CORNING

April 7, 2014

Vasyl Hayvanovych Environmental Engineer 1 New York State Department of Environmental Conservation – Division of Water Bureau of Flood Protection and Dam Safety, 4th Floor 625 Broadway Albany, NY 12233-3504

RE: Permit # 2013-06

Permanent Closure of the Drainage Structures 9, 10 & 10A and Associated Pipes

Dear Vasyl Hayvanovych:

As requested in your letter dated March 14, 2014, please find three signed copies of the Permit Number 2013-06 for performing work associated with permanent closure of the Drainage Structures 9, 10 and 10A and associated pipes on the State maintained flood control lands in the City of Corning.

Thank you for your assistance and please feel free to call me at 607-974-4279 with questions.

Sincerely.

Michael Ford, P.E.

muhare,

Sr. Environmental Project Engineer

Ed Hynes - Haley & Aldrich

Tracy Hall - Corning Incorporated

Jim Lynch - NYSDEC w/o enclosure

New York State Department of Environmental Conservation

Division of Water

Bureau of Flood Protection and Dam Safety, 4th Floor

625 Broadway, Albahy, New York 12233-3504 **Phone:** (518) 402-8185 • **FAX:** (518) 402-9029

Website: www.dec.ny.gov



March 14, 2014

Mr. Michael W. Donnelly Vice President - Business Services Corning Incorporated One River Front Plaza Corning, New York 14831

Re:

Permit # 2013-06

Permanent Closure of the Drainage Structures 9, 10 & 10A and Associated Pipes

Dear Mr. Donnelly:

Enclosed are four copies of Permit Number 2013-06 for performing the work associated with permanent closure of the Drainage Structures 9, 10 & 10A and the associated pipes on the State maintained flood control lands in the City of Corning. Please sign, date, and have notarized all four copies of the signature issuance page agreeing to the terms of the permit. Keep one copy of the permit and the supporting documentation for your use, and return the other three signed copies of the permit to my attention at the above address.

Please be aware that this application is not an effective permit until this Department has received the three completed copies. Additionally, all permit conditions, regulations, and special conditions must be adhered to.

If you have any questions, please feel free to telephone me at (518) 402-8131 or contact me by e-mail at vxhayvan@gw.dec.state.ny.us.

Sincerely,

Vasyl Hayvanovych

Environmental Engineer 1

Flood Control Projects Section

Enclosures

DEC Permit Number 2013-06		NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION							Issuan	ce Date
Facility Name		r grif	, , , , , , , , , , , , , , , , , , ,	-					Expirati	ion Date
CORNING FRI	0	Un	der the En	vironme	ntal Con	ERMIT servation Lav Land Use	V			
New Permit	П	Renewal of	Permit		Modifica	ermit (check		☐ Exte	nsion to ermit	Permit
1. Name of Applicant:	Cornin	g Incorporate	∍d	1						,
2. Mailing Address:	One Ri	iver Front Pla	aza						1	
3. City/Post Office:	Cornin	g		ı			4.State:	NY	5. Zip:	14831
6. Telephone #:	the second second	507) 974-6923		. Email:	hallta@	corning.com			1	American
8. Location of State Main	itained	d Flood Cont	rol Land:			-			***************************************	The second second second
City/Town/Village:	Corning	g					County:	Steub	en Coun	ty
Location:	See At	tachment								
 Project Description/F See Attachment for descr Control Lands. 	teason iption c	for use of flo of permanent	ood contro closure o	o l land (f Draina	attach s ge Struc	upporting matures 9, 10 &	aterials): 10A and	associa	ited pipe	s in Flood
10. Design Engineer Info	ormatio	on:				·		, 4	2 A	
Company or Name:	Haley	& Aldrich of	New York							THE RESERVE OF THE PARTY OF THE
Mailing Address:	200 T	own Centre [Orive, Suit	e 2		1				
City/Post Office:	Roche	ester				-	State:	NY	Zip:	4623
Telephone #:	+	+1 (585) 321-4	213	Email	jbabcoc	k@haleyaldric	h.com			1020
PE License #:	66582		1		Title:	Senior Engir	neer			
11. Construction Engine Information:	er Sai	me as above							-	· .
Company or Name:						0	4			The second secon
Mailing Address:						3				
City/Post Office:							State:		Zip:	
Telephone #:				Email:			o tuto.		шр.	2
PE License #:			30		Title:	1				
12. The above named applithe attached plans, and pur forming a part hereof, and herein. Date: 4 JAW	rsuant t will obt	to the condition ain any other	ons and reg	gulations or permit	whether	general or sp	ecial, which	ch are h	ereinafte	r set forth: all
Name, Title, and Compar (please print or type):	ıy		nelly, Vice	e Preside	ent - Bus	siness Service	es, Cornir	na Incor	porated)
Flood Control Re					JS Army	Corps Of E	ngineers	Appro	oval (Co	
Date	Sig	gnature			Date /		S	ignatur	e /	
						NY-	FY13-02		4	/ED



PERMIT ISSUANCE FORM

Permittee Name: Corning Incorporated			Permission to proceed as set forth and represented in the foregoing application pursuant to Part 501, Title 6 NYCRR, and at
Mailing Address: One Riverfront Plaza		i s	the particular location described therein, in accordance with the map and plant hereto attached and pursuant to the conditions and regulations, whether general or special,
City/Post Office: Corning	State: NY	Zip: 14831	which are hereinafter set forth; all forming a part hereof, to writ:

GENERAL CONDITIONS AND REGULATIONS

DEFINITIONS

1. The "Department" shall mean the New York State Department of Environmental Conservation.

SUBMITTAL OF PLANS

2. The Permittee shall submit to said Department a detailed plan of structure to be built, if any, with a description of proposed method of construction, before any work hereunder is started, which plans shall require the prior approval by the Department and any other agency having jurisdiction thereof.

FEES, COSTS AND, DAMAGES

- 3. The Permittee shall pay the State of New York through the Department a fee of \$25.00 for the applications for permits for residential property when the applicant is the owner or tenant and \$75.00 for all other applications.
- 4. The Permittee agrees to pay all necessary expenses incident to supervision and inspection by reason of the granting of such permit as certified by representative of the Department, Flood Control Section, and such payment shall be made within ten days from the rendering of the certified account.
- 5. Monuments marking the boundary of Flood Control Lands shall be undisturbed and fully protected. A recompense for damages of not less than \$25.00 will be imposed for each monument disturbed.

REQUIRED BONDS

before or at the time of
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Maired

issuance of this permit by the Department to be used as security that the Flood Control Works or any part thereof will be restored to its original condition where disturbed, at the expense of the Permittee, as soon as the work has been completed, and the said Department is hereby authorized to expend all or as much of such deposit as may be

necessary for that purpose, should the said Permittee neglect or refuse to perform the work.



LIABILITY

- 8. The Permittee shall be responsible for all damages resulting in bodily injury, including death and/or property damage liability due to activities of the Permittee, its contractors, sub-contractors of either or both, agents or employers in connection with any act or omission hereunder; and does hereby expressly agree to indemnify and save harmless the United States of America, the Department of the Army Corps of Engineers, the People of the State of New York and /or the Department, its Commissioner, and their representatives and employees from claims, suits, actions, damages and costs of every name and description, arising out of or resulting from any act or omission hereunder.
- 9. Unless expressly waived by the Department, the Permittee shall furnish with the foregoing application a policy of protective liability insurance issued to and covering liability of the People of the State of New York and/or the Department, with respect to all operation under this permit by the Permittee or by anyone acting by, through or for the Permittee, including omissions and supervisory acts of the State. The limits of liability in such policy shall not be less than \$1,000,000 per person/per accident for all damages arising out of bodily injury, including death at any time resulting there from, sustained by one person in any one accident and, subject to that limit for each person, not less than \$2,000,000 aggregate for all damages arising out of bodily injury, including death at any time resulting there from, sustained by two or more persons in any one accident, and not less than \$1,000,000 for all damages arising out of injury to or destruction of property in any one accident, and subject to that limit per accident. Such policy shall state that it (they) will not be changed or canceled until thirty days' written notice has been given to said Department and written approval has been granted by the Department representative identified in the attached Special Conditions, Special Condition Number 3.
- 10. The enumeration in this permit of the kind and amount of insurance shall not abridge, diminish or affect the Permittee's legal responsibilities for the consequences of accidents arising out of or resulting from the operation of the Permittee under this permit.
- 11. The above named Permittee hereby certifies that it has secured compensation for the benefit of, and will keep insured during the performance of the above described work, such employees as are required to be insured, by the provisions Workers' Compensation Law.

START DATE

- 12. The Permittee shall be responsible for obtaining all necessary permits, rights of entry and other items required by other State agencies, landlords, etc., before commencing work on this permit.
- 13. Work under this permit shall be commenced within (1) one year of permit issuance and continued in an expeditious manner.
- 14. The Department shall be given 7 days notice by said Permittee of the DATE when he intends to begin work authorized by this permit, and shall be given prompt notice of its completion.

PERMIT USE

- 15. This permit shall not be assigned or transferred without the written consent of the Department's representative as identified in the attached Special Conditions, Special Condition Number 3.
- 16. The said Department reserves the right to at any time revoke or annul this permit should the Permittee fail to comply with the terms and conditions upon which it is granted.



- 17. The use of State lands shall be subject at all times to the interests of the State and/or the United States of America in making improvements and repairs to the Flood Control Works. The Department, its agent, employees and representatives shall at all times, having a right of entry thereto, if in the judgment of the Department, the interests of the State or the United States of America shall require.
- 18. Work permitted under this permit shall be progressed in such a manner as to avoid interference with the operation and maintenance of Flood Control Works.
- 19. The work authorized shall be supervised by responsible agent of the Permittee and the work shall be performed to the satisfaction of the Department representatives identified in the attached Special Condition, Number 3.
- 20. A copy of this permit shall be in the possession of the Permittee or his representative at the site of the permitted activity and shall be promptly displayed upon request of a representative of the Department or any other person empowered to enforce the conditions of the permit.



SPECIAL CONDITIONS

PERMIT DESCRIPTION AND CONTACT PERSONNEL

1. This permit is for the use of State Maintained Flood Control Lands for the closure of drainage pipes and (proposed work)

structures identified as DS-9, 10, and 10 along the right bank of the Chemung River by Corning Incorporated (applicant)

within the limits of the <u>Corning Flood Control Project</u>.

(project name)

- 2. The permitted work shall be in accordance with the following documents/information, and modified as described in this Permit:
 - Attachment to Application for Permit Under ECL Article 16 Flood Control Land Use, Project Description/Reason for Use of Flood Control Land. The attachment is prepared by Haley & Aldrich and the text is undated. The attachment contains five (5) figures dated July 2007 (Fig. 1), and December 2012 (Figs. 2 through 5).
 - Typical Pipe Outlet Closure Detail, prepared by Haley & Aldrich, dated April 2013.
 - U.S. Army Corps of Engineers letter (no objection subject to conditions) dated April 12, 2013.
- 3. The Permittee agrees that this permit shall not take effect until all bonds, deposits, certificates of insurance, etc. as required by Conditions 6, 7, and 9 of the "Conditions and Regulation" have been received and acknowledged by the Regional Flood Control Engineer. The Flood Control Land Use Permit review process requires approval by the Regional Flood Control Engineer, the US Army Corps of Engineers District Engineer, and the DEC Central Office Flood Control Engineer. The permit application is then issued by the DEC Commissioner or his/her representative.

REGIONAL FLOOD CONTROL ENGINE	USACE DISTRICT ENGINEER						
Name:		Name:					
James A. Lynch, P.E., FC Eng.		Ronald J. Maj, P.E.; Eng. Division					
Region:		District:					
Region 8		Baltimore					
Address: New York State Department Environmental Conservation		Address: United States Army Corps of Engineers					
100 N. Main Street, Suite 104		10 South Howard Street					
Elmira, New York 14901		Baltimore, MD 21201					
Telephone:		Telephone:					
(607) 732-2214		(410) 962-6692					



SUBMITTAL REQUIREMENTS

- 4. The Permittee shall submit a construction schedule to the Regional Flood Control Engineer a minimum of two (2) weeks prior to construction. The schedule will include, but not limited to, the following critical construction dates: pre-construction meeting, inspection of the pipes prior to filling with closure materials, filling the pipes with closure materials, filling the slide gate structures with closure materials, and backfill of the slide gate and outlet structures. Any subsequent changes/updates to the proposed construction schedule must be conveyed to the Regional Flood Control Engineer in a timely manner.
- 5. The Permittee shall submit a contact roster of key project personnel to the Regional Flood Control Engineer prior to construction. The roster shall include contact names, company affiliation, and contact information.
- 6. The Permittee agrees to provide the Regional Flood Control Engineer with an emergency action plan for approval at least two weeks prior to construction for preventing river flow through the levee while performing the closure work.
- 7. The Permittee agrees that prior to commencement of the permitted work and immediately upon its completion; notice must be given to the Regional Flood Control Engineer as identified in Special Condition Number 3.
- 8. The Permittee agrees that prior to any future changes on State Flood Control Project Lands, notice must be given to the Regional Flood Control Engineer. Changes must not be implemented until the Permittee receives approval from the Regional Flood Control Engineer.
- 9. The Permittee shall furnish a certification report and "as-built" drawings in triplicate to the Regional Flood Control Engineer as identified in Special Conditions Number 3. The certification report and as-built drawings shall also be submitted in electronic format (pdf). Dated digital photos with description shall be submitted with the certification report. Field and laboratory test results shall also be included in the certification report. Both hard copy and electronic format certification report and as-built drawings shall be submitted within three (3) months of completion of construction for review by the Regional Flood Control Engineer. The certification report and as-built drawings shall be signed and stamped by a professional engineer licensed to practice in New York State.

PERMITTEE'S RESPONSIBILITY

- 10. The Permittee shall schedule a pre-construction meeting for the proposed work. At a minimum, the Permittee, Contractor, Design Engineer, NYSDEC Regional Flood Control Engineer will be in attendance. The Permittee shall provide an invitation to the USACE to attend the meeting.
- 11. This permit is for construction and the continued presence of the proposed closed facilities remaining on flood control lands. The Permittee agrees to maintain the protective liability insurance as required by condition 9 of the "General Conditions and Regulations" for as long as the Permit is in effect.
- 12. The Permittee agrees that all permitted works shall be operated and maintained entirely by the Permittee or the Permittee's agent(s).
- 13. The Permittee shall assume responsibility for and require all of its contractors, sub-contractors of either or both agents or employees to abide by all applicable conditions of this permit.
- 14. The Permittee shall maintain any of the Permittee's facilities remaining on flood control lands in a manner which is not detrimental to the flood control works. If in the opinion of the Regional Flood Control Engineer or his assistant, the permitted activity is ever found to endanger, or interfere with the maintenance or operation of the flood control works, the Permittee will immediately remedy the adverse condition and/or modify the adverse activity in a manner acceptable to the Department.



- 15. It shall be the Permittee's responsibility and the Permittee shall assume any liability incurred by the Department for failure of the flood control works in the work area due to both the Permittee's active installation and for the Permittee's facilities as long as they remain on the flood control land.
- 16. The Permittee agrees that any damage to existing flood protection facilities or features shall be repaired in a timely manner and to the satisfaction of the Regional Flood Control Engineer.
- 17. The Permittee agrees that the U.S. Government and the Department will not be held responsible for any damage to the Permittee's installation due to operation and maintenance activities of the flood control works nor for any damage asserted or claimed as the result of the Permittee's installation.
- 18. If the Department chooses at any time to exercise any of its rights on the flood control land, the Permittee agrees to bear any required expense and loss for removal, modification, or termination of its permitted facilities or activities, in such manner as directed by and to the satisfaction of the Department.
- 19. The Permittee shall not interfere with the operations of the Department, or contractors for the State working in the same area on the flood control land.
- 20. The Permittee agrees that the permitted works shall not fall into a state of disrepair such that they become hazards to the flood control project.

SITE CONDITIONS

21. General

- a. The Permittee agrees to take responsibility for construction site security and safety measures while undertaking this permitted work.
- b. Disturbed rip-rap and adjacent areas will be replaced free from soil, vegetation and other debris.
- c. The Permittee agrees to replace and reset to Department standards, flood control land boundary markers which may be disturbed or removed during the course of the permit work.
- d. The Permittee shall remove all materials and debris from the flood control land upon completion of the work.
- e. No trash, material or debris will be deposited within the existing flood control project at anytime.

22. Vehicles, Equipment, and Roadways

- a. The Permittee agrees that no vehicular traffic of any kind will be allowed on the flood control system except that portion of the project which is directly involved with this permitted work.
- b. The Permittee agrees that before any excavation into the flood control system (or into State Flood Control Lands), all necessary equipment must be on the job site.
- c. The Permittee and all project contractors shall not block any flood project maintenance roadways. Those roads shall be kept open for vehicle passage at all times.

23. Levee

a. The Permittee and all project contractors shall not disturb any ground within twenty (20) feet of the footprint of any levee or flood wall without the approval of the Regional Flood Control Engineer, as identified in Special Permit Condition Number 3.



b. The Permittee agrees that supplies, equipment and materials, including stockpiled earth, will not be stored on any portion of the levee. Further, the Permittee agrees to protect the levee from possible damage by means approved by the Regional Flood Control Engineer.

PROJECT INTEGRITY

- 24. If any constructed part of the project is found at a future date to cause under seepage problems under or on the protected side of the levee, the Permittee shall take action to immediately remedy these problems upon receiving written notice from the Regional Flood Control Engineer.
- 25. It is the Permittee's responsibility to ensure that there will be no reduction in the structural integrity or the level of protection provided by the Flood Protection Project. This applies to both the construction phase and after completion of work. The Permittee is responsible for quickly and effectively closing any potential breach in the flood Protection Project due to the failure of the flood control works in the work area due to both the Permittee's active installation and for the Permittee's facilities.
- 26. The Permittee shall have the construction performed within flood control lands inspected by a professional engineer or his representative. The permittee shall supply a written statement from the professional engineer that the installation was properly placed per the approved plans and special conditions.

EROSION

- 27. Any project contractor shall employ best management practices to control soil erosion at all times. If soil erosion occurs at any time, the Department may shut down the construction project until such time that the contractor properly remedies the erosion situation.
- 28. The Permittee agrees that measures to prevent erosion and/or sedimentation will be implemented throughout this permitted work.

POLLUTANTS

- 29. The Permittee agrees that precautions shall be taken to avoid pollutants from entering the river either directly or from areas where pollutants would be carried to the river by storm runoff.
- 30. Any accidental petroleum spills shall be immediately cleaned up and properly disposed of per Department regulations.

PIPES ON ALL PROJECT LANDS

31. Emergency Requirements

- a. The Permittee agrees to provide the Regional Flood Control Engineer with an emergency contact roster of key personnel involved with the permit work at least two weeks prior to the start of construction.
- b. The Permittee agrees to provide the Regional Flood Control Engineer with an emergency action plan for approval at least two weeks prior to construction for preventing river flow through the levee while performing the closure work.
- c. Pipe closures shall be operated and maintained by the Permittee. However, if during high water, the Department determines that a gate must be closed for the safety and protection of the public, it will take whatever action necessary to affect a closure. In the event the gate is inoperable, the Department will not be held liable for actions taken to make the closure.



32. Excavations, Fill and Backfill Material

- a. The Permittee agrees that no excavations will be made on flood control lands, except as indicated on the approved project drawings.
- b. Excavation receiving fill, and partially completed fill shall be kept thoroughly drained.
- c. Impervious embankment fill material, and backfill, shall be reasonably well graded, containing at least 25% passing the No. 200 mesh sieve and have a Plasticity Index of at least 6. No organic matter such as sod, or other undesirable material such as frozen soil, snow, ice, debris, etc., shall be permitted in the fill. In addition, no rock fragments, stones or cobbles greater than 2/3 of the allowable lift thickness shall be permitted in the fill.
- d. Materials shall be placed and spread in layers (lifts) not exceeding 6 inches in thickness prior to compaction. The material shall be compacted to a minimum of 92 percent of the modified Proctor. All fill shall be placed in the dry, and no fill shall be placed frozen or against frozen ground.
- e. Moisture content should generally not exceed the limits of + or 2% above or below the optimum moisture content. Materials that are too wet or too dry shall be conditioned by aerating or sprinkling, respectively before placing. Adherence to these moisture requirements is necessary for obtaining the maximum density.

33. Compaction

- a. When the moisture content and condition of the backfill material are satisfactory, each lift shall be thoroughly compacted with suitable equipment making enough passes over it such as to produce a relative density equal to 92% of the maximum obtainable using ASTM D1557 (Modified Proctor). Should a layer not meet the compaction requirements, it shall be removed replaced and compacted such that it meets the compaction requirements.
- b. Mechanical hand tampers shall be of the internal combustion or pavement breaker type, operated by pneumatic pressure and having enough weight and striking power to produce the required degree of compaction.

SOD RESTORATION

- 34. The contractor shall regrade, seed and mulch any flood project lands disturbed by the project construction. The contractor is required to reestablish a vigorous sod ground covering. If the sod does not properly germinate, the contractor shall reseed and mulch again. Any disturbed ground shall be raked to remove all rocks that can damage mowing equipment.
- 35. The Permittee agrees to regrade all disturbed earthen areas to their original lines and grades in a neat, smooth compact condition ready for seeding.
- 36. The Permittee agrees to place four to six inches of good quality topsoil on disturbed areas before proceeding with the seeding operation.



37 The	Permittee	in reseeding an area	agrees to use the t	ollowing	minimum r	eauirements:
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a. Seed: Kentucky Blue Grass @ 1 ½ pounds per 1000 square feet

Creeping Red Fescue @ 1 ½ pounds per 1000 square feet

Perennial Rye Grass @ 1 1/2 pounds per 1000 square feet

b. Fertilizer: 5-10-5 @ 15 pounds per 1000 square feet

c. Mulch: Adequate straw or timothy hay @ 100 pounds per 1000 square feet

38. The Permittee agrees to provide a good stand of grass for one year after completion of the work before being relieved of its seeding responsibility.

TIME FOR COMPLETION

39. The proposed work on State maintained flood control lands must be completed within two (2) years from the issuance date, as determined by the signature date of the representative of the Department of Environmental Conservation. To extend the deadline, the Permittee must submit a written request to the Regional Flood Control Engineer at least three months in advance of the deadline. After review, the Department will inform the Permittee in writing of its determination to extend, or not extend the allowed time.

PERMIT EXPIRATION

40. The duration of the permit will terminate twenty (20) years from the issuance date as determined by the signature date of the representative of the Department of Environmental Conservation. To extend the duration, the Permittee must submit a written request to the Department at least three months in advance of the termination. After review, the Department will inform the Permittee in writing of its determination to extend, or not extend the permit.

END



FLOOD CONTROL LAND USE PERMIT

SIGNATURE ISSUANCE PAGE

PERMIT #: 2013-06	
FLOOD CONTROL PROJECT NAME	Corning
Issued Pursuant to Article 16 (Flood Cont 0107.13 of the Environmental Conservati	
	NYSDEC FLOOD CONTROL PERMIT, PERMIT # 2 013 - 06 DATE 3 /11/19 SIGNATURE
Department of Environmental Conservati	
Dated:	20 MM. 14
	Drum 14
By:	Mummal Marines
	VP
	Signature and Title of Permittee
By:	
	Day C. Catherwices
	Signature of Notary Public Joy C CATHERWOOD Notary Public, State of New Y No. 5048138
	Qualified in Steuben Count Commission Expires August 14,



Form to be prepared by insurance agent or Insurance company representative. Send completed form to: Chief, Flood Protection and Dam Safety 625 Broadway, 4th Floor Albany, NY 12233-3504

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Address of Insurance Company				Travelers Property Casualty Company of America One Tower Square, Hartford, CT 06183								
y: Print Name Caitlin Costello					Signatur Authori	Signature of Authorized Representative)	
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