals experienced with an analysis and knowledgeable of requirements will perform data reduction.

## 8.2 DATA VALIDATION

Data validation is a systematic procedure of reviewing a body of data against a set of established criteria to provide a specified level of assurance of validity prior to its intended use. All analytical results from soil and groundwater samples will have ASP Category B deliverables and DUSR. The data validation will be in accordance with DER-10 Section 2.2 with ASP - Category B data deliverables provided by the laboratory and a DUSR provided for validation. Where possible, discrepancies will be resolved by the project manager.

- Technical holding times will be in accordance with NYSDEC ASP, 7/2005 edition.
- Organic calibration and QC criteria will be in accordance with NYSDEC ASP, 7/2005 edition. Data will be qualified if it does not meet NYSDEC ASP, 7/2005 criteria.

# **9.0 REFERENCES**

Comprehensive Environmental Response Compensation and Liability Act (CERCLA) Quality Assurance Manual, Final Copy , Revision I, October 1989.

National Enforcement Investigations Center of USEPA Office of Enforcement. *NEIC Policies and Procedures*. Washington: USEPA.

New York State Department of Environmental Conservation (NYSDEC) 2005. *Analytical Services Protocol*, (ASP) 7/2005 Edition. Albany: NYSDEC.

NYSDEC “DER-10 Technical Guidance for Site Investigation and Remediation (DER-10),” dated May 3, 2010, Appendix 2B



# APPENDIX C

# FIELD SAMPLING PLAN

HAWKEYE TRADE CENTER & RESIDENCES  
PARCEL 3  
1405 ST. PAUL STREET  
ROCHESTER, NEW YORK 14650  
NYSDEC SITE # C828203

Prepared for:

WBS Capital, Inc.  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, New York 11354

Prepared by:



1270 Niagara Street  
Buffalo, New York 14213

August 2018

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## 1.0 INTRODUCTION

This Field Sampling Plan (FSP) provides procedures for the field activities designed in the Work Plan where soil, groundwater, and vapor sampling are required at the Site. The field procedures presented in this manual should be followed by all field personnel, as adherence can help to ensure the quality and usability of the data collected. The FSP should be used collectively with and comply with the following documents:

- The HASP;
- The QA/QC Plan;
- The RI Work Plan.

## 2.0 SOIL SAMPLING

Soil samples are obtained as outlined in the Work Plan, considering the following general protocol:

1. Inspect newly created test pit or boring core stratigraphy once obtained in/from the subsurface.
2. Sample soil, and record depth and any physical characteristics (e.g., contamination, odor, discoloration, debris, etc.) in the logbook.
3. Quickly place the calibrated PID into the exposed soil and record the instrument readings in the logbook.
4. Samples should be collected at locations and frequency per the Work Plan and QA/QC Plan.
5. Decontaminate sampling implements after use and between sample locations. When using dedicated sampling equipment, decontamination can be minimized.
6. Label each sample container with the appropriate sample identification and place sample in a cooler (cooled to 4 degrees C.) for shipment to the laboratory.
7. Initiate chain-of-custody procedures.

### 2.1 TEST PIT PROCEDURES

Test pit sampling is a standard method of soil sampling to obtain representative samples for identification as well as to serve as a means of obtaining a significant information about the subsurface. The following steps describe the procedures for test pit operations.

#### *2.1.1 Field Preparation*

1. Verify underground utilities have been found.
2. Review scope of work, safety procedures and communication signals with site personnel.
3. Pre-clean the sampling equipment prior to use, as necessary.
4. Mark and review trench locations. Specific locations are determined in the field and are selected based on areas of visible or potential surface contamination or debris, pre-determined locations representing specific Site areas, and field obstructions.

#### *2.1.2 Excavation and Sample Collection*

1. Position backhoe/equipment into appropriate area considering direction of excavation, obstructions, safety concerns, etc.
2. Commence excavation with the backhoe upwind of the excavation, as possible.
3. Ensure continuous air monitoring has been activated.

4. Screen soil regularly for VOCs as excavation progresses and soil is stockpiled.
5. As directed by field technician for each test trench, topsoil or cover soil (if any) is excavated and placed on poly/plastic sheeting.
6. Soil/material below the topsoil is excavated to the depth as directed by field technician and placed on poly/plastic sheeting separate from the topsoil/cover soil.
7. Segregate 'clean' material from impacted material, as possible, using visual observations and PID screening.
8. Record geologic log as trenches are excavated visually inspecting subsurface material for discoloration or staining and documenting pit/trench with photos. The following information will be recorded for each test pit log:
  - Depth, length, and width of the excavation.
  - Description of each lithological unit including depth and thickness of distinct soil, fill, or rock layers.
  - Description of any man-made impacts or apparent contamination.
  - Depth to groundwater and bedrock, if encountered.
9. Collect soil samples using dedicated stainless-steel spoons directly from the bucket of the backhoe at ground surface. No personnel shall enter the excavation to collect samples unless provisions in the HASP have been addressed for entering an excavation.
10. Place each soil sample directly into appropriate sample bottles/jars.
11. Clearly label the sample bottles and jars.
12. Place each jar in an ice-filled cooler.
13. Ship samples to laboratory as soon as possible, but no later than 24 hours after collection.
14. Document the types and numbers of samples collected on Chain-of-Custody.
15. Record time and date of sample collection and a description of the sample and any associated air monitoring measurements in the field logbook.
16. After sampling, backfill and compact (e.g., bucket and equipment tracks/wheels) the excavated material from each trench or pit prior to moving to next location.
17. Backfill with indigenous soil in the order in which the material was removed with the topsoil/cover soil placed last to cover the trench, placing impacted material at bottom of pit/trench and covering with 'clean' material.
18. Decontaminate sampling and excavation equipment between sampling locations (i.e., if not dedicated) and at completion over top of excavation area using dry methods initially and steam cleaning, as needed.

## 2.2 GEOPROBE PROCEDURES

Geoprobe direct push sampling is a standard method of soil sampling to obtain representative samples from the subsurface. Field preparation, sample collection, and data logging activities for Geoprobe sampling are identical to that of test pitting/trenching listed above. The following procedures detail activities, as directed by the field technician, for the execution of Macro Core drilling operations after rig is in a downwind position and continuous air monitoring and VOC screening activities have commenced:

1. Startup drill rig and raise mast.
2. Use star bit with rig in rotary setting to penetrate pavement (if applicable).
3. Excavate a hole large enough to set a road box before you advance the borehole (if applicable).
4. Unthread the shoe from the bottom of the sample tube and inset a sample liner and rethread the shoe on the bottom of the sample tube.

5. Thread the drive cap on the top of the sample tube.
6. Align the sample tube so it is plumb in both directions to ensure a straight borehole is drilled.
7. Drive the top of the sample tube into ground surface.
8. Unthread the drive cap from the top of the sample tube and thread the pull cap in its place.
9. Pull the sample tube from the ground using caution to not pinch your hand between the drill rods, pull cap, or rig.
10. Unthread the cutting shoe and pull the sample liner from the bottom of the sample tube. Use pliers to reach in the sample tube and grab the liner, if needed.
11. Cut the sample liner lengthwise in two places and present the sample on a table or plastic sheeting (or similar) to ensure all sample material is contained.
12. Insert a new liner and thread on the cutting shoe and repeat steps from #4 to #11 with the addition of a 4-foot long drill rod onto the top of the sample tube to advance a second 4-foot interval.
13. Proceed with this procedure until the desired depth or refusal is reached.
14. Upon completion of probing, decontaminate all equipment in contact with the soil/fill in a decontamination area using Alconox and water.
15. Backfill borings with indigenous soil in the order in which the material was removed with the topsoil/sand/cover soil placed last to cover the hole.

Reference: American Society for Testing Material (ASTM), 1992, ASTM D1586-84, Standard Method for Penetration Test and Split Barrel Sampling of Soils.

## 3.0 GROUNDWATER SAMPLING

### 3.1 WELL INSTALLATION PROCEDURES

The following procedure outlines a NYSDEC-approved method of constructing groundwater wells within unconsolidated material to monitor groundwater elevation and acquiring groundwater samples for laboratory testing. The following is a step-by-step method for the open-hole method of installing a groundwater well once a boring or augured hole has been drilled to a desired depth within the subsurface:

1. Thread a cap on the bottom section of well screen. If more than one section of well screen is required, thread the last section.
2. Lower the screen into the borehole with the riser section ready.
3. Add the riser sections to the screen. Do not drop the screen in the borehole.
4. Add riser sections as required until the bottom screen section touches the bottom of the borehole.
5. If completing the well with a road box, mark the riser two inches below the lid of the road box and then cut the riser.
6. Place a slip cap over the top of the rise section.
7. Place sand in the space between the borehole and the PVC screen and riser to the required depth. Place the sand in very slowly so it does not bridge in the well bore.
8. Place bentonite and cement above the sand-pack.
9. Grout in the road box with concrete mix.

### 3.2 WELL DEVELOPMENT PROCEDURES

At least 24 hours after completion of drilling and installation, well development is completed through pumping or bailing until the discharged water is relatively sediment free and the indicator parameters (e.g., pH, temperature, specific conductivity, etc.) have reached steady-state. Development removes sediment and can improve the hydraulic properties of the sand pack. The effectiveness of this process is monitored to minimize the volume of discharged waters to obtain sediment-free samples. As approved by the regulatory agency, well development water can be discharged onto the ground surface downgradient of the well. Otherwise, this water must be containerized and sampled prior to discharge or disposal.

1. Select an appropriate well development method based upon water depth, well productivity, and sediment content of the water. Well development options include: (a) bailing; (b) manual pumping; and (c) submersible pumps. These options utilized with surging of the well screen using an appropriately sized surge block.
2. Decontaminate, as needed, and assemble equipment in the monitoring well based upon the method selected. Care should be taken not to introduce contaminants into the equipment or well during installation.
3. Proceed with development by repeated removal of water from the well until the discharged water is relatively sediment-free (i.e., < 50 NTUs). Volume of water removed, pH and conductivity measurements are recorded on the Well Development/Purging Logs.

### 3.3 WELL PURGING PROCEDURES

To collect representative samples, groundwater wells must be adequately purged prior to sampling. Purging will require removing three to five volumes of standing water in rapidly recharging wells and at least one volume from wells with slow recharge rates. Sampling should commence as soon as adequate recharge has occurred. Although not required, it is recommended that purging and sampling occur at least 24 hours after development.

1. Remove well cover ensuring no foreign material enters the well.
2. Monitor the interior of the riser pipe for organic vapors using a PID. If reading of greater than 5 ppm is recorded, the well will be vented until levels are below 5 ppm before pumping is started.
3. Measure the water level below top of casing using an electronic water level indicator.
4. Determine the volume of water within the well by knowing the total depth of the well.
5. Wash the end of the probe with soap and rinse with deionized-water between wells.
6. Utilize dedicated, new polyethylene discharge and intake tubing (preferably ½ inch diameter HDPE and can't use LDPE for emerging contaminants) for each well.
7. Purge using bailers until the required volume is removed. If the well purges to dryness and recharges within 15 minutes, water can be removed as it recharges. If the well purges to dryness and is greater than 15 minutes, purging is terminated.
8. Purge until at least 1 volume of water is removed, but 3-5 volumes of water is preferred if recharge is sufficiently fast.
9. Calculate well volumes and record measurements for pH, temperature, turbidity, and conductivity during the purging along with physical observations.

### 3.4 WELL SAMPLING PROCEDURES

1. Perform well sampling within 24 hours of purging if well has recovered sufficiently to sample. If sufficient volume for analytical testing cannot be obtained from a well or if recharge exceeds 24 hours, then DEC should be consulted on analytical priorities and validity of the sample.
2. Collect sample using bailers into appropriate containers.

3. Label sample bottles using a waterproof permanent marker per procedures outlined below.
4. Use verifiably clean sample bottles (containing required preservatives) and place samples on ice in coolers for transport to the analytical laboratory, who will certify bottles are analyte-free.
5. Initiate chain-of-custody.
6. Collect separate sample into a 120 milliliter (mL) plastic container to measure pH, conductivity, turbidity, and temperature in the field.
7. Record well sampling data field notebook and on the Well Development/Purging Log.

## 4.0 SAMPLE DOCUMENTATION

Each soil and groundwater sample is logged in a bound field notebook by the technician or geologist. Field notes should include, but are not limited to the following:

- descriptions of subsurface material encountered during sampling,
- sample numbers and types of samples recovered, and
- date and time of sampling event.

The technician or geologist also completes a daily drilling or sampling record and chains-of-custody for all samples collected that are being transported to the laboratory. Once sampling program is complete, the geologist or technician transfers field notes/logs onto standard forms (e.g., boring logs, sampling logs, daily reports, etc.) to be included with the formal investigation report.

## 5.0 SAMPLING CONTAINER SELECTION

The selection of sample containers is based on the media being sampled and the required analysis. Container selection should be completed in advance of mobilizing into the field with close communications with the laboratory.

## 6.0 SAMPLE LABELING

The following procedure helps to prevent misidentification of samples and to clarify the location and purpose of environmental samples collected during the investigation:

1. Fix a non-removable (when wet) label to each container.
2. Wrap each sample bottle within 2-inch cellophane tape.
3. Write the following information with permanent marker on each label:
  - A. Site name
  - B. Sample identification
  - C. Project number
  - D. Date/time
  - E. Sampler's initials
  - F. Sample preservation
  - G. Analysis required



Each sample is assigned a unique identification alpha-numeric code, such as RR-ss1 or WS-TP1 (2-3'), where the abbreviations represent RR – River Road (site), surface sample 1 and Waste Site, test pit 1, obtained at 2-3' bgs. Other common abbreviations include the following:

- BH = Geoprobe Borehole
- SW = Surface Water
- SED = Sediment
- SB = Soil Boring
- MSB = Matrix Spike Blank
- NSS = Near Surface Soil (1' - 2' depth)
- EB = Equipment Rinse Blank
- HW = Hydrant Water (Decon/Drilling Water)
- GW = Groundwater
- TB = Trip Blank
- RB = Rinse Blank
- MS/MSD = Matrix Spike/Matrix Spike Duplicate

## 7.0 SAMPLE SHIPPING

Proper documentation of sample collection and the methods used to control these documents are referred to as chain-of-custody procedures. Chain-of-custody procedures are essential for (1) presenting analytical results in a legal or regulatory forum (e.g., evidence in litigation or administrative hearings), (2) minimizing loss or misidentification of samples, and (3) ensuring that unauthorized persons do not tamper with collected samples.

The following chain-of-custody guidelines should be utilized during sample collection as outlined in and prepared by the National Enforcement Investigations Center (NEIC) Policies and Procedures of the USEPA Office of Enforcement:

- 1) Complete chain-of-custody record with all relevant information.
- 2) Send original chain with the samples in a sealed, waterproof bag taped inside the sample cooler.
- 3) Place adequate inert cushioning material (e.g., corrugated plastic, polypropylene foam wrap, etc.) in bottom of cooler.
- 4) Place bottles in cooler so they do not touch (use cushioning material for dividers).
- 5) Place VOA vials in sealed/waterproof bags in the center of the cooler.
- 6) Pack cooler with ice in sealed/waterproof plastic bags.
- 7) Pack cooler with cushioning material.
- 8) Place any additional paperwork in sealed bag with original chain.
- 9) Tape cooler drain shut.
- 10) Wrap cooler with packing tape at two locations to secure lid. Do not cover labels.
- 11) Place lab address on top of cooler.
- 12) Ship samples via overnight carrier the same day that they are collected.
- 13) Label cooler with "This side up" on all sides and "Fragile" on at least two sides.
- 14) Fix custody seals on front right and left of cooler and cover with packaging tape.

## 8.0 SOIL VAPOR INTRUSION SAMPLING

Soil vapor intrusion (SVI) investigation consist of sampling contaminant vapors that may exist beneath the building slabs, inside the buildings, and outside the buildings. Sample collection

includes the following procedures per New York State Department of Health *Guidance for Evaluating Soil Vapor Intrusion in the State of New York*.

## 8.1 SUB-SLAB AIR SAMPLING PROCEDURES

### *8.1.1 Sampling Locations*

Select the sub-slab sample collection points by observing the condition of the building floor slab for apparent penetrations such as concrete floor cracks, floor drains, or sump holes. The floor conditions will be noted, and potential locations of subsurface probes will be selected. The locations will ideally be away from the foundation walls, apparent penetrations and buried pipes.

### *8.1.2 Sampling Probes*

Construct a sampling probe using a ¼-inch Swagelok union connected to a short length of ¼-inch diameter stainless steel tubing. Select a length of stainless steel tubing so that the bottom of the probe is close to but does not extend below the bottom of the slab (typically a 4-inch probe for a 6-inch thick slab).

Attach a 2 ft. length of Teflon or polyethylene tubing to the other end of the union using a ¼-inch Swagelok nut and ferrules. Plug up the other end of the tubing with a small piece of modeling clay to seal the system and prevent air flow in or out of the sub slab while the probe and tubing sits idle.

### *8.1.3 Installation of the Sampling Probe*

Drill through and about 1 inch below the concrete slab using a portable coring drill and 2-inch diameter core drill bit. Record the thickness of the concrete slab. When installing the probe, first put a few inches of driller's sand at the bottom of the cored hole so that the grout will sit on top of the sand and not go all the way to the bottom of the hole and plug the probe inlet.

Install the probe into the hole, with the tubing already attached. Use the tubing to hold the union at the correct height in the hole (just below the top). Mix hydraulic cement and water in a ziplock bag. Cut a hole in one corner of the bag and use it like a pastry chef's bag to grout the probe in place. Use a small rod to push/tap in the grout. Leave the top 1-inch or so of the hole unfilled, being sure that the threaded top of the union (where the tubing attaches) is above the cement. Allow the probe to sit in place for at least one hour to allow the cement to set. If possible, install the probe one day and allow it to sit overnight.

### *8.1.4 Helium Tracer Gas Testing*

Place a 2-quart (or similar size) bucket over the sample probe after threading the Teflon sample tube through a hole in the top of the bucket. Seal the tube to the bucket with clay. The bucket should also have another hole drilled in the top for the injection of helium, and a hole in the side near the floor for the measurement of helium gas concentrations.

Connect helium (99.999% pure) cylinder tubing to the top port of bucket enclosure and seal with clay or other sealing material. Insert a helium detector probe in the bottom port of the bucket. Release enough helium to displace any ambient air in the bucket until the concentration of helium reaches a minimum of 90%. Maintain this minimum concentration by testing with a

helium detector. The Helium cylinder should be open during the purge time to cause a slight positive pressure within the enclosure.

Connect the sample tubing to a GilAir vacuum pump or equivalent using 3/8-inch O.D. silicone tubing. Connect a 1-liter Tedlar bag to the outlet of the pump using silicone tubing and collect a 1-liter sample. Purging flow rates must not exceed 0.2 liters per minute (L/min). Analyze the Tedlar bag for helium using a helium detector and record the results on the Summa Canister Data Sheet. A concentration of helium 10% or greater indicates a poor seal of the sample probe and it must be reinstalled and retested. After purging, remove the bucket enclosure from over the sample probe.

### 8.1.5 Sample Collection

Assign sample identification to the Summa canister sample identification tag and record on chain of custody (COC), and the Summa Canister Data Sheet. Also record the Summa canister and flow controller (regulator) serial numbers on the COC and Summa Canister Data Sheet. Attach a pre-calibrated/certified 2-hour flow controller, and particulate filter to the Summa canister. Attach the sample tube to the Summa canister using a ¼-inch Swagelok nut with appropriate ferrules, to the end of the flow controller/particulate filter assembly.

Open canister valve to initiate sample collection and record sample start time, date and initial canister vacuum on the canister identification tag and on the Summa Canister Data Sheet. If the canister does not show sufficient vacuum (generally less than 25 " Hg"), do not use. Take a digital photograph of canister setup and surrounding area. Include in the photograph a dry erase board or similar display which presents sample ID, location and date.

After 2 hours, record sample end time and canister pressure on the Summa Canister Data Sheet, and close valve. Disconnect the Teflon tubing and remove flow controller/particulate filter assembly from canister. Seal canister with laboratory supplied brass plug. Ship the samples, with COCs, overnight, to the selected laboratory for standard TO-15 analysis.

### 8.1.6 Removing the Sample Probe

If the probe is to be reused, remove the ¼-inch tubing and place a Swagelok cap on the exposed part of the union. The cap should be flush or below the level of the floor. If the probe is not to be reused, remove the probe by drilling around the probe with a hammer drill and a ¼ or 3/8-inch drill bit until loose. Keep the tubing attached to the implant to aid in its removal. Fill the core hole with hydraulic cement.

## 8.2 INDOOR/OUTDOOR AIR SAMPLING PROCEDURES

Place the indoor air Summa canister/flow controller inlet at breathing height in the approximate center of the space being sampled, or, for the outdoor air sample, elevated on a table or other object in a location upwind of the building being sampled. The breathing height is defined as four to six feet above the floor or ground. As an option, a length of Teflon tubing can be attached to the Summa canister/flow controller inlet and raised to breathing zone height.

Record the canister and flow controller serial numbers on the canister identification tag, COC and the Summa Canister Data Sheet. Assign sample identification to the canister identification

tag, and record on the COC and the Summa Canister Data Sheet. Remove brass plug from canister fitting and save.

Attach a pre-calibrated/certified 8-hour flow controller and particulate filter to the Summa canister. For the outside air sample, also connect the laboratory supplied “candy cane” fitting to the flow controller. Open canister valve to initiate sample collection and record start time, date and gauge vacuum reading on the canister identification tag and on the Summa Canister Data Sheet. Take a photograph of canister setup and surrounding area.

After 8 hours, record the gauge vacuum reading, close the Summa canister valve completely and record the end time on the Summa Canister Data Sheet. There should still be a slight vacuum in the Summa canister. If no vacuum remains in the canister, or the canister does not show a significant net loss in vacuum after sampling, the sample should be re-collected using a new Summa canister and flow controller. Disconnect any tubing and candy cane fittings from the Summa canister and remove the flow controller. Replace the brass plug on the canister. Ship canister, with COCs, overnight, to the selected laboratory

### 8.3 QUALITY CONTROL

The number of Quality Control samples (duplicates) to be taken during sub-slab sampling may be found in the QA/QC Plan. The duplicate sample rate is usually 10 percent. Field duplicates for sub-slab, indoor air and outdoor air samples will be collected by attaching the T-fitting supplied by the laboratory to two Summa canisters with attached regulators. For sub-slab samples, the inlet of the T-fitting will then be attached to the sub-slab sample tubing using a Swagelok fitting. For indoor and outdoor air samples, any tubing used to raise the sampling height will also be attached to the inlet of the T fitting. For sampling, both Summa canister valves are opened and closed simultaneously.

### 8.4 SAMPLE LABELING

Each sub-slab sample should have the following information at a minimum placed on the laboratory supplied sample label:

- Site name
- Sample identification – see below
- Date/time
- Sampler's initials
- Analysis required – **TO-15**

The serial number of the canister and regulator used during sampling is also noted on the Summa canister identification tag and on the COC. Each sub-slab, indoor air and outdoor air sample will be assigned a unique alpha-numeric code. An example of this code and a description of its components are presented below. Field duplicate samples will be assigned a unique identification alphanumeric code that specifies the date of collection, the letters FD (for field duplicate) and an ascending number that records the number of duplicate samples collected that day. For example, the first field duplicate collected on February 22, 2009 would be assigned the sample number in the format YYYYMMDD-FD-1 = 20090222-FD-1.

Subsequent duplicates collected on the same day will be assigned FD-2, FD-3 etc. Field sampling crew will record the duplicate sample information on the Summa Canister Data Sheets and in the field book.

## 8.5 FIELD DOCUMENTATION

Field notebooks are used during all on-site work. A dedicated field notebook is maintained by the field technician overseeing the site activities. Sub-slab sampling procedures should be photo-documented. The field sampling team will maintain sampling records that include the following data:

- Sample Identification
- Date and time of sample collection
- Identity of samplers
- Sampling methods and devices
- Purge volumes (soil vapor)
- Volume of soil vapor sample extracted
- The Summa canister vacuum before and after samples collected
- Chain of Custody and shipping information

The proper completion of the following forms/logs is considered correct procedure for documentation during the indoor air-sampling program:

1. Field Log Book - weather-proof hand-bound field book
2. Summa Canister Data Sheet
3. Chain of Custody Form

## 8.6 SAMPLE SHIPPING

Proper documentation of sample collection and the methods used to control these documents are referred to as chain-of-custody procedures. Chain-of-custody procedures are essential for presentation of sample analytical chemistry results as evidence in litigation or at administrative hearings held by regulatory agencies. Chain-of-custody procedures also serve to minimize loss or misidentification of samples and to ensure that unauthorized persons do not tamper with collected samples.

The following chain-of-custody guidelines should be utilized during sample collection as outlined in and prepared by the National Enforcement Investigations Center (NEIC) Policies and Procedures of the USEPA Office of Enforcement:

- Complete the chain-of-custody (COC) record with all relevant information.
- Ship original COC with the samples in a sealed waterproof plastic bag and place inside the box containing a Summa canister.
- Retain a copy of the COC for field records.
- Ship Summa canisters in the same boxes the laboratory used for shipping.
- Place the lab address on top of sample box/cooler.
- Fix numbered custody seals across box lid flaps and cooler lid.
- Cover seals with wide, clear tape.
- Ship samples via overnight carrier within three days of sample collection if possible.

# APPENDIX D



# FIELD REPORT

**PROJECT:**

**DATE:**

**CLIENT:**

**DAY:**

**LOCATION:**

**PROJECT NUMBER:**

**COMPILED BY:**

**WEATHER**

Morning	Temperature: Conditions: Wind: Humidity:
Afternoon	Temperature: Conditions: Wind: Humidity:

**PERSONNEL**

Name	Company	Position	Field Hours		
			From	To	Total
<b>Total Field Hours</b>					

**MATERIALS/EQUIPMENT USED ON-SITE:**

- 
- 
- 
-

**WORK LOG/MINUTES:**

7:00 am:

8:00 am:

9:00 am:

10:00 am:

11:00 am:

12:00 pm:

1:00 pm:

2:00 pm:

3:00 pm:

4:00 pm:

5:00 pm:

**GENERAL NOTES:**

- 
- 
- 
- 
- 

**ISSUES/OBSERVATIONS/CONFLICTS:**

**CORRECTIVE ACTIONS:**



<b>TABLE 6 - RI LOCATION COORDINATES</b>		
<b>Sample Identification</b>	<b>Coordinates-North American Datum 1983</b>	
	<b>Latitude</b>	<b>Longitude</b>
<b><u>Boreholes</u></b>		
RI-01	42.94581299	-78.83109916
RI-02	42.94557094	-78.83132759
RI-03	42.94522445	-78.83173512
RI-04	42.94498956	-78.83157115
RI-05	42.94476895	-78.8312339
RI-06	42.94494019	-78.8312264
RI-07	42.94502744	-78.83104505
RI-08	42.94515401	-78.83084264
RI-09	42.94507127	-78.83113081
<b><u>Test Pits</u></b>		
TP- 1	42.94413062	-78.82989107
TP- 2	42.94433419	-78.82994854
TP- 3	42.94434849	-78.83011513
TP- 4	42.94422419	-78.83022626
TP- 5	42.94426382	-78.82962807
TP- 6	42.94432126	-78.82986831
TP- 7	42.94451227	-78.82980189
TP- 8	42.94485758	-78.83014617
TP- 9	42.94503356	-78.83034457
TP- 10	42.94504888	-78.83008949
TP- 11	42.94456481	-78.83078284
TP- 12	42.94475828	-78.83042199
TP- 13	42.94487106	-78.83042832
TP- 14	42.94459834	-78.83020924
<b><u>Monitoring Wells</u></b>		
MW- 1	42.94527897	-78.83206184
MW- 2	42.94581151	-78.83154516
MW- 3	42.94507659	-78.83109739
MW- 4	42.94424236	-78.82960957
MW- 5	42.94412154	-78.82993412
MW- 6	42.94466699	-78.83018561

# TEST PIT LOG

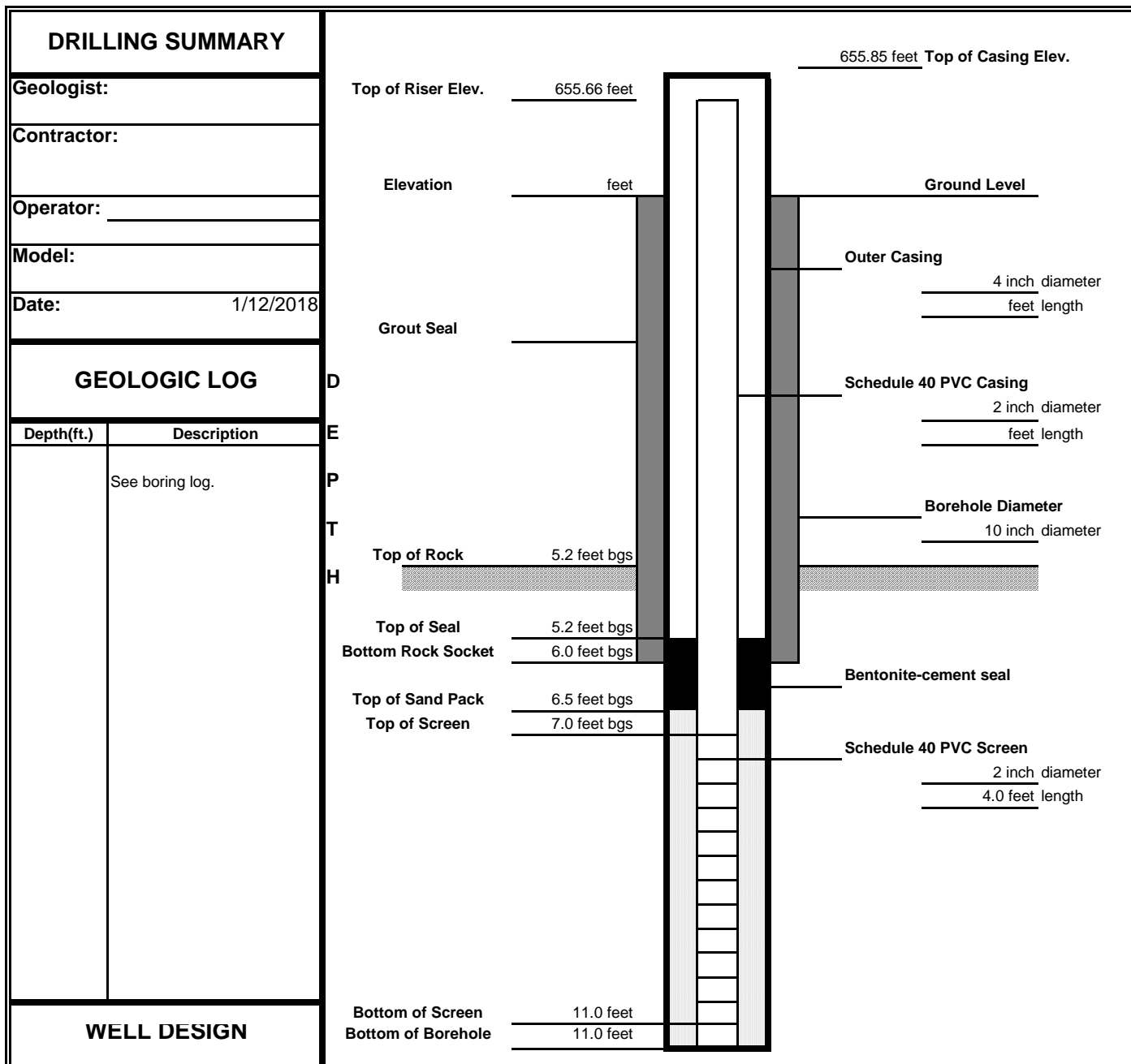


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 Buffalo, NY 14213  
 716.249.6880 [be3corp.com](http://be3corp.com)

Project:		Sheet:    of
Client:		Job Number:
Contractor:		Location:
Date Started:		Ground Elevation:
Date Completed:		Operator:
Pit Number:		Geologist/Technician
		Ground Water:

Depth (ft)	Sample		Description
	#	Type	
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			

Comments:



<b>Client:</b>	<b>Location:</b>	<b>Project No.:</b>
	<b>MONITORING WELL CONSTRUCTION DETAILS</b>	<b>Well Number: MW-5</b>

DRILLING SUMMARY	
Geologist/Technician:	
Drilling Company:	
Driller:	
Rig Make/Model:	
Date:	
GEOLOGIC LOG	
Depth(ft.)	Description
WELL DESIGN	

TOC Elevation      440.81

TOR Elevation      440.71

D      Top of Seal      \_\_\_\_\_ feet bgs

E      Top of Sand      \_\_\_\_\_ 4 feet bgs

P      Top of Screen      \_\_\_\_\_ 5 feet bgs

T

H

Bottom of Screen      \_\_\_\_\_ 15 feet bgs

Bottom of Augerhole      \_\_\_\_\_ 15 feet bgs

Ground Level \_\_\_\_\_

**AUGERHOLE**

\_\_\_\_\_ 4 inch dia.

\_\_\_\_\_ 15 feet length

**PVC CASING**

\_\_\_\_\_ 2 inch dia.

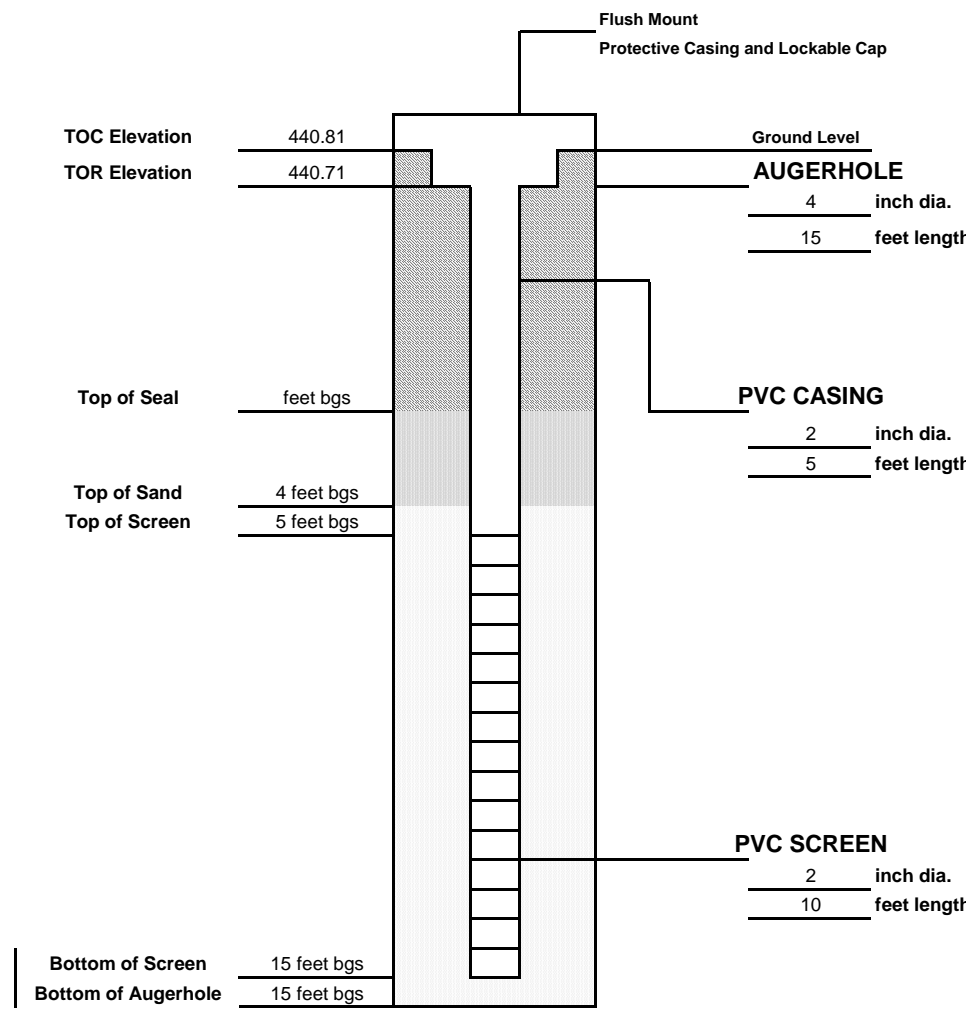
\_\_\_\_\_ 5 feet length


**PVC SCREEN**

\_\_\_\_\_ 2 inch dia.

\_\_\_\_\_ 10 feet length




CASING MATERIAL	SCREEN MATERIAL	FILTER MATERIAL
Surface: Steel grade box	Type: 2" PVC	Type: #0 Sand
Monitor: N/A	Slot Size: .010"	Setting: 4 to 15 feet bgs
COMMENTS:	Setting: 5 to 15 feet bgs	SEAL MATERIAL
		Type: Bentonite Chips
		Setting: 1 to 4 feet bgs
		LEGEND
		Cement Grout
		Bentonite Seal
		Silica Sandpack

Client:	Location:	Project No.:
	<b>MONITORING WELL CONSTRUCTION DETAILS</b>	Well Number: <b>MW-5</b>

<b>DRILLING SUMMARY</b>		<div style="text-align: right; margin-bottom: 10px;">_____ feet <b>Top of Casing</b></div> <div style="margin-bottom: 10px;"> <b>Top of Riser</b> _____ feet         </div> <div style="margin-bottom: 10px;"> <b>Ground Level</b> _____ feet         </div> <div style="margin-bottom: 10px;"> <b>Top of Seal</b> _____ feet         </div> <div style="margin-bottom: 10px;"> <b>Top of Sand Pack</b> _____ feet  <b>Top of Screen</b> _____ feet         </div> <div style="margin-bottom: 10px;"> <b>Bottom of Screen</b> _____ feet  <b>Bottom of Borehole</b> _____ feet         </div>
Geologist: _____		
Contractor: _____		
Operator: _____		
Date: 1/12/2018		
<b>GEOLOGIC LOG</b>		<div style="margin-bottom: 10px;"> <b>Schedule 40 PVC Casing</b>            _____ inch diameter            _____ feet length         </div> <div style="margin-bottom: 10px;"> <b>Borehole Diameter</b>            _____ ID inches         </div> <div style="margin-bottom: 10px;"> <b>Schedule 40 PVC Screen</b>            _____ inch diameter            _____ feet length         </div>
<b>Depth(ft.)</b>	<b>Description</b>	
D E P T H		
<b>WELL DESIGN</b>		

<b>CASING MATERIAL</b>	<b>SCREEN MATERIAL</b>	<b>FILTER MATERIAL</b>
<b>Surface:</b> 4" Steel protective cover (Stick Up)  <b>Monitor:</b> 2" Schedule 40 PVC	<b>Type:</b> 2" Schedule 40 PVC  <b>Slot Size:</b> 0.010"  <b>Setting:</b>	<b>Type:</b> #0 well sand <b>Setting:</b>
		<b>SEAL MATERIAL</b>
		<b>Type 1:</b> Bentonite chips <b>Setting:</b> <b>Type 1:</b> <b>Setting:</b>
<b>COMMENTS:</b>		<b>LEGEND</b>
		<div style="margin-bottom: 5px;"> <span style="display: inline-block; width: 20px; height: 10px; background-color: gray; border: 1px solid black;"></span> Cement Grout         </div> <div style="margin-bottom: 5px;"> <span style="display: inline-block; width: 20px; height: 10px; background-color: black; border: 1px solid black;"></span> Bentonite Seal         </div> <div style="margin-bottom: 5px;"> <span style="display: inline-block; width: 20px; height: 10px; background-color: white; border: 1px solid black;"></span> Sand Pack         </div>

Client: _____	Location: _____	Project No.: _____
	<b>MONITORING WELL CONSTRUCTION DETAILS</b>	Well Number: _____

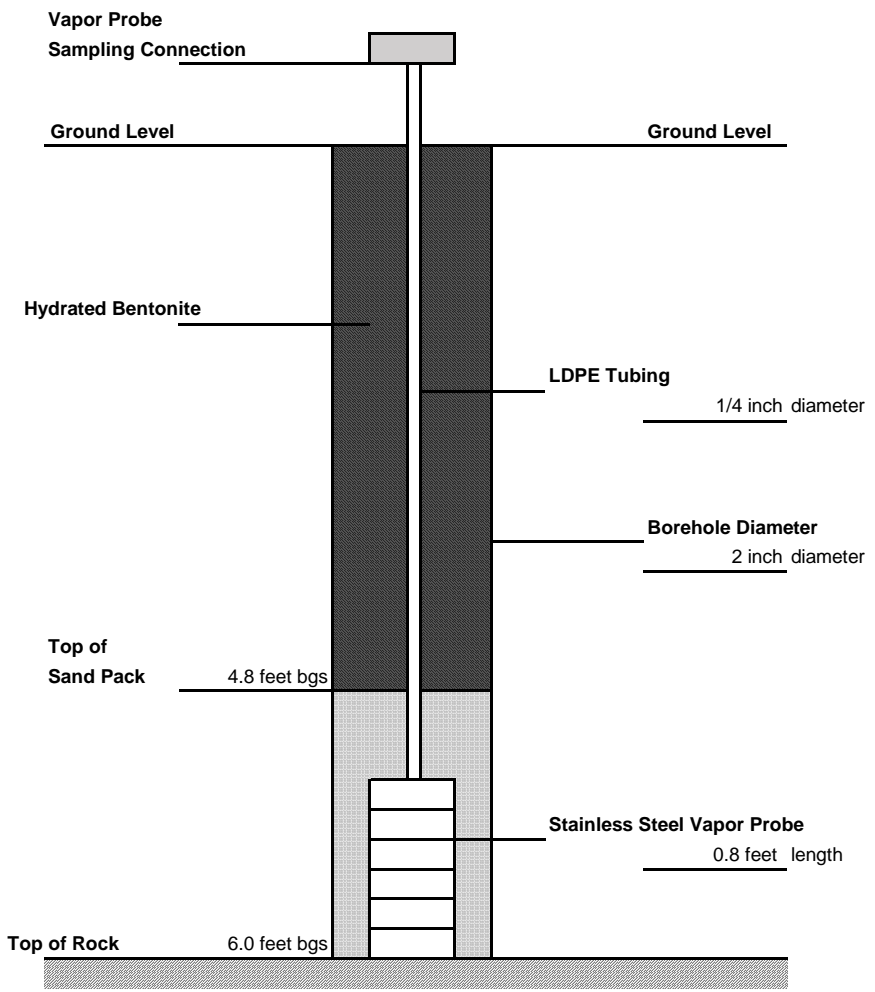
## DRILLING SUMMARY

**Geologist:**  
**Contractor:** Nature's Way  
**Operator:**  
**Model:**  
**Date:**

## GEOLOGIC LOG

Depth(ft.)	Description
N/A	

DEPTH



## PROBE DESIGN

CASING MATERIAL	SEAL MATERIAL	FILTER MATERIAL
<b>Surface:</b> N/A	<b>Type:</b> Bentonite Chips  <b>Setting:</b> 0.0 feet to 4.8 feet bgs	<b>Type:</b> No. 0 Sand  <b>Setting:</b> 4.8 feet to 6.0 feet bgs

**COMMENTS:**  
 Ground elevation not measured.  
 Refusal at 6.0 feet bgs.

LEGEND
Bentonite Chip Seal
Sand Pack

<b>Client:</b>	<b>Location:</b>	<b>Project No.</b>
	<b>SOIL VAPOR PROBE CONSTRUCTION DETAILS</b>	<b>Probe Number: VP-4</b>

# WELL DEVELOPMENT LOG



PROJECT TITLE: \_\_\_\_\_ WELL NO.: \_\_\_\_\_

PROJECT NO.: \_\_\_\_\_

STAFF: \_\_\_\_\_

DATE(S): \_\_\_\_\_

DEVELOPMENT METHOD: \_\_\_\_\_

\_\_\_\_\_

		WELL ID.	VOL. (GAL/FT)
1. DEPTH TO WELL BOTTOM (FT. BTOR)	= _____	1"	0.04
2. WATER LEVEL BELOW TOP OF RISER (FT. BTOR)	= _____	2"	0.17
3. NUMBER OF FEET STANDING WATER (#1 - #2)	= _____	3"	0.38
4. VOLUME OF WATER/FOOT OF CASING (GALLONS)	= _____	4"	0.66
5. VOLUME OF WATER IN CASING (GAL.)(#3 x #4)	= _____	5"	1.04
6. VOLUME OF WATER TO REMOVE (GAL.)(#5 x _____)	= _____	6"	1.50
7. VOLUME OF WATER ACTUALLY REMOVED (GAL.)	= _____	8"	2.60

OR  
 $V=0.0408 \times (\text{CASING DIAMETER})^2$

PARAMETERS	ACCUMULATED VOLUME PURGED (GALLONS)											
pH												
TEMPERATURE (°C)												
SPEC. COND. ( )												
ORP (mV)												
DISSOLVED OXYGEN (mg/l)												
TURBIDITY (NTU)												
DEPTH TO WATER (btor)												
TIME												

**COMMENTS:**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

PAGE \_\_\_\_ OF \_\_\_\_





## Geoprobe Bore Hole Log

Project:	Sheet: of
Client:	Location:
Contractor:	Ground Elevation:
Date Started:	Operator:
Date Completed:	Geologist/Technician:
Bore Hole Number:	Ground Water:

Depth (FT)	Sample		Description
	NO	TYPE	
0			
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			

Comments:

### Summa Canister Data Sheet

Site: Hillcrest

Samplers:

Date:

Sample #					
Location					
Summa Canister ID					
Flow Controller ID					
Additional Tubing Added	NO/ YES - How much	NO/ YES - How much	NO/ YES - How much	NO/ YES - How much	NO/ YES - How much
Purge Time (Start)					
Purge Time (Stop)					
Total Purge Time (min)					
Purge Volume					
Initial Tracer Gas Results					
CH4 (ppm)					
O2 (%)					
H2S (ppm)					
CO2 (ppm)					
Pressure Gauge - before sampling					
Sample Time (Start)					
Sample Time (Stop)					
Total Sample Time (min)					
Pressure Gauge - after sampling					
Sample Volume					
Canister Pressure Went To Ambient Pressure?	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
Final Tracer Gas Results					
Weather 24 hours before and during sampling					
General Comments:					





**Soil Vapor Intrusion - Structure Sampling Building Questionnaire**

Structure ID : \_\_\_\_\_

Site No. : \_\_\_\_\_ Site Name : \_\_\_\_\_

Date: \_\_\_\_\_ Time: \_\_\_\_\_

Structure Address : \_\_\_\_\_

Preparer's Name & Affiliation : \_\_\_\_\_

Residential ?  Yes  No    Owner Occupied ?  Yes  No    Owner Interviewed ?  Yes  No

Commercial ?  Yes  No    Industrial ?  Yes  No    Mixed Uses ?  Yes  No

Identify all non-residential use(s) : \_\_\_\_\_

Owner Name : \_\_\_\_\_ Owner Phone : (    ) \_\_\_\_\_ - \_\_\_\_\_

Secondary Owner Phone : (    ) \_\_\_\_\_ - \_\_\_\_\_

Owner Address (if different) : \_\_\_\_\_

Occupant Name : \_\_\_\_\_ Occupant Phone : (    ) \_\_\_\_\_ - \_\_\_\_\_

Secondary Occupant Phone : (    ) \_\_\_\_\_ - \_\_\_\_\_

Number & Age of All Persons Residing at this Location : \_\_\_\_\_

Additional Owner/Occupant Information : \_\_\_\_\_

Describe Structure (style, number floors, size) : \_\_\_\_\_

Approximate Year Built : \_\_\_\_\_ Is the building Insulated?  Yes  No

Lowest level :  Slab-on-grade  Basement  Crawlspace

Describe Lowest Level (finishing, use, time spent in space) : \_\_\_\_\_

Floor Type:  Concrete Slab  Dirt  Mixed : \_\_\_\_\_

Floor Condition :  Good (few or no cracks)  Average (some cracks)  Poor (broken concrete or dirt)

Sumps/Drains?  Yes  No Describe : \_\_\_\_\_

Identify other floor penetrations & details : \_\_\_\_\_

Wall Construction :  Concrete Block  Poured Concrete  Laid-Up Stone

Identify any wall penetrations : \_\_\_\_\_

Identify water, moisture, or seepage: location & severity (sump, cracks, stains, etc) : \_\_\_\_\_

Heating Fuel :  Oil  Gas  Wood  Electric  Other : \_\_\_\_\_

Heating System :  Forced Air  Hot Water  Other : \_\_\_\_\_

Hot Water System :  Combustion  Electric  Boilermate  Other: \_\_\_\_\_

Clothes Dryer :  Electric  Gas Where is dryer vented to? \_\_\_\_\_

If combustion occurs, describe where air is drawn from (cold air return, basement, external air, etc.) : \_\_\_\_\_

Fans & Vents (identify where fans/vents pull air from and where they vent/exhaust to) : \_\_\_\_\_

Describe factors that may affect indoor air quality (chemical use/storage, unvented heaters, smoking, workshop):

Attached garage ?  Yes  No      Air fresheners ?  Yes  No

New carpet or furniture ?  Yes  No      What/Where ? \_\_\_\_\_

Recent painting or staining ?  Yes  No      Where ? : \_\_\_\_\_

Any solvent or chemical-like odors ?  Yes  No      Describe : \_\_\_\_\_

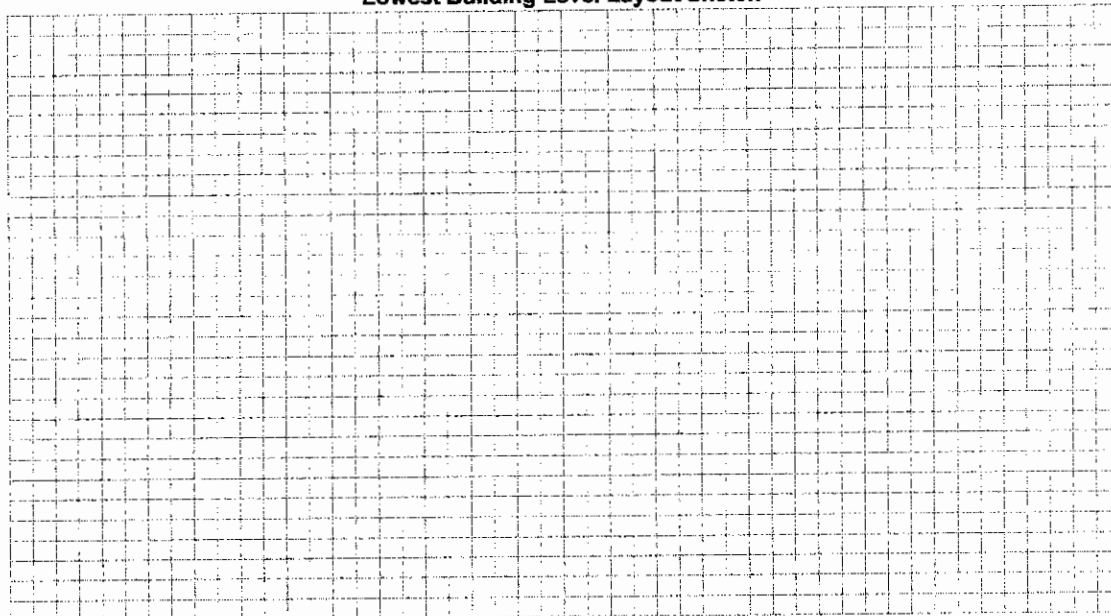
Last time Dry Cleaned fabrics brought in ? \_\_\_\_\_      What / Where ? \_\_\_\_\_

Do any building occupants use solvents at work ?  Yes  No      Describe : \_\_\_\_\_

Any testing for Radon ?  Yes  No      Results : \_\_\_\_\_

Radon System/Soil Vapor Intrusion Mitigation System present ?  Yes  No      If yes, describe below

**Lowest Building Level Layout Sketch**



- Identify and label the locations of all sub-slab, indoor air, and outdoor air samples on the layout sketch.
- Measure the distance of all sample locations from identifiable features, and include on the layout sketch.
- Identify room use (bedroom, living room, den, kitchen, etc.) on the layout sketch.
- Identify the locations of the following features on the layout sketch, using the appropriate symbols:

<b>B or F</b>	Boiler or Furnace	o	Other floor or wall penetrations (label appropriately)
<b>HW</b>	Hot Water Heater	xxxxxxx	Perimeter Drains (draw inside or outside outer walls as appropriate)
<b>FP</b>	Fireplaces	#####	Areas of broken-up concrete
<b>WS</b>	Wood Stoves	● SS-1	Location & label of sub-slab vapor samples
<b>W/D</b>	Washer / Dryer	● IA-1	Location & label of indoor air samples
<b>S</b>	Sumps	● OA-1	Location & label of outdoor air samples
<b>@</b>	Floor Drains	● PFET-1	Location and label of any pressure field test holes.

# APPENDIX E

# BROWNFIELD CLEANUP PROGRAM CITIZEN PARTICIPATION PLAN

HAWKEYE TRADE CENTER & RESIDENCES  
PARCEL 3  
1405 ST. PAUL STREET  
ROCHESTER, NEW YORK 14650  
NYSDEC SITE # C828203

Prepared by:



1270 Niagara Street  
Buffalo, New York 14213

January 2019



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### APPENDICES

- A. Project Contacts and Locations of Reports and Information
- B. Site Contact List
- C. Site Location Map
- D. BCP Process Flowchart

\* \* \* \* \*

**Note:** The information presented in this Citizen Participation Plan was current as of the date of its approval by the New York State Department of Environmental Conservation. Portions of this Citizen Participation Plan may be revised during the site's investigation and cleanup process.

Applicant: **WBS Capital, Inc.**  
Site Name: **Hawkeye Trade Center and Residences**  
Site Address: **1405 Saint Paul Street**  
Site County: **Monroe**  
Site Number: **C828203**

## **1.0 What is New York’s Brownfield Cleanup Program?**

New York’s Brownfield Cleanup Program (BCP) works with private developers to encourage the voluntary cleanup of contaminated properties known as “brownfields” so that they can be reused and developed. These uses include recreation, housing, and business.

A *brownfield* is any real property that is difficult to reuse or redevelop because of the presence or potential presence of contamination. A brownfield typically is a former industrial or commercial property where operations may have resulted in environmental contamination. A brownfield can pose environmental, legal, and financial burdens on a community. If a brownfield is not addressed, it can reduce property values in the area and affect economic development of nearby properties.

The BCP is administered by the New York State Department of Environmental Conservation (NYSDEC) which oversees Applicants that conduct brownfield site investigation and cleanup activities. An Applicant is a person who has requested to participate in the BCP and has been accepted by NYSDEC. The BCP contains investigation and cleanup requirements, ensuring that cleanups protect public health and the environment. When NYSDEC certifies that these requirements have been met, the property can be reused or redeveloped for the intended use.

For more information about the BCP, go online at: <http://www.dec.ny.gov/chemical/8450.html>.

## **2.0 Citizen Participation Activities**

### *Why NYSDEC Involves the Public and Why It Is Important*

NYSDEC involves the public to improve the process of investigating and cleaning up contaminated sites, and to enable citizens to participate more fully in decisions that affect their health, environment, and social wellbeing. NYSDEC provides opportunities for citizen involvement and encourages early two-way communication with citizens before decision makers form or adopt final positions.

Involving citizens affected and interest in site investigation and cleanup programs is important for many reasons. These include:

- Promoting the development of timely, effective site investigation and cleanup programs that protect public health and the environment
- Improving public access to, and understanding of, issues and information related to a site and that site’s investigation and cleanup process
- Providing citizens with early and continuing opportunities to participate in NYSDEC’s site investigation and cleanup process
- Ensuring that NYSDEC makes site investigation and cleanup decisions that benefit from input that reflects the interests and perspectives found within the affected community
- Encouraging dialogue to promote the exchange of information among the affected/interested

public, State agencies, and other interested parties that strengthens trust among the parties, increases understanding of site and community issues and concerns, and improves decision making.

This Citizen Participation (CP) Plan provides information about how NYSDEC will inform and involve the public during the investigation and cleanup of the site identified above. The public information and involvement program will be carried out with assistance, as appropriate, from the Applicant.

### *Project Contacts*

**Appendix A** identifies NYSDEC project contact(s) to which the public should address questions or request information about the site's investigation and cleanup program. The public's suggestions about this CP Plan and the CP program for the site are always welcome. Interested people are encouraged to share their ideas and suggestions with the project contacts at any time.

### *Locations of Reports and Information*

The locations of the reports and information related to the site's investigation and cleanup program also are identified in **Appendix A**. These locations provide convenient access to important project documents for public review and comment. Some documents may be placed on the NYSDEC web site. If this occurs, NYSDEC will inform the public in fact sheets distributed about the site and by other means, as appropriate.

### *Site Contact List*

**Appendix B** contains the site contact list. This list has been developed to keep the community informed about, and involved in, the site's investigation and cleanup process. The site contact list will be used periodically to distribute fact sheets that provide updates about the status of the project. These will include notifications of upcoming activities at the site (such as fieldwork), as well as availability of project documents and announcements about public comment periods. The site contact list includes, at a minimum:

- chief executive officer and planning board chairperson of each county, city, town and village in which the site is located;
- residents, owners, and occupants of the site and properties adjacent to the site;
- the public water supplier which services the area in which the site is located;
- any person who has requested to be placed on the site contact list;
- the administrator of any school or day care facility located on or near the site for purposes of posting and/or dissemination of information at the facility;
- Location (s) of reports and information.

The site contact list will be reviewed periodically and updated as appropriate. Individuals and organizations will be added to the site contact list upon request. Such requests should be submitted to the NYSDEC project contact(s) identified in **Appendix A**. Other additions to the site contact list may be made at the discretion of the NYSDEC project manager, in consultation with other NYSDEC staff as appropriate.

### *CP Activities*

The table at the end of this section identifies the CP activities, at a minimum, that have been and will be conducted during the site's investigation and cleanup program. The flowchart in **Appendix D** shows how these CP activities integrate with the site investigation and cleanup process. The public is informed about these CP activities through fact sheets and notices distributed at significant points during the program. Elements of the investigation and cleanup process that match up with the CP activities are explained briefly in Section 5.

- **Notices and fact sheets** help the interested and affected public to understand contamination issues related to a site, and the nature and progress of efforts to investigate and clean up a site.
- **Public forums, comment periods and contact with project managers** provide opportunities for the public to contribute information, opinions and perspectives that have potential to influence decisions about a site's investigation and cleanup.

The public is encouraged to contact project staff at any time during the site's investigation and cleanup process with questions, comments, or requests for information. This CP Plan may be revised due to changes in major issues of public concern identified in Section 3 or in the nature and scope of investigation and cleanup activities.

#### *Technical Assistance Grant*

NYSDEC must determine if the site poses a significant threat to public health or the environment. This determination generally is made using information developed during the investigation of the site, as described in Section 5.

If the site is determined to be a significant threat, a qualifying community group may apply for a Technical Assistance Grant (TAG). The purpose of a TAG is to provide funds to the qualifying group to obtain independent technical assistance. This assistance helps the TAG recipient to interpret and understand existing environmental information about the nature and extent of contamination related to the site and the development/implementation of a remedy.

An eligible community group must certify that its membership represents the interests of the community affected by the site, and that its members' health, economic well-being or enjoyment of the environment may be affected by a release or threatened release of contamination at the site. For more information about TAGs, go online at <http://www.dec.ny.gov/regulations/2590.html>

As of the date of this CP Plan, the significant threat determination for the site had not yet been made. To verify the significant threat status of the site, the interested public may contact the NYSDEC project manager identified in **Appendix A**.

Note: The table identifying the citizen participation activities related to the site's investigation and cleanup program follows on the next page:

CITIZEN PARTICIPATION ACTIVITIES	TIMING OF CP ACTIVITIES
<b>Application Process</b>	
<ul style="list-style-type: none"> <li>• Prepare site contact list</li> <li>• Establish document repositories</li> <li>• Publish notice in Environmental Notice Bulletin (ENB) announcing receipt of application and 30- day public comment period</li> <li>• Publish above ENB content in local newspaper</li> <li>• Mail above ENB content to site contact list</li> <li>• Conduct 30-day public comment period</li> </ul>	<p>At time of preparation of application to participate in the BCP.</p> <p>When NYSDEC determines that BCP application is complete. The 30-day public comment period begins on date of publication of notice in ENB. End date of public comment period is as stated in ENB notice. Therefore, ENB notice, newspaper notice, and notice to the site contact list should be provided to the public at the same time.</p>
<b>After Execution of Brownfield Site Cleanup Agreement (BCA)</b>	
<ul style="list-style-type: none"> <li>• Prepare Citizen Participation (CP) Plan</li> </ul>	Before start of Remedial Investigation
<b>Before NYSDEC Approves Remedial Investigation (RI) Work Plan</b>	
<ul style="list-style-type: none"> <li>• Distribute fact sheet to site contact list about proposed RI activities and announcing 30-day public comment period about draft RI Work Plan</li> <li>• Conduct 30-day public comment period</li> </ul>	Before NYSDEC approves RI Work Plan. If RI Work Plan is submitted with application, public comment periods will be combined, and public notice will include fact sheet. Thirty-day public comment period begins/ends as per dates identified in fact sheet.
<b>After Applicant Completes RI</b>	
<ul style="list-style-type: none"> <li>• Distribute fact sheet to site contact list that describes RI results</li> </ul>	Before NYSDEC approves RI Report
<b>Before NYSDEC Approves Remedial Work Plan (RWP)</b>	
<ul style="list-style-type: none"> <li>• Distribute fact sheet to site contact list about proposed RWP and announcing 45-day public comment period</li> <li>• Public meeting by NYSDEC about proposed RWP (if requested by affected community or at discretion of NYSDEC project manager)</li> <li>• Conduct 45-day public comment period</li> </ul>	Before NYSDEC approves RWP. Forty-five-day public comment period begins/ends as per dates identified in fact sheet. Public meeting would be held within the 45-day public comment period.
<b>Before Applicant Starts Cleanup Action</b>	
<ul style="list-style-type: none"> <li>• Distribute fact sheet to site contact list that describes upcoming cleanup action</li> </ul>	Before the start of cleanup action.
<b>After Applicant Completes Cleanup Action</b>	
<ul style="list-style-type: none"> <li>• Distribute fact sheet to site contact list that announces that cleanup action has been completed and that summarizes the Final Engineering Report</li> <li>• Distribute fact sheet to site contact list announcing issuance of Certificate of Completion (COC)</li> </ul>	At the time NYSDEC approves Final Engineering Report. These two fact sheets are combined if possible if there is not a delay in issuing the COC.

### 3.0 Major Issues of Public Concern

This section of the CP Plan identifies major issues of public concern as they relate to the site. Additional major issues of public concern may be identified during the site's remedial process.

At this juncture the public has not identified major concerns with the project. In the event major concerns are expressed, future communication addressing those concerns will be issued to stakeholders.

Issues of community concern in the future may include noise, odor, dust and/or truck traffic associated with removal of contaminated soil (if subsurface remediation is required.) However, impacts will be mitigated through the implementation of a health and safety plan approved by the Department which will be designed to minimize these impacts. A Community Air Monitoring Plan (CAMP) will also be implemented to monitor dust and vapors to ensure the community is not impacted.

### 4.0 Site Information

Note: please refer to the BCP Application and RI Work Plan (and corresponding appendices) for more detailed information on the Site. Below is a summary of Site description, future use of Site, historical use of Site, and Site environmental history. **Appendix C** contains a map identifying the location of the site.

#### *Site Description*

The property is in a suburban area roughly in the center of the City of Rochester municipality, north of downtown area; approximately a half a mile south of State Route 104; along the Genesee River. The property sits at the northeast corner of the intersection of St. Paul Street and Avenue E. The main site features include a large manufacturing/office building which comprises most of the parcel. A small driveway is located to the north off St. Paul Street and wraps around the northwest corner of the building. The property is surrounded by another former Kodak Company building associated with manufacturing uses (1447 St. Paul Street) along its west and north borders. A parking lot is located adjacent south and R.C. Shaheen Paint is located adjacent east of the site. Seneca Park and the Genesee River gorge sit to the west. Currently the property is vacant and is zoned for M-1; industrial use. The property is surrounded by additional industrial uses. Residential zones begin a few blocks to the south, east and northeast of the property.

#### *Future Use of the Site*

The proposed Hawkeye Trade Center and Residences project plans to use the property for a mixture of commercial/office space, flex space, and manufacturing. The site will be used to promote economic growth in the area by drawing in a variety of businesses. The site is phase 1 of 3 phases for redevelopment and the primary use of the building will be associated with the Foreign Trade Zone, warehouse storage/distribution, and wholesale display.

#### *History of Site Use*

The property has been associated with industrial/manufacturing since the early 1900's. The property had multiple uses associated with transportation. The New York State Railway machine and repair shop was located on the property in 1911, Rochester Transit Corporation rail car maintenance was previously located at the facility in the late 1930's until Kodak purchased the

property in 1942, as well as a former gasoline filling station in the early to mid-1900's. The Kodak Hawkeye Facility manufactured optical lenses and equipment using thorium glass. The current building was erected and was used for office space, equipment assembly, non-hazardous and hazardous waste storage laboratory, and cafeteria. A building labeled "kerosene" was discovered on the property in the northwest corner from the 1950 Sanborn map. Potential sources of contamination include a former drywell of unknown material located southwest of the property, previous contamination of solvents below the building from past site use, past releases from the small kerosene labeled building, and petroleum migration from the former gas station located adjacent east of the site.

A Phase I and Phase II Environmental Assessment have been completed at the site. The Phase II identified chlorinated solvents in a groundwater monitoring well above NYSDEC standards. The source of impacts is currently unknown.

## **5.0 Investigation and Cleanup Process**

### *Application*

The Applicant has applied for and been accepted into New York's Brownfield Cleanup Program as a Volunteer. This means that the Applicant is not responsible for the disposal or discharge of the contaminants or whose ownership or operation of the site took place after the discharge or disposal of contaminants. The Volunteer must fully characterize the nature and extent of contamination onsite, and must conduct a qualitative exposure assessment, a process that characterizes the actual or potential exposures of people, fish and wildlife to contaminants on the site and to contamination that has migrated from the site.

The Applicant in its Application proposes that the site will be used for restricted purposes. To achieve this goal, the Applicant will conduct investigation and/or cleanup activities at the site with oversight provided by NYSDEC. The Brownfield Cleanup Agreement to be executed by NYSDEC and the Applicant sets forth the responsibilities of each party in conducting these activities at the site.

### *Investigation*

The Applicant will complete a RI as part of the BCP with NYSDEC oversight. When the investigation is complete, the Applicant will prepare and submit a report that summarizes the results. NYSDEC will use the information in the investigation report to determine if the site poses a significant threat to public health or the environment. If the site is a significant threat, it must be cleaned up using a remedy selected by NYSDEC from an analysis of alternatives prepared by the Applicant and approved by NYSDEC. If the site does not pose a significant threat, the Applicant may select the remedy from the approved analysis of alternatives.

### *Interim Remedial Measures*

An Interim Remedial Measure (IRM) is an action that can be undertaken at a site when a source of contamination or exposure pathway can be effectively addressed before the site investigation and analysis of alternatives are completed.

### *Remedy Selection*

When the investigation of the site has been determined to be complete, the project likely would proceed in one of two directions:

1. The Applicant may recommend in its investigation report that no action is necessary at the site. In this case, NYSDEC would make the investigation report available for public comment for 45 days. NYSDEC then would complete its review, make any necessary revisions, and, if appropriate, approve the investigation report. NYSDEC would then issue a “Certificate of Completion” (described below) to the Applicant

Or

2. The Applicant may recommend in its investigation report that action needs to be taken to address site contamination. Pending approval of the investigation report by the NYSDEC, the Applicant may then develop a cleanup plan, officially called a “Remedial Work Plan”. The Remedial Work Plan describes the Applicant’s proposed remedy for addressing contamination related to the site. When the Applicant submits a draft Remedial Work Plan for approval, NYSDEC would announce the availability of the draft plan for public review during a 45-day public comment period.

### *Cleanup Action*

NYSDEC will consider public comments and revise the draft cleanup plan if necessary, before approving the proposed remedy. The New York State Department of Health (NYSDOH) must concur with the proposed remedy. After approval, the proposed remedy becomes the selected remedy.

The Applicant may then design and perform the cleanup action to address the site contamination. NYSDEC and NYSDOH will oversee the activities. When the Applicant completes cleanup activities, it will prepare a final engineering report that certifies that cleanup requirements have been achieved or will be achieved within a specific time frame. NYSDEC will review the report to be certain that the cleanup is protective of public health and the environment for the intended use of the site.

### *Certificate of Completion*

When NYSDEC is satisfied that cleanup requirements have been achieved or will be achieved for the site, it will approve the final engineering report. NYSDEC then will issue a Certificate of Completion (COC) to the Applicant. The COC states that cleanup goals have been achieved and relieves the Applicant from future liability for site-related contamination, subject to certain conditions. The Applicant would be eligible to redevelop the site after it receives a COC.

### *Site Management*

Site management is the last phase of the site cleanup program. This phase begins when the COC is issued. Site management may be conducted by the Applicant under NYSDEC oversight, if contamination will remain in place. Site management incorporates any institutional and engineering controls required to ensure that the remedy implemented for the site remains protective of public health and the environment. All significant activities are detailed in a Site Management Plan.

An institutional control is a non-physical restriction on use of the site, such as a deed restriction that would prevent or restrict certain uses of the property. An institutional control may be used when the cleanup action leaves some contamination that makes the site suitable for some, but not all uses.



An engineering control is a physical barrier or method to manage contamination. Examples include: caps, covers, barriers, fences, and treatment of water supplies.

Site management also may include the operation and maintenance of a component of the remedy, such as a system that is pumping and treating groundwater. Site management continues until NYSDEC determines that it is no longer needed.



# **Appendix A**

## **Project Contacts and Locations of Reports and Information**

For information about the site's investigation and cleanup program, the public may contact any of the following project staff:

**New York State Department of Environmental Conservation (NYSDEC):**

Danielle Miles  
Project Manager, Division of Environmental Remediation  
6274 East Avon-Lima Rd, Avon, NY 14414  
P: (585) 226-5349  
[danielle.miles@dec.ny.gov](mailto:danielle.miles@dec.ny.gov)

Regina Willis  
Regional Public Participation Specialist  
6274 E Avon-Lima Rd, Avon, NY 14414  
P: (585) 226-5324  
[regina.willis@dec.ny.gov](mailto:regina.willis@dec.ny.gov)

**New York State Department of Health (NYSDOH):**

Harolyn Hood  
Project Manager  
Corning Tower, Empire State Plaza Albany, NY 12237  
P: (518) 402-7860  
[bee@health.ny.gov](mailto:bee@health.ny.gov)

**Public Repository for Reports and Information:**

**Lincoln Branch Library**  
851 Joseph Ave  
Rochester, NY 14261  
P: (585) 428-8210  
Hours: Mon., Tues., Wed. 10 am – 6 pm  
Thurs., Fri. 12 pm – 6 pm  
Sat. 12 pm – 4 pm  
Sun. Closed

**NYSDEC Region 8**  
6274 East Avon-Lima Rd  
Avon, NY 14414  
Attn: Danielle Miles  
P: (585) 226-5353  
Hours: Mon.-Fri. 8 am – 4 pm  
(Call for an appointment)

# **Appendix B**

## **Site Contact List**

1. THE CHIEF EXECUTIVE OFFICER AND PLANNING BOARD/DEPT. CHAIR OF EACH COUNTY, CITY, TOWN AND VILLAGE IN WHICH THE PROPERTY IS LOCATED.

*Monroe County*

**County Executive** – Cheryl Dinolfo  
110 County Office Building  
39 W. Main St.  
Rochester, NY 14614  
**Phone:**(585) 753-1000  
**Email:** countyexecutive@monroecounty.gov

**Chief Economic Development Officer** – Jeff Adair  
City Place  
50 W. Main St  
Rochester, NY 14614  
**Phone:** (585) 753-2000  
**Email:** mcplanning@monroecounty.gov

*City of Rochester*

**Mayor** – Lovely A. Warren  
City Hall, Room 307A  
30 Church St  
Rochester, NY 14614  
**Phone:** (585) 428-7045

**City Planning Commission Chair** - David L. Watson  
Division of Zoning  
City Hall, Room 125B  
Rochester, NY 14614  
**Phone:** (585) 428-6914

2. RESIDENTS, OWNERS, AND OCCUPANTS OF THE PROPERTY AND PROPERTIES ADJACENT TO THE PROPERTY.

*Property Owners*

**WBS Capital, Inc.**  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, NY 11354

*Adjacent Property Owners*

**WBS Capital, Inc. (090.84-1-3.001, 090.76-24.002, 090.84-1-22.001, 1364-1368, 090.84-1-39, 090.84-1-42.001, 090.84-1-69, 090.84-1-68)**  
136-20 38<sup>th</sup> Avenue Suite 9J  
Flushing, NY 11354

**RCS Property Holdings LLC (090.84-1-21.001)**  
1400 St Paul St  
Rochester, NY 14621

3. LOCAL NEWS MEDIA FROM WHICH THE COMMUNITY TYPICALLY OBTAINS INFORMATION.

*News Papers*

**CITY Newspaper**  
250 N. Goodman St.  
Rochester, NY 14607  
**Phone:** 585-244-3329  
**Fax:** 585-244-1126

**Rochester Democrat and Chronicle**  
245 E Main St.  
Rochester, NY 14604  
**Phone:** 585-232-7100

*TV*

**Spectrum News**  
71 Mt. Hope Ave.  
Rochester, NY 14620  
**Phone:** 585-756-2424

**WHAM**  
4225 W. Henrietta Rd.  
Rochester, NY 14623  
**Phone:** 585-334-8700

**WROC**  
201 Humboldt St.  
Rochester, NY 14610  
585-288-8400

4. THE PUBLIC WATER SUPPLIER WHICH SERVICES THE AREA IN WHICH THE PROPERTY IS LOCATED

*Public Water Supplier*

**City of Rochester Bureau of Water**  
10 Felix St  
Rochester, NY 14608

**Monroe County Water Authority**  
475 Norris Dr  
P.O. Box 10999

Rochester, NY 14610

5. ANY PERSON WHO HAS REQUESTED TO BE PLACED ON THE CONTACT LIST.

**Monroe County Planning Manager**

Thomas Goodwin  
8100 City Place  
50 W. Main St.  
Rochester, NY 14614  
**Phone:** 585-753-2000  
**Email:** mcplanning@monroecounty.gov

**Group 14621 Community Association, Inc.**

A Subsidiary of North East Area Development, Inc./NEAD  
1171 North Clinton Avenue  
Rochester, New York 14621  
**Phone:** 585-266-4693  
**Email:** group14621@group14621.com

6. THE ADMINISTRATOR OF ANY SCHOOL OR DAY CARE FACILITY LOCATED ON OR NEAR THE PROPERTY.

There are no schools/day care facilities on the property.

**Rochester School for the Deaf**

Gary Meyer, Administrator  
1545 St Paul St  
Rochester, NY 14621  
**Phone:** 585-544-1240

7. THE LOCATION OF A DOCUMENT REPOSITORY FOR THE PROJECT (E.G., LOCAL LIBRARY).

**Lincoln Branch Library**

851 Joseph Ave  
Rochester, NY 14261  
**Phone:** 585-428-8210

8. COMMUNITY BOARD IN A CITY WITH A POPULATION OF ONE MILLION OR MORE

Not Applicable.



# **Appendix C**

## **Site Location Map**



Figure 5: BCP Parcel Boundary Lines & Adjacent Property Owners



1270 Niagara Street  
 Buffalo, NY 14213  
 716.249.6880 [be3corp.com](http://be3corp.com)

1405 St. Paul Street  
 Rochester, NY

1/22/2018  
 WBS Capital, Inc.

# Appendix D

## BCP Process Flowchart

