

June 2021 Buffalo Color



Remedial Action Work Plan for Buffalo Color Corporation Site Areas A and B Off-site: NYSDEC Site No. C915230A

Prepared for



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Remedial Action Work Plan for Buffalo Color Corporation Site Areas A and B Off-site: NYSDEC Site No. C915230A

I <u>Ram Mohan</u> certify that I am currently a NYS registered professional engineer and that this Remedial Action Work Plan was prepared in accordance with all applicable statutes and regulations and in substantial conformance with the DER Technical Guidance for Site Investigation and Remediation (DER-10) and that all activities were performed in full accordance with the DER-approved work plan and any DER-approved modifications.

Signature and Seal of Engineer

Ramk Mohan June 30, 2021

Signature

Date



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ABBREVIATIONS

Anchor QEA	Anchor QEA Engineering, PLLC
AOC	Area of Concern
BMP	best management practice
CCR	Construction Completion Report
CDF	confined disposal facility
COC	contaminant of concern
DMU	Dredge Management Unit
GLLA	Great Lakes Legacy Act
GLNPO	Great Lakes National Program Office
H:V	horizontal to vertical
HASP	Health and Safety Plan
Hg	mercury
Honeywell	Honeywell International Inc.
IC	institutional control
IGLD 85	International Great Lakes Datum of 1985
mg/kg	milligram per kilogram
NYSDEC	New York State Department of Environmental Conservation
Off-site Area	Buffalo Color Corporation Site Areas A and B Off-site Area
Order	Order on Consent
OSHA	Occupational Safety and Health Administration
PAH	polycyclic aromatic hydrocarbon
Pb	lead
PCB	polychlorinated biphenyl
RAWP	Remedial Action Work Plan
SEQR	New York State Environmental Quality Review Act
SMP	Site Management Plan
SPT	Standard Penetration Test
USACE	U.S. Army Corps of Engineers
USEPA	U.S. Environmental Protection Agency

1 Introduction

This Remedial Action Work Plan (RAWP) describes the evaluation and design process for a remedial action at the Buffalo Color Corporation Site Areas A and B Off-site Area (Off-site Area), New York State Department of Environmental Conservation (NYSDEC¹) Site No. C915230A. This RAWP has been prepared on behalf of Honeywell International Inc. (Honeywell²) by Anchor QEA Engineering, PLLC (Anchor QEA³), in accordance with the August 2016 Order on Consent (Order) and Administrative Settlement Index No. CO 9-20151109-133 under the NYSDEC State Superfund Program. This report provides a summary of the remedial design for the removal of contaminated soft sediments and the submerged sheetpile wall (knee wall) required to allow the sediment removal along a portion of the Buffalo River in Buffalo, New York (see Figures 1 and 2). As the remedial activities for the Off-site Area were performed in 2015 to coincide with an active dredging project, this report documents the investigation, analysis, and design work conducted prior to the remedy implementation. The design was based on existing information collected as part of the upland Buffalo Color Area A remediation and restoration, which was performed by South Buffalo Development under the New York State Brownfield Program, as well as in-river data collected for the Great Lakes Legacy Act (GLLA) Buffalo River Area of Concern (AOC) project.

1.1 Site Description and Background

The brownfield cleanup associated with the upland Buffalo Color Corporation Area A site was completed in December 2013.⁴ This work included demolition of former dye plant buildings and associated manufacturing structures, installation of a groundwater vertical hydraulic barrier wall, an existing groundwater pump and treatment system, a soil cap and cover, an existing marine mattress, and shoreline restoration. Site location and site overview maps are provided as Figures 1 and 2, respectively.

The groundwater vertical hydraulic barrier wall that was installed as part of the brownfield cleanup parallels the shoreline of the Buffalo River. Between the river and the vertical hydraulic barrier wall, a protective armored shoreline cover was installed along a 200-foot section of the property. The shoreline protection comprises a series of anchored marine mattresses, which provide containment and erosion protection along the shoreline. The marine mattress sections comprise geo-composite grids filled with armor stone anchored at the top of slope and extending into the Buffalo River (MACTEC 2008) . Figure 3 shows the installed marine mattress sections located along the Area A shoreline.

¹ Website: www.dec.ny.gov

² Website: www.honeywell.com

³ Website: www.anchorqea.com

⁴ Project details available online at https://www.dec.ny.gov/chemical/52854.html

Figure 3 Completed Marine Armor Mattress System



In 2014, shoreline restoration, including spiny softshell turtle habitat, placement of planting soil, and plantings, was also implemented along the Area A riverbank portion of the site. Details of the Buffalo Color Area A remedial activities, including the shoreline restoration work, are available in the *Design Basis Report, Former Buffalo Color Corporation Site – Area A/B* (MACTEC 2013). Figure 4 shows the Area A shoreline during the additional 2014 restoration work.

Figure 4 Area A 2014 Shoreline Restoration Work



During the remedial design for the GLLA Buffalo River AOC project, soft sediment in Dredge Management Units (DMUs) 9 and 10 (see Figure 2) were identified as areas requiring dredging due to concentrations of lead (Pb), mercury (Hg), polycyclic aromatic hydrocarbons (PAHs), and polychlorinated biphenyls (PCBs) that were above the cleanup criteria for these indicator chemicals for the Buffalo River AOC project (CH2M Hill 2013). The existing mattress and groundwater vertical hydraulic barrier wall were identified as part of the GLLA design as a "Critical Structure" due to the proximity of the proposed sediment removal that could potentially compromise the stability and integrity of these upland structures.

Due to the presence of a Critical Structure, a focused evaluation of the effects of dredging in front of the shoreline was completed to determine the potential effects from the dredging project. Removal of sediment and debris material from DMUs 9 and 10 along the Area A shoreline was evaluated by several engineering firms, including Anchor QEA, AMEC Foster Wheeler (formerly MACTEC and currently Wood), CH2M Hill Engineers (now Jacobs), McMahon & Mann Consulting Engineers, and Watts Architecture & Engineering. Based on these evaluations, it was determined that there was minimal effect from sediment removal along Area A shoreline areas up- and downstream of the marine mattresses due to the anticipated (and now completed) shoreline restoration, which incorporated removal of the former dye plant water intake and bulkhead structures and flattening of the steeper shoreline slopes. Evaluation of the marine mattress segment indicated the slope was in stable condition, but the removal of sediments from the base of slope indicated the stability of slope would be potentially compromised. The limited area of river sediments (approximately 0.45 acre), located near the toe of the marine mattress slope and within the extent of GLLA Buffalo River AOC DMUs 9 and 10, was identified by NYSDEC as the Off-site Area requiring management separate from the GLLA reporting. The Off-site Area, marine armor mattress, vertical hydraulic barrier wall alignment, and DMUs 9 and 10 are depicted on Figure 2.

1.2 Purpose and Scope

This RAWP details the design and evaluation work for the selected remedial action, including construction details necessary for implementation. Due to the in-river work associated with the GLLA AOC project, including initial DMU dredging operations during 2014 and 2015 completion, the remedial design efforts associated with the Off-site Area occurred through a variety of supplemental investigations and design phases as the remedial approach evolved. Preliminary design details associated with the removal of sediments were extrapolated from the original dredging design outlined in the *Final Basis of Design Report – Final Design for Sediment Remediation: Volume 1* (CH2M Hill 2013). The alternatives analysis performed to evaluate remedial approaches for the Off-site Area are documented in the *Remedial Investigation Alternatives Analysis for Buffalo Color Corporation Site Areas A and B Off-Site* (Anchor QEA 2018). The design was ultimately revised and updated based on supplemental investigations and analysis performed by Anchor QEA and as

formalized in *Buffalo River AOC 2015 Additional Dredging* Construction Drawings (Anchor QEA 2015a; included as Appendix A). Details of the sequence of data collection, slope stability and knee wall evaluations, and final design as coordinated with NYSDEC through the GLLA project process are summarized herein. Key timeframes associated with the design and construction of the Off-site Area Remedy are summarized on Figure 5.

Figure 5 Area A and B Off-site Area Timeline



1.3 Report Organization

Section 2 of the report provides a summary of the pre-design activities associated with the project. Section 3 provides an overview of the remedial design process, including the knee wall, dredging, and backfilling design. Section 4 describes the planned remedial implementation effort. References are provided in Section 5.

2 Pre-Design Activities

During the Buffalo River AOC design and construction phases, technical evaluations and supplemental field investigations of the Off-site Area were performed to identify a remedial approach that was protective of the Area A shoreline and accepted by the Buffalo River project stakeholders, including Buffalo Niagara Waterkeeper, U.S. Environmental Protection Agency (USEPA) Great Lakes National Program Office (GLNPO), U.S. Army Corps of Engineers (USACE), and NYSDEC. Details of these pre-design evaluations and investigations are described within this section.

2.1 GLLA Site Investigations

Sediments within Buffalo River AOC DMUs 9 and 10, where the Off-site Area is located, were sampled in 2005, 2008, and 2010 as part of the remedial investigation and feasibility study of the AOC. This sediment sampling program was conducted, in part, by USEPA GLNPO and NYSDEC. Four primary indicator chemicals for the AOC were determined during this sampling program, including PAHs, PCBs, Pb, and Hg. Exceedances of the AOC cleanup levels for these four contaminants of concern (COCs) were observed in DMUs 9 and 10. All data were provided as part of the *Final Basis of Design Report – Final Design for Sediment Remediation: Volume 1* (CH2M Hill 2013). Consistent with the delineation approach for the AOC, it has been assumed that the COC concentrations are representative of the sediment concentrations within the DMUs.

2.2 Slope Stability Evaluations

A series of slope stability analyses that evaluated best management practices (BMPs) for dredging at the toe of the marine mattress area in DMUs 9 and 10 were performed and submitted to the Buffalo River AOC stakeholders in 2013. These evaluations were presented in a technical memorandum, *Updated Slope Stability Evaluation – Former Buffalo Color Site, Buffalo NY Proposed Remedial Dredging Scenarios Near Area A – Marine Mattress* (Anchor QEA 2013a), and later clarified in an additional technical memorandum, *Clarification of Anchor QEA Slope Stability Evaluation Former Buffalo Color Site* (Anchor QEA 2013b). Both memorandums are provided in Appendix B. These initial evaluations used data available at the time, including the following:

- Upland soil boring data collected for the design of the groundwater cut off wall (MACTEC 2012)
- Data from three additional soil borings performed in July 2013 from along the shoreline within DMUs 9 and 10
- Post-dredge surveys performed by USACE following maintenance dredging in April 2013
- Diver-assisted surveys of the marine mattress toe performed in May 2013

Slope stability under dredging scenarios along the marine mattress were evaluated, including varying offsets, vertical cut, slope, and backfill alternatives. Combined, the evaluations concluded that a 5-foot toe offset and 3 horizontal to 1 vertical (3H:1V) dredge prism slope, with close monitoring to

track slope movement, was the BMP most suited for dredging adjacent to the marine mattress area. This BMP concept was carried forward in the *Alternatives Evaluation for DMUs 9 & 10 Marine Mattress* (Anchor QEA 2014) and enacted during GLLA project dredging of DMUs 9 and 10 in 2014. Ultimately, this BMP approach for the final remedy of the sediments in the Off-site Area was not accepted by NYSDEC because it would allow roughly 1,300 cubic yards of sediment in place along the toe of the mattress. Additional pre-design investigations described in Section 2.3 were performed to evaluate additional alternatives for final remedy of the Off-site Area to capture additional targeted sediment and further protect any sediment left in place.

2.3 Additional Investigation

Following the 2014 dredging operations and discussions with project stakeholders, including NYSDEC, regarding the "wedge" of sediments intended to be left in place following this initial dredging, it was concluded that additional remedial activities to address the sediments were needed. Additional pre-design investigations necessary to evaluate solutions to remove the sediments were performed in 2014 and 2015. Those additional investigations are described in this section.

2.3.1 Bathymetric Survey

To further inform the slope stability evaluations described in Section 2.2 and resolve discrepancies between the April 2013 USACE survey and other recent survey data collected in the vicinity of the marine mattresses, updated bathymetry was needed. Confirmation of the limit and elevation of the marine mattress was also needed to evaluate the volume and extent of sediments present at the toe of the slope. In April 2014, a multibeam bathymetric survey was performed along the Area A/DMUs 9 and 10 shoreline by Ocean Surveys, Inc.⁵ Additional survey data were collected using an extended survey pole along the toe of the mattress to probe for the edge of the mattress segments that were potentially buried in localized sediment. The survey data were used to refine the as-built limits and elevation of the mattress, which showed that all portions of mattress toe were located within 15 feet of the federal navigation channel and in most areas less than 10 feet. The 2014 survey is depicted on Figure 2.

2.3.2 Geotechnical Investigation

Geotechnical investigation activities at the Off-site Area were performed in 2015 to refine the earlier slope stability evaluations and provide additional data for potential knee wall design, Phase I of the investigation was performed from March 6 to March 9, 2015, and Phase II was performed from May 19 to June 3, 2015. Phase I consisted of sediment probing and field vane shear testing of sediments at the toe of the mattress from the surface of the then frozen river for DMUs 9 and 10.

⁵ Website: www.oceansurveys.com

Phase II consisted of advancing two upland soil borings and three offshore soil borings. The two onshore (upland) borings (AQ-SB-01 and AQ-SB-02) were performed at the top of the river bank at the former Buffalo Color Site adjacent to DMUs 9 and 10 (see Figure 6). The three offshore borings were performed from a barge near the toe of slope of the marine armor mattress in DMUs 9 and 10. Both phases were conducted in accordance with the USEPA-approved *Geotechnical Investigation Field Sampling Plan – Buffalo River AOC* (Anchor QEA 2015b).

Figure 6 Upland Soil Borings Along Riverbank



Subsurface conditions in the Off-site Area were characterized through observations of samples obtained during advancement of soil borings performed during this effort. A soil boring map, soil boring logs and geotechnical laboratory data for soil samples collected are provided in Appendix C. Five principal soil units were identified during the investigation. These soil units are described from the ground surface/mudline downward.

Fill/SAND/Silty SAND and Clayey Gravel (SM/SP/GC): The unit, a variable mixed fill, is described as a loose to medium dense, damp to moist, reddish dark brown, fine to medium silty sand with variable gravel content and occasional brick and concrete debris and glass fragments. The bottom elevation of the unit varied from 545.9 to 555.5 feet International Great Lakes Datum of 1985 (IGLD 85).

SILT (ML/MH): This unit is observed at in-water locations typically above the clay or an alluvium deposit. The silt varied in the investigated areas, but in general, it is described as a very soft, moist to wet, olive-gray to dark gray, clayey silt with medium to high plasticity and varying organic content. In DMUs 9 and 10, the thickness ranged from 3.0 to 5.0 feet.

Alluvium (SP-SM/SM): The alluvium unit was observed immediately beneath the fill unit in DMUs 9 and 10. The unit is described as a loose to medium dense, olive gray-brown, fine to medium-coarse sand with silt and silty sand and angular rock fragments. The thickness ranged from 4.0 to 6.0 feet in the two borings where the unit was observed. The bottom elevation of the unit varied from 539.9 to 541.9 feet IGLD 85.

CLAY (CL/CH): This unit was observed in all borings and was the principle unit targeted for this investigation. The unit is described as a very soft to soft, moist, reddish gray-brown, silty, and sandy clay with low to medium plasticity. In upland borings, the unit is soft to medium stiff. In DMUs 9 and 10, the observed thickness of the clay at the upland borings ranged from 28 to 30 feet. The unit was typically underlain by a glacial till unit or bedrock.

Till (SC/CL): This unit was observed in all borings immediately beneath the clay unit. The unit is typically soft to stiff, moist, gray to gray-brown, silty sandy clay with low plasticity and varying sand and gravel content. The unit is readily identified by the presence of coarse, granular particles and a change in color from reddish-brown to grayish. The material is observed to be 5.0 to 8.0 feet thick near DMUs 9 and 10.

Bedrock: Bedrock was encountered in all borings. The bedrock was observed to be intact because Standard Penetration Test (SPT) sampling typically met refusal criteria (i.e., 50 blows per 6-inch drive interval) within 1 to 2 inches of driving. Fragments of bedrock recovered from SPT sampling were observed in the field and estimated to be consistent with limestone.

The greater understanding of the subsurface conditions in the Off-site Area, including depth to bedrock and clay layer characterization, allowed for the advancement of the knee wall design as described in the following section.

3 Remedial Design Process

Following the completion of the 2015 geotechnical investigation and characterization of subsurface conditions, alternatives for addressing the Off-site Area were again evaluated. At the time, this evaluation process was discussed with the GLLA stakeholder team, which included NYSDEC, through meetings and presentations. The evaluation process was also later documented in the *Remedial Investigation Alternatives Analysis for Buffalo Color Corporation Site Areas A and B Off-Site* (Anchor QEA 2018). Ultimately, the knee wall approach for addressing sediments in the Off-site Area was presented to the GLLA project stakeholder team and selected to be advanced as a possible remedy for the area. The knee wall would provide support for the removal of sediments in front of the wall and protect against erosion that could potentially undercut the slope from normal river currents. Following installation of the knee wall, dredging would be performed to remove the wedge of sediments at the toe of the slope followed by installation of backfill to cover any remaining gap between the knee wall and the marine mattresses. This remedy would be fully implementable because all stabilization and cover features would be located outside of the immediately adjacent federal navigation channel in the river.

The knee wall would eliminate the need for an offset from the marine mattress structure during dredging and allow for significant additional sediment removal. To conform to previous evaluations of slope stability, overdredge allowance restrictions would still be necessary adjacent to the structure and limited to the original design allowance of 6 inches. This section provides a description of the design for each component associated with the selected remedy.

3.1 Sheeting Design

The sheetpile design was developed by Barton & Loguidice of Liverpool, New York, using Pile Buck sheetpile design software and the 2015 geotechnical data. Design evaluations included post-dredge scenarios where sediments would be removed from along the face of the wall. With the inclusion of the knee wall, slope stability following full sediment removal at the toe of the marine mattress to glacial till met an acceptable factor of safety. General design parameters included the following:

- Assumed embedment to refusal/bedrock at an approximate elevation of 518 IGLD 85
- PZ27 sheetpile with SKP90 corner pieces
- Pile length of 38.5 feet and overall wall length of approximately 240 feet
- 18-foot-long wing walls on up- and downstream sides of wall
- Top of sheeting at an approximate elevation of 556.5 IGLD 85, or 2.5 feet above the existing mudline and approximately 13 feet below average water surface elevations
- All portions of the knee wall located outside of the federal navigation channel in the Buffalo River

Final sheetpile design and details were provided in the *Buffalo River AOC 2015 Additional Dredging* Construction Drawings (Anchor QEA 2015a; Appendix A) and submitted to agencies for permit authorization. Supporting sheetpile design calculations that informed the design are provided in Appendix D.

3.2 Dredge Design

A dredge template was developed that included full removal of un-dredged sediments along the face of the wall within DMUs 9 and 10 down to the glacial till layer in accordance with GLLA project design (CH2M Hill 2013). The dredge template also included isolated areas along the knee wall wing walls adjacent to the shoreline where a 3H:1V slope was used. The template was provided in *Buffalo River AOC 2015 Additional Dredging*, Construction Drawings (Anchor QEA 2015a; Appendix A). The design dredge volume was approximately 1,100 cubic yards. A 1-foot operational offset from the sheetpile wall was incorporated into project specifications to limit the possibility of damage to the wall during dredging. It was anticipated that the sediments would be dredged mechanically and disposed of in USACE's confined disposal facility (CDF) No. 4 located on Lake Erie in Buffalo, New York, consistent with other dredging operations for the GLLA project. To dispose of the sediments, the material would be transported by barge to the shoreline of CDF No. 4 and offloaded hydraulically into the CDF. Any generated debris would be separated mechanically and placed into designated debris areas within the CDF. Additional details of the dredging design that are applicable to the Offsite Area work, including material handling and disposal procedures, are provided in *Final Basis of Design Report – Final Design for Sediment Remediation: Volume 1* (CH2M Hill 2013).

3.3 Backfill Design

The knee wall design template placed the wall footprint near the surveyed toe of the existing marine mattress. To minimize the potential for disturbance of the marine mattress during sheeting installation, a 5-foot maximum offset of the sheeting from the mattress was included in the design. A sand backfill was incorporated into the design for the Off-site Area to address this narrow area between the marine mattress cover and the sheeting. The sheeting design included 2.5 feet of free sheeting installed above the mud line, behind which an 18-inch backfill layer would be installed. This configuration provided approximately 1 foot of additional wall clearance above the surface of the proposed backfill layer and was expected to provide protection over the adjacent sand. Backfilling activities at DMUs 9 and 10 would be performed following completion of the knee wall installation and dredging along the face of the knee wall. Before backfilling was initiated, a review of post-dredging bathymetric surveys was completed to confirm dredging had been satisfactorily completed to design requirements. The *Feasibility Study for the Buffalo River, New York* (ENVIRON et al. 2011) prepared as part of the GLLA project indicated that this location is not within identified potential scour zones in the river. Thus, scour of the backfill layer was not considered a concern

following the sheeting installation. The backfill design was provided in *Buffalo River AOC 2015 Additional Dredging*, Construction Drawings (Anchor QEA 2015a; Appendix A).

4 Remedy Implementation

4.1 Permitting

The Off-site Area project was conducted as part of the Buffalo River AOC remedy and therefore fell under the permits required for that project.

A Joint Application for Permit was submitted to the USACE and NYSDEC by Honeywell on behalf of the GLLA project team prior to AOC construction activities. Honeywell applied to the USACE for Nationwide Permit 27 for Aquatic Habitat Restoration and Nationwide Permit 38 for Cleanup of Hazardous and Toxic Waste. These Nationwide Permits were issued by USACE-Buffalo District. Honeywell also applied for a project-specific Water Quality Certification under Section 401 of the Clean Water Act and an Article 15 Protection of Waters Permit, which were issued by NYSDEC. A modification to the NYSDEC Water Quality Certification was issued to reflect the *Buffalo River AOC 2015 Additional Dredging*, Construction Drawings (Anchor QEA 2015a; Appendix A), as well as to modify the turbidity monitoring process. Project permits specifically relevant to the Off-site Area work are provided in Appendix E.

The project was also subject to the New York State Environmental Quality Review Act (SEQR), which requires the identification and mitigation of significant environmental impacts of the activity to land, air, plants and animals, water quality, historic or archeological resources, noise, and odor. NYSDEC ultimately determined that the project was a Type 1 action and would not have a significant effect on the environment. The permit application and SEQR review for the Buffalo River Sediment Remediation and Habitat Restoration project included consultation with U.S. Fish and Wildlife Service, NYSDEC's Division of Fish and Wildlife, and the New York State Historic Preservation Office.

4.2 Health and Safety

The Health and Safety requirements developed for the work were established using Anchor QEA, Honeywell, and Occupational Safety and Health Administration (OSHA) regulations. During construction, the Contractor was designated to be responsible for the following:

- Preparing a site-specific Health and Safety Plan (HASP) and safety procedures
- Conforming to Honeywell's Remediation and Evaluation Services Contractor Safety Workbook
- Ensuring each employee was properly trained in hazardous waste operations and emergency response, as well as all other appropriate construction safety regulations
- Ensuring each employee was included in a medical surveillance program consisting of pre-assignment, annual, and exit physicals
- Providing daily "toolbox talk" safety instruction
- Conducting personal air sampling of employees to monitor exposure to airborne hazards as needed

- Providing personal protective equipment as needed
- Ensuring employee compliance with site and contractor safety rules
- Conducting an incident investigation and providing an incident report to site health and safety representatives in the event of an employee injury, property damage, or near miss incident

During construction, the Construction Manager (Anchor QEA) was designated to be responsible for the following:

- Providing health and safety oversight of the on-site construction management team personnel
- Reviewing contractor HASPs and safety procedures
- Conducting inspections of site activities to ensure contractor compliance with the HASP and applicable OSHA regulations
- Receiving and reviewing contractor incident reports
- Reviewing employee training and medical surveillance records
- Developing the Final Construction Completion Report (CCR)

The submittal of the RAWP follows the submittal of the following:

- **Project Work Plan:** A document to convey the previous implementation of the remedy associated with the Off-site Area and discuss the various communications and summary documents to be submitted to complete the Order
- **Citizen Participation Plan:** A document that summarizes necessary details associated with the Off-site Area for the public
- **Remedial Investigation Alternatives Analysis:** Provides a summary of investigation results and evaluations of remedial alternatives for the location and proposes a remedy for implementation

The CCR has been submitted along with this RAWP. The CCR documents the implementation of the remedy and includes information on permitting, contractors and oversight, means and methods of implementation, schedule, and any deviations from original designs. The CCR also summarizes as-built information as a baseline for later monitoring efforts.

The Site Management Plan (SMP) will be submitted in early 2019 following submittal of this RAWP and CCR. The SMP outlines performance monitoring for the applied remedy at the Off-site Area.

4.3 Site Management Plan

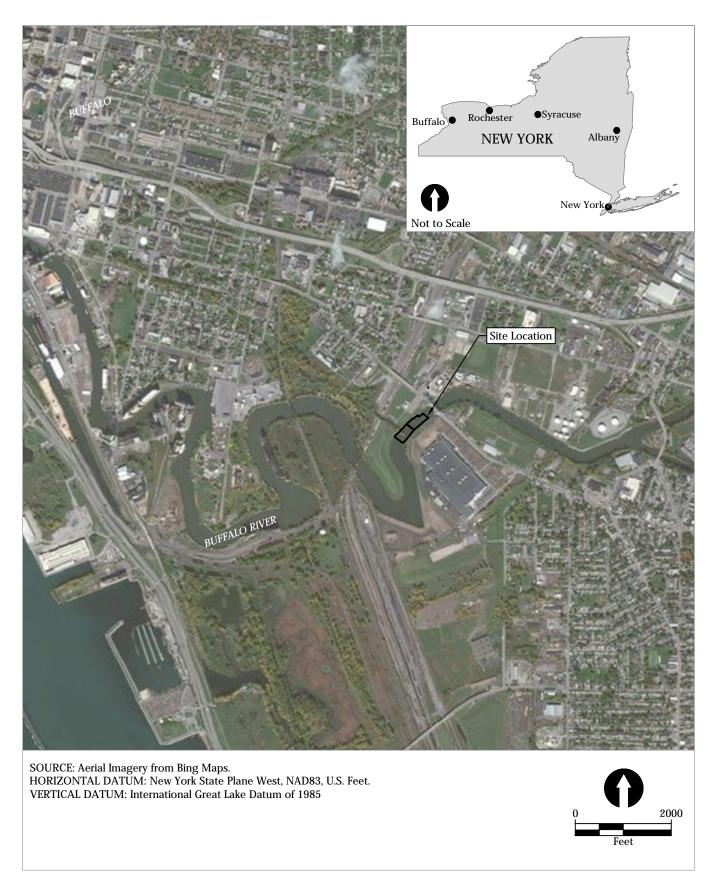
A SMP will be prepared in accordance with DER-10 after the acceptance of preceding Brownfield Cleanup Program documents. The SMP will include the following activities, which are necessary for the proper and effective management of the institutional controls (ICs) and monitoring the effectiveness of the implemented remedy:

- **Inspection:** Evaluating site information periodically to confirm that the remedy continues to be effective in protecting public health and the environment
- **Survey Monitoring:** Bathymetric surveying every 5 years for 20 years following completion of the work, coinciding with the Riverbank Restoration Monitoring of the Former Buffalo Color Corporation Site Area D Sediment Containment Monitoring
- **ICs:** Restrictions on site access and use will be described in detail in the SMP, along with the steps necessary for its implementation and periodic certification
- **Corrective Measures:** Procedures for corrective measures such as repairs to any failure of an institutional or engineering control
- **Reporting:** The results of all inspections, corrective actions, and monitoring will be reported in the Periodic Review Report for the Off-site Area

5 References

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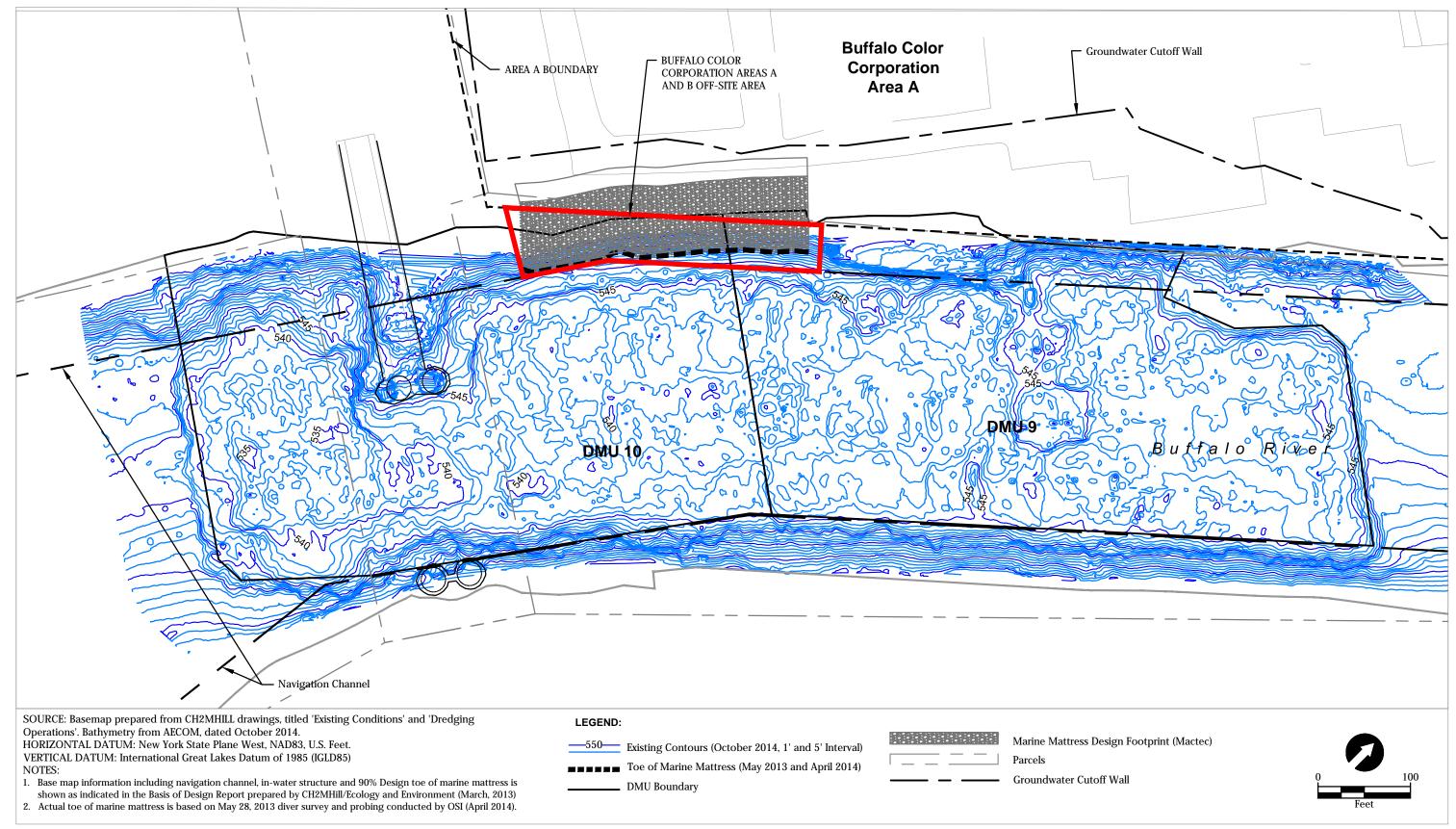
Figures



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Figure 1 Site Location Buffalo Color Corporation Areas A and B Off-Site Area Buffalo, New York

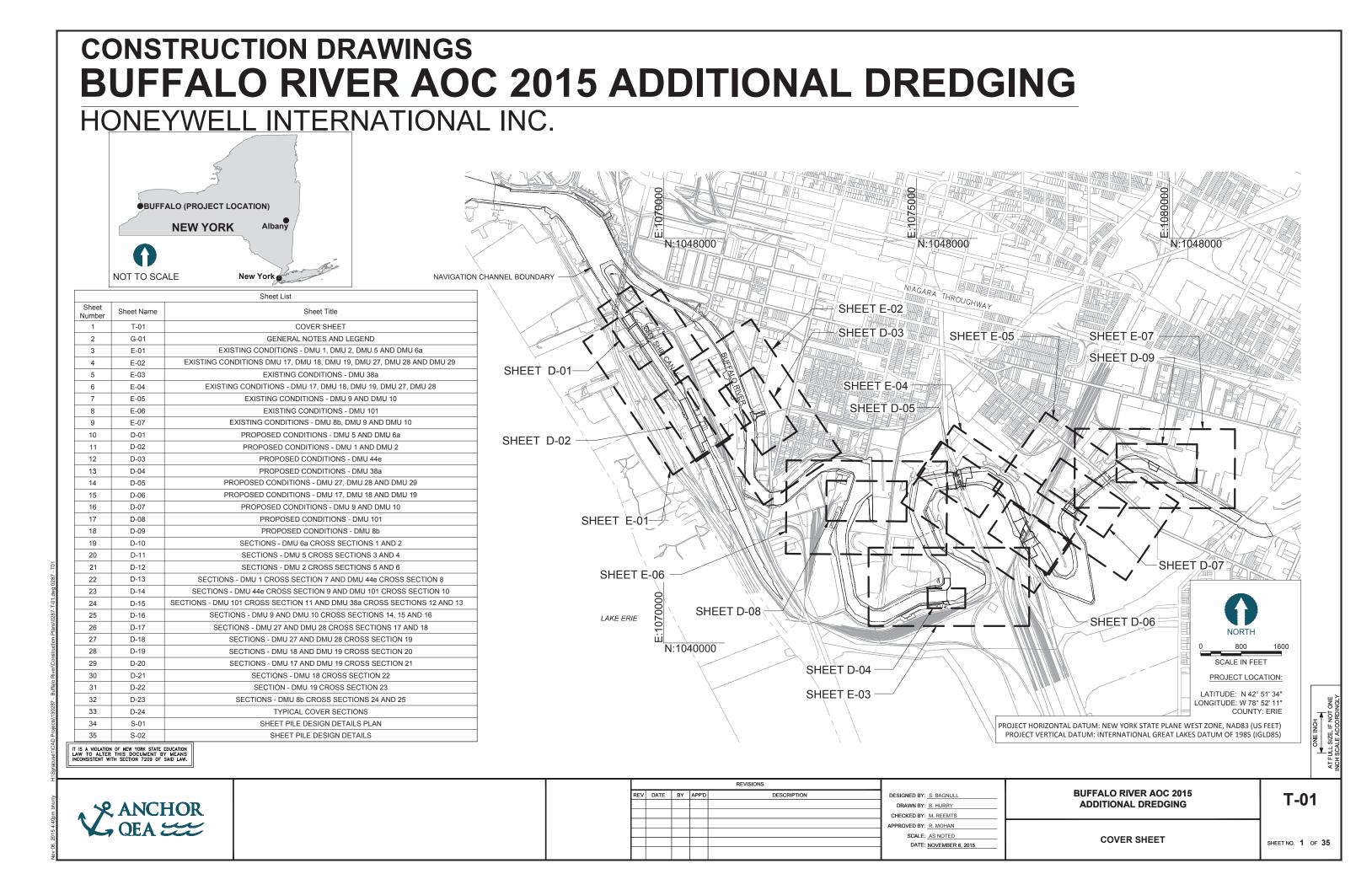


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Figure **2** Site **Overview** Map Buffalo Color Corporation Areas A and B Off-Site Area Buffalo, New York

Appendix A Buffalo River AOC 2015 Additional Dredging, Construction Drawings



	LEGEND		GENERAL NOTES:
	EXISTING CONTOUR MAJOR (5 FT)	4	THIS PROJECT INCLUDES ENVIRONMENTAL DREDGING IN SELECTED AREAS OF THE BUFFALO RIVER AND
	EXISTING CONTOUR MINOR (1 FT)	1.	CITY SHIP CANAL.
	PROPOSED CONTOUR MAJOR (5 FT)	2.	DRAWINGS E-01 THROUGH E-06 SHOW EXISTING BATHYMETRIC CONTOURS AND DRAWINGS D-01 THROUGH D-08 SHOW DREDGE CUT LINE CONTOURS.
	PROPOSED CONTOUR MINOR (1 FT)	2	DECISION DREDGE COT LINE CONTOURS.
	EXISTING PROPERTY LINE	Э.	TEST BORING LOCATIONS AND SEDIMENT THICKNESS POLING LOCATIONS.
	EXISTING SHORELINE	4.	LOCATIONS OF NAVIGATION CHANNEL AND STATIONING IN PROJECT AREA PROVIDED BY USACE.
	EXISTING MUDLINE	5.	DREDGE MANAGEMENT UNITS (DMUs) WERE DELINEATED BASED ON THE 2010 FEASIBILITY STUDY (ENVIRON INTERNATIONAL CORPORATION, MACTEC ENGINEERING AND CONSULTING INC., LINMOTECH.
	REQUIRED DREDGE LINE		2010 DRAFT FINAL FEASIBILITY STUDY FOR THE BUFFALO RIVER, NEW YORK, PREPARED ON BEHALF OF BUFFALO RIVER GREAT LAKES LEGACY ACT PROJECT COORDINATION TEAM, NOVEMBER 2010), AND
	- NAVIGATION CHANNEL		FURTHER DEFINED DURING DISCUSSIONS WITHIN THE PROJECT COORDINATION TEAM, NOVEMBER 2010), AND
	ROAD	6.	LOCATIONS DISPLAYED FOR EXISTING CONDITIONS SUCH AS SHORELINES, STRUCTURES AND UTILITIES ARE APPROXIMATE.
	DREDGE MANAGEMENT UNIT BOUNDARY	7.	IF AN UNDERWATER SHORELINE STRUCTURE (E.G., RIPRAP, CONCRETE BOAT RAMPS, ECT.) IS
	 PROPOSED REMAINING GLLA PROJECT DREDGING LIMITS 	1.	ENCOUNTERED IN ANY AREA WHERE NONE IS INDICATED, CONTRACTOR SHALL NOTIFY ENGINEER FOR A DECISION ON HOW TO PROCEED.
X SEC	OSS SECTIONS IDENTIFICATION	8.	THE CONTRACTOR IS RESPONSIBLE FOR MAINTAINING A NEAT AND ORDERLY SITE, YARD AND GROUNDS. REMOVE AND DISPOSE OFF SITE ALL RUBBISH, WASTE MATERIALS, LITTER, AND ALL FOREIGN SUBSTANCES, REMOVE PETRO-CHEMICAL SPILLS, STAINS AND OTHER FOREIGN DEPOSITS IN ACCORDANCE WITH LOCAL, STATE, AND FEDERAL REGULATIONS.
X-XX HORIZ. S			THE OWNER OR OWNER'S REPRESENTATIVE SHALL BE NOTIFIED IN WRITING OF ANY CONDITIONS THAT VARY FROM THOSE SHOWN ON THE DRAWINGS. THE CONTRACTOR'S WORK SHALL NOT VARY FROM THE DRAWINGS WITHOUT THE EXPRESSED APPROVAL OF THE OWNER OR OWNER'S REPRESENTATIVE.
X X-X	OSS SECTION IDENTIFICATION	10.	THE CONTRACTOR SHALL RESTORE ALL PUBLIC OR PRIVATE PROPERTY INCLUDING MARINE STRUCTURES DAMAGED OR REMOVED TO AT LEAST AS GOOD OF CONDITION AS BEFORE DISTURBED AS DETERMINED BY THE OWNER OR OWNER'S REPRESENTATIVE.
\sim	AN SHEET WHERE CROSS SECTION IS LOCATED	11.	THE CONTRACTOR SHALL COMPLY WITH ALL REQUIRED PERMITS.
		12.	MAINTAIN OPEN ACCESS FOR SHIPPING AND OTHER OPERATIONS.
	GLLA DMU DREDGING AREA COMPLETED BY OTHERS	13.	DETAILS SHOWN ARE TYPICAL; SIMILAR DETAILS APPLY TO SIMILAR CONDITIONS UNLESS OTHERWISE NOTED.
	HABITAT SUBGRADE RESTORATION	14.	THESE DRAWINGS DO NOT INCLUDE NECESSARY COMPONENTS FOR CONSTRUCTION SAFETY.
	COMPLETED BY OTHERS	15.	NON-CONFORMING MATERIALS OR CONDITIONS SHALL BE REPORTED TO THE CLIENT PRIOR TO CORRECTIVE ACTION. ANY SUCH ACTION SHALL REQUIRE APPROVAL.
\square	NO DREDGE AREA - 25 FT OFFSET FROM UTILITIES	16.	EACH CONTRACTOR SHALL COOPERATE WITH THE OWNER'S REPRESENTATIVE, AND COORDINATE HIS WORK WITH THE WORK OF OTHERS.
	NO DREDGE AREA - 25 FT OFFSET FROM IN -WATER STRUCTURES AND OPERATIONALLY LIMITED	17.	TO THE EXTENT UTILITIES OR OVERHEAD LINES ARE PRESENT AT THE SITE, THEY ARE NOT SHOWN ON THESE DRAWINGS. CONTRACTOR SHALL DETERMINE THE PRESENCE OF ALL UTILITIES IN THE FIELD.
	DREDGE AREAS	18.	CONTRACTOR SHALL FIELD VERIFY SEDIMENT PHYSICAL PROPERTIES, LOCATIONS OF SITE STRUCTURES, LOCATION OF IDENTIFIED DEBRIS, SHORELINE AND OTHER SITE FEATURES.
	BACKFILL	19	CONTRACTOR SHALL PERFORM A PRE-CONSTRUCTION SURVEY WHICH WILL SERVE AS THE BASELINE
	SAND COVER MATERIAL		SURVEY FOR PAYMENT. THE PRE-CONSTRUCTION SURVEY IS SUBJECT TO REVIEW AND APPROVAL BY THE OWNER.
	ARMOR STONE		

Ρ

				ABBREVIATIONS
			ABB.	TERM
PROJECT INFORM	ATION:	1	ABB.	ABBREVIATION
PROJECT LOCATION:	BUFFALO RIVER	1	AC.	ACRES
	BUFFALO, ERIE COUNTY, NEW YORK	1	ADM	ARCHER DANIELS MIDLAND
CLIENT:	HONEYWELL INTERNATIONAL	(CY	CUBIC YARD
GLIENT.	HONETWELL INTERNATIONAL	ſ	DIA.	DIAMETER
ENGINEER OF RECORD:	ANCHOR QEA ENGINEERING, PLLC	C	DMU	DREDGE MANAGEMENT UNIT
	290 ELWOOD DAVIS ROAD LIVERPOOL, NY 13088	E	EA.	EACH
	CONTACT: RAM MOHAN, P.E.	E	EL.	ELEVATION
		E	EX	EXISTING
		F	FT.	FOOT OR FEET
		C	GLLA	GREAT LAKES LEGACY ACT
SURVEY NOTES:		1	IN.	INCH OR INCHES
	BATHYMETRIC DATA, UTILITY LOCATION		LOC.	LOCATION
	SE MAP DATA FROM CH2MHILL AND ECOLO	SY L	LWD	LOW WATER DATUM
	MEDIATION DESIGN BUFFALO RIVER AREA O	F I	MAX.	MAXIMUM
	YMETRIC CONTOURS SHOWN ON THE EXIST		MIN.	MINIMUM
	ARE BASED ON BATHYMETRIC SURVEY DATA LL (2012), USACE (2013) AND AQUATIC	1	N/A	NOT APPLICABLE
SCIENCES (2013, 2014)	. EXISTING CONDITIONS DRAWINGS ARE NO	۱ ۲	NTS	NOT TO SCALE
	NGS AND ACTUAL SITE TOPOGRAPHY AND RY, THE LOCATIONS OF PIERS, OUTFALLS,	(0.C.	ON CENTER
	WATER STRUCTURES ARE AS NOTED IN	F	P.E.	PROFESSIONAL ENGINEER
	GY AND ENVIRONMENT ENGINEERING FINAL		QTY.	QUANTITY
	CONTRACTOR SHALL FIELD VERIFY THESE IRACY, AS APPLICABLE.	S	SF	SQUARE FOOT OR FEET
		S	SPEC.	SPECIFICATION(S)
 CONTRACTOR SHALL (CONSTRUCTION. 	CONFIRM ACTUAL BATHYMETRY PRIOR TO	S	SY	SQUARE YARD
		1	TYP.	TYPICAL
3. HORIZONTAL DATUM IS	S NEW YORK STATE PLANE WEST, NAD83, US	; <u>\</u>	WSEL	WATER SURFACE ELEVATION

SI

- 2.
- 3. HORIZS FEET.
- 4. VERTICAL DATUM IS INTERNATIONAL GREAT LAKES DATUM OF 1985 (IGLD85).

IT IS A VIOLATION OF NEW YORK STATE EDUCATION LAW TO ALTER THIS DOCUMENT BY MEANS INCONSISTENT WITH SECTION 7209 OF SAID LAW.

						REVISIONS		-
		REV	DATE	BY	APP'I	DESCRIPTION	DESIGNED BY: S. BAGNULL	1
A SANCHOR							DRAWN BY: B. HURRY	1
ANCHOR							CHECKED BY: M. REEMTS	1
QEA CEA							APPROVED BY: R. MOHAN	1
							SCALE: AS NOTED	1
							DATE: NOVEMBER 6, 2015	1
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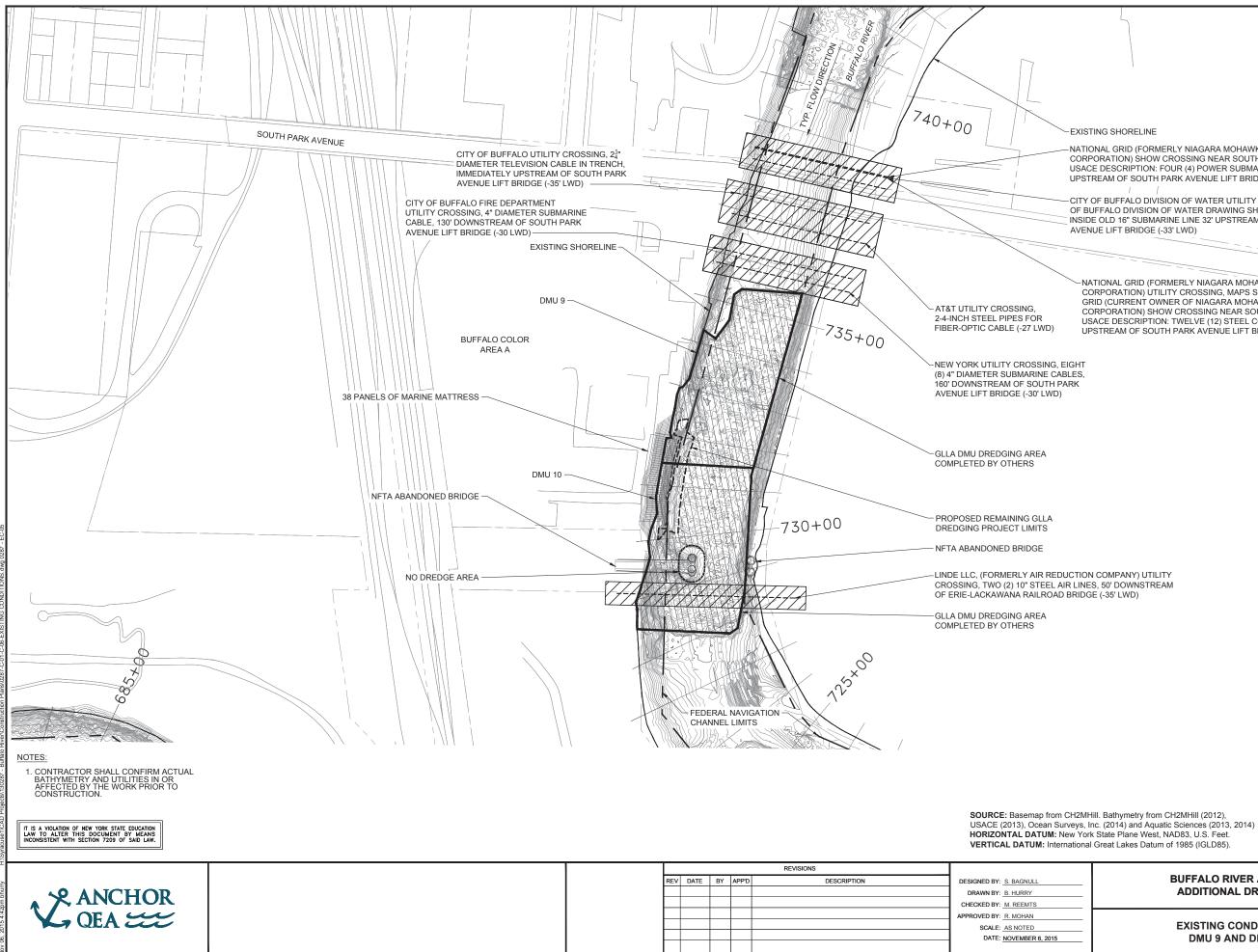
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BUFFALO RIVER AOC 2015 ADDITIONAL DREDGING

GENERAL NOTES AND LEGEND

G-01

SHEET NO. 2 OF 35

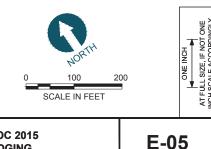


EXISTING SHORELINE

-NATIONAL GRID (FORMERLY NIAGARA MOHAWK POWER CORPORATION) SHOW CROSSING NEAR SOUTH PARK BRIDGE, USACE DESCRIPTION: FOUR (4) POWER SUBMARINE CABLES, 32' UPSTREAM OF SOUTH PARK AVENUE LIFT BRIDGE (-33' LWD)

-CITY OF BUFFALO DIVISION OF WATER UTILITY CROSSING, CITY OF BUFFALO DIVISION OF WATER DRAWING SHOWS 10' PVC INSIDE OLD 16" SUBMARINE LINE 32' UPSTREAM OF SOUTH PARK AVENUE LIFT BRIDGE (-33' LWD)

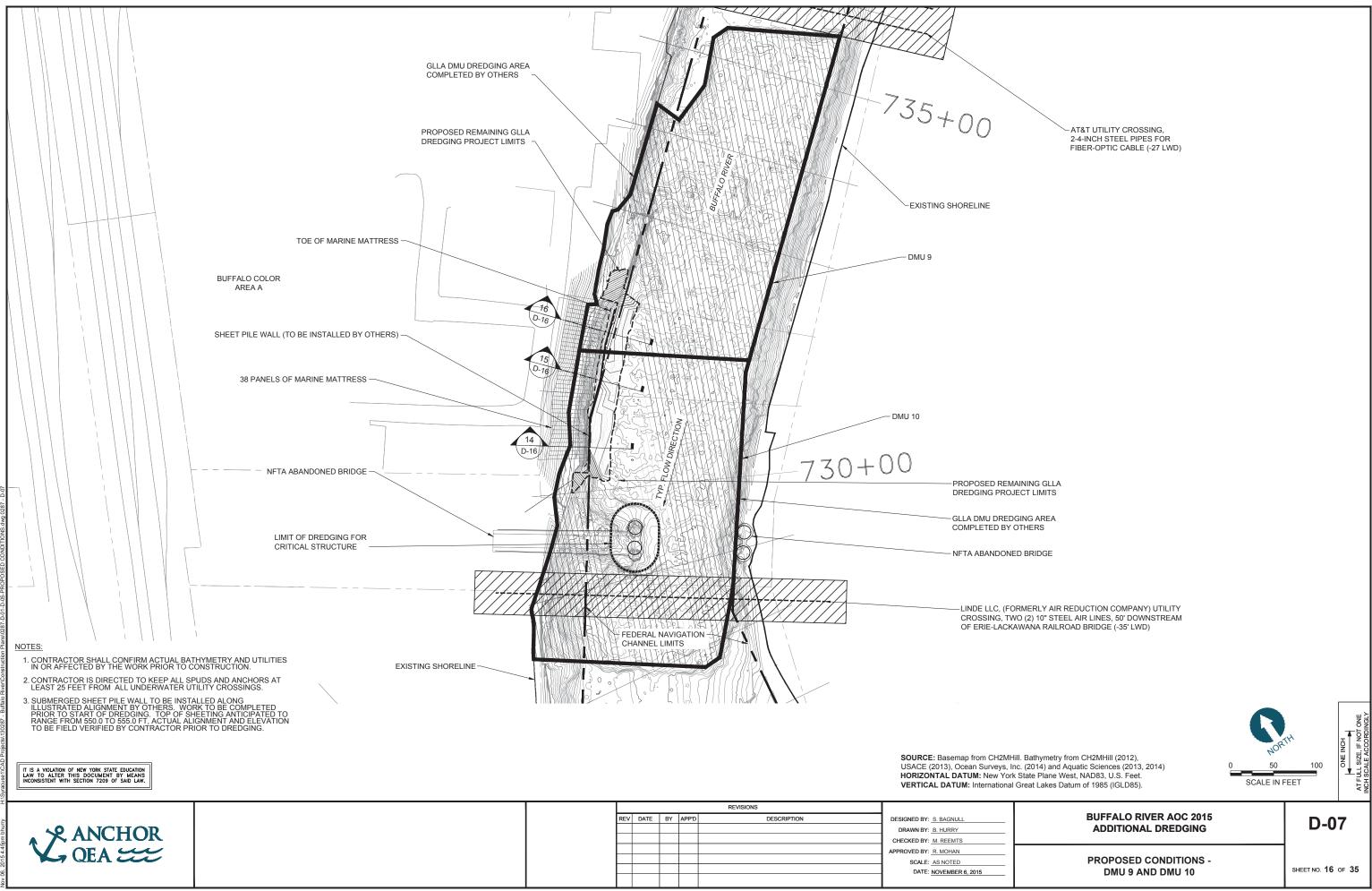
-NATIONAL GRID (FORMERLY NIAGARA MOHAWK POWER CORPORATION) UTILITY CROSSING, MAPS SENT BY NATIONAL GRID (CURRENT OWNER OF NIAGARA MOHAWK POWER CORPORATION) SHOW CROSSING NEAR SOUTH PARK BRIDGE, USACE DESCRIPTION: TWELVE (12) STEEL CONDUITS, 30' UPSTREAM OF SOUTH PARK AVENUE LIFT BRIDGE (-35' LWD)

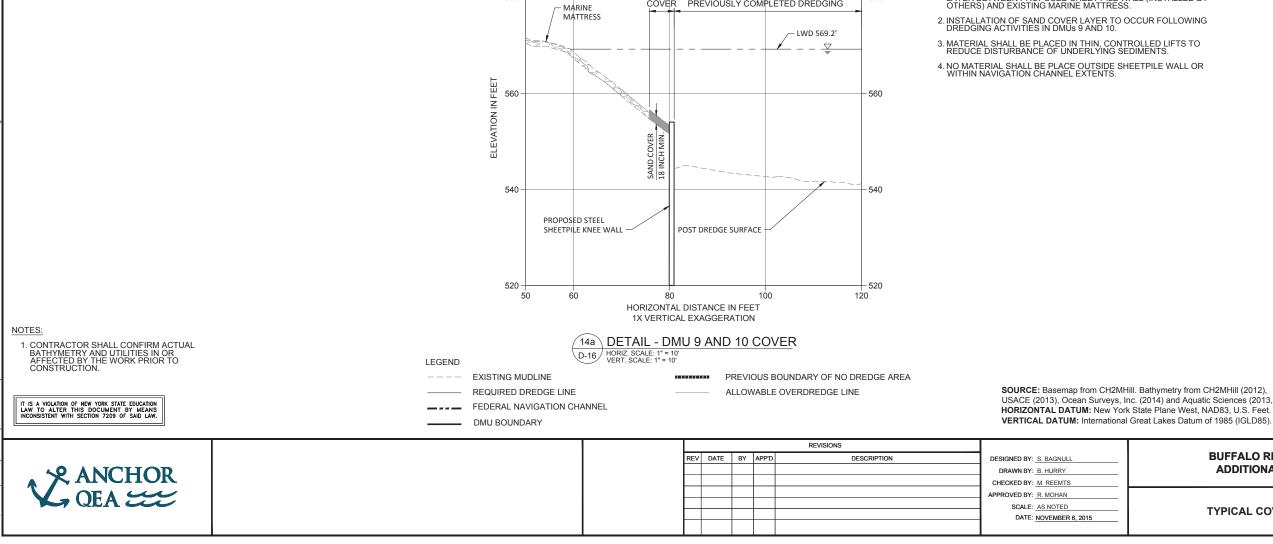


BUFFALO RIVER AOC 2015 ADDITIONAL DREDGING

EXISTING CONDITIONS -DMU 9 AND DMU 10

SHEET NO. 7 OF 35





580

LIMIT OF

SAND

LAYER

COVER PREVIOUSLY COMPLETED DREDGING

1. SAND COVER LAYER SHALL BE INSTALLED TO MINIMUM 18 INCH LAYER BETWEEN PROPOSED SHEET PILE WALL (INSTALLED BY OTHERS) AND EXISTING MARINE MATTRESS.

DMU 9 AND 10 COVER DETAIL NOTES:

- 580

SOURCE: Basemap from CH2MHill. Bathymetry from CH2MHill (2012), USACE (2013), Ocean Surveys, Inc. (2014) and Aquatic Sciences (2013, 2014) HORIZONTAL DATUM: New York State Plane West, NAD83, U.S. Feet.

10 20 SCALE IN FEET

D-24

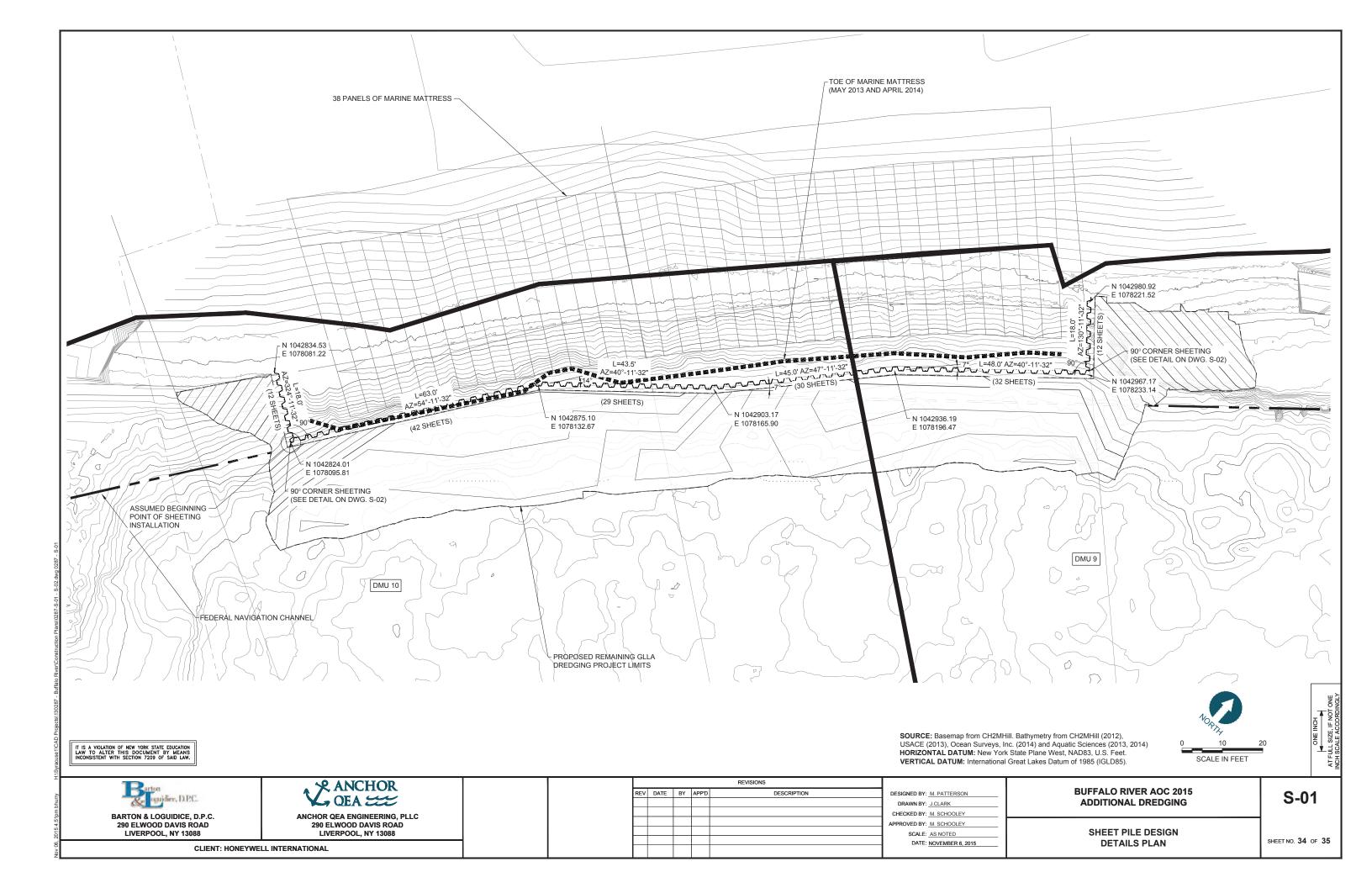
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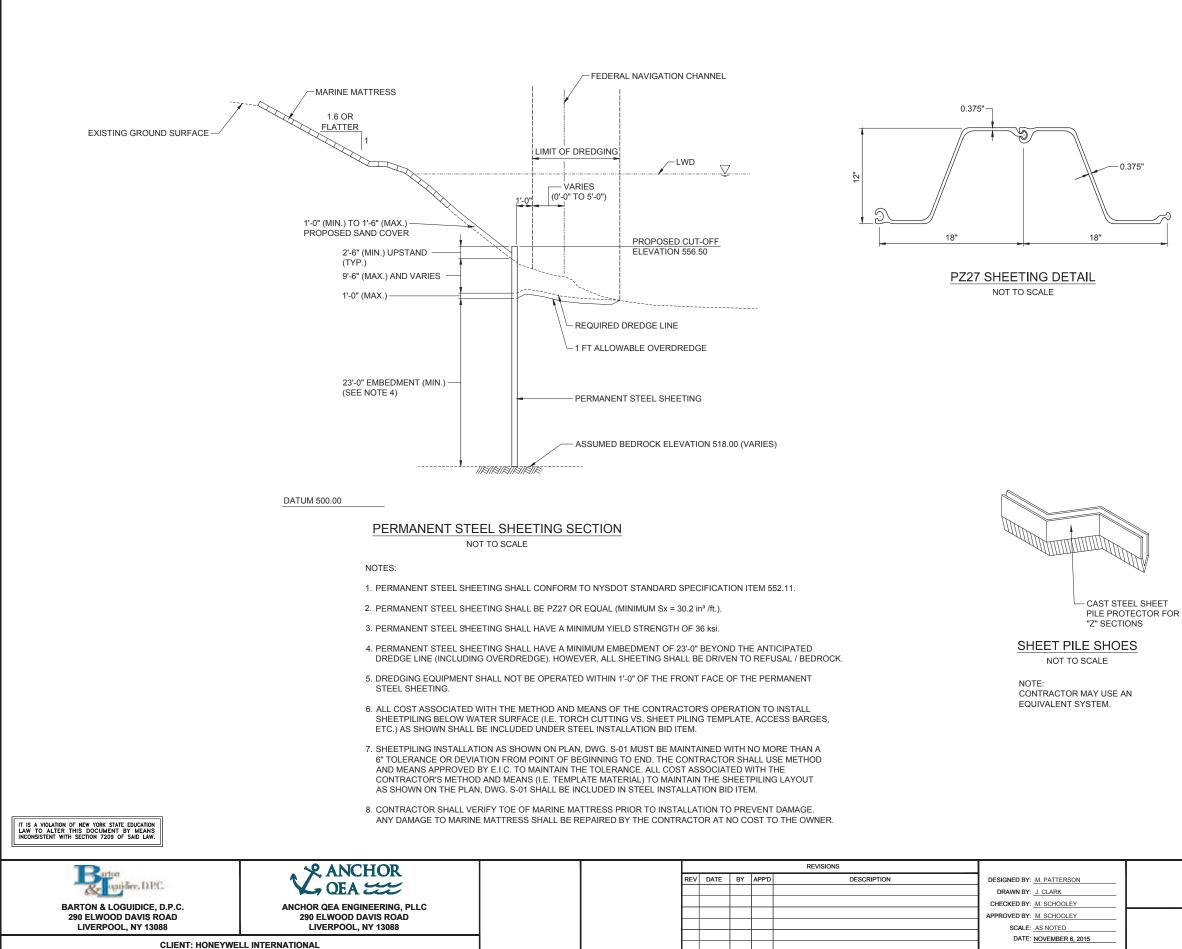
TYPICAL COVER SECTIONS

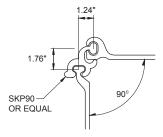
BUFFALO RIVER AOC 2015

ADDITIONAL DREDGING

SHEET NO. 33 OF 35







90° CORNER SHEETING DETAIL

NOT TO SCALE

NOTE CONTRACTOR MAY USE AN EQUIVALENT SYSTEM.

.**₩**₽ AT F

BUFFALO RIVER AOC 2015 ADDITIONAL DREDGING

> SHEET PILE DESIGN DETAILS

S-02

SHEET NO. 35 OF 35

Appendix B Slope Stability Analysis



MEMORANDUM

То:	William Hague, John Morris, and Richard Galloway, Honeywell International	Date:	September 30, 2013
From:	John Laplante, Mark Reemts, and Ram Mohan, Anchor QEA, LLC	Project:	110287-11.01
Re:	Updated Slope Stability Evaluation – Former Bu Proposed Remedial Dredging Scenarios Near Ard		

INTRODUCTION

This memorandum presents updated results of slope stability evaluations and recommendations in support of proposed remedial dredge designs adjacent to the former Buffalo Color Site. The area in question is located within the Buffalo River Area A at Dredge Management Units (DMUs) 9 and 10, adjacent to the shoreline slope and marine mattress. The objective of this memorandum is to evaluate various best management practices (BMPs) near the toe of the marine mattress area so that BMPs can be implemented in the field by the contractor to try to minimize potential slope stability issues during and after dredging.

Slope stability was previously evaluated at DMUs 9 and 10 to assess the draft remedial dredge design for this area. The results of the analyses and recommendations were provided in a memorandum on March 20, 2012 (Anchor QEA 2012). Since performing the initial evaluations in 2012, additional data have been provided to Anchor QEA for purposes of refining the slope stability model. The additional data included the following:

- Post-dredge surveys performed by the United States Army Corps of Engineers (USACE) following maintenance dredging in April 2013
- Data from three soil borings performed in July, 2013 by Nothnagle Drilling, Inc., along the shoreline within DMU 9 and DMU 10
- Diver-assisted surveys of the marine mattress toe performed in May 2013

Previous analysis indicated that the existing slope in this area has a factor of safety that is lower than target factors of safety provided in engineering design guidance documents. The previously proposed dredge design resulted in a lower factor of safety (near 1.0), indicating potential slope movement that could compromise the upland soil-bentonite slurry wall and/or result in loss of material into the river during or following dredging. Methods of backfill or shoring to reduce the impacts of the removal of dredged material are limited in the area due to the close proximity of the federal navigation channel, which ranges in distance from 2 to 3 feet to at most 15 feet away from the toe of the marine mattress. Efforts to stabilize the slope through added material would result in material placement within the navigation channel footprint and in some cases within the authorized depth; therefore, mitigation measures were considered by Anchor QEA, and several options were evaluated and presented as possible solutions.

Although the recently provided additional data have allowed for a more refined slope stability analyses, our conclusions regarding the shoreline stability during and after remedial dredging have not fundamentally changed, for the most part. Updates to the slope stability model, analytical results, and conclusions are discussed in the following sections.

UPDATES TO THE SLOPE STABILITY MODEL

Recent topographic and bathymetric surveys performed by Niagara Boundary and Mapping Services in April 2013 in support of shoreline restoration work planned along Area A were used to update the existing mulline adjacent to the marine mattress. Using available data, a cross section was developed through the marine mattress and shoreline slope at the steepest point along the shoreline, and where the proposed dredge limits have the closest approach to the marine mattress. The geology of this cross section was then modeled, and the stability at this location was evaluated using Rocscience SLIDE version 6.024 software. The location and extent of the cross section considered is shown on Figure 1.

A series of recent borings were performed by Nothnagle Drilling, Inc., for BIDCO Marine Group, Inc., to support the Buffalo Color shoreline restoration. Three of these borings adjacent and waterward of the marine mattress (i.e., BH-09, BH-10, and BH-11) were utilized, which allowed for a more accurate model of the clay thickness and depth to bedrock to be used in the updated stability analysis. Based on this new information, the clay layer is demonstrated to be thicker than was anticipated from previously available data.

Additionally, mapping of the marine mattress toe was performed in May 2013, which revealed that the overall footprint of the marine mattress extends much further into the river

than previously documented. The geologic model, therefore, was updated to include this recently provided as-built information from the diver-assisted survey of the marine mattress. The location of the borings and survey results of the marine mattress toe are shown on Figure 1.

Previous analyses assumed an extreme low-water event of 565 feet (IGLD 85). The river surface water elevation has been reassessed and assumed to be consistent with the Lake Erie low-water datum of 569.2 feet (IGLD 85).

ANALYSES AND RESULTS

The existing shoreline adjacent to DMUs 9 and 10 has a lower factor of safety than values recommended in engineering design guidance documents, regardless of whether future dredging is performed or not; however, the marine mattress slopes appear to be stable under existing conditions based on visual observations, as well as reports from on-site personnel. Removal action with dredging initiating at the marine mattress toe and extending vertically downward to the proposed dredge elevation is modeled to have a factor of safety of approximately 1.0. Although theoretically stable, the results do not guarantee that that the slope is safe from some deformations or distortion. The potential for slope movements are most prevalent and are theoretically most likely to occur if a vertical cut were to be made at the toe of the slope. Because of this low factor of safety, alternative dredge offsets and slopes adjacent to the marine mattress were evaluated using the updated slope stability model.

Note that offsets and slopes were selected based on similar approaches elsewhere in the remedial dredge prism design and typical stable slopes for soft sediments. Offsets included both 5-foot and 15-foot offsets from the toe of the mattress, and slopes included vertical cuts 1 horizontal to 1 vertical [1H:1V], 2H:1V, and 3H:1V. Current dredge prism design for the rest of the remedial project incorporates offsets of 5 feet from existing structures and natural shorelines. Existing design also incorporates 1H:1V slopes adjacent to natural shorelines as well as 2H:1V slopes adjacent to city-owned critical structures. In addition, 3H:1V slopes were incorporated as typical soft sediment stable slopes for evaluation during design. Evaluated scenarios for alternative dredge prisms and associated factor of safety are presented in Table 1.

Table 1
Slope Stability Modeling Results

Scenario	Removal Action	Estimated Factor of Safety
Existing	Existing Condition (Post-USACE Maintenance Dredging)	1.15
Baseline	Vertical Slope Cut at Marine Mattress Toe to Proposed Dredge Prism	1.06
Alternative 1	Vertical Slope Cut with 5-foot Offset from Marine Mattress Toe	1.07
Alternative 2	Vertical Slope Cut with 15-foot Offset from Marine Mattress Toe	1.09
Alternative 3	1H:1V Slope with 5-foot Offset from Marine Mattress Toe	1.09
Alternative 4	2H:1V Slope with 5-foot Offset from Marine Mattress Toe	1.10
Alternative 5	3H:1V Slope with 5-foot Offset from Marine Mattress Toe	1.13

Note:

H:V = ratio of horizontal distance (H) to vertical distance (V) along a slope

The results presented above demonstrate that all of the dredge scenarios considered result in a factor of safety that is less than a typical design target value of 1.3 to 1.5. The potential failure planes modeled represent deep-seated, global slope movement. In addition to these deep-seated surfaces, shallower failures that daylight below the marine mattress toe but above the initiation of remedial dredging are also possible.

The factor of safety is slightly higher when horizontal offsets and flatter cut slopes are used. For a 5-foot horizontal offset from the toe of the marine mattress, and using a dredge cut slope of 3H:1V, the resulting factor of safety is similar to that of the existing slope, indicating that the dredging action for this alternative only has a minor influence on the overall slope stability when compared to existing conditions.

CONCLUSIONS

Stability of the shoreline for a post-dredge scenario utilizing a vertical cut is predicted to be at risk of slope movements along the marine mattress area, and to potentially affect the soilbentonite slurry wall. Slightly higher factors of safety of the slope are predicted when 2H:1V and 3H:1V sloped cuts are utilized with a 5-foot offset; however, these factors of safety are still well below engineering design guidance targets. None of the modeled scenarios achieve a factor of safety of 1.3 or higher, which is normally recommended by engineering design guidance documents. Analyses indicate that a dredge prism utilizing a vertical slope cut initiating at the marine mattress toe has the highest potential for slope movement. An alternate dredge design using a 5-foot offset and a 3H:1V slope would result in a factor of safety that is similar to that of the existing slope, indicating that the dredging action for this alternative has only a minor influence on the overall slope stability when compared to existing conditions.

One BMP for marginally stable conditions is to conduct dredging in small areas at the toe of the slope, sequentially cutting "slots" along the toe and backfilling before cutting an adjacent slot. Sequencing the dredging in such a manner (the slot-cut approach) would allow for a more controlled dredging process and would provide the opportunity for adaptive management during construction whereby the length of the slot could be decreased if unstable conditions occurred. The tradeoff of the slot-cut approach is that when cutting the adjacent slot, equipment tolerance would dictate that some of the clean backfill material would also need to be removed so that full excavation of the target material could be ensured. In addition, placement of backfill to improve slope stability in this area would necessitate receiving USACE approval to add fill adjacent to, and in some cases, within the federal navigation channel footprint. Although the slot-cut approach could potentially reduce the risk of slope movements, the post-dredge factor of safety under that approach would also be similar to that of the existing condition.

Note that as part of the design phase evaluation of critical structures, the property owner, South Buffalo Development, LLC, has signed a liability waiver releasing Honeywell, U.S. Environmental Protection Agency, USACE, and their agents/consultants from future liability related to slope stability issues that could potentially result from dredging activities. Based on the limited options available, it appears that the 5-foot toe offset and 3H:1V dredge prism slope, with close monitoring to track slope movement, is the BMP most suited to this area of the river.

REFERENCES

- Anchor QEA. "Slope Stability Evaluation: Proposed Dredge Scenarios for Area A and Area D." Technical Memorandum, December, 2011.
- Anchor QEA. "Updated Slope Stability Evaluation: Proposed Dredging Scenario Area A Marine Mattress." Technical Memorandum, March, 2012.

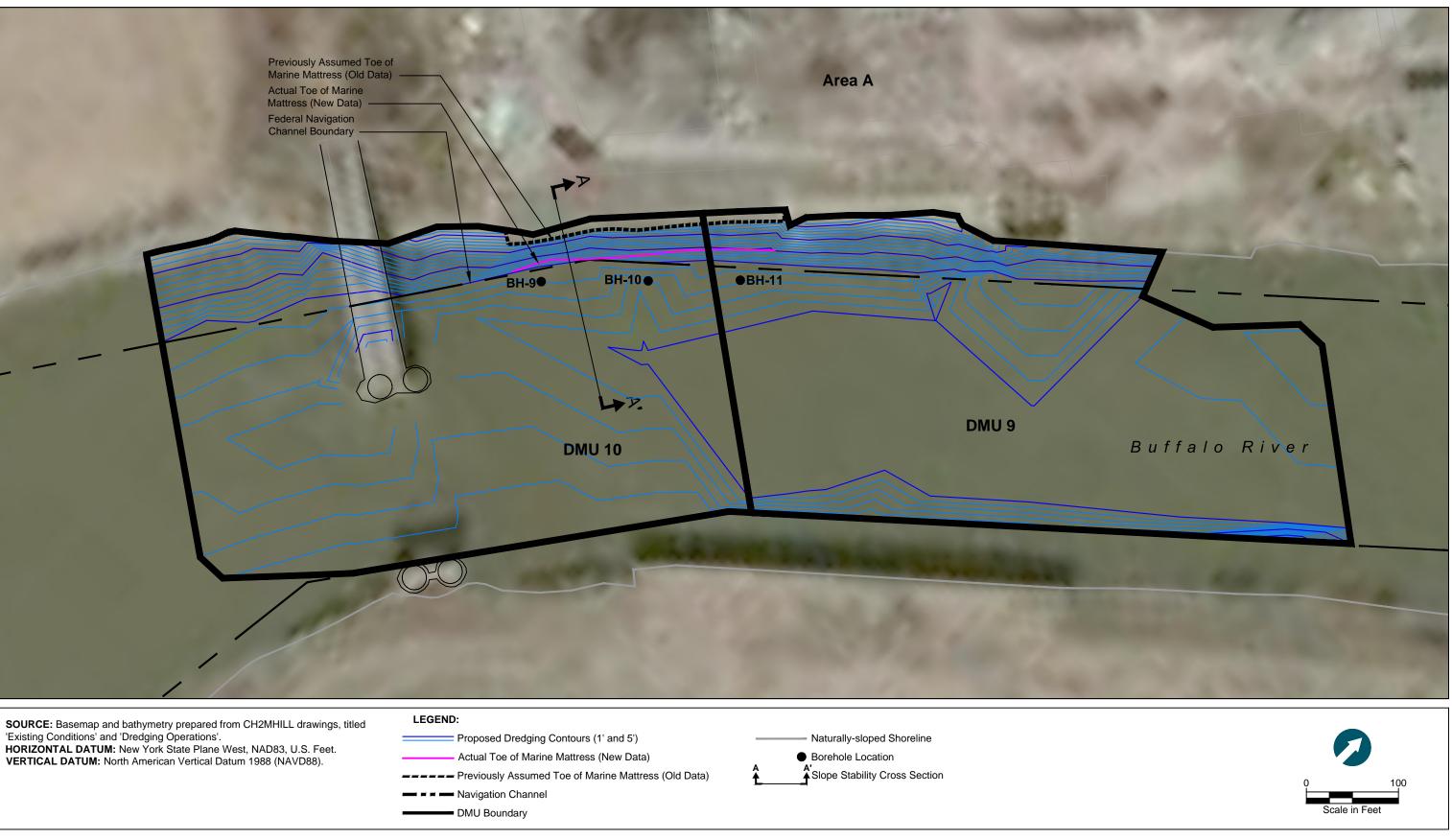




Figure 1 Marine Mattress Overview Updated Slope Stability Evaluation Former Buffalo Color Site

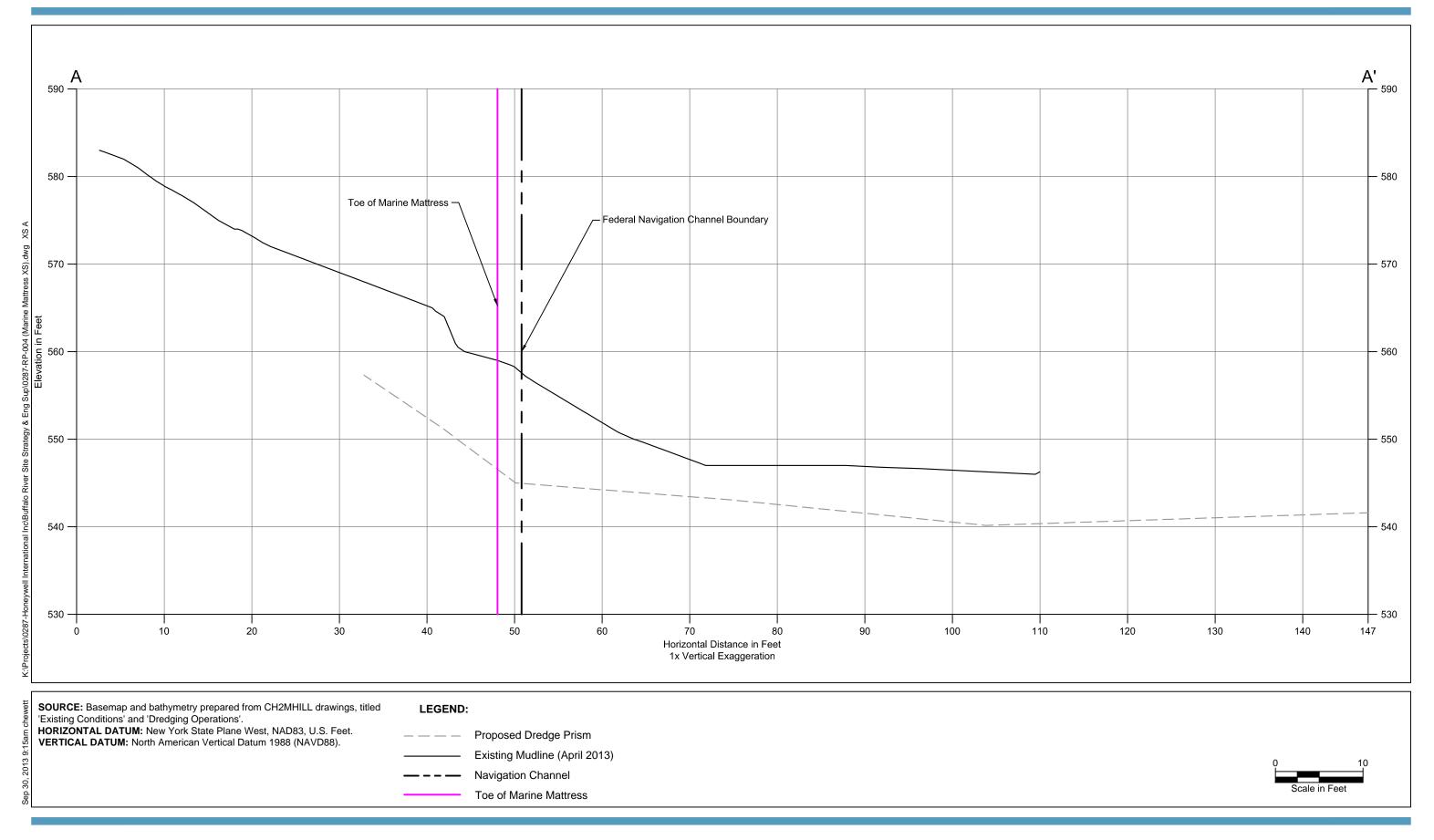




Figure 2

Marine Mattress Cross Section A-A' Updated Slope Stability Evaluation Former Buffalo Color Site



MEMORANDUM

То:	William Hague, John Morris, and Richard Galloway, Honeywell	Date:	October 30, 2013
From:	John Laplante and Zac Koehn, Anchor QEA	Project:	110287-11.01
Cc:	Ram Mohan and Mark Reemts, Anchor QEA		
Re:	Clarification of Anchor QEA Slope Stability Evalu	uation	
	Former Buffalo Color Site		
	Buffalo River Dredging Project		

This memorandum was prepared to clarify the conclusions presented in our September 30, 2013 memoranda regarding slope stability evaluations performed to evaluate effects of dredging adjacent to the Former Buffalo Color Site as part of the Buffalo River Remediation project. Through a recent Buffalo River Management call, Anchor QEA became aware that on October 18, 2013, NYSDEC contacted the property owner along DMU's 9 and 10, South Buffalo Development (SBD). We understand that NYSDEC expressed concern to SBD over the long term stability of the existing marine mattress on a portion of SBD's shoreline based on NYSDEC's interpretation of Anchor QEA's September 30th Buffalo River Dredging analysis.

INTERPRETATION OF OUR PREVIOUS EVALUATIONS

Anchor QEA's work was, and continues to be, in support of the Buffalo River Cleanup and Restoration project, and it should be noted that the soil strength data used in the September 30, 2013 analysis was conservative in its approach with regard to calculating a Factor of Safety. It seems like the misinterpretation of our work has resulted in incorrect conclusions about the site by NYSDEC. Specifically, our work *has not* shown the existing slopes to be unstable in the area of DMU 9 and DMU 10 at the former Buffalo Color site. As discussed in our previous memoranda, there have been no observations or visual indications of slope instability in the marine mattress adjacent to DMU 9 or DMU 10. Furthermore, our work was conducted solely to evaluate the short- and long-term effects of potential dredging at the toe of the slope. Specifically, we did not conduct slope stability evaluations for upland development scenarios at the former Buffalo Color site. It is a mischaracterization to use our previous slope stability evaluations beyond the specific intent for which they were conducted.

Our memoranda included references to target factors of safety. Anchor QEA's reference to the target factor of safety guideline of 1.3 to 1.5 should have noted that these values are a frame of reference that is typically associated with *new construction,* and not to existing slopes. In our experience, it is common for shoreline slopes to have existing factors of safety that are below the target factors of safety that we provided in our memoranda, and still be stable.

GLOBAL STABILITY SENSITIVITY EVALUATION

Based on our previous stability evaluations, the global stability of the slope is controlled by the subsurface native clay unit that was encountered in borings around the site. The in situ shear strength of the native clay unit was measured using the vane shear test (VST) at 16 locations, including 3 tests conducted within explorations near the mattress. Our slope stability model assumed that the shear strength of the clay could be conservatively assumed as the average of the 3 VST measurements made in the borings near the marine mattress, and corrected for clay plasticity in accordance with standard geotechnical engineering practices.

There are many ways to evaluate the shear strength of a geologic unit, particularly when multiple in situ measurements have been made in that unit. The shear strength of soil is dependent on many factors, including the "stress history" of the soil, the current (i.e. in situ) stresses acting on the soil, and the rate of shearing if the soil were to be loaded beyond its peak strength. Because soil can behave both elastically (soil will rebound after loading) and plastically (soil deformations are permanent after loading), there can be many interpretations of the appropriate strength to use when modeling a soil unit. Common methods of evaluating soil test data are to consider mean values, median values, lower-bound values and upper-bound values. Other factors such as data density, variability in test results, and the potential consequence of failure are also important to consider. In all cases, engineering judgment is required to select an appropriate soil strength assumption for a given situation.

To evaluate the sensitivity of our model for a variety of strength assumptions, we considered a subset of test results for soils located near the marine mattress, as well as the full suite of test results from the clay unit across the former Buffalo Color site. Table 1 summarizes our original assumptions and factor of safety (i.e. the "baseline" case), which relied on the subset of data closest to the marine mattress, as well as the alternate methods of modeling the native clay strength considering the full suite of test results, and the resulting factors of safety for these cases.

Case	Basis of Strength Assumption	Assumed Native Clay Undrained Shear Strength (psf)	Existing Slope Factor of Safety
Baseline	Average of 3 VST tests conducted along the shoreline near DMU 9 and DMU 10, corrected for plasticity ¹	noreline near DMU 9 and DMU 10, 680	
Lower 1-third Strength All Data	Strength modeled using the 33rd percentile of all VST results	750	1.26
Mean Strength Shoreline Data	Strength modeled using average of all shoreline boring VST results	850	1.41
Median Strength All Data	Strength modeled using the mid-point of all VST results	875	1.44
Mean Strength All Data	Strength modeled using average of all VST results	950	1.55
Upper 1-third Strength All Data	Strength modeled using the 67th percentile of all VST results	1025	1.66

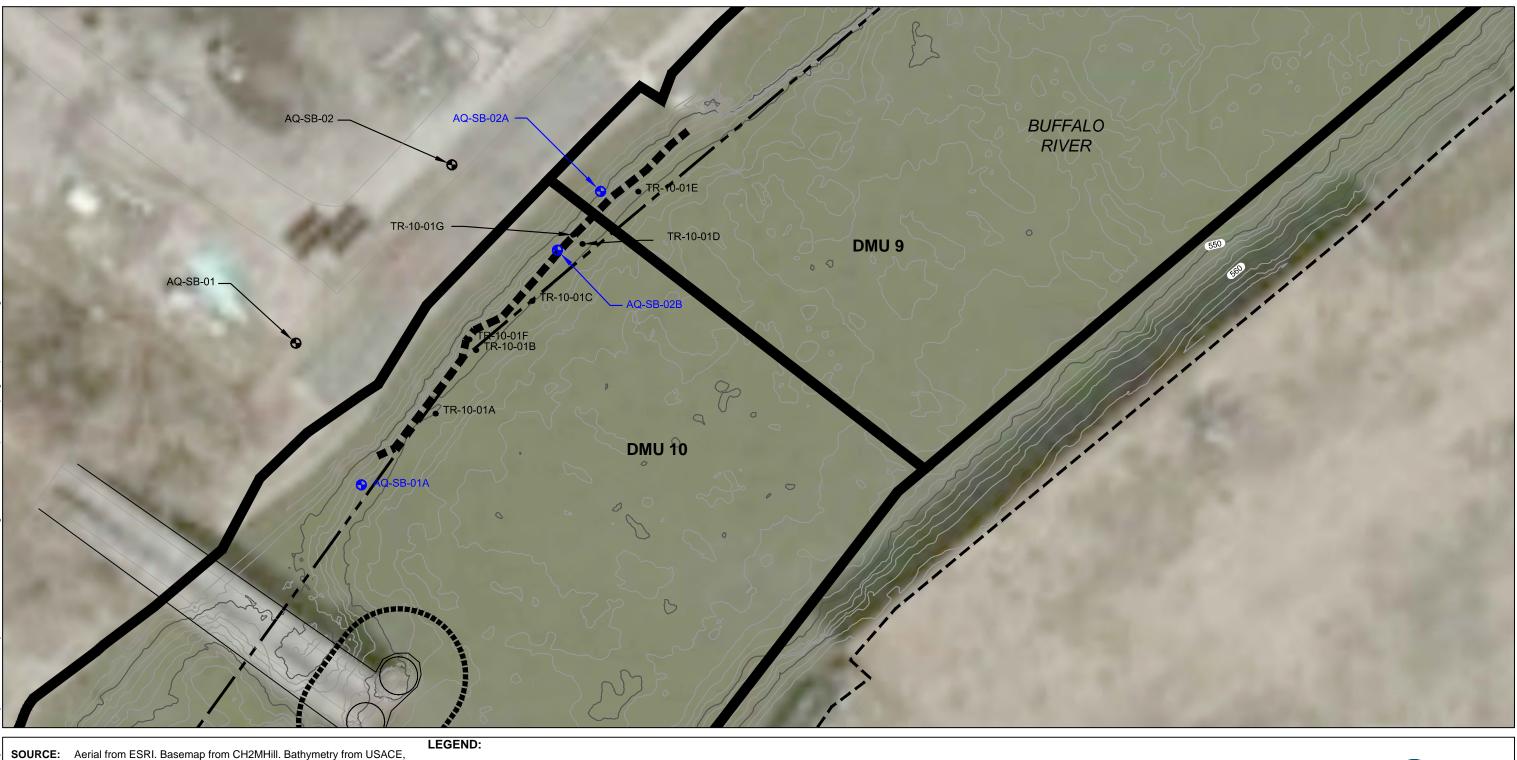
Table 1 Sensitivity Evaluation of Native Clay Strength

Notes

1. VST correction factor for plasticity = 0.95, based on Bjerrum (1973), "*Problems of Soil Mechanics and Construction on Soft Clays and Structurally Unstable Soils*", Proceedings, 8th International Conference on Soil Mechanics and Foundation Engineering, Springer, New York.

As presented in the above table, depending on the specific strength assumption employed, higher factors of safety are obtained. These factors of safety range confirm the physical observations along the Marine Mattress areas of the former Buffalo Color site, which does indicate a stable slope. However, for the purposes of evaluation of effects of any future activities that might influence the riverside toe of the slope, it is characteristic to use a conservative, lower end strength assumption, to account for construction variations that might occur during implementation of an in-water project. Therefore, for the purposes of evaluating the effects of dredging near the toe of the structure, the more conservative strength assumptions should be employed.

We appreciate the opportunity to provide this clarification to our earlier memorandum. Please contact us if you have any questions or require further clarification. Appendix C Soil Boring Logs and Geotechnical Laboratory Data



- LEGEND:
- ----- Navigation Channel
- DMU Limits
- --- Shoreline
- = Existing Contours, 2' and 10' ____
- Boundary of No Dredge Area _____
 - Toe of Marine Mattress (May 2013 and April 2014)
 - Actual Sediment Probing Location ٠
 - 0 Actual Upland Boring Location
 - Actual Over-water Boring Location



dated September-December 2014. HORIZONTAL DATUM: New York State Plane West, NAD83, U.S. Feet.

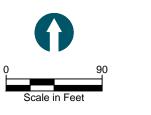


Figure 1 Subsurface Exploration Locations - DMUs 9 and 10 **Buffalo River AOC**

Soil Boring Log

Sheet 1 of 2 AQ-SB-01 Project: Buffalo River AOC Location: Buffalo, NY Method: Wash Rotary Project #: E50287-03.01 N/LAT: 1078061.599 E/LONG: 1042878.313 Total Depth (ft): 67.0 Client: Honeywell International Horiz. Datum: New York State Plane West, NAD1983, US Survey Feet Observed GW (bgs): N/A Collection Date: 5/19/15-5/20/15 Vert. Datum: IGLD 85 Ground Surface Elevation (ft): 584.5 Sampler(s): 2 inch O.D. Split - Spoon Hammer: Auto Hammer - 140lbs w/ 30-inch drop Contractor: Atlantic Testing Laboratories 3 inch O.D. Shelby Tube (Piston Sampler) Logged By: Chad Robinson Hammer Efficiency: NA Values Greater than 1 50 **Uncorrected Standard** £ £ Samples Penetration Resistance **Soil Description** Test Elevation Depth ((blows per foot) and Samples and descriptions are in recovered depths. Lab Water Content (%) Classification scheme: USCS 0 10 20 30 40 50 0 S-1 TOPSOIL: Very stiff, dry, light brown, sandy clayey TOPSOIL (approx. 2 inches). S-2 4 FILL (SM): Loose, damp, reddish dark brown to black, silty, fine to 580 S-3 medium SAND (FILL), some gravel, occasional brick, concrete, and glass fragments, no odor. S-4 S-5 - 575 - 10 S-6 Becomes medium dense, moist, with very slight hydrocarbon odor S-7 @12.0ft. ۸ 570 15 S-8 565 20 Becomes wet. S-9 560 25 S-10 555 30 Silty CLAY (CL): Very soft to medium stiff, moist, brownish gray, silty MC, SG, AL, ST-11 CLAY, trace fine sand, medium plasticity, no odor. υυτχ 550 35 S-12 W.O.H. Vane Shear Test @35ft. Peak - 1328 psf, Remold - 516 psf. MC • W.O.R. MC S-13 545 40 MC S-14 • 540 MC, SG, AL, ST-15 CUTX

Vane Shear Test @47ft. Peak - 1224 psf, Remold - 664 psf.

ANCHOR OEA tot

720 Olive Way, Suite 1900 Seattle, WA 98101

S-16

206-287-9130

50

▲ SPT N-Value

W.O.H.

Water Content (%) •

Notes: 1. Soil descriptions and stratum lines are interpretive and actual conditions may vary. 2. Groundwater level was observed at the time and date specified.

3. W.O.H. = Weight of Hammer; W.O.R. = Weight of Rods; GS = Grain Size Analysis; AL = Atterberg Limits; SG = Specific Gravity; CUTX = Consolidated-Undrained Tri-Axial.

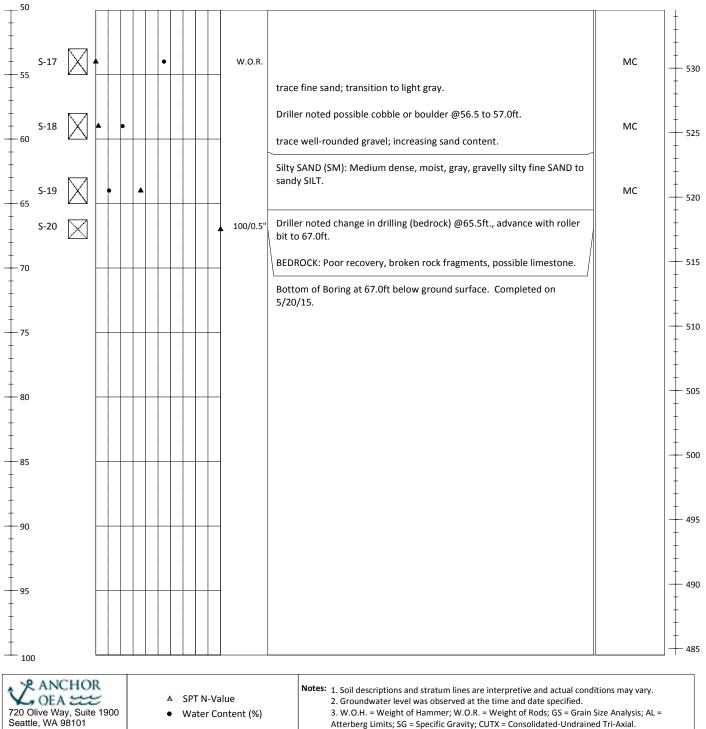
MC

535

Soil Boring Log AQ-SB-01

Sheet 2 of 2

Project	t: Buffalo Rive	er AOC	Location:	Buffalo, NY	Method: Wash Rot	ary	
Project #: E50287-03.01		N/LAT: 1	078061.599 E/LONG: 1042878.313	Total Depth (ft): 67	.0		
Client: Honeywell International			Horiz. Datum: New York State Plane West, NAD1983, US Survey Feet		Observed GW (bgs): N/A		
Collection Date: 5/19/15-5/20/15		Vert. Datum: IGLD 85		Ground Surface Elevation (ft): 584.5			
Contractor: Atlantic Testing Laboratories		Sampler(s): 2 inch O.D. Split - Spoon		Hammer: Auto Hammer - 140lbs w/ 30-inch drop			
Logged	By: Chad	Robinson	3 inch O.D. Shelby Tube (Piston Sampler)		Hammer Efficiency: NA		
Depth (ft)	Samples	Uncorrected Standard Penetration Resistance (blows per foot) and Water Content (%) 0 10 20 30 40 5	5 Values Greater than 50	Soil Description Samples and descriptions are in recovered Classification scheme: USCS	depths.	Lab Test	Elevation (ft)



•

206-287-9130

^{3.} W.O.H. = Weight of Hammer; W.O.R. = Weight of Rods; GS = Grain Size Analysis; AL = Atterberg Limits; SG = Specific Gravity; CUTX = Consolidated-Undrained Tri-Axial.

Soil Boring Log

Sheet 1 of 1

AQ-SB-01A Project: Buffalo River AOC Location: Buffalo, NY Method: Wash Rotary Project #: E50287-03.01 N/LAT: 1078088.876 E/LONG: 1042819.286 Total Depth (ft): 36.4 Client: Honeywell International Horiz. Datum: New York State Plane West, NAD1983, US Survey Feet Water Depth (ATD): 16.3ft. Collection Date: 5/27/15 Vert. Datum: IGLD 85 Ground Surface Elevation (ft): 555.3 Sampler(s): 2 inch O.D. Split - Spoon Hammer: Auto Hammer - 140lbs w/30-inch drop Contractor: Atlantic Testing Laboratories 3 inch O.D. Shelby Tube (Piston Sampler) Logged By: Zac Koehn Hammer Efficiency: NA Uncorrected Standard Values Greater than 50 ŧ Penetration Resistance **Soil Description** Test Depth (ft) Samples Elevation (blows per foot) and Water Content (%) Samples and descriptions are in recovered depths. Lab Classification scheme: USCS 50 0 10 20 30 40 0 555 SEDIMENT: Very soft sediment (not sampled, but measured by probing). MC,SG,AL, Silty CLAY (CH): Soft, moist, gray-brown, silty CLAY, medium to high ST-01 51.2% UUTX. 550 plasticity. Consol Vane Shear Test @8.5ft. Peak - 491 psf, Remold - 38 psf. - 10 545 540 SS-01 X MC . W.O.H 535 ST-02 Vane Shear Test @23.5ft. Peak - 453 psf, Remold - 264 psf. 25 530 Sandy Silty CLAY (CL): Medium stiff to stiff, moist, gray to gray-brown sandy silty CLAY (Till). 525 SS-02 . MC 35 Silty SAND (SM): Dense, moist, gray to olive gray, medium silty SAND 520 SS-03 • MC with angular coarse sand and gravel, low plasticity fines. 50/5" BEDROCK: Poor recovery, weathered bedrock. 40 Bottom of Boring at 36.4ft below mudline. Completed on 5/27/15. 515 45 - 510 50 ANCHOR Notes: 1. Soil descriptions and stratum lines are interpretive and actual conditions may vary. SPT N-Value Groundwater level was observed at the time and date specified.
 W.O.H. = Weight of Hammer; W.O.R. = Weight of Rods; GS = Grain Size Analysis; AL = Atterberg Limits; SG = Specific Gravity; CUTX = Consolidated-Undrained Tri-Axial. OEA tot ۸ 720 Olive Way, Suite 1900 Seattle, WA 98101 Water Content (%) •

206-287-9130

Soil Boring Log AQ-SB-02

Sheet 1 of 2

Project: Buffalo River AOC Location: Buffalo, NY Method: Wash Rotary Project #: E50287-03.01 N/LAT: 1078126.613 E/LONG: 1042952.62 Total Depth (ft): 66.0 Client: Honeywell International Horiz. Datum: New York State Plane West, NAD1983, US Survey Feet Observed GW (bgs): N/A Collection Date: 5/20/15 - 5/21/15 Vert. Datum: IGLD 85 Ground Surface Elevation (ft): 584.9 Sampler(s): 2 inch O.D. Split - Spoon Hammer: Auto Hammer - 140lbs w/ 30-inch drop Contractor: Atlantic Testing Laboratories 3 inch O.D. Shelby Tube (Piston Sampler) Logged By: Chad Robinson Hammer Efficiency: NA Values Greater than **Uncorrected Standard** £ £ Samples Penetration Resistance **Soil Description** Test Elevation Depth ((blows per foot) and Samples and descriptions are in recovered depths. Lab Water Content (%) Classification scheme: USCS 0 10 20 30 40 50 S-1 TOPSOIL: Stiff, dry, light brown, silty clayey TOPSOIL (approx. 2-inches). S-2 Silty Sand (SM): Loose to medium dense, dry, dark brown to reddish brown, silty fine to medium SAND, occasional brick, concrete, and glass S-3 580 fragments, no odor (FILL). S-4 S-5 SAND/GRAVEL (SP/GC): Loose, dry to moist, reddish brown, 575 - 10 interbedded medium SAND and clayey GRAVEL (FILL). Х S-6 - 570 15 Silty SAND (SM): Medium dense, wet, black, silty gravelly, fine to S-7 medium SAND, cemented black pieces, no odor (FILL). 565 20 S-8 25 560 S-9 30 555 Driller notes wash water return turns to dark gray @31.0ft. Silty CLAY (CL): Very soft, moist, brownish gray, silty CLAY, medium W.O.R. MC S-10 plasticity. 35 550 W.O.H MC S-11 40 Mottled gray and brown from 39.0 to 40.0ft. 545 MC, SG, AL, ST-12 υυτχ Vane Shear Test @43.0ft. Peak - 604 psf, Remold - 302 psf. W.O.R. MC S-13 45 540 W.O.H. MC 535 ANCHOR Notes: 1. Soil descriptions and stratum lines are interpretive and actual conditions may vary. OEA tot ▲ SPT N-Value 2. Groundwater level was observed at the time and date specified. 720 Olive Way, Suite 1900 Seattle, WA 98101 Water Content (%)

206-287-9130

3. W.O.H. = Weight of Hammer; W.O.R. = Weight of Rods; GS = Grain Size Analysis; AL = Atterberg Limits; SG = Specific Gravity; CUTX = Consolidated-Undrained Tri-Axial.

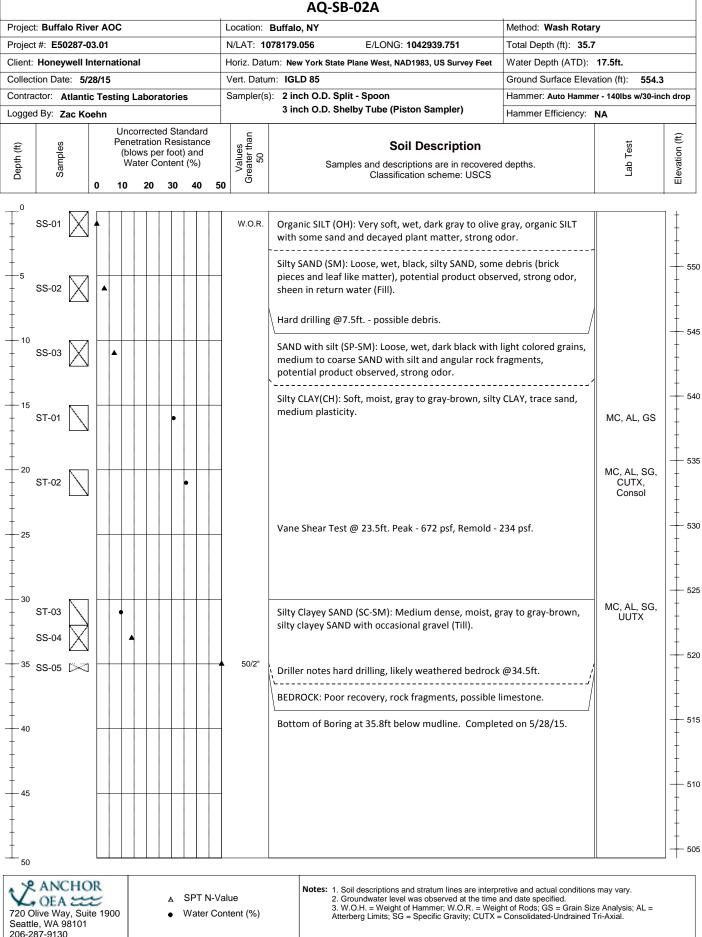
Soil Boring Log

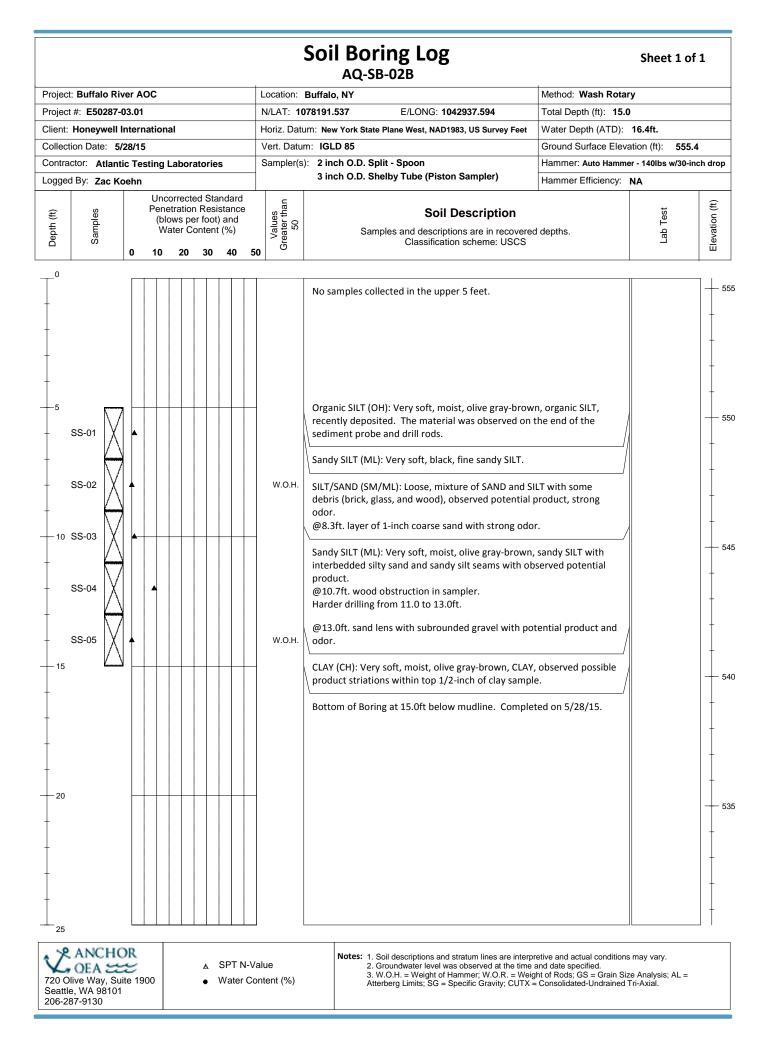
Sheet 2 of 2

Project: Buffalo River AOC Location: Buffalo, NY Method: Wash Rotary Project #: E50287-03.01 N/LAT: 1078126.613 E/LONG: 1042952.62 Total Depth (ft): 66.0 Client: Honeywell International Horiz. Datum: New York State Plane West, NAD1983, US Survey Feet Observed GW (bgs): N/A Collection Date: 5/20/15 - 5/21/15 Vert. Datum: IGLD 85 Ground Surface Elevation (ft): 584.9 Sampler(s): 2 inch O.D. Split - Spoon Hammer: Auto Hammer - 140lbs w/ 30-inch drop Contractor: Atlantic Testing Laboratories 3 inch O.D. Shelby Tube (Piston Sampler) Logged By: Chad Robinson Hammer Efficiency: NA Values Greater than ¹ 50 **Uncorrected Standard** Elevation (ft) Penetration Resistance Depth (ft) Samples **Soil Description** Test (blows per foot) and Samples and descriptions are in recovered depths. Lab Water Content (%) Classification scheme: USCS 0 10 20 30 40 50 535 ST-15 Silty CLAY (CL): Very soft, moist, brownish gray, silty CLAY, medium plasticity. W.O.R. S-16 Vane Shear Test @53.0ft. Peak - 944 psf, Remold - 310 psf. MC transitions to brown, silty CLAY with occasional gray silt lens and silt 530 55 partings. W.O.R. MC S-17 525 - 60 Silty Sandy CLAY (CL): Stiff, moist, gray, silty sandy CLAY, some fine semi angular gravel (Till). S-18 • MC 65 520 100/6" S-19 Driller noted change in drilling (bedrock), advance with roller bit to 66.0ft. BEDROCK: Poor recovery, broken rock fragments, possible limestone. 515 70 Bottom of Boring at 66.0ft below ground surface. Completed on 5/21/15. 510 75 80 505 500 85 495 - 90 95 490 485 100 ANCHOR Notes: 1. Soil descriptions and stratum lines are interpretive and actual conditions may vary. OEA tot ▲ SPT N-Value 2. Groundwater level was observed at the time and date specified. 720 Olive Way, Suite 1900 Seattle, WA 98101 3. W.O.H. = Weight of Hammer; W.O.R. = Weight of Rods; GS = Grain Size Analysis; AL = Water Content (%) Atterberg Limits; SG = Specific Gravity; CUTX = Consolidated-Undrained Tri-Axial. 206-287-9130



Sheet 1 of 1







Client:	Anchor QEA, LLC				
Project:	Buffalo River				
Location:	Buffalo, NY			Project No:	GTX-303367
Boring ID:		Sample Type:		Tested By:	jbr
Sample ID:		Test Date:	07/22/15	Checked By:	emm
Depth :		Test Id:	338338		

Boring ID	Sample ID	Depth	Description	Moisture Content,%
AQ-SB-01	ST- 11		Moist, grayish brown clay	43.8
AQ-SB-01	S- 12		Moist, brown clay	42.2
AQ-SB-01	S- 13		Moist, brown clay	41.3
AQ-SB-01	S- 14		Moist, brown clay	32.9
AQ-SB-01	ST- 15		Moist, dark reddish gray clay	31.2
AQ-SB-01	S- 16		Moist, brown clay	24.5
AQ-SB-01	S- 17		Moist, brown clay	27.3
AQ-SB-01	S- 18		Moist, brown clayey sand	10.7
AQ-SB-01	S- 19		Moist, reddish yellow clayey gravel	5.3



Boring ID	Sample ID	Depth	Description	Moisture Content,%
AQ-SB-01A	ST- 01		Moist, reddish brown clay	51.2
AQ-SB-01A	SS- 01	Moist, brown clay		32.9
AQ-SB-01A	SS- 02		Moist, grayish brown sandy silt	11.3
AQ-SB-01A	SS- 03		Moist, grayish brown silt with gravel	7.8

Notes: Temperature of Drying : $110^{\rm o}$ Celsius



Client:	Anchor QEA, LLC				
Project:	Buffalo River				
Location:	Buffalo, NY			Project No:	GTX-303367
Boring ID:		Sample Type:		Tested By:	jbr
Sample ID:		Test Date:	07/15/15	Checked By:	emm
Depth :		Test Id:	338346		

Boring ID	Sample ID	Depth	Description	Moisture Content,%
AQ-SB-02	S- 10		Moist, brown silt	40.0
AQ-SB-02	S- 11		Moist, brown clay	34.4
AQ-SB-02	ST- 12		Moist, brown clay	29.6
AQ-SB-02	S- 13		Moist, brown silt	36.8
AQ-SB-02	S- 14		Moist, brown clay	32.6
AQ-SB-02	S- 16		Moist, brown silty clay	35.3
AQ-SB-02	S- 17		Moist, brown clay	20.6
AQ-SB-02	S- 18		Moist, grayish brown clay with sand	8.1

Notes: Temperature of Drying : 110° Celsius



Boring ID	Sample ID	Depth	Description	Moisture Content,%
AQ-SB-02A	ST- 01		Moist, brown clay	
AQ-SB-02A	ST- 02		Moist, brown clay	35.8
AQ-SB-02A	ST- 03		Moist, grayish brown silty, clayey sand with gravel	9.7
AQ-SB-04	SS- 02		Moist, brown clay	32.0
AQ-SB-04	SS- 03		Moist, light olive brown silty clay	11.5
AQ-SB-04	SS- 04		Moist, brown silt	12.1

Notes: Temperature of Drying : $110^{\rm o}$ Celsius



Amount of Material Passing #200 Sieve - ASTM D1140

Boring ID	Sample ID	Depth	Visual Description	Fines, %
AQ-SB-01A	SS-02		Moist, grayish brown sandy silt	56.0
AQ-SB-02A	ST-03		Moist, grayish brown silty, clayey sand with gravel	49.2
AQ-SB-07	ST-02		Moist, reddish gray clay	99.0
AQ-SB-07	SS-04		Moist, brown sandy clay	50.6
AQ-SB-10	SS-05		Moist, brown sandy silt	58.9

Notes: Tests performed using Method ${\sf B}$ - washing using a wetting agent

Dry mass of test specimen was determined directly



	Client:	Anchor QEA, LLC				
	Project:	Buffalo River				
í.	Location:	Buffalo, NY			Project No:	GTX-303367
	Boring ID:		Sample Type:		Tested By:	jbr
	Sample ID:		Test Date:	07/16/15	Checked By:	emm
	Depth :		Test Id:	338142		

Specific Gravity of Soils by ASTM D854

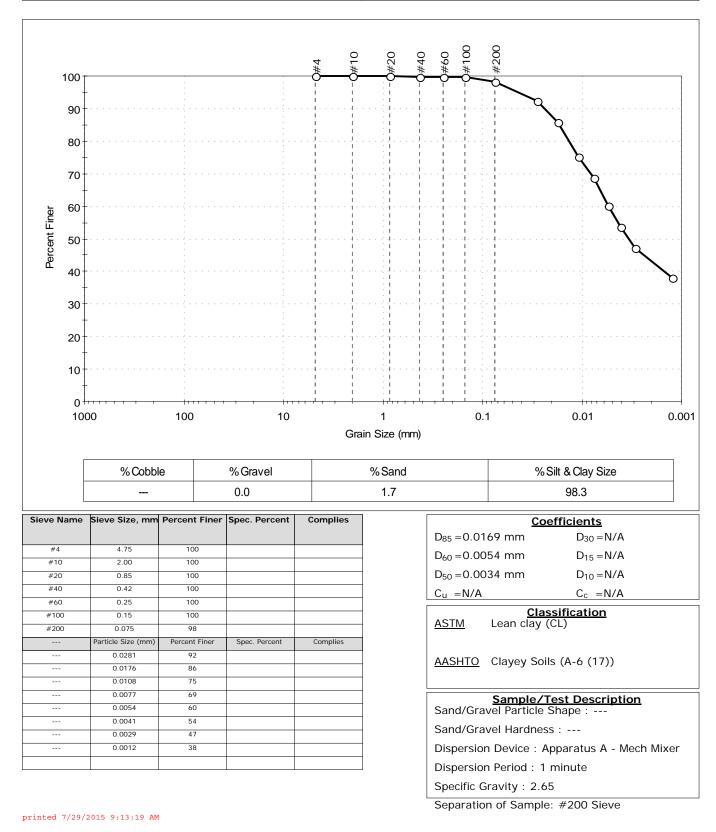
Boring ID	Sample I D	Depth	Visual Description	Specific Gravity	Comment
AQ-SB-01	ST-11		Moist, grayish brown clay	2.72	
AQ-SB-01	ST-15		Moist, dark reddish gray clay	2.72	
AQ-SB-01A	ST-01		Moist, reddish brown clay	2.73	
AQ-SB-02	ST-12		Moist, brown clay	2.69	
AQ-SB-02A	ST-02		Moist, brown clay	2.72	
AQ-SB-02A	ST-03		Moist, grayish brown silty, clayey sand with gravel	2.73	
AQ-SB-07	ST-01		Moist, dark olive gray clay	2.75	
AQ-SB-07	ST-02		Moist, reddish gray clay	Moist, reddish gray clay 2.75	
AQ-SB-08	SS-03		Moist, very dark gray silt 2.59		
AQ-SB-08A	ST-02		Wet, dark gray clay 2.61		

Notes: Specific Gravity performed by using method A (moist specimens) of ASTM D854 Moisture Content determined by ASTM D2216.



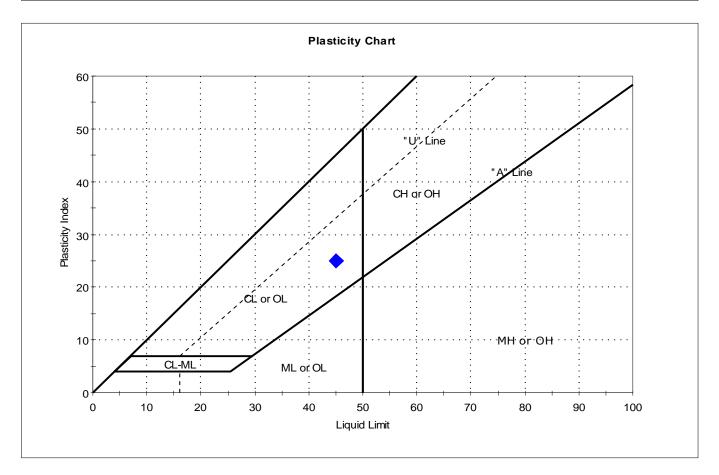
Client:	Anchor Q	EA, LLC				
Project:	Buffalo Ri	ver				
Location:	Buffalo, N	Y			Project No:	GTX-303367
Boring ID:	AQ-SB- 0	2A	Sample Type:	tube	Tested By:	jbr
Sample ID:	ST-01		Test Date:	07/13/15	Checked By:	emm
Depth :			Test Id:	338136		
Test Comm	ent:					
Visual Desc	ription:	Moist, brown	clay			
Sample Cor	mment:					

Particle Size Analysis - ASTM D422





Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	'er				
Location:	Buffalo, N	(Project No:	GTX-303367
Boring ID:	AQ-SB- 01		Sample Type:	tube	Tested By:	cam
Sample ID:	ST-11		Test Date:	07/22/15	Checked By:	emm
Depth :			Test Id:	338327		
Test Comm	ent:					
Visual Desc	cription:	Moist, grayish	brown clay			
Sample Cor	mment:					

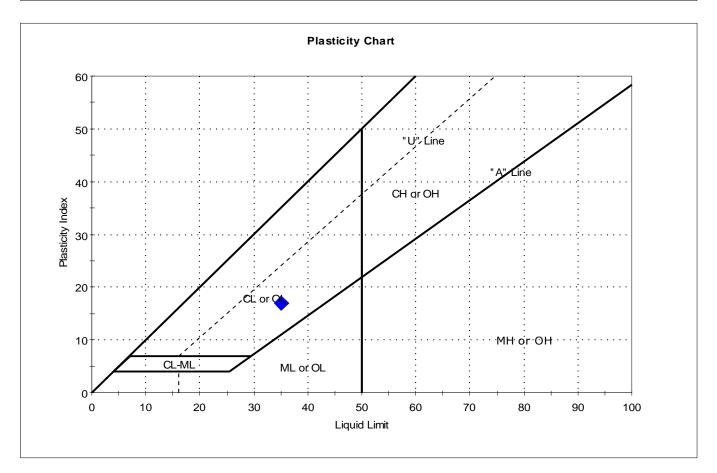


Symbol	Sample ID	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-11	AQ-SB- 01		44	45	20	25	1	

Sample Prepared using the WET method



Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	Y			Project No:	GTX-303367
Boring ID:	AQ-SB- 01	-	Sample Type:	tube	Tested By:	cam
Sample ID:	ST-15		Test Date:	07/22/15	Checked By:	emm
Depth :			Test Id:	338328		
Test Comm	ent:					
Visual Desc	ription:	Moist, dark re	ddish gray clay	,		
Sample Cor	nment:					

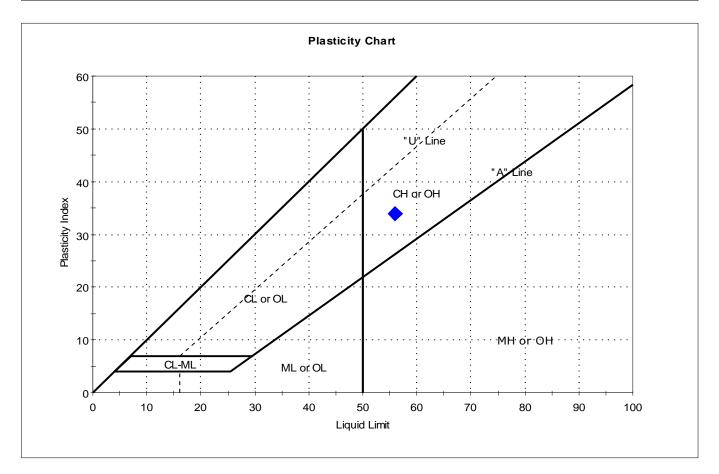


Symbol	Sample ID	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-15	AQ-SB- 01		31	35	18	17	0.8	

Sample Prepared using the WET method



Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	Y			Project No:	GTX-303367
Boring ID:	AQ-SB- 01	A	Sample Type:	tube	Tested By:	cam
Sample ID:	ST-01		Test Date:	07/13/15	Checked By:	emm
Depth :			Test Id:	338119		
Test Comm	ent:					
Visual Desc	ription:	Moist, reddish	brown clay			
Sample Cor	nment:					

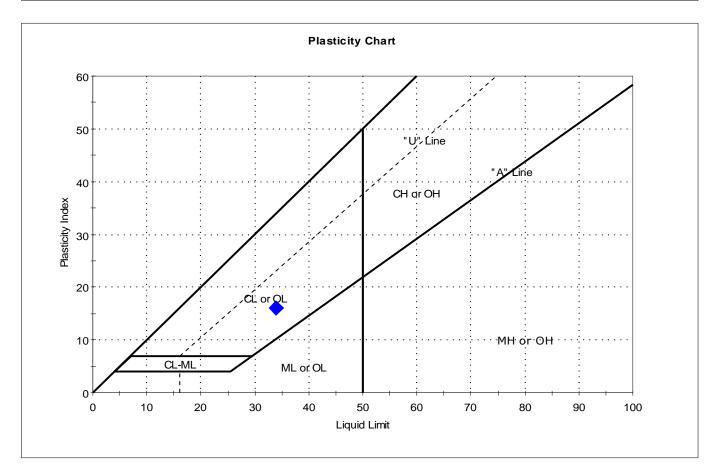


Symbol	Sample I D	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-01	AQ-SB- 01A		51	56	22	34	0.9	

Sample Prepared using the WET method



Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	ſ			Project No:	GTX-303367
Boring ID:	AQ-SB- 02	-	Sample Type:	tube	Tested By:	cam
Sample ID:	ST-12		Test Date:	07/22/15	Checked By:	emm
Depth :			Test Id:	338329		
Test Comme	ent:					
Visual Descr	ription:	Moist, brown	clay			
Sample Com	nment:					

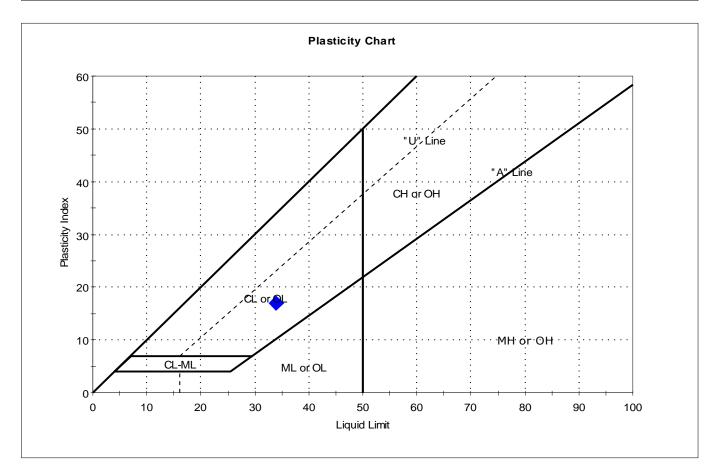


Symbol	Sample I D	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-12	AQ-SB- 02		30	34	18	16	0.7	

Sample Prepared using the WET method



Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	ſ			Project No:	GTX-303367
Boring ID:	AQ-SB- 02	A	Sample Type:	tube	Tested By:	cam
Sample ID:	ST-01		Test Date:	07/14/15	Checked By:	emm
Depth :			Test Id:	338120		
Test Comm	ent:					
Visual Desc	ription:	Moist, brown	clay			
Sample Cor	nment:					

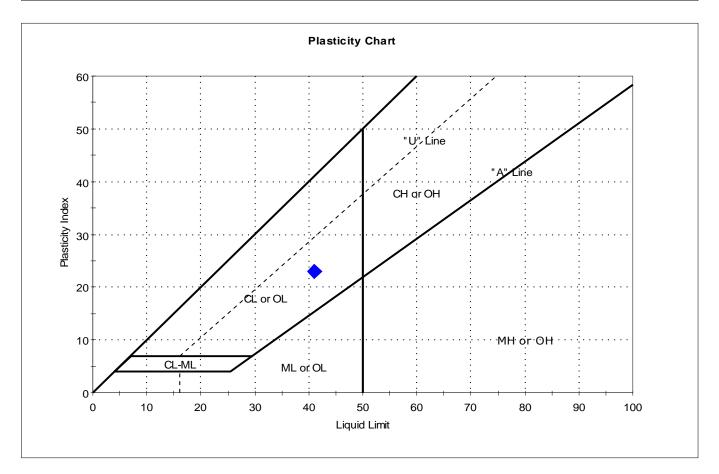


Symbol	Sample ID	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-01	AQ-SB- 02A		31	34	17	17	0.8	Lean clay (CL)

Sample Prepared using the WET method 0% Retained on #40 Sieve Dry Strength: MEDIUM Dilatancy: SLOW Toughness: LOW



Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	Y			Project No:	GTX-303367
Boring ID:	AQ-SB- 02	2A	Sample Type:	tube	Tested By:	cam
Sample ID:	ST-02		Test Date:	07/10/15	Checked By:	emm
Depth :			Test Id:	338121		
Test Comme	ent:					
Visual Desc	ription:	Moist, brown	clay			
Sample Con	nment:					



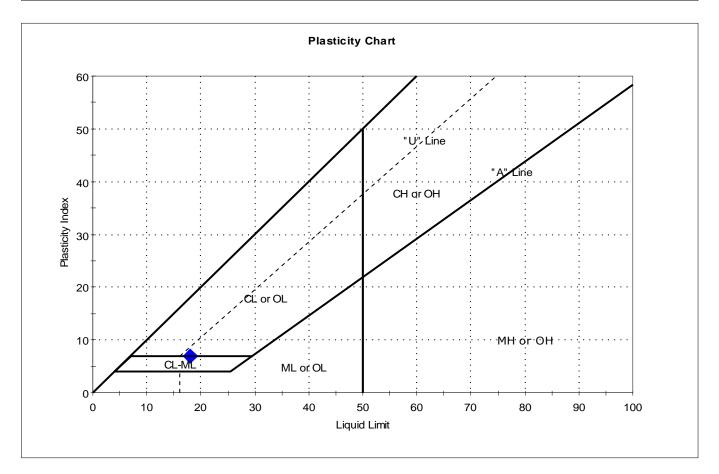
Symbol	Sample I D	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-02	AQ-SB- 02A		36	41	18	23	0.8	

Sample Prepared using the WET method

Dry Strength: LOW Dilatancy: SLOW Toughness: LOW

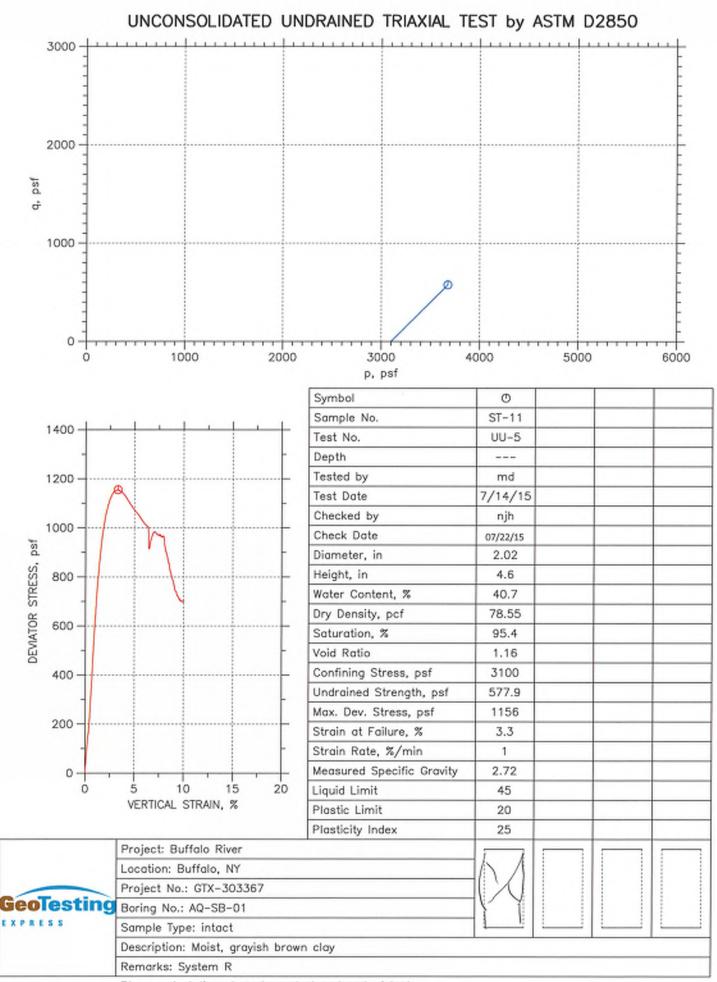


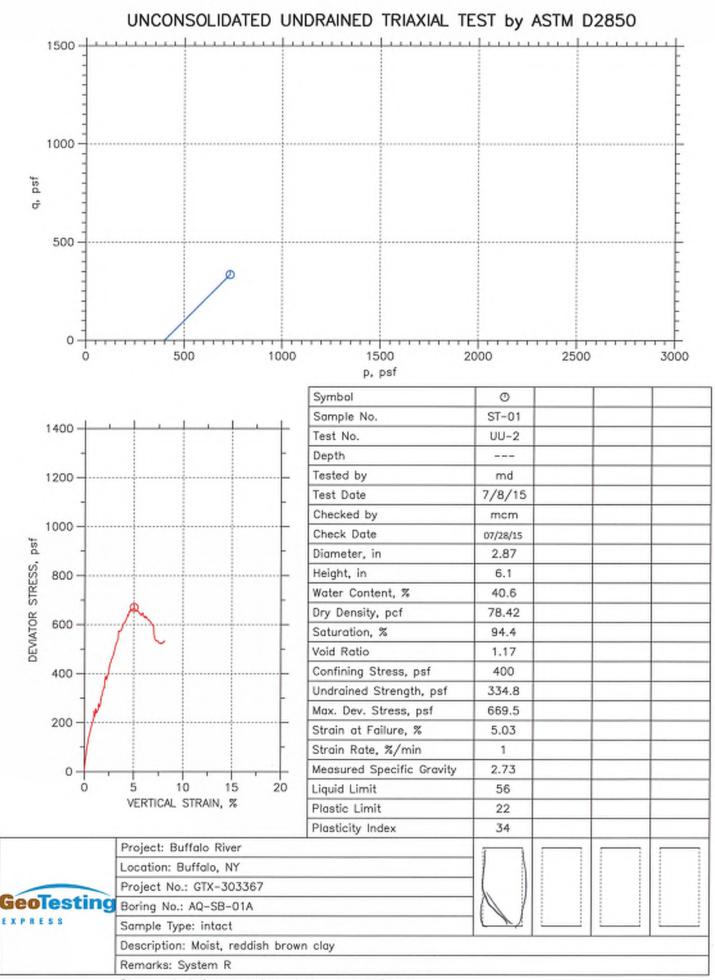
Client:	Anchor QE	A, LLC				
Project:	Buffalo Riv	/er				
Location:	Buffalo, N	ſ			Project No:	GTX-303367
Boring ID:	AQ-SB- 02	A	Sample Type:	tube	Tested By:	cam
Sample ID	: ST-03		Test Date:	07/13/15	Checked By:	emm
Depth :			Test Id:	338122		
Test Comm	nent:					
Visual Dese	cription:	Moist, grayish	brown silty, cl	ayey sand v	vith gravel	
Sample Co	mment:					

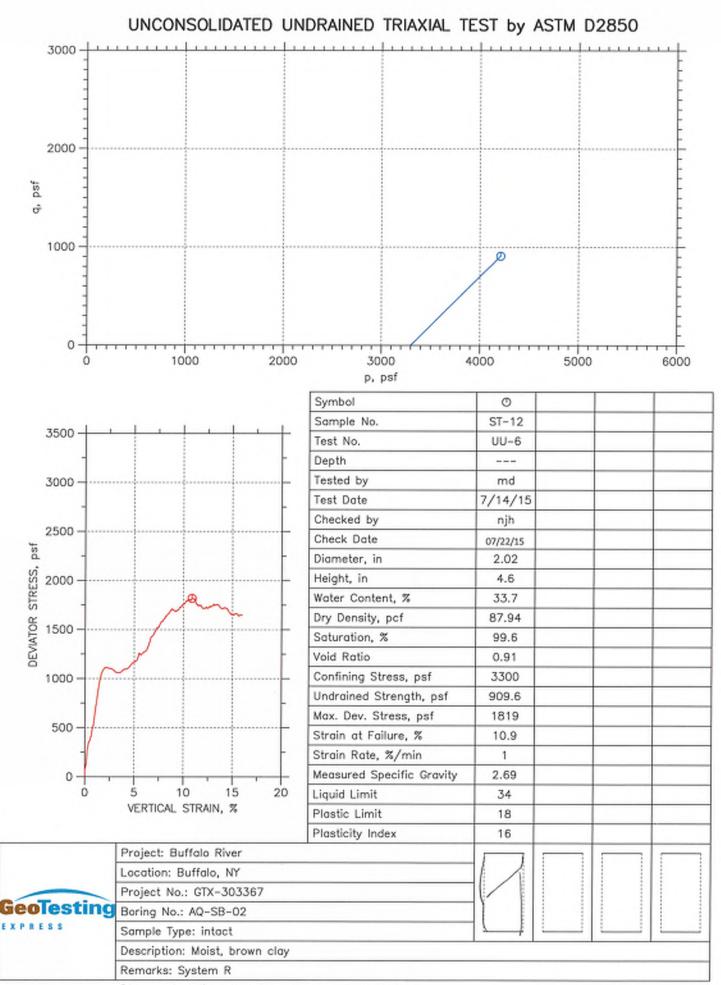


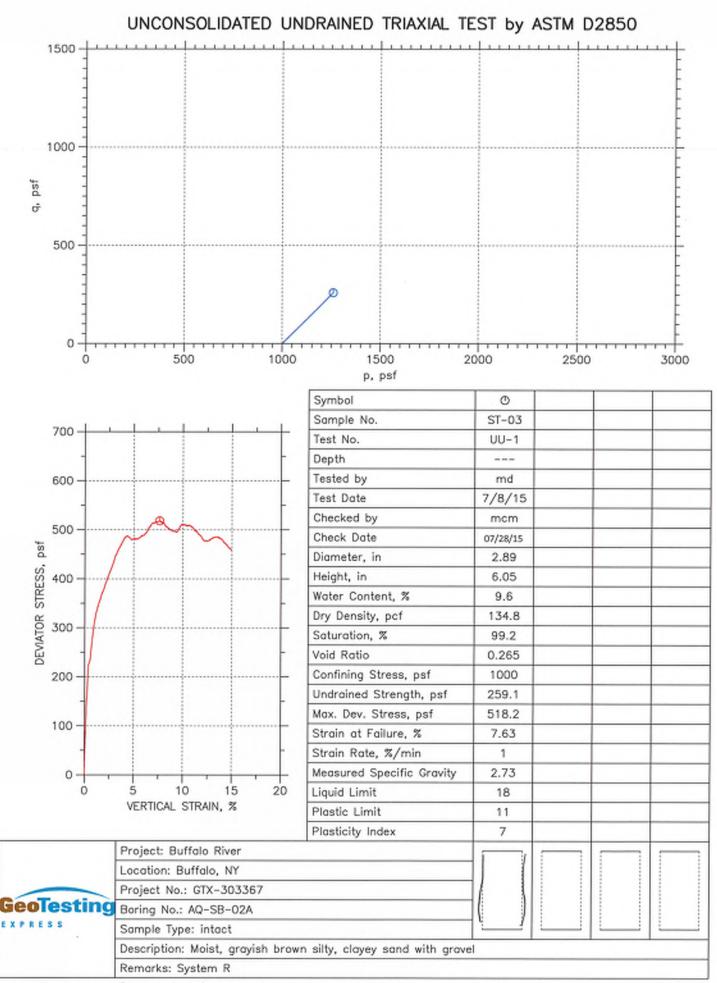
Symbol	Sample ID	Boring	Depth	Natural Moisture Content,%	Liquid Limit	Plastic Limit	Plasticity Index	Liquidity Index	Soil Classification
•	ST-03	AQ-SB- 02A		10	18	11	7	-0.2	

Sample Prepared using the WET method





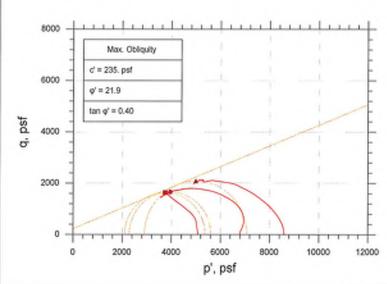


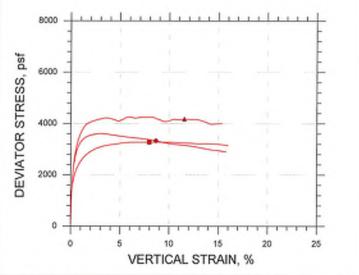


Client: Anchor QEA, LLC
Project Name: Buffalo River
Project Location: Buffalo, NY
Project Number: GTX-303367
Tested By: md
Boring ID: AQ-SB-O1
Preparation: intact
Description: Molst, dark reddish gray clay
Classification: ---

Group Symbol: ---Liquid Limit: 35 Plastic Limit: 18 Plasticity Index: 17 Measured Specific Gravity: 2.72

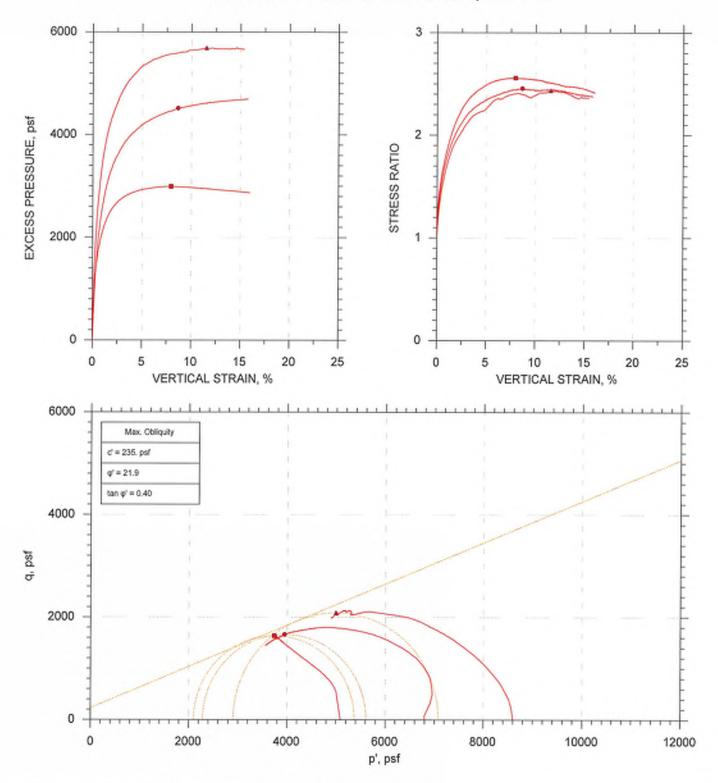
CONSOLIDATED UNDRAINED TRIAXIAL TEST by ASTM D4767





Syn	nbol		•	▲	
Sa	nple ID	ST-15	ST-15	ST-15	
De	sth, ft				
Te	t Number	CU-4-1	CU-4-2	CU-4-3	
	Height, in	4.620	4.710	4.540	
	Diameter, in	2.020	2.020	2.020	
8	Moisture Content (from Cuttings), %	31.5	30.8	32.0	
Initial	Dry Density, pcf	91.2	91.4	\$0.8	
	Saturation (Wet Method), %	99.5	97.5	99.9	
	Void Ratio	0.861	0.858	0.871	
_	Moisture Content, %	26.7	25.7	24.3	
ъ	Dry Density, pcf	98.4	99.9	102.	
Shear	Cross-sectional Area (Method A), in ²	3.036	3.003	2.933	
ŝ	Saturation, %	100.0	100.0	100.0	
Before	Void Ratio	0.725	0,699	0.660	
	Back Pressure, psf	1.739e+004	1.886e+004	1.913e+004	
Vertical Effective Consolidation Stress, psf		5073.	6778.	8581.	
Horizontal Effective Consolidation Stress, psf		5094.	6800.	8597.	
Vertical Strain after Consolidation, %		1.834	2.259	2.158	
Vol	umetric Strain after Consolidation, %	6.370	8.076	8.817	
Tim	e to 50% Consolidation, min	30.25	72.25	64.00	
Sh	ar Strength, psf	1638.	1684.	2068.	
sh	in at Failure, %	7.95	8.64	11.5	
Sir.	in Rate, %/min	0.01600	0.01600	0.01600	
De	lator Stress at Failure, psf	3276.	3329.	4177.	
Effe	ctive Minor Principal Stress at Failure, psf	2101.	2284.	2906.	
Effe	ctive Major Principal Stress at Failure, psf	5377.	5813.	7083.	
B-V	alue	0.95	0.96	0.95	
- Mol - Spi - Ahi - Dei - Vel stry	S: Ore Silver Staturation set to 190% for phase calculation, stare Content determined by AGTM D2216. Oracle Context determined by AGTM D2216. Oracle Context determined by AGTM D4318. Ideo Stares includes membrane correction. wes far c and e determined from best-fit straight line for the specific test conditions. Actual ongh parameters may vary and should be determined by an engineer for site conditions. narks:				

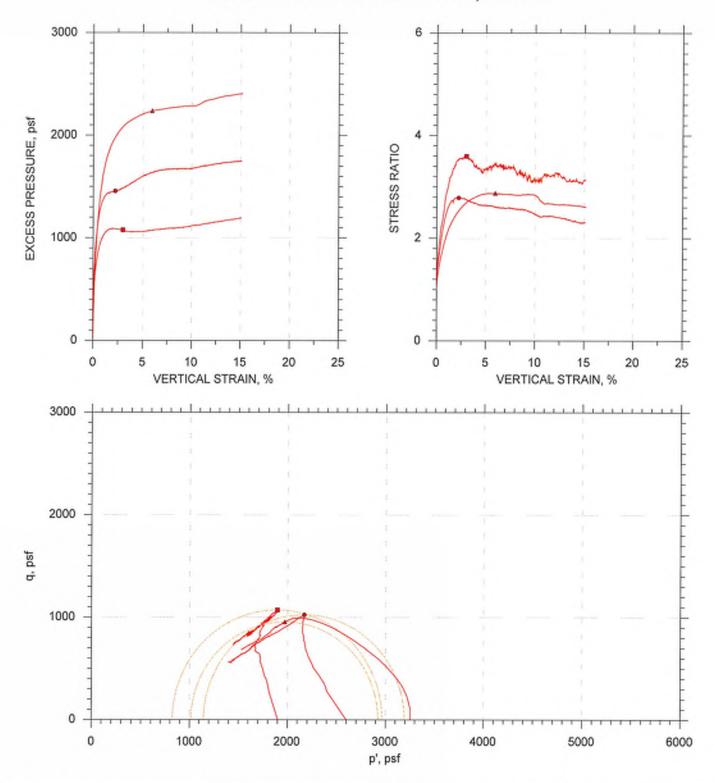
CONSOLIDATED UNDRAINED TRIAXIAL TEST by ASTM D4767



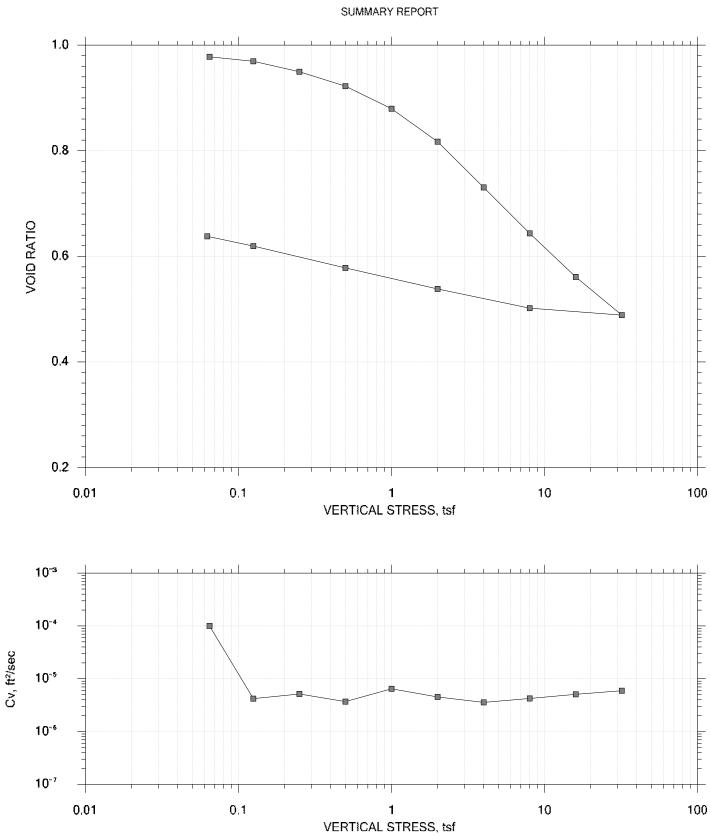
	Sample No.	Test	No.	Depth	Tested By	Test Date	Checked By	Check D	ate	Test File	
	ST-15	CU-4	1-1		md	7/16/15	njh	7/22/15		303367-CU-4-1n.dat	
•	ST-15	CU-4	1-2		md	7/15/15	njh	7/22/15		303367-CU-4-2n.dat	
	ST-15	CU-4	1-3		md	7/15/15	njh	7/22/15		303367-CU-4-3n.dat	
			Project: Bu	falo River		Location: Buffa	10. NY		Project No	L GTX-303367	
GeoTesting		Boring No.: AQ-SB-01 Sample Type: intact									
			Description: Moist, dark reddish gray clay								
			Remarks: System Y								

	Construction of the							
	Client: Anchor QEA, LLC							
	Project Name: Buffalo River							
GeoTesting	Project Location: Buffalo, NY							
devicating	Project Number: GTX-303367							
EXPRESS	Tested By: md		Checked By: mom					
	Boring ID: AQ-SB-02A							
	Preparation: Intact							
	Description: Moist, brown clay	/						
	Classification:							
	Group Symbol:							
	Liquid Limit: 41		Plastic Limit: 18					
	the second se							
	Plasticity Index: 23		Estimated Specific Gravity: 2.7	15				
CONSOLIDAT	TED UNDRAINED TRIA	XIAL TEST by ASTM	D4767					
4000 3000 5 2000 1000 		DEVIATOR STRESS, psf						
0 1000 2000 3000 4000 p', psf	5000 6000	0	5 10 15 VERTICAL STRAIN	20 25				
ample ID	ST-02	ST-02	ST-02					
epth, ft								
st Number	CU-1-1	CU-1-2	CU-1-3					
Height, in	4.460	4.550	4.620					
Diameter, in	2.020	2.020	2.030					
Moisture Content (from Cuttings), %	33.7	32.9	34.4					
	-							
Dry Density, pcf	87.5	87.5	88.2					
Saturation (Wet Method), %	96.2	93.9	99.9					
Void Ratio	0.962	0.962	0.946					
Moisture Content, %	32.5	38.2	29.9					
Dry Density, pcf	90.7	83.7	94.2					
Cross-sectional Area (Method A), in?	3.138	3.413	3.105					
Saturation, %	100.0	100.0	100.0					
Void Ratio	0.893	1.05	0.822					
Back Pressure, psf	2.028e+004							
		2.027e+004	1.995e+004					
tical Effective Consolidation Stress, psf	1890.	2553.	3236.					
izontal Effective Consolidation Stress, psf	1902.	2603.	3253.					
tical Strain after Consolidation, %	1.016	4.132	1.525					
umetric Strain after Consolidation, %	2.258	2.317	3.832					
e to 50% Consolidation, min	14.06	12.96	103.0					
er Strength, pol	1071.	1024.	954,4					
in at Failure, %	3.01	2.22	5.93					
in Rate, %/min	0.01600	0.01600	0.01600					
riator Stress at Failure, psf	2143.	2048.	1909.					
ective Minor Principal Stress at Failure, psf	825.0	1146.	1017.					
active Major Principal Stress at Failure, psf	2968.	3194.	2926.					
/alue	0.95	0.94	0.95					
IRS: fore Drear Seturation set to 100% for phase calculation. isture Content determined by ASTM D2218. where Stanss includes membrane correction. lass for c and g determined from best-fit straight line for the specific test conditions. Actual might parameters may very and should be determined by an engineer for site conditions.								
marks: stem T								

CONSOLIDATED UNDRAINED TRIAXIAL TEST by ASTM D4767

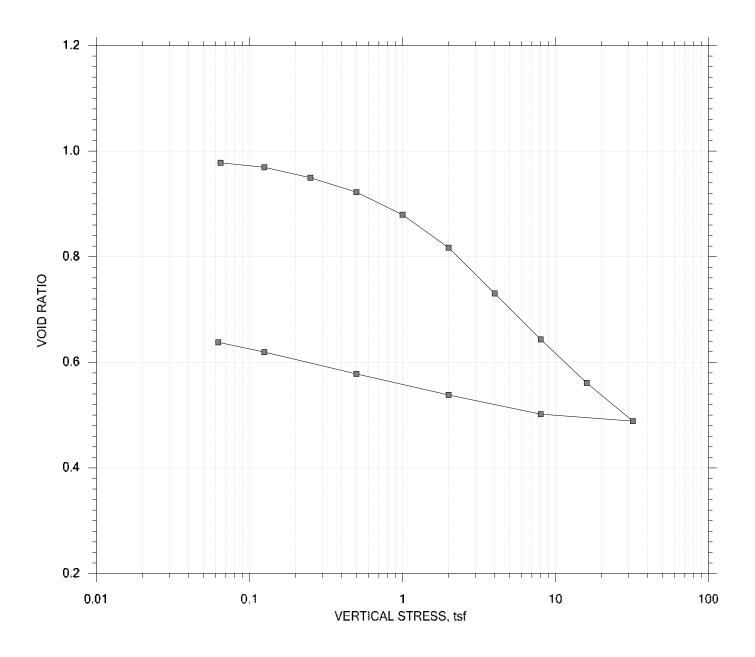


	Sample No.	Test	No.	Depth	Tested By	Test Date	Checked By	Check D	ate Test File
•	ST-02	CU-	1-1		md	7/9/15	mcm	7/15/15	303367-CU-1-1m.dat
•	ST-02	CU-	1-2	-	md	7/9/15	mcm	7/15/15	303367-CU-1-2m.dat
	ST-02	CU-	1-3	-	md	7/8/15	mcm	7/15/15	303367-CU-1-3m.dat
			Project: Bu	ffalo River		Location: Buffa	10, NY		Project No.: GTX-303367
GeoTesting									
		ing	Boring No.	AQ-SB-02A		Sample Type: I	ntact		
	GeoTest	ing		: AQ-SB-02A n: Moist, brown c	ay	Sample Type: I	ntact		



	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
GeoTesting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moist, reddish brown clay				
-	Remarks: System V. Swell Pressure = 0.0646 tsf				
	Displacement at End of Increment				

SUMMARY REPORT



					Before Test	After Test
Current Vertical Effective Stress:			Water Content, %	35.56	23. 56	
Preconsolidation Stress:			Dry Unit Weight, pcf	86.145	103.79	
Compression Ratio:	Compression Ratio:			Saturation, %	99.11	100.00
Diameter: 2.5 in	Diameter: 2.5 in Height: 1 in		Void Ratio	0.98	0.64	
LL: 56	PL: 22	PE 34	GS: 2.73			

	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Tasting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moist, reddish brown clay				
	Remarks: System V. Swell Pressure = 0.0646 tsf				
	Displacement at End of Increment				

Project: Buffalo River Boring No.: AQ-SB-01A Sample No.: ST-01 Test No.: IP-2

Location: Buffalo, NY Tested By: md Test Date: 07/07/15 Sample Type: intact Project No.: GTX-303367 Checked By: jdt Depth: ---Elevation: ---

Soil Description: Moist, reddish brown clay Remarks: System V, Swell Pressure = 0.0646 tsf

Measured Specific Gravity: 2.73 Initial Void Ratio: 0.981 Final Void Ratio: 0.644	Liquid Limit: 56 Plastic Limit: 22 Plasticity Index: 34		Specimen Diameter: 2.50 in Initial Height: 1.00 in Final Height: 0.83 in	
	Before Co	nsolidation	After Consol	idation
	Trimmings	Specimen+Ring	Specimen+Ring	Trimmings
Container ID	16779	RING		A141
Wt. Container + Wet Soil, gm	228.70	261.74	248.42	136.91
Wt. Container + Dry Soil, gm	157.33	222.27	222.27	112.42
Wt. Container, gm	8.3200	111.27	111.27	8.4700
Wt. Dry Soil, gm	149.01	111.00	111.00	103.95
Water Content, %	47.90	35.56	23.56	23.56
Void Ratio		0.981	0.644	
Degree of Saturation, %		99.11	100.00	
Dry Unit Weight, pcf		86.145	103.79	

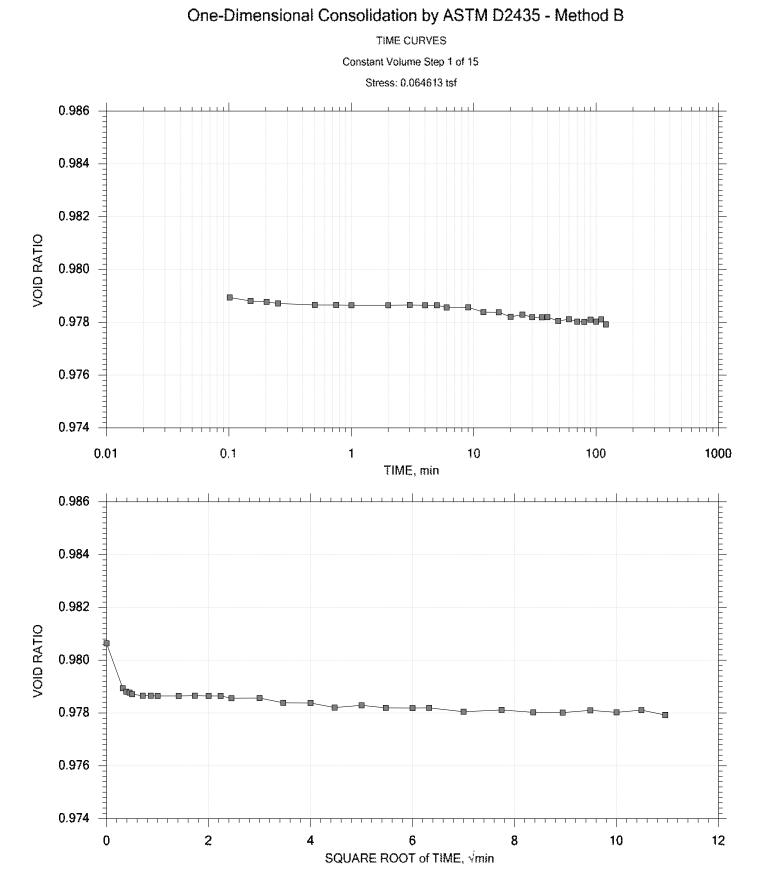
Project:	Buffalo	River
Boring No	AQ-S	B-01A
Sample No	o.: ST-0	1
Test No.	IP-2	

Location: Buffalo, NY Tested By: md Test Date: 07/07/15 Sample Type: intact Project No.: GTX-303367 Checked By: jdt Depth: ---Elevation: ---

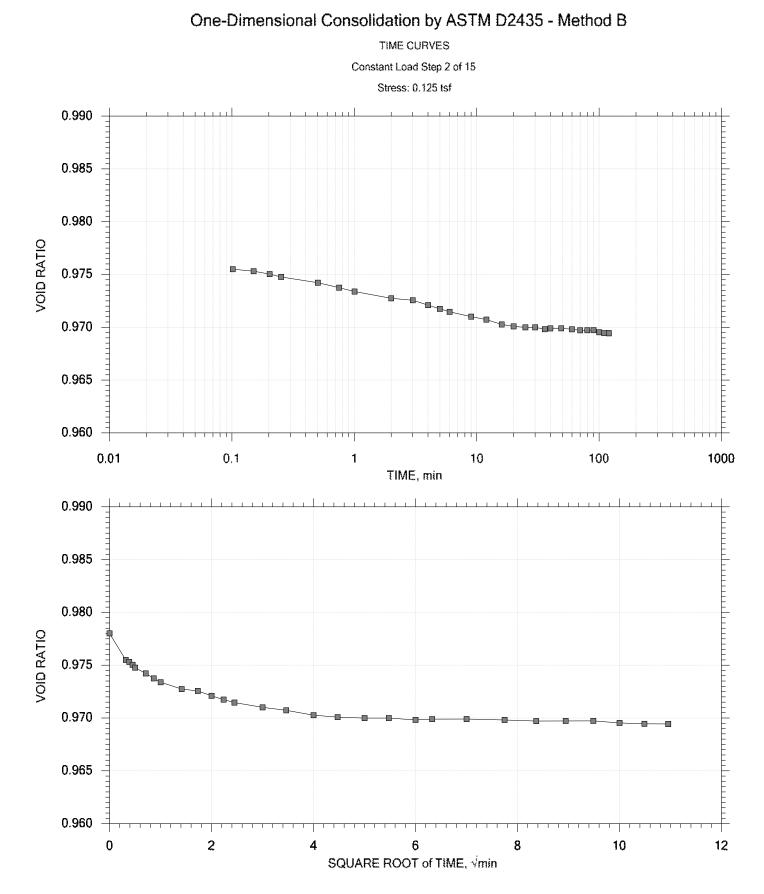
Soil Description: Moist, reddish brown clay Remarks: System V, Swell Pressure = 0.0646 tsf

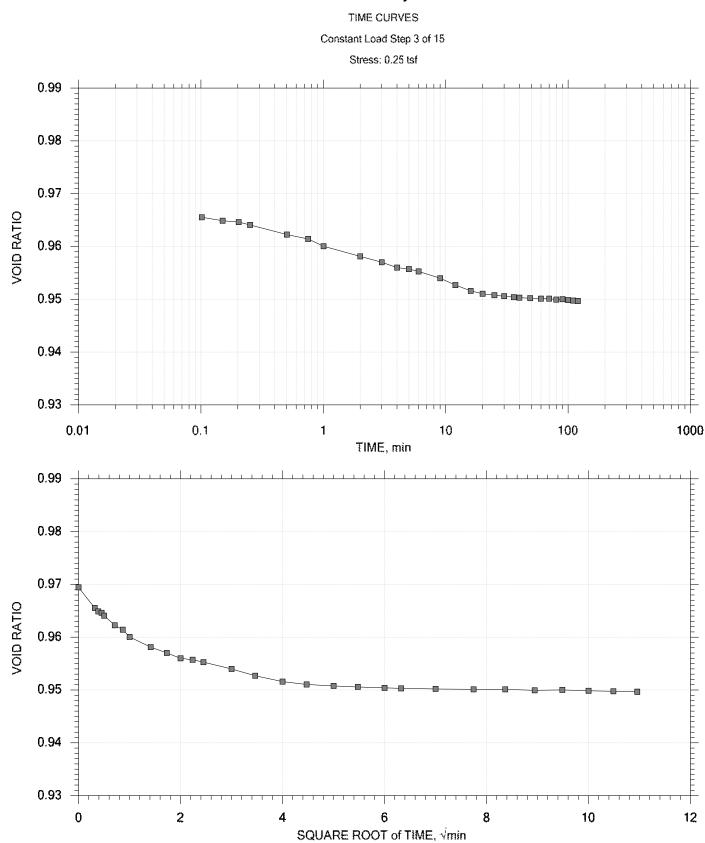
Displacement at End of Increment

	Applied	Final	Void	Strain	Sq.Rt				
	Stress		Ratio	at End	Т90	Cv	Mv	k	
	tsf	in		00	min	ft²/sec	1/tsf	ft/day	
1	0.0646	0.001340	0.978	0.134	0.380	6.46e-005	2.07e-002	3.61e-003	
2	0.125	0.005622	0.969	0.562	6.983	3.49e-006	7.09e-002	6.67e-004	
3	0.250	0.01560	0.950	1.56	5.557	4.32e-006	7.98e-002	9.31e-004	
4	0.500	0.02939	0.922	2.94	7.212	3.25e-006	5.52e-002	4.84e-004	
5	1.00	0.05099	0.880	5.10	4.302	5.25e-006	4.32e-002	6.12e-004	
6	2.00	0.08252	0.817	8.25	4.002	5.34e-006	3.15e-002	4.54e-004	
7	4.00	0.1263	0.730	12.6	5.257	3.74e-006	2.19e-002	2.21e-004	
8	8.00	0.1702	0.643	17.0	3.740	4.76e-006	1.10e-002	1.41e-004	
9	16.0	0.2119	0.561	21.2	2.684	5.98e-006	5.21e-003	8.41e-005	
10	32.0	0.2484	0.489	24.8	3.294	4.42e-006	2.28e-003	2.72e-005	
11	8.00	0.2417	0.502	24.2	0.772	1.81e-005	2.79e-004	1.37e-005	
12	2.00	0.2233	0.538	22.3	5.263	2.75e-006	3.06e-003	2.26e-005	
13	0.500	0.2032	0.578	20.3	29.290	5.18e-007	1.34e-002	1.87e-005	
14	0.125	0.1823	0.620	18.2	72.789	2.20e-007	5.58e-002	3.31e-005	
15	0.0625	0.1730	0.638	17.3	87.320	1.90e-007	1.49e-001	7.65e-005	
	Applied	Final	Void	Strain	Log				
	Applied Stress		Void Ratio	Strain at End	Log T50	Cv	Mv	k	Ca
						Cv ft²/sec	Mv 1/tsf	k ft/day	Ca %
1	Stress	Displacement		at End	т50				
1 2	Stress tsf	Displacement in	Ratio	at End %	T50 min	ft²/sec	1/tsf	ft/day	\$
	Stress tsf 0.0646	Displacement in 0.001340	Ratio 0.978	at End % 0.134	T50 min 0.000	ft²/sec 0.00e+000	1/tsf 2.07e-002	ft/day 0.00e+000	% 0.00e+000
2	Stress tsf 0.0646 0.125	Displacement in 0.001340 0.005622	Ratio 0.978 0.969	at End % 0.134 0.562	T50 min 0.000 0.000	ft ² /sec 0.00e+000 0.00e+000	1/tsf 2.07e-002 7.09e-002	ft/day 0.00e+000 0.00e+000	% 0.00e+000 0.00e+000
2 3	Stress tsf 0.0646 0.125 0.250	Displacement in 0.001340 0.005622 0.01560	Ratio 0.978 0.969 0.950	at End % 0.134 0.562 1.56	T50 min 0.000 0.000 0.000	ft ² /sec 0.00e+000 0.00e+000 0.00e+000	1/tsf 2.07e-002 7.09e-002 7.98e-002	ft/day 0.00e+000 0.00e+000 0.00e+000	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 4	Stress tsf 0.0646 0.125 0.250 0.500	Displacement in 0.001340 0.005622 0.01560 0.02939	Ratio 0.978 0.969 0.950 0.922	at End % 0.134 0.562 1.56 2.94	T50 min 0.000 0.000 0.000 1.457	ft ² /sec 0.00e+000 0.00e+000 0.00e+000 3.74e-006	1/tsf 2.07e-002 7.09e-002 7.98e-002 5.52e-002	ft/day 0.00e+000 0.00e+000 0.00e+000 5.56e-004	% 0.00e+000 0.00e+000 0.00e+000 0.00e+000
2 3 4 5 6 7	Stress tsf 0.0646 0.125 0.250 0.500 1.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099	Ratio 0.978 0.969 0.950 0.922 0.880	at End % 0.134 0.562 1.56 2.94 5.10	T50 min 0.000 0.000 0.000 1.457 0.755	ft ² /sec 0.00e+000 0.00e+000 0.00e+000 3.74e-006 6.95e-006	1/tsf 2.07e-002 7.09e-002 7.98e-002 5.52e-002 4.32e-002	ft/day 0.00e+000 0.00e+000 0.00e+000 5.56e-004 8.10e-004	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 4 5 6 7 8	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252	Ratio 0.978 0.969 0.950 0.922 0.880 0.817	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0	T50 min 0.000 0.000 1.457 0.755 1.195	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 4.15e-006	1/tsf 2.07e-002 7.09e-002 7.98e-002 5.52e-002 4.32e-002 3.15e-002	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 3.53e-004	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 4 5 6 7	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6	T50 min 0.000 0.000 1.457 0.755 1.195 1.370	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 4.15e-006 3.34e-006	1/tsf 2.07e-002 7.09e-002 5.52e-002 4.32e-002 3.15e-002 2.19e-002	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 3.53e-004 1.97e-004	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 4 5 6 7 8	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00 8.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263 0.1263	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730 0.643	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0	T50 min 0.000 0.000 1.457 0.755 1.195 1.370 1.125	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 3.34e-006 3.68e-006	1/tsf 2.07e-002 7.09e-002 5.52e-002 4.32e-002 3.15e-002 2.19e-002 1.10e-002	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 1.97e-004 1.09e-004	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 4 5 7 8 9 10 11	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00 8.00 16.0	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263 0.1702 0.2119	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730 0.643 0.561	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0 21.2	T50 min 0.000 0.000 1.457 0.755 1.195 1.370 1.125 0.845	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 4.15e-006 3.68e-006 4.41e-006	1/tsf 2.07e-002 7.09e-002 5.52e-002 4.32e-002 3.15e-002 2.19e-002 1.10e-002 5.21e-003	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 3.53e-004 1.97e-004 1.09e-004 6.20e-005	<pre>% 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000 0.00e+000</pre>
2 3 5 6 7 8 9 10	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00 8.00 16.0 32.0	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263 0.1702 0.2119 0.2484	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730 0.643 0.561 0.489	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0 21.2 24.8	T50 min 0.000 0.000 1.457 0.755 1.195 1.370 1.125 0.845 0.450	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 4.15e-006 3.34e-006 3.68e-006 4.41e-006 7.50e-006	1/tsf 2.07e-002 7.09e-002 5.52e-002 4.32e-002 3.15e-002 2.19e-002 1.10e-002 5.21e-003 2.28e-003	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 3.53e-004 1.97e-004 1.09e-004 6.20e-005 4.61e-005	<pre>% 0.00e+000 0.00e+000</pre>
2 3 4 5 7 8 9 10 11	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00 8.00 16.0 32.0 8.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263 0.1702 0.2119 0.2484 0.2417	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730 0.643 0.561 0.489 0.502	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0 21.2 24.8 24.2	T50 min 0.000 0.000 1.457 0.755 1.195 1.370 1.125 0.845 0.450 0.193	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 4.15e-006 3.34e-006 3.68e-006 4.41e-006 7.50e-006 1.68e-005	1/tsf 2.07e-002 7.99e-002 5.52e-002 3.15e-002 2.19e-002 1.10e-002 5.21e-003 2.28e-003 2.79e-004	ft/day 0.00e+000 0.00e+000 5.56e-004 3.53e-004 1.97e-004 1.09e-004 6.20e-005 1.27e-005	<pre>% 0.00e+000 0.00e+000</pre>
2 3 4 5 6 7 8 9 10 11 12	Stress tsf 0.0646 0.125 0.250 0.500 1.00 2.00 4.00 8.00 16.0 32.0 8.00 2.00	Displacement in 0.001340 0.005622 0.01560 0.02939 0.05099 0.08252 0.1263 0.1702 0.2119 0.2484 0.2417 0.2233	Ratio 0.978 0.969 0.950 0.922 0.880 0.817 0.730 0.643 0.561 0.489 0.502 0.538	at End % 0.134 0.562 1.56 2.94 5.10 8.25 12.6 17.0 21.2 24.8 24.2 22.3	T50 min 0.000 0.000 1.457 0.755 1.195 1.370 1.125 0.845 0.450 0.193 0.000	ft ² /sec 0.00e+000 0.00e+000 3.74e-006 6.95e-006 4.15e-006 3.34e-006 3.68e-006 4.41e-006 1.68e-005 0.00e+000	1/tsf 2.07e-002 7.98e-002 5.52e-002 4.32e-002 3.15e-002 2.19e-002 1.10e-002 5.21e-003 2.28e-003 2.79e-004 3.06e-003	ft/day 0.00e+000 0.00e+000 5.56e-004 8.10e-004 1.97e-004 1.09e-004 6.20e-005 1.27e-005 0.00e+000	<pre>% 0.00e+000 0.00e+000</pre>

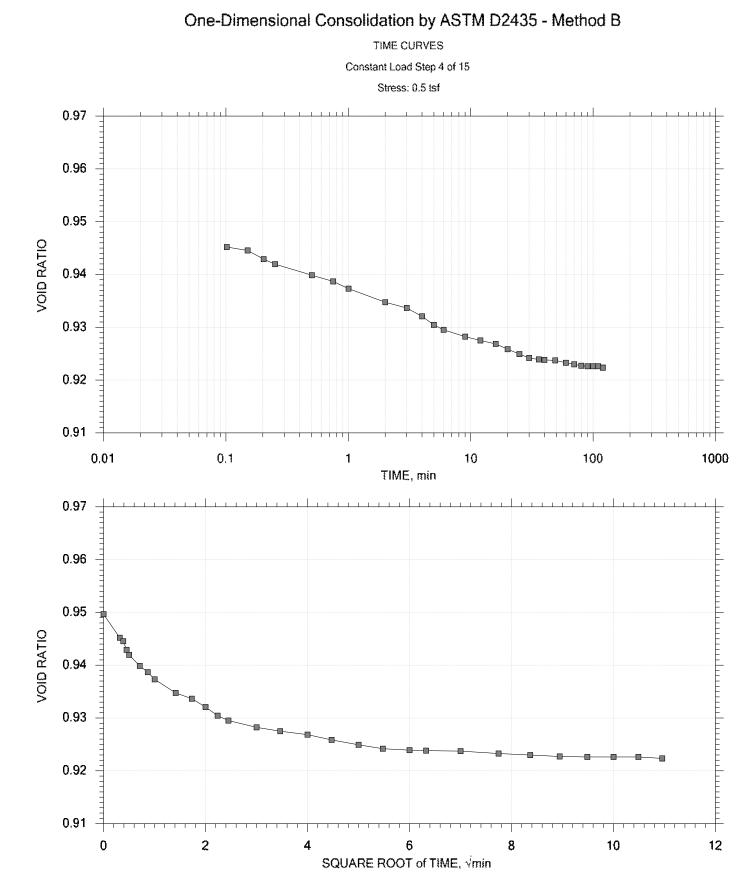


	Project: Buffalo River	Location: Buffalo, NY	Project No.; GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Casting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moisl, reddish brown clay				
	Remarks: System V. Swell Pressure = 0.0646 tsf				

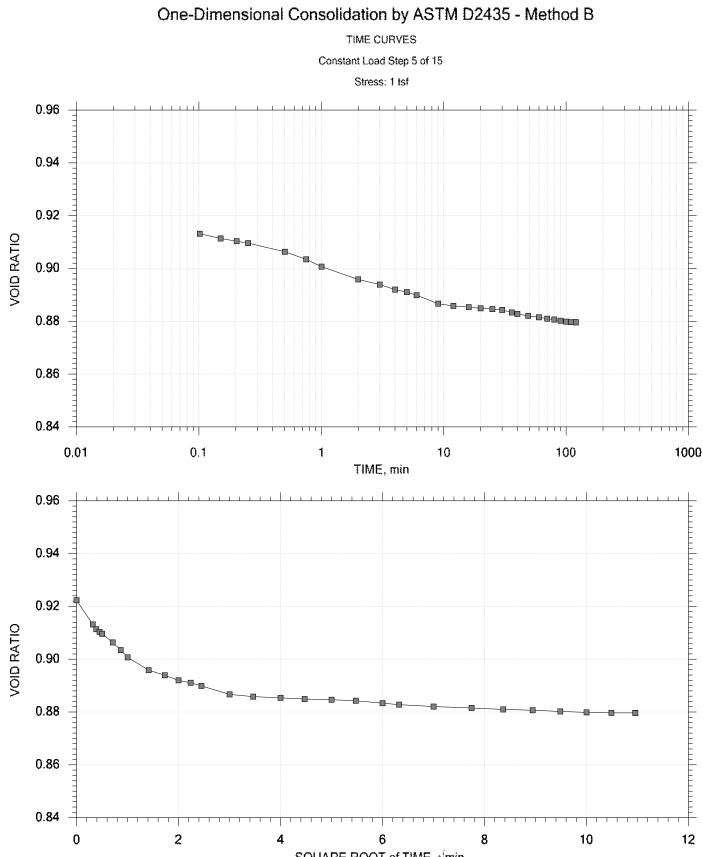




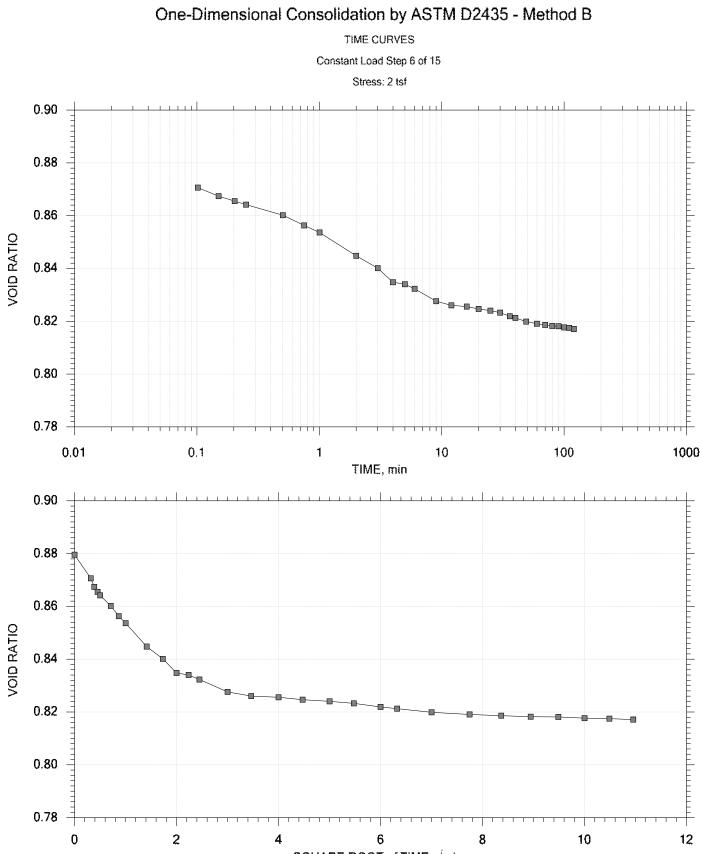
	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Tasting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moist, reddish brown clay				
	Remarks: System V. Sweil Pressure = 0.0646 tsf				



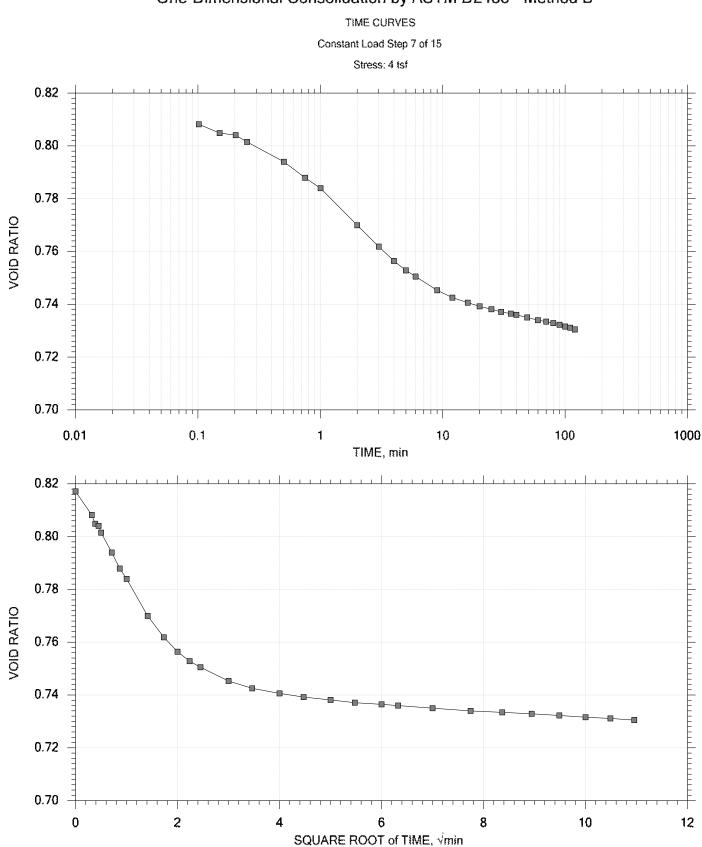
	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Tasting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moisl, reddish brown clay				
	Remarks: System V. Swell Pressure = 0.0646 tsf				

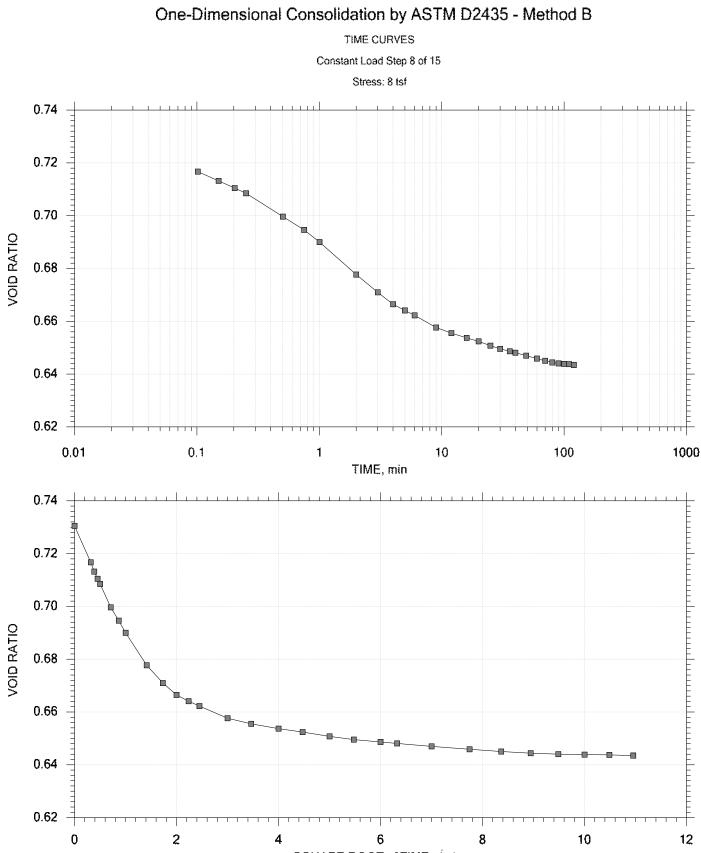


	Project: Buffalo River	Location: Buffalo, NY	Project No.; GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
GeoTesting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moist, reddish brown clay				
	Remarks: System V. Swell Pressure = 0.0646 tsf				

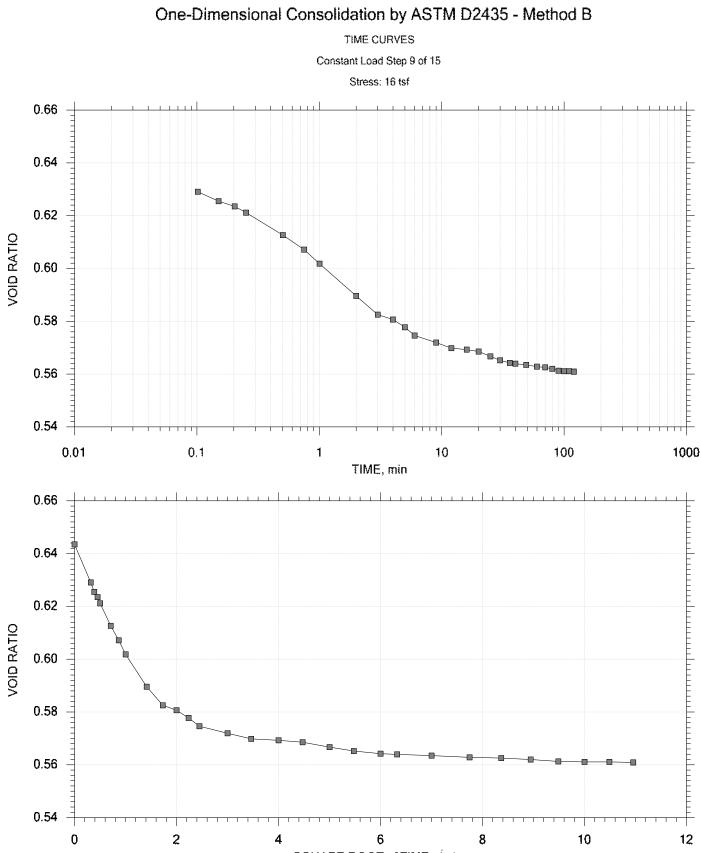


	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Tasting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting	Depth:	Sample Type: intact	Elevation:		
EXPRESS	Description: Moist, reddish brown clay				
	Remarks: System V. Sweil Pressure = 0.0646 tsf				

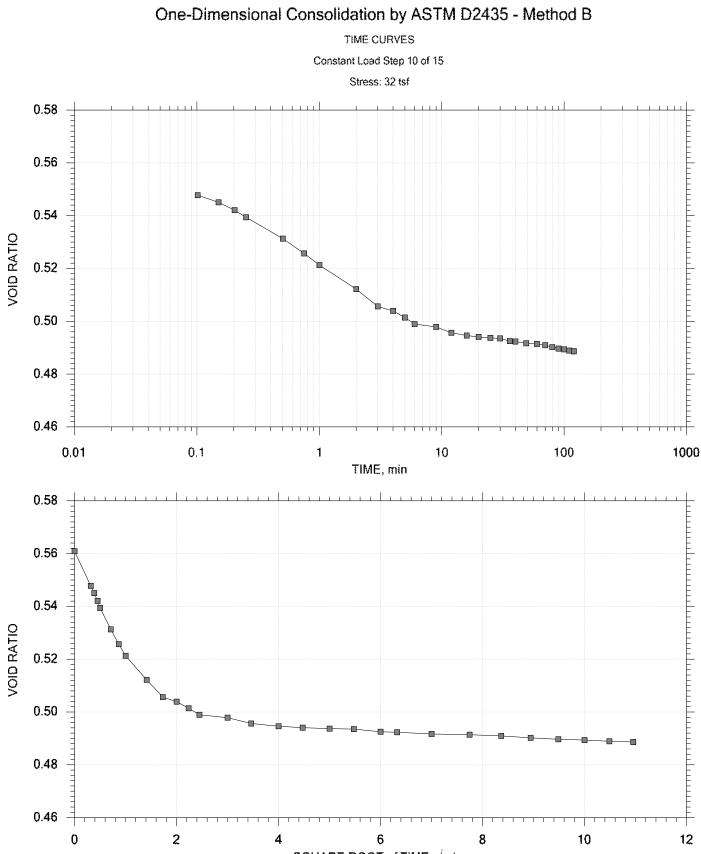




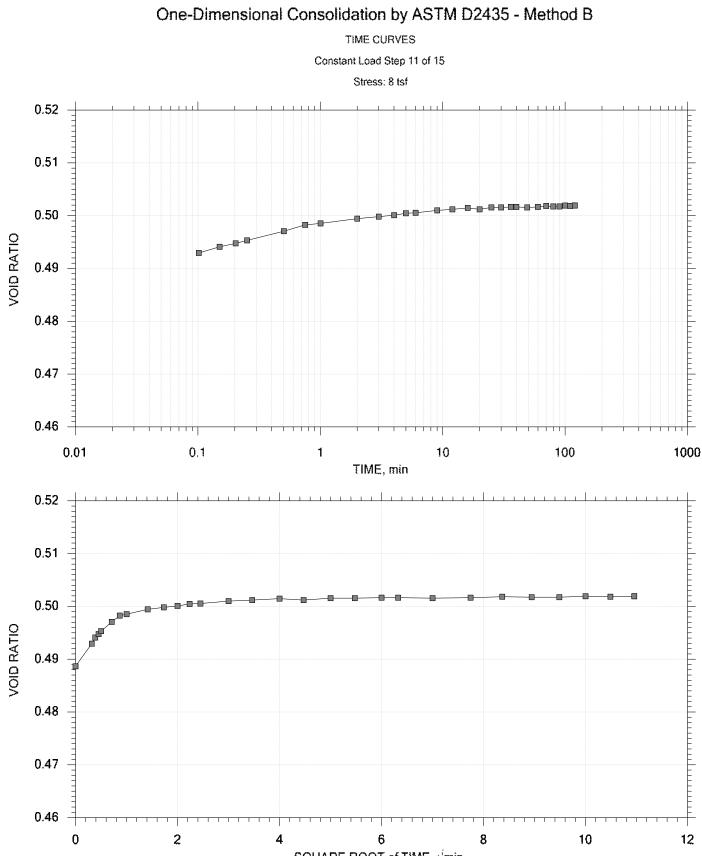
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	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
	Depth:	Sample Type: intact	Elevation:	
	Description: Moist, reddish brown clay			
	Remarks: System V. Swell Pressure = 0.0646 tsf			



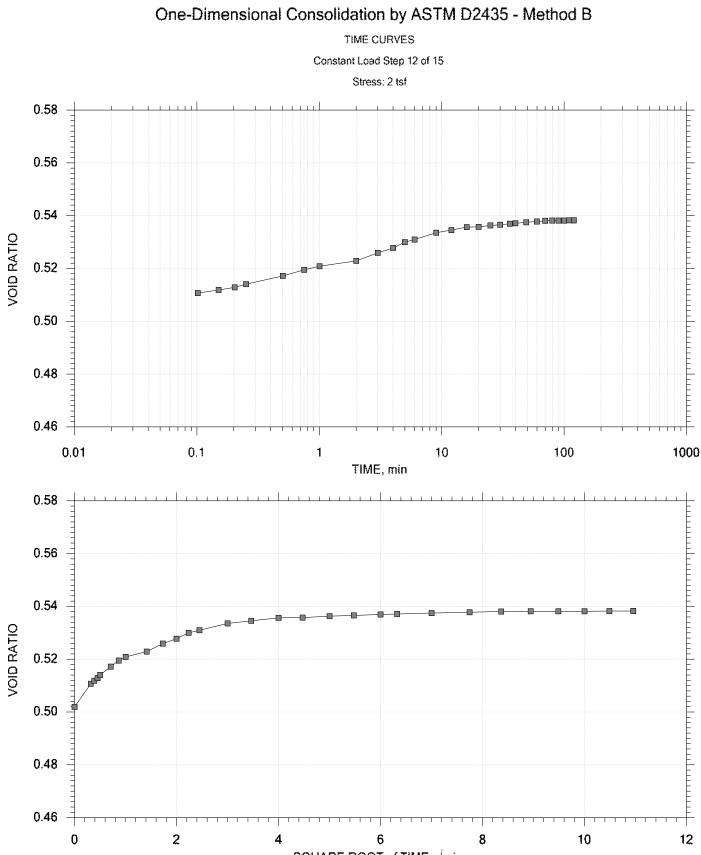
GeoTesting E X P R E S S	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367	
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
	Depth:	Sample Type: intact	Elevation:	
	Description: Moisl, reddish brown clay			
	Remarks: System V. Swell Pressure = 0.0646 tsf			



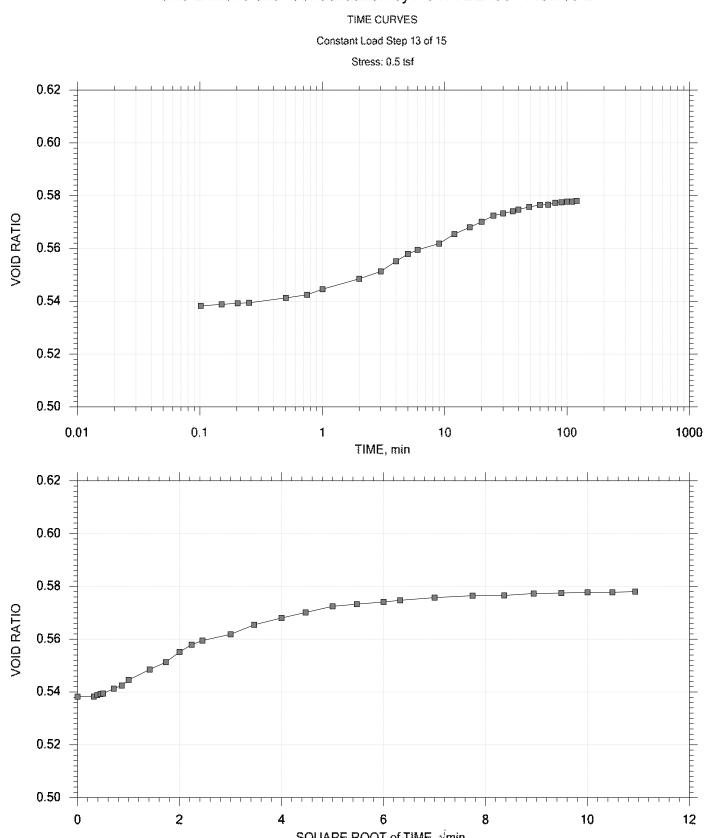
GeoTesting EXPRESS	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367	
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
	Depth:	Sample Type: intact	Elevation:	
	Description: Moist, reddish brown clay			
	Remarks: System V. Swell Pressure = 0.0646 tsf			



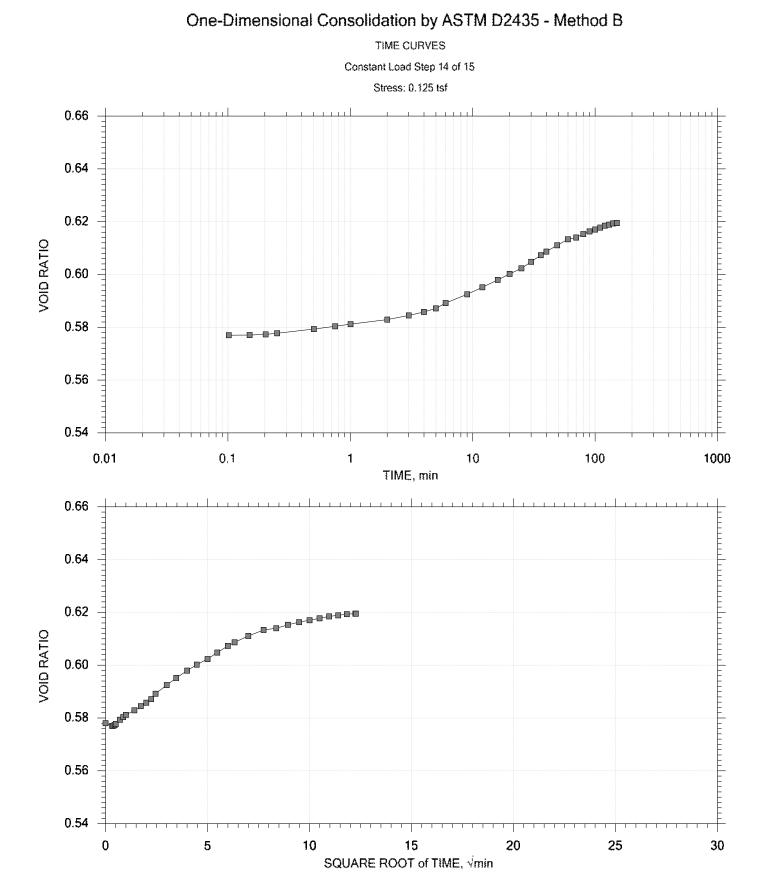
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	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
	Depth:	Sample Type: intact	Elevation:		
	Description: Moist, reddish brown clay				
	Remarks: System V. Swell Pressure = 0.0646 tsf				



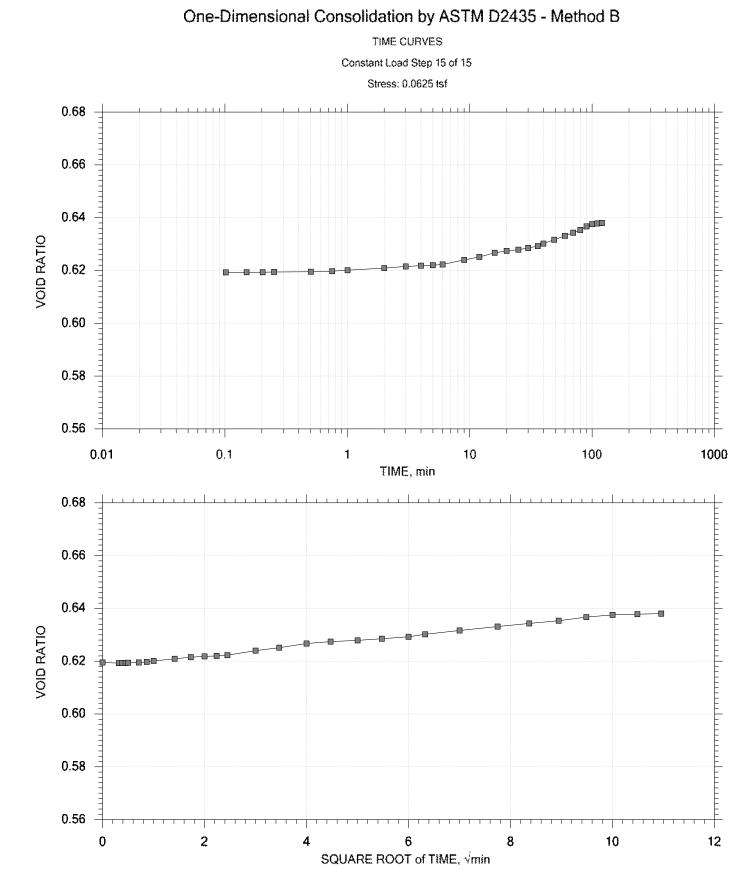
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	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
	Depth:	Sample Type: intact	Elevation:	
	Description: Moist, reddish brown clay			
	Remarks: System V. Swell Pressure = 0.0646 tsf			



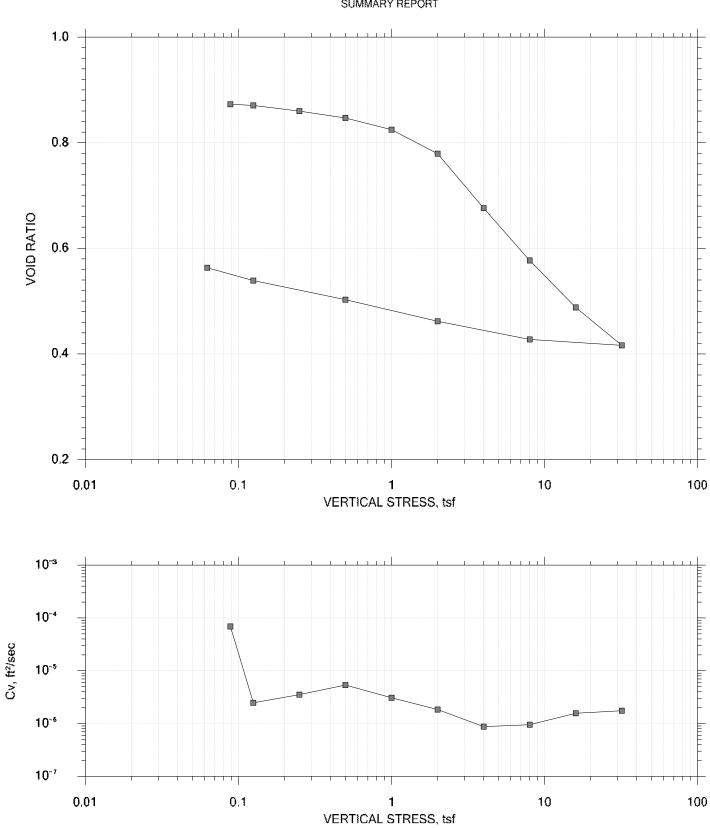
	Project: Buffalo River	Location: Buffalo, NY	Project No.; GTX-303367		
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt		
Casting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2		
GeoTesting EXPRESS	Depth:	Sample Type: intact	Elevation:		
	Description: Moist, reddish brown clay				
	Remarks: System V, Swell Pressure = 0.0646 tsf				



	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367	
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
Casting	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
GeoTesting EXPRESS	Depth:	Sample Type: intact	Elevation:	
	Description: Moisl, reddish brown clay			
	Remarks: System V, Swell Pressure = 0.0646 tsf			



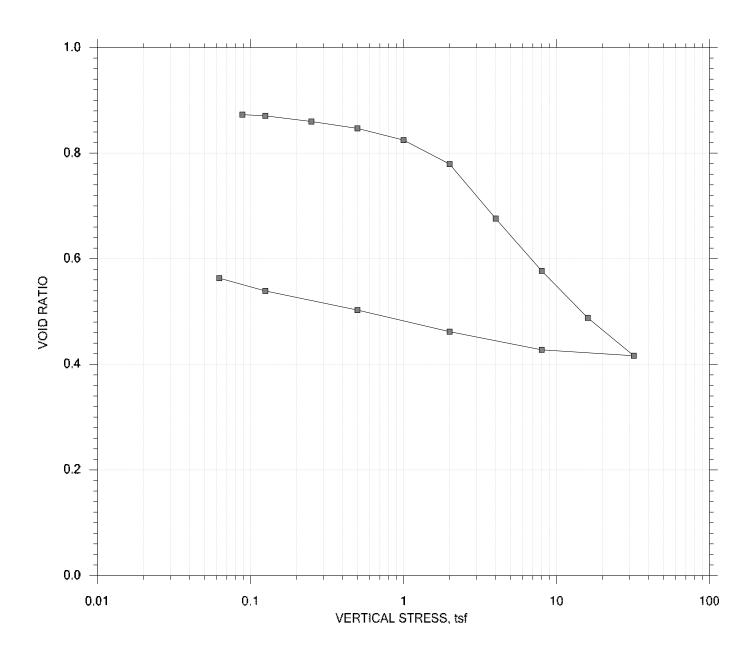
GeoTesting EXPRESS	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367	
	Boring No.: AQ-SB-01A	Tested By: md	Checked By: jdt	
	Sample No.: ST-01	Test Date: 07/07/15	Test No.: IP-2	
	Depth:	Sample Type: intact	Elevation:	
	Description: Moist, reddish brown clay			
	Remarks: System V. Swell Pressure = 0.0646 tsf			



SUMMARY REPORT

GeoTesting EXPRESS	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt		
	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3		
	Depth:	Sample Type: intact	Elevation:		
	Description: Moist, brown clay				
	Remarks: System V. Swell Pressure = 0.0885 tsf				
	Displacement at End of Increment				

SUMMARY REPORT



					Before Test	After Test
Current Vertical Effective Stress:			Water Content, %	31.96	22.59	
Preconsolidation Stree	Preconsolidation Stress:			Dry Unit Weight, pcf	90.372	\$05.08
Compression Ratio:			Saturation, %	99.06	100.00	
Diameter: 2.5 in Height: 1 in		Void Ratio	0.88	0.61		
LL: 41	PL: 18	PE 23	GS: 2.72			

	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367		
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt		
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3		
GeoTesting EXPRESS	Depth:	Sample Type: intact	Elevation:		
	Description: Moisl, brown clay				
	Remarks: System V. Swell Pressure = 0.0885 tsf				
	Displacement at End of Increment				

Project: Buffalo River Boring No.: AQ-SB-02A Sample No.: ST-02 Test No.: IP-3

Location: Buffalo, NY Tested By: md Test Date: 07/09/15 Sample Type: intact Project No.: GTX-303367 Checked By: jdt Depth: ---Elevation: ---

Soil Description: Moist, brown clay Remarks: System V, Swell Pressure = 0.0885 tsf

Plastic Limit:	Liquid Limit: 41 Plastic Limit: 18 Plasticity Index: 23		Specimen Diameter: 2.50 in Initial Height: 1.00 in Final Height: 0.86 in	
Before Consolidation		After Consolidation		
Trimmings	Specimen+Ring	Specimen+Ring	Trimmings	
A359	RING		A519	
139.87	262.70	251.79	144.56	
104.46	225.49	225.49	119.48	
8.4900	109.04	109.04	8.4500	
95.970	116.45	116.45	111.03	
36.90	31.96	22.59	22.59	
	0.876	0.614		
	99.06	100.00		
	90.372	105.08		
	Plastic Limit: Plasticity Inde Before Co Trimmings A359 139.87 104.46 8.4900 95.970 36.90 	Plasticity Index: 23 Before Consolidation Trimmings Specimen+Ring A359 RING 139.87 262.70 104.46 225.49 8.4900 109.04 95.970 116.45 36.90 31.96 0.876 99.06	Plastic Limit: 18 Initial Height: 1. Plasticity Index: 23 Final Height: 0.86 Before Consolidation After Consol Trimmings Specimen+Ring A359 RING 139.87 262.70 251.79 104.46 225.49 8.4900 95.970 116.45 116.45 36.90 31.96 22.59 0.876 0.614 99.06 100.00	

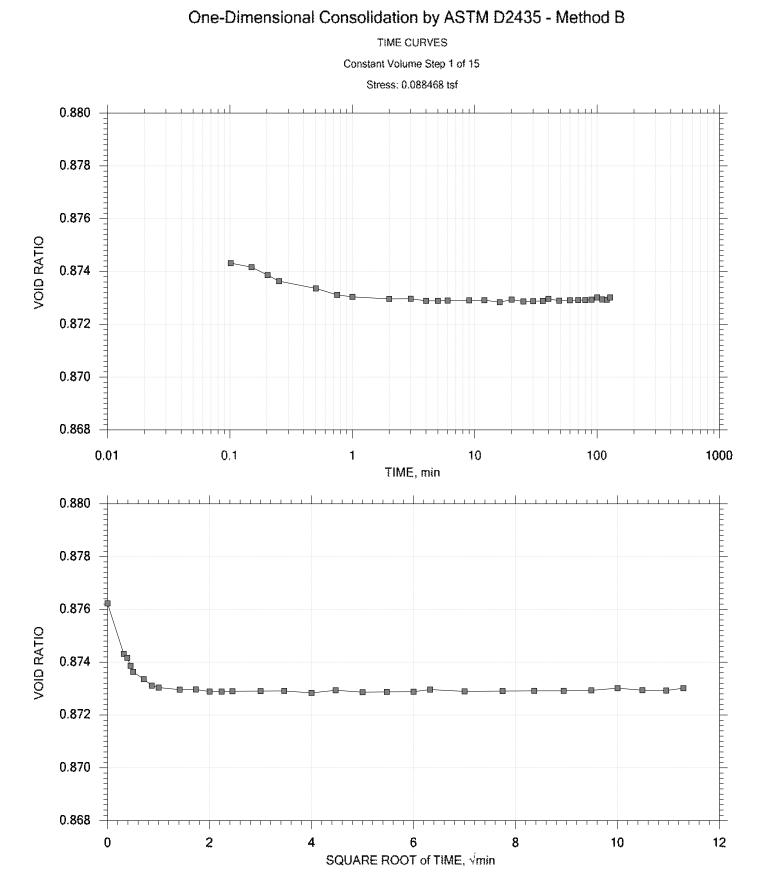
Project: Buffalo River Boring No.: AQ-SB-02A Sample No.: ST-02 Test No.: IP-3

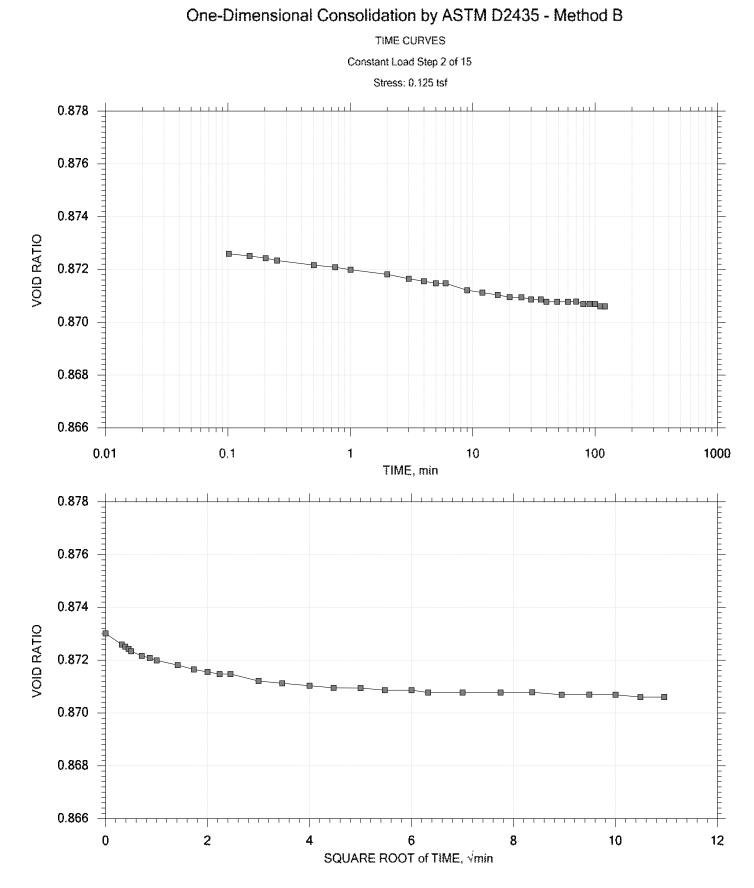
Location: Buffalo, NY Tested By: md Test Date: 07/09/15 Sample Type: intact Project No.: GTX-303367 Checked By: jdt Depth: ---Elevation: ---

Soil Description: Moist, brown clay Remarks: System V, Swell Pressure = 0.0885 tsf

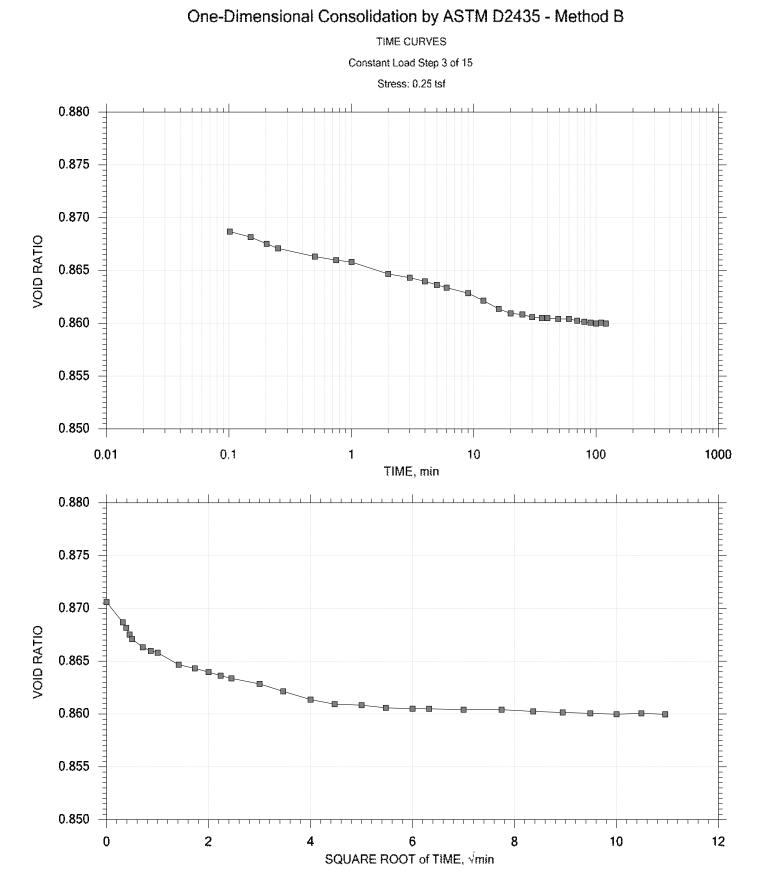
Displacement at End of Increment

	Applied	Final	Void	Strain	Sq.Rt				
	Stress		Ratio	at End	Т90	Cv	Mv	k	
	tsf	in		9	min	ft²/sec	1/tsf	ft/day	
1	0.0885	0.001687	0.873	0.169	0.486	5.04e-005	1.91e-002	2.59e-003	
2	0.125	0.002972	0.871	0.297	9.973	2.45e-006	3.52e-002	2.32e-004	
3	0.250	0.008637	0.860	0.864	7.649	3.17e-006	4.53e-002	3.88e-004	
4	0.500	0.01560	0.847	1.56	4.439	5.39e-006	2.79e-002	4.05e-004	
5	1.00	0.02744	0.825	2.74	7.936	2.96e-006	2.37e-002	1.89e-004	
6	2.00	0.05163	0.779	5.16	13.710	1.65e-006	2.42e-002	1.08e-004	
7	4.00	0.1066	0.676	10.7	23.166	8.98e-007	2.75e-002	6.66e-005	
8	8.00	0.1595	0.577	15.9	20.236	9.11e-007	1.32e-002	3.25e-005	
9	16.0	0.2068	0.488	20.7	8.036	2.04e-006	5.92e-003	3.25e-005	
10	32.0	0.2451	0.416	24.5	6.855	2.14e-006	2.39e-003	1.38e-005	
11	8.00	0.2392	0.427	23.9	8.976	1.57e-006	2.48e-004	1.05e-006	
12	2.00	0.2208	0.462	22.1	17.675	8.23e-007	3.06e-003	6.78e-006	
13	0.500	0.1990	0.503	19.9	91.286	1.68e-007	1.45e-002	6.58e-006	
14	0.125	0.1797	0.539	18.0	89.640	1.80e-007	5.14e-002	2.49e-005	
15	0.0625	0.1668	0.563	16.7	0.000	0.00e+000	2.07e-001	0.00e+000	
	Applied	Final	Void	Strain	Log				
	Stress	Displacement	Ratio	at End	T50	Cv	Mv	k	Ca
	tsf	in		8	min	ft²/sec	1/tsf	ft/day	8
						- ,	,		
1	0.0885	0.001687	0.873	0.169	0.000	0.00e+000	1.91e-002	0.00e+000	0.00e+000
2	0.125	0.002972	0.871	0.297	0.000	0.00e+000	3.52e-002	0.00e+000	0.00e+000
3	0.250	0.008637	0.860	0.864	0.000	0.00e+000	4.53e-002	0.00e+000	0.00e+000
4	0.500	0.01560	0.847	1.56	0.000	0.00e+000	2.79e-002	0.00e+000	0.00e+000
5	1.00	0.02744	0.825	2.74	1.688	3.23e-006	2.37e-002	2.06e-004	0.00e+000
6	2.00	0.05163	0.779	5.16	0.000	0.00e+000	2.42e-002	0.00e+000	0.00e+000
7	4.00	0.1066	0.676	10.7	5.684	8.50e-007	2.75e-002	6.30e-005	0.00e+000
8	8.00	0.1595	0.577	15.9	4.329	9.90e-007	1.32e-002	3.53e-005	0.00e+000
9	16.0	0.2068	0.488	20.7	2.828	1.34e-006	5.92e-003	2.15e-005	0.00e+000
10	32.0	0.2451	0.416	24.5	2.288	1.49e-006	2.39e-003	9.63e-006	0.00e+000
11	8.00	0.2392	0.427	23.9	0.000	0.00e+000	2.48e-004	0.00e+000	0.00e+000
12	2.00	0.2208	0.462	22.1	4.557	7.42e-007	3.06e-003	6.11e-006	0.00e+000
13	0.500	0.1990	0.503	19.9	18.778	1.89e-007	1.45e-002	7.43e-006	0.00e+000
14	0.125	0.1797	0.539	18.0	0.000	0.00e+000	5.14e-002	0.00e+000	0.00e+000
15	0.0625	0.1668	0.563	16.7	0.000	0.00e+000	2.07e-001	0.00e+000	0.00e+000

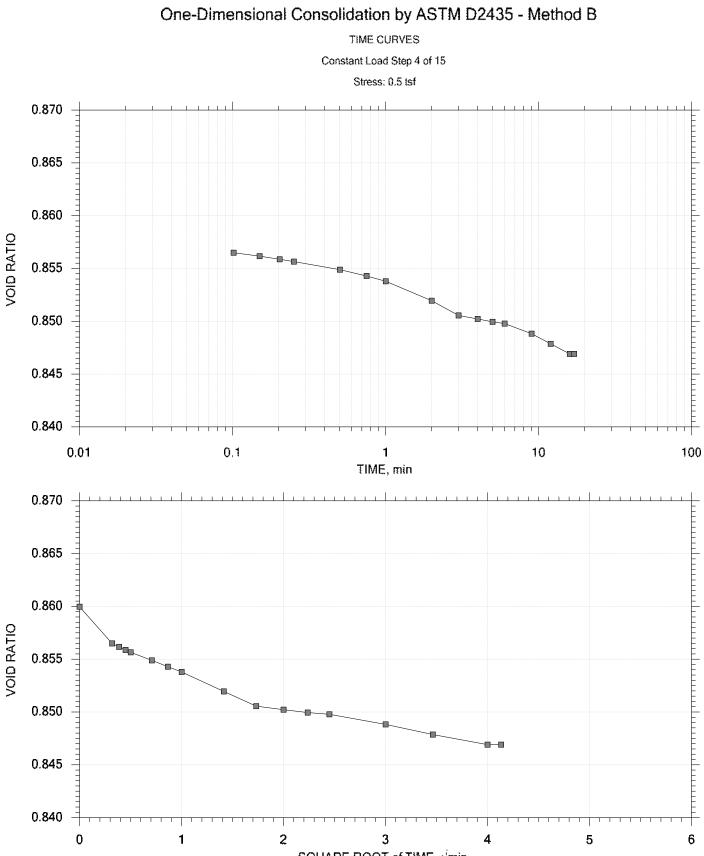




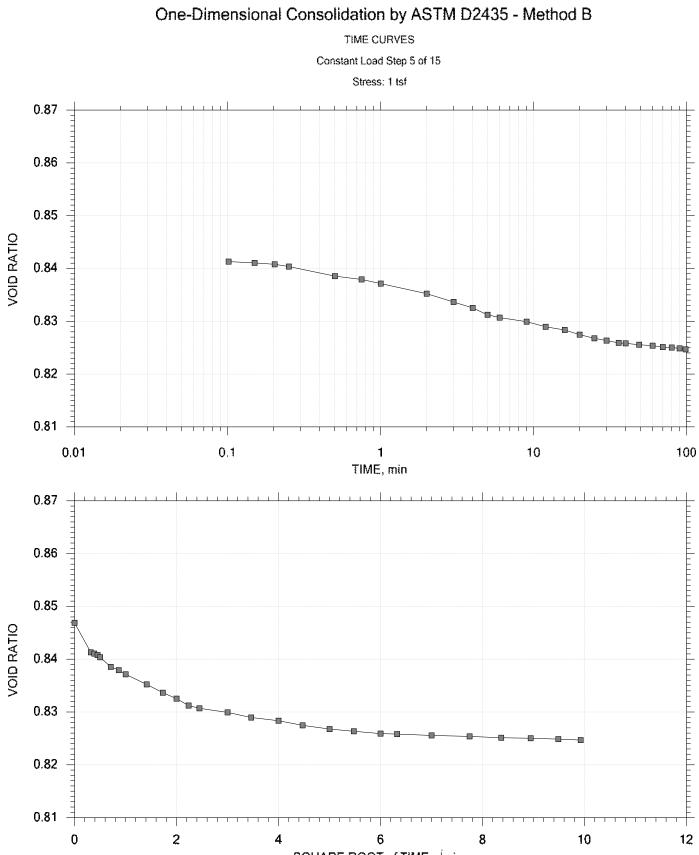
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	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moist, brown clay		
	Remarks: System V, Swell Pressure = 0.0885 tsf		



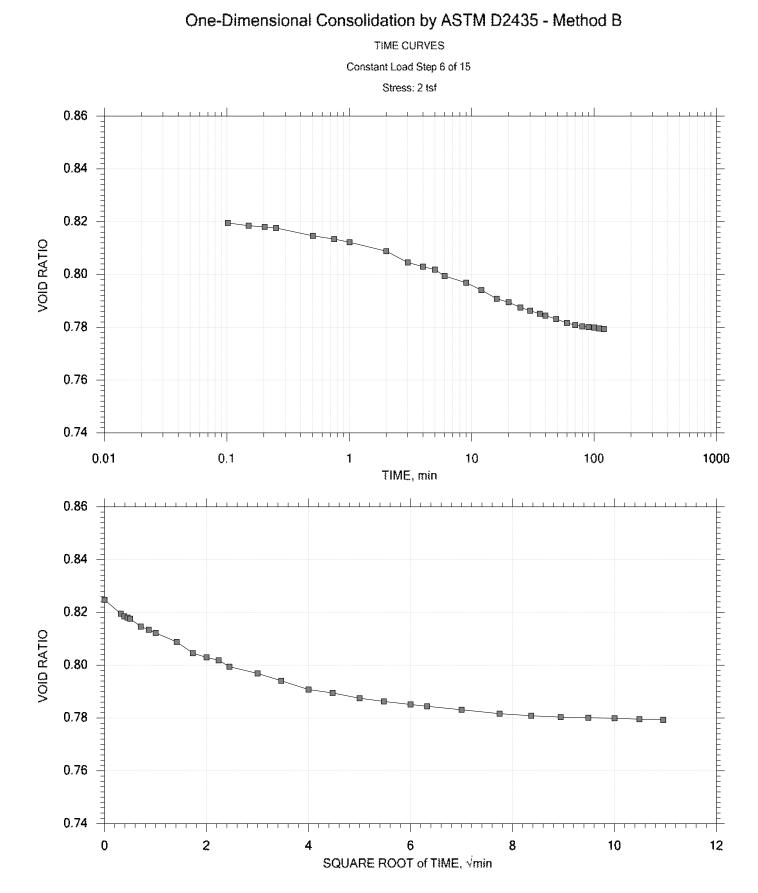
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	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moist, brown ctay		
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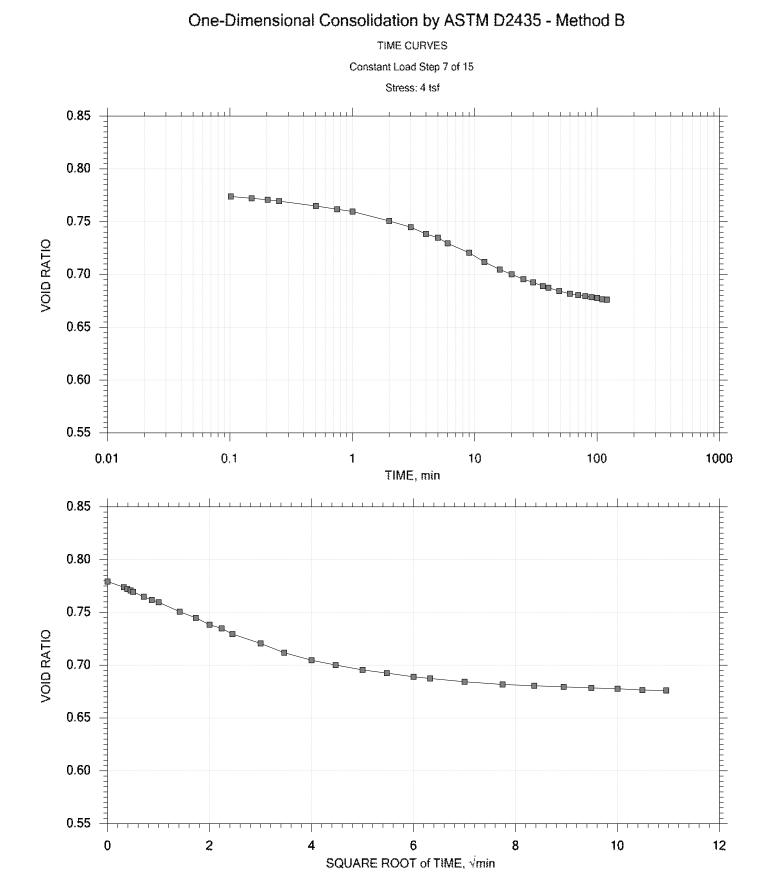


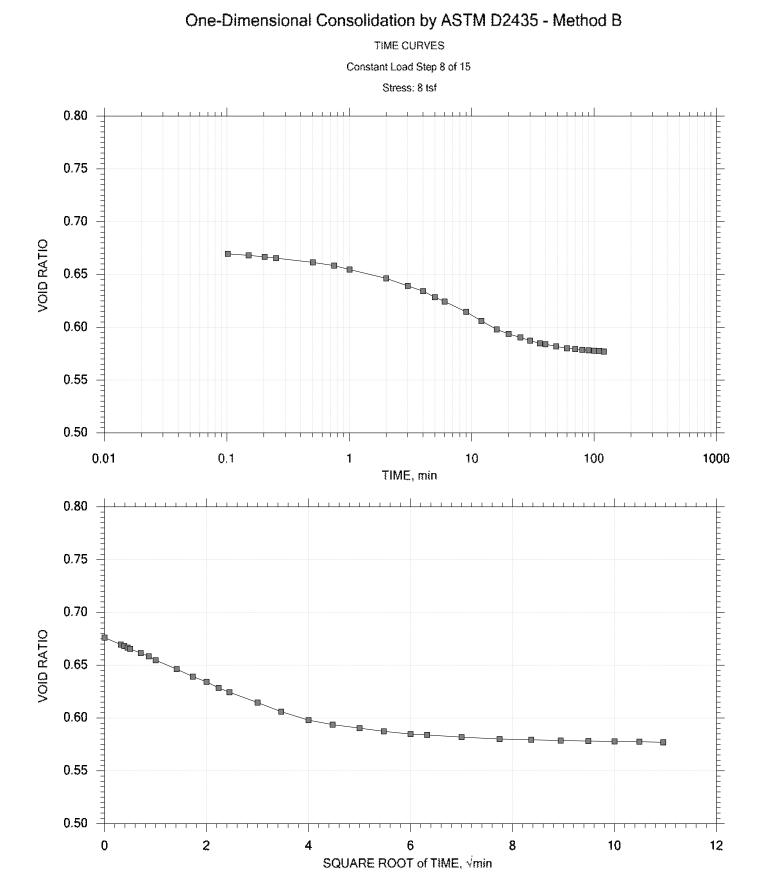
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	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moist, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		



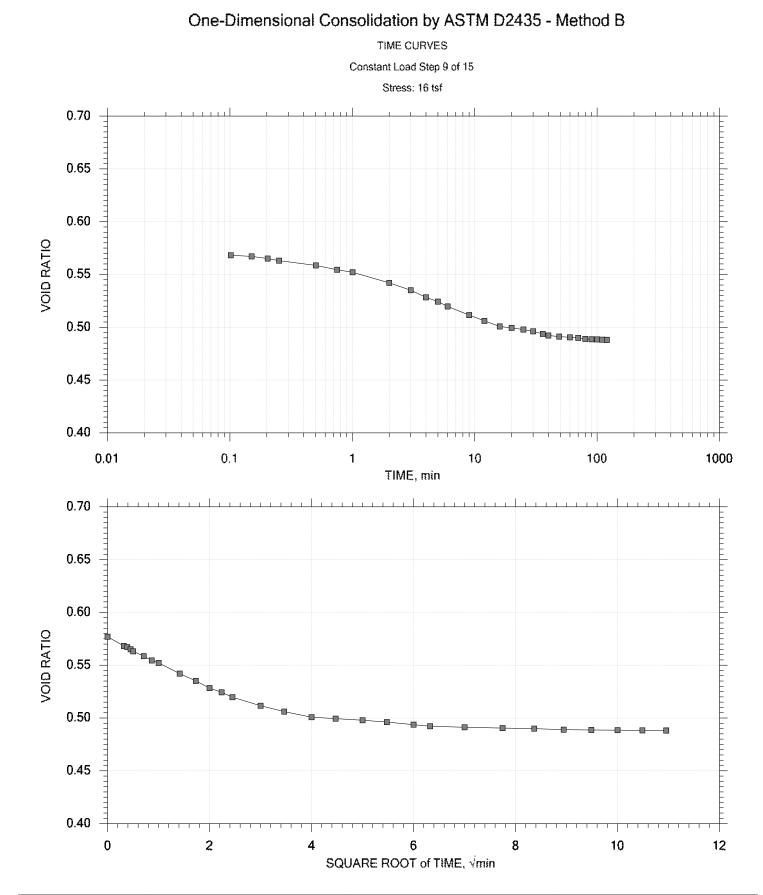
	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
GeoTesting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
	Depth: —	Sample Type: intact	Elevation:
EXPRESS	Description: Moisl, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		

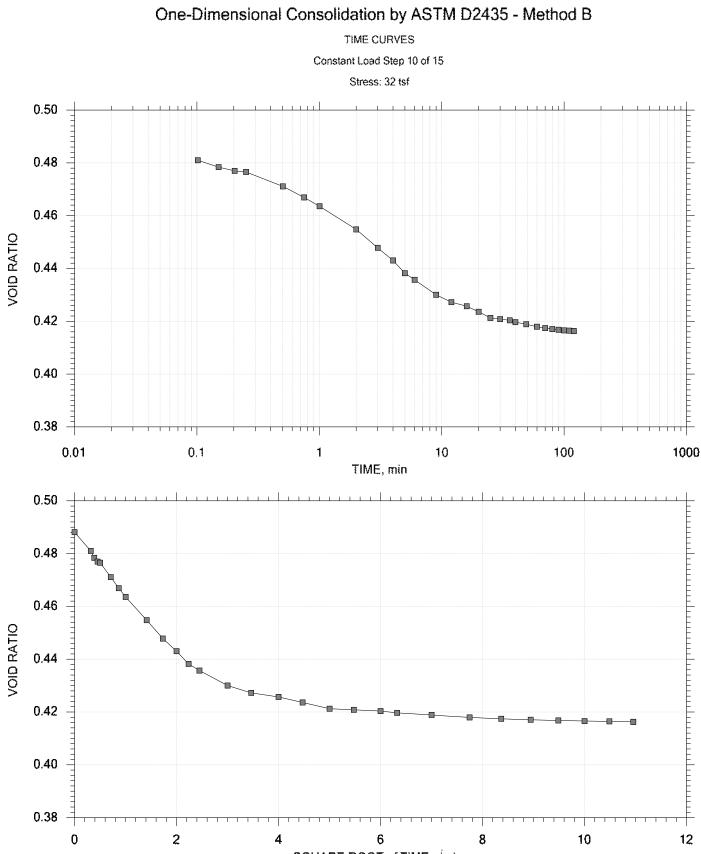




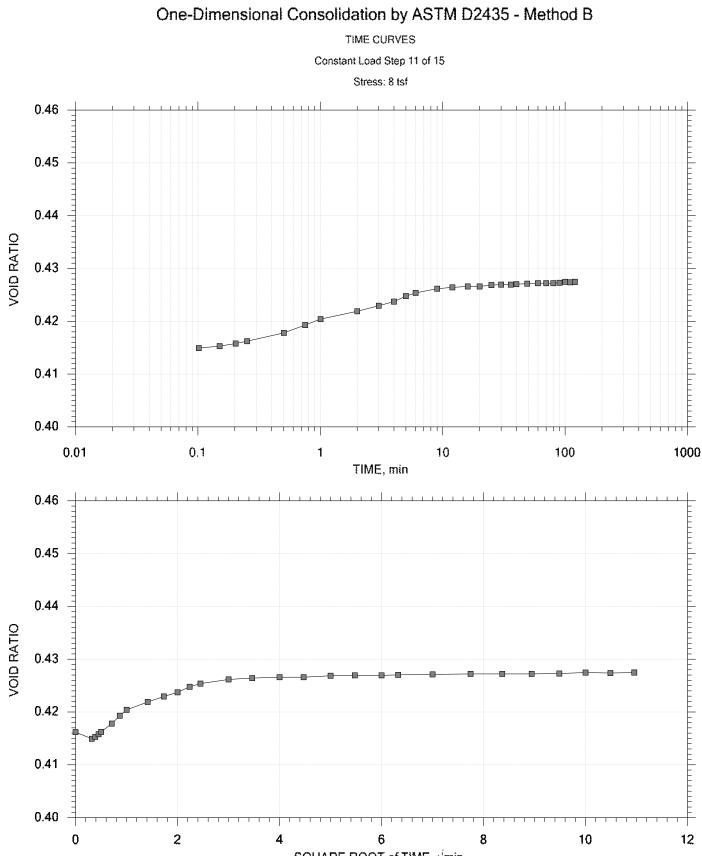


	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Casting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moisl, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		

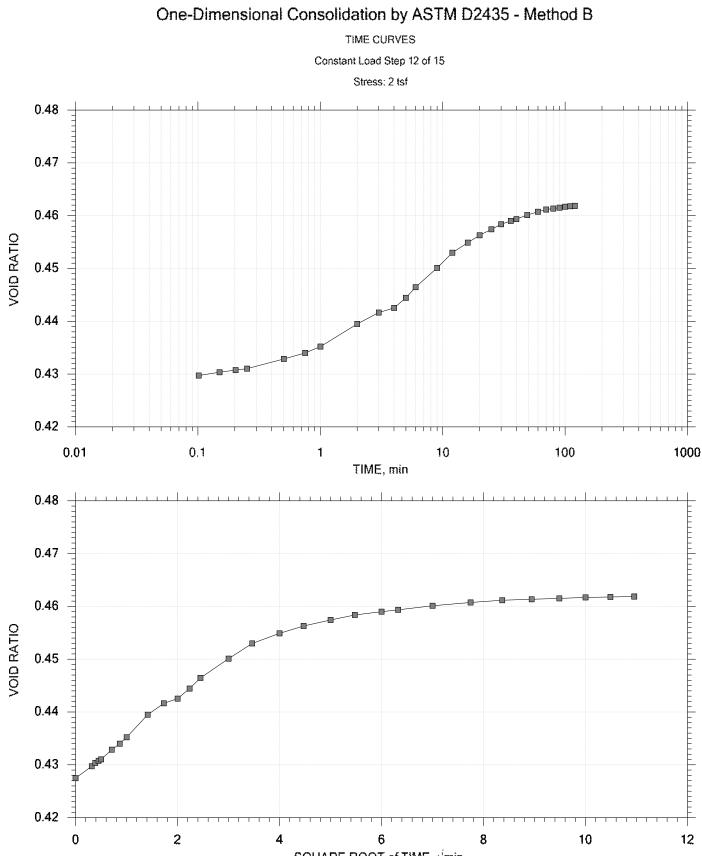




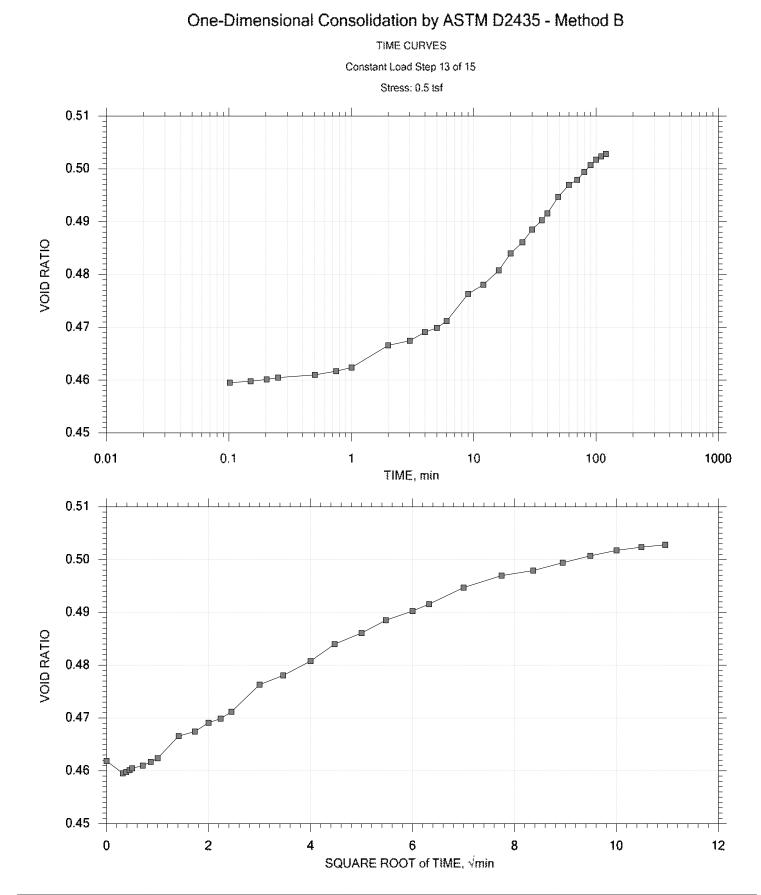
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	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Casting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moisl, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		



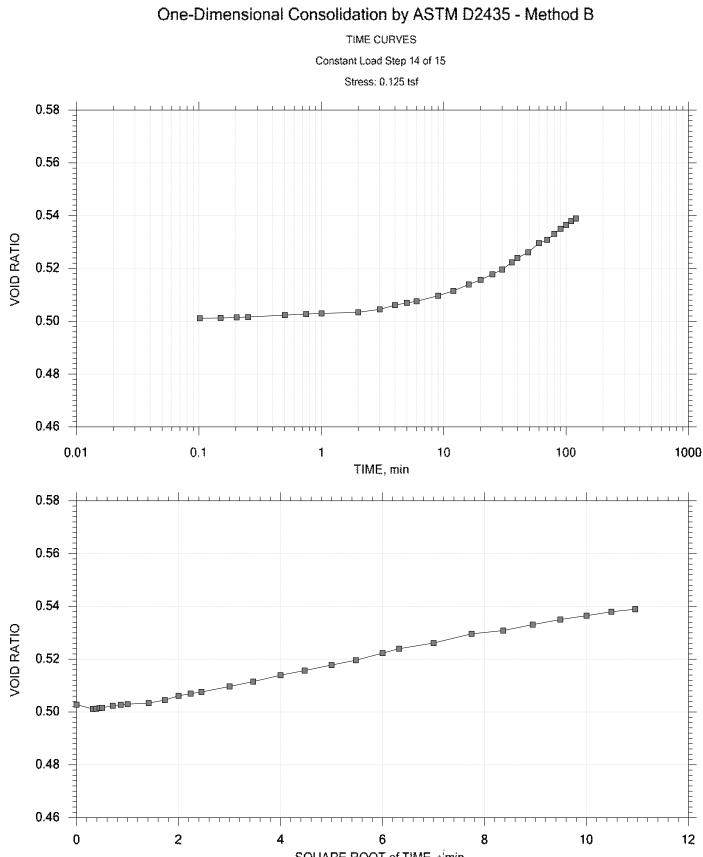
	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moisl, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		



	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt
Tasting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3
GeoTesting	Depth:	Sample Type: intact	Elevation:
EXPRESS	Description: Moist, brown clay		
	Remarks: System V. Swell Pressure = 0.0885 tsf		

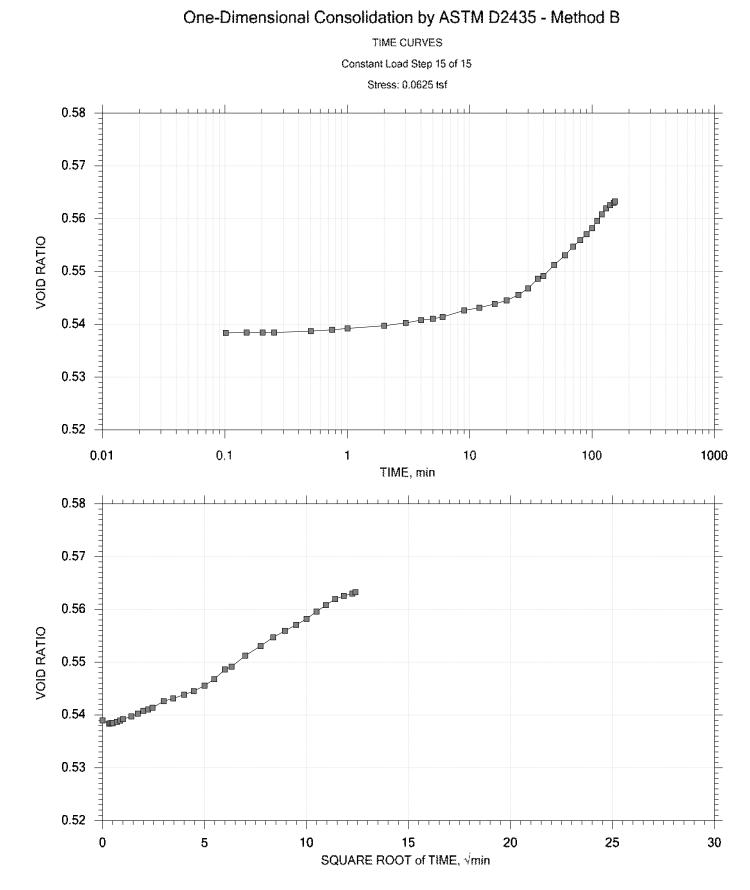


Project: Buffalo River Location: Buffalo, NY Project No.: GTX-303367 Boring No.: AQ-SB-02A Checked By: jdt Tested By: md Sample No.: ST-02 Test Date: 07/09/15 Test No.: IP-3 GeoTesting Depth: ---Sample Type: intact Elevation: ---EXPRESS Description: Moist, brown clay Remarks: System V. Swell Pressure = 0.0885 tsf



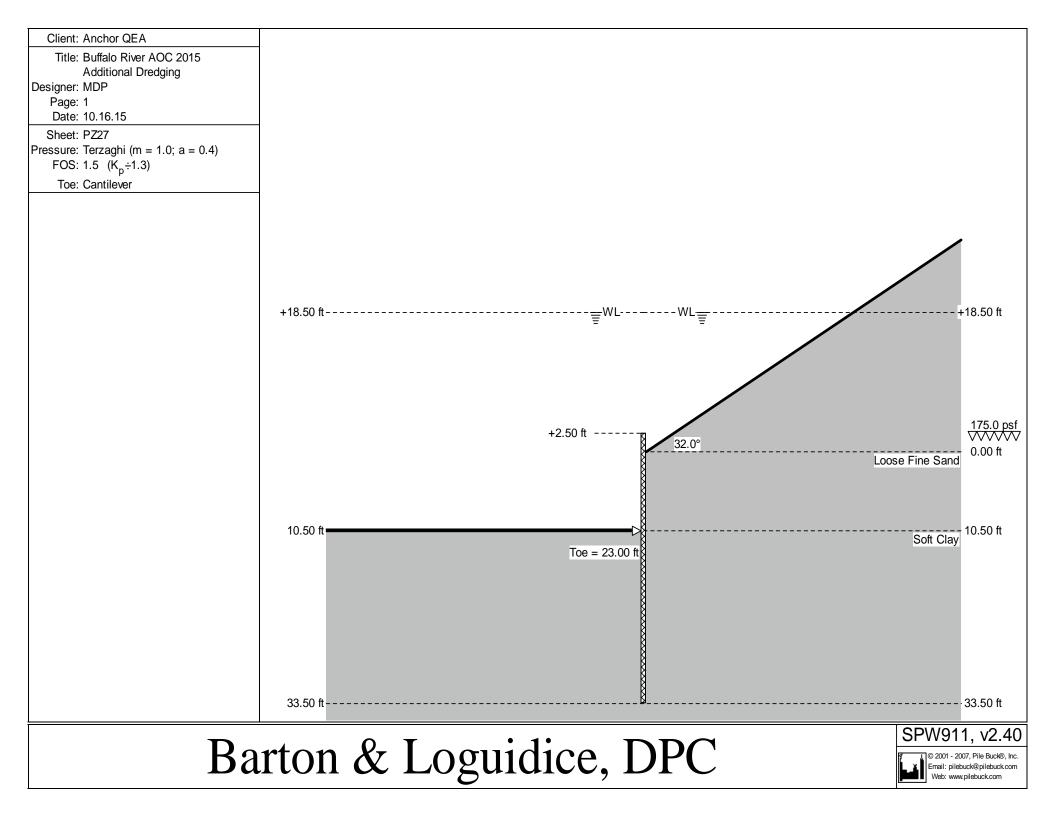
SQUARE ROOT of TIME, √min

	Project: Buffalo River	Location: Buffalo, NY	Project No.: GTX-303367				
	Boring No.; AQ-SB-02A	Tested By: md	Checked By: jdt				
Casting	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3				
GeoTesting EXPRESS	Depth: —	Sample Type: intact	Elevation:				
	Description: Moist, brown clay						
	Remarks: System V. Sweil Pressure = 0.0885 tsf						
	-						

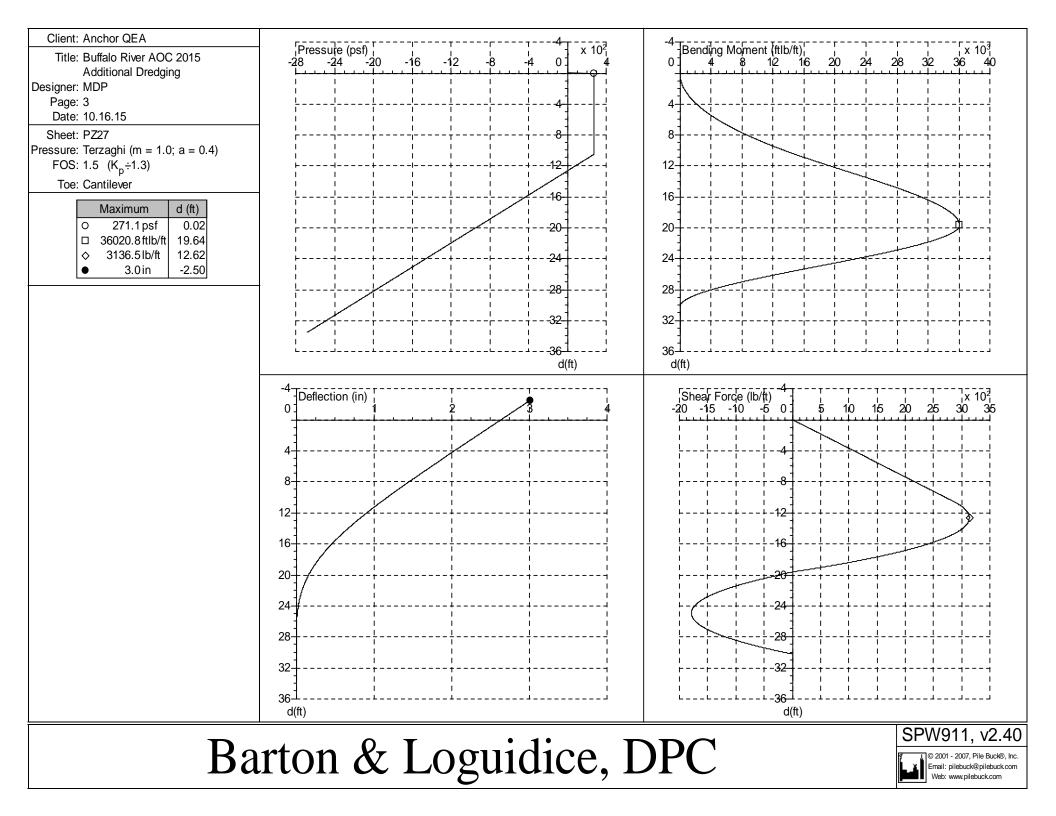


GeoTesting EXPRESS	Project: Buffalo River	Location: Buffalo, NY	Project No.; GTX-303367				
	Boring No.: AQ-SB-02A	Tested By: md	Checked By: jdt				
	Sample No.: ST-02	Test Date: 07/09/15	Test No.: IP-3				
	Depth:	Sample Type: intact	Elevation:				
	Description: Moisl, brown clay						
	Remarks: System V. Swell Pressure = 0.0885 tsf						

Appendix D Knee Wall Sheeting Design Calculations



							
Client: Anchor QEA	Input Data						
Title: Buffalo River AOC 2015 Additional Dredging Designer: MDP Page: 2	Depth Of Excavation = 10.50 ftDepth Of Active Water = +18.50 ftWater Density = 62.43 pcfSurcharge = 175.0 psfDepth Of Passive Water = +18.50 ftMinimum Fluid Density = 31.82 pcfSlope (active) = 32.0 degrees32.0 degreesSlope (active) = 32.0 degrees						
Date: 10.16.15	Soil Profile						
Sheet: PZ27 Pressure: Terzaghi (m = 1.0; a = 0.4) FOS: 1.5 (K _p ÷1.3) Toe: Cantilever	Depth (ft) Soil Name γ (pcf) γ (pcf) C (psf) C_a (psf) φ(°) δ (°) K_a K_{ac} K_p K_pc 0.00 Loose Fine Sand 120.00 65.55 0.0 0.0 30.0 0.00 3.00 2.33 0.00 3.00 0.00						
	Solution						
	Sheet I (in ⁴ /ft) E (psi) Z (in ³ /ft) Maximum Bending Moment (ftlb/ft) Upstand (ft) Pile Length (ft)						
	PZ27 187.50 3.04E+07 31.00 24970.3 64506.5 2.50 23.00 36.00						
	Maxima Maximum Depth Description 000000000000000000000000000000000000						
	Bending Moment 36020.8 ftlb/ft 19.64 ft Deflection 3.0 in -2.50 ft Pressure 271.1 psf 0.02 ft Shear Force 3136.5 lb/ft 12.62 ft						
Ba	arton & Loguidice, DPC						



Client: Anchor QEA		-		_	-		-		P	_	1 11	-		_	-
Title: Buffalo River AOC 2015	depth	P	M (#1b/#)	D	F (lb/#)	depth	P	M /#lb/#)	D	F (lb/ft)	depth	P	M (ftlb/ft)	D	F (Ib/#)
Additional Dredging	(ft)	(psf)	(ftlb/ft)	(in)	(lb/ft)	(ft)	(psf)	(ftlb/ft)	(in)		(ft)	(psf)	. ,	(in)	(lb/ft)
Designer: MDP	0.00	271.1	0.0	2.6	8.1	11.27	174.6		1.0	3020.4	22.53	-1273.5	29383.7	0.1	-1428.8
Page: 4 Date: 10.16.15	0.30 0.59	271.1 271.1	13.4	2.6 2.5	89.5	11.56	136.1	18058.8 18981.3	1.0	3066.5	22.83 23.12	-1312.0 -1350.5	28124.1	0.1	-1514.3
		271.1	51.1		170.8	11.86	97.6		0.9	3100.9			26795.7	0.0	-1588.3
Sheet: PZ27 Pressure: Terzaghi (m = 1.0; a = 0.4)	0.89 1.19	271.1	105.9 189.8	2.5	244.0 325.3	12.15 12.45	59.0 20.5	19912.5 20849.1	0.9 0.9	3123.8 3135.2	23.42 23.72	-1389.0 -1423.7	25408.3 24117.4	0.0 0.0	-1650.7 -1696.9
FOS: 1.5 (K_p ÷1.3)		271.1		2.5											-1737.4
Toe: Cantilever	1.48		298.1	2.4	406.7	12.75	-18.0		0.8	3135.5	24.01	-1462.2	22645.3	0.0	
	1.78	271.1 271.1	430.8	2.4	488.0	13.04	-56.5		0.8	3124.9 3102.7	24.31	-1500.7	21142.9	0.0	-1766.3
	2.08		587.7	2.3	569.3	13.34	-95.0	23657.0	0.7	3102.7	24.61	-1539.2	19620.2	0.0	-1783.6
	2.37	271.1	769.0	2.3	650.7	13.64	-129.7	24489.2	0.7		24.90	-1577.7	18087.2	0.0	-1789.4
	2.67	271.1	974.7	2.2	732.0	13.93	-168.2	25403.0	0.7	3028.8	25.20	-1616.2	16553.6	0.0	-1783.6
	2.96	271.1	1204.7	2.2	813.3	14.23	-206.7	26302.1	0.6	2973.2	25.50	-1654.7	15029.4	0.0	-1766.3
	3.26	271.1	1459.0	2.1	894.6	14.53	-245.2		0.6	2906.0	25.79	-1693.2	13524.6	0.0	-1737.4
	3.56	271.1	1708.7	2.1	967.8	14.82	-283.7	28042.3	0.6	2827.2	26.09	-1727.9	12194.9	0.0	-1701.5
	3.85	271.1	2009.3	2.1	1049.2	15.12	-322.2	28876.4	0.5	2736.9	26.38	-1766.4	10754.1	0.0	-1650.7
	4.15	271.1	2334.1	2.0	1130.5	15.42	-360.7	29681.9	0.5	2635.0	26.68	-1804.9	9361.4	0.0	-1588.3
	4.45	271.1	2683.4	2.0	1211.8	15.71	-399.2	30455.4	0.5	2521.6	26.98	-1843.4	8026.6	0.0	-1514.
	4.74	271.1	3057.0	1.9	1293.2	16.01	-433.9		0.5	2409.7	27.27	-1881.9	6759.7	0.0	-1428.
	5.04	271.1	3454.9	1.9	1374.5	16.31	-472.4	31824.4	0.4	2274.3	27.57	-1920.5	5570.7	0.0	-1331.
	5.34	271.1	3877.1	1.8	1455.8	16.60	-510.9		0.4	2127.4	27.87	-1959.0	4469.3	0.0	-1223.:
	5.63	271.1	4323.7	1.8	1537.2	16.90	-549.4		0.4	1968.9	28.16	-1997.5	3465.6	0.0	-1103.
	5.93	271.1	4746.4	1.8	1610.4	17.19	-588.0		0.4	1798.9	28.46	-2036.0	2569.3	0.0	-971.3
	6.23	271.1	5239.2	1.7	1691.7	17.49	-626.5		0.3	1617.3	28.76	-2070.7	1862.9	0.0	-842.9
	6.52	271.1	5756.4	1.7	1773.0	17.79	-665.0		0.3	1424.1	29.05	-2109.2	1198.3	0.0	-689.
	6.82	271.1	6297.9	1.6	1854.4	18.08	-703.5		0.3	1219.5	29.35	-2147.7	670.0	0.0	-524.
	7.12	271.1	6863.7	1.6	1935.7	18.38	-742.0	35374.7	0.3	1003.2	29.65	-2186.2	287.8	0.0	-347.
	7.41	271.1	7453.9	1.5	2017.0	18.68	-776.7	35620.6	0.2	798.7	29.94	-2224.7	61.8	0.0	-159.
	7.71	271.1	8068.4	1.5	2098.4	18.97	-815.2	35827.8	0.2	560.5	30.24	-2263.2	0.0	0.0	0.
	8.00	271.1	8707.2	1.4	2179.7	19.27	-853.7	35962.2	0.2	310.8	30.54	-2301.7	0.0	0.0	0.
	8.30	271.1	9370.4	1.4	2261.0	19.57	-892.2	36020.3	0.2	49.5	30.83	-2340.2	0.0	0.0	0.
	8.60	271.1	9988.1	1.4	2334.2	19.86	-930.7	35972.7	0.2	-159.0	31.13	-2374.9	0.0	0.0	0.
	8.89	271.1	10697.5	1.3	2415.5	20.16	-969.2	35762.8	0.2	-347.3	31.42	-2413.4	0.0	0.0	0.
	9.19	271.1	11431.2	1.3	2496.9	20.46	-1007.7	35395.8	0.1	-524.1	31.72	-2451.9	0.0	0.0	0.0
	9.49	271.1	12189.3	1.2	2578.2	20.75	-1046.2	34881.7	0.1	-689.3	32.02	-2490.4	0.0	0.0	0.
	9.78	271.1	12971.8	1.2	2659.5	21.05	-1080.9	34301.3	0.1	-828.1	32.31	-2528.9	0.0	0.0	0.
	10.08	271.1	13778.5	1.2	2740.9	21.35	-1119.4	33534.8	0.1	-971.3	32.61	-2567.5	0.0	0.0	0.
	10.38	271.1	14609.6	1.1	2822.2	21.64	-1157.9	32649.9	0.1	-1103.0	32.91	-2606.0	0.0	0.0	0.
	10.67	247.7	15465.0	1.1	2901.2	21.94	-1196.4	31656.5	0.1	-1223.2	33.20	-2644.5	0.0	0.0	0.0
	10.97	213.1	16253.9	1.0	2962.9	22.23	-1234.9	30564.4	0.1	-1331.8	33.50	-2679.1	0.0	0.0	0.0
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D.					•	1'		DI					SP	W911,	v2.4(



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DEPARTMENT OF THE ARMY

BUFFALO DISTRICT, CORPS OF ENGINEERS 1776 NIAGARA STREET BUFFALO, NEW YORK 14207-3199

REFLY TO ATTENTION OF:

August 14, 2013

Regulatory Branch

SUBJECT: Transmittal of Department of the Army Pennit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

Mr. Rich Galloway Honeywell International, Inc. 101 Columbia Road Morristown, New Jersey 07962

Dear Mr. Galloway:

I am writing to you in regard for the Department of the Army permit application you submitted on behalf of Honeywell International, Inc. to dredge approximately 430,000 to 485,000 cubic yards of contaminated sediment and discharge clean sediment/stone to cap an approximate 388,700 square foot area within the Buffalo River Area of Concern (AOC) under the U.S. EPA's Great Lakes Legacy Act (GLLA). The dredging/capping mentioned above will be implemented within 6.2 miles from the mouth of the Buffalo River up to Cazenovia/Buffalo Creeks, including the 1.4 mile City Ship Canal, in the City of Buffalo, Erie County, New York.

I have evaluated the impacts associated with your proposal, and have concluded that they are authorized by the enclosed Nationwide Permit (NWP) provided that the attached conditions are satisfied.

Verification of the applicability of this NWP is valid until March 19, 2017 unless the NWP is modified, suspended, revoked, or the activity complies with any subsequent permit modification. Please note in accordance with 33 CFR part 330.6(b), that if you commence or are under contract to commence an activity in reliance of the permit prior to the date this Nationwide permit expires, is suspended or revoked, or is modified such that the activity no longer complies with the terms and conditions, you have twelve months from the date of permit modification, expiration, or revocation to complete the activity under the present terms and conditions of the permit, unless the permit has been subject to the provisions of discretionary authority.

It is your responsibility to remain informed of changes to the NWP program. A public notice announcing any changes will be issued when they occur and will be available for viewing at our website: http://www.lrb.usace.army.mil/Missions/Regulatory.aspx. Finally, note that if

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your activity is not undertaken within the defined period or the project specifications have changed, you must immediately notify this office to determine the need for further approval or reverification.

This affirmation is limited to the attached NWP and associated WQC, and does not obviate the need to obtain any other project specific Federal, state, or local authorization. Specifically, you may need to obtain Article 15 (Protection of Water), Article 24 (Freshwater Wetland), and/or Article 34 (Coastal Erosion Management) authorization from the New York State DEC.

In addition to the general conditions attached to the NWP, your attention is directed to the following Special Conditions which are also appended at the end of the NWP General Conditions:

Administrative

- 1. At the request of an authorized representative of the Buffalo District, U.S. Army Corps of Engineers, the permittee shall allow access to the project site and all restoration areas to determine compliance with the conditions of this permit.
- 2. You are responsible for ensuring that all contractors and/or workers executing the activity(s) authorized by this permit have knowledge of the terms and conditions of the authorization and that a copy of the permit document is at the project site throughout the period that the authorized work is underway. You shall also inform all contractors of liabilities associated with non-compliance of this permit.
- 3. If any historic or archeological artifacts or remains are discovered while conducting work authorized by this permit, you must notify the Corps of Engineers in accordance with General Condition 21 and all work in the vicinity of the discovery must be stopped immediately, pending initiation of any required consultation under the National Historic Preservation Act.
- 4. Should human remains be encountered during any phase of the proposed project, such person or persons encountering the human remains must immediately cease work in the vicinity of the discovery and must not disturb or remove the remains, must protect the exposed portions of the remains from inclement weather and vandalism, and immediately notify the permittee. Continuing work on the project may result in adverse effects to the remains, which may be contrary to the National Historic Preservation Act. After discovery, the permittee must immediately notify (within 24 hours) Joseph Rowley, USACE Buffalo District, 1776 Niagara Street, Buffalo New York 14207 at (716) 879-4279 or email: joseph.m.rowley@usace.army.mil and the New York State Office of Parks, Recreation, and Historic Preservation, Peebles Island State Park, P.O. Box 189, Waterford, New York 12188-0189, (518) 237-8643.

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- 5. Please note, no dredging activities will extend beyond the horizontal and vertical extent of previous maintenance dredging episodes that may have occurred within DMU-45c (Black shaded area indicated on Sheet 2 of 111).
- 6. Please note, no dredging can take place within a 100 buffer area around Sonar Target BF-5 where no dredging can take place. This area is adjacent to DMU-11 and is indicated on Sheet 5 of 111.
- 7. The permittee is authorized to discharge only clean fill material that is free of fines, oil and grease, debris, wood, general refuse, plaster, broken asphalt, or other potential pollutants.
- 8. The Section 401 Water Quality Certification issued for this project by the State of New York is hereby part of this Department of the Army permit pursuant to Section 401(d) of the Clean Water Act. Noncompliance with any limitations or requirements stated in the certification may be a basis for suspension, revocation or modification of this permit.
- 9. The permittee is prohibited from performing in-water work between December 30 and June 15 within the Buffalo River and December 30 and June 30 in City Ship Canal to preclude adverse impacts on the spawning, nursery, and feeding activities of indigenous fish species.

Dredging

- 1. The permittee, including their contractors, must ensure the dredged material is not temporarily or permanently placed in Waters of the U.S., including wetlands.
- 2. The permitte must notify Mr. Joseph Rowley, USACE Buffalo District, 1776 Niagara Street, Buffalo New York 14207, in writing, at least two (2) weeks prior to initiating any dredging activities authorized by this permit.
- 3. The permittee or their contractors must have a copy of this permit on the vessel used for the authorized transportation and disposal of dredged material.
- 4. The permittee must install and maintain, at their expense, any safety lights and signals prescribed by the United States Coast Guard (USCG), through regulations or otherwise, on the structures or vessels being utilized for the dredging operation. The USCG may be reached at the following address: Commander (OAN), U.S. Coast Guard, Ninth Coast Guard District, 1240 East Ninth Street, Cleveland, Ohio 44199-2060, Telephone: (216) 902-6069; FAX: (216) 902-6059
- 5. Dredging operations must be strictly controlled to minimize spillage and re-suspension of

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bottom sediments.

Confined Disposal Facility

1. Prior to conducting any work authorized by this permit including the use of a Federal Confined Disposal Facility (CDF), the permittee must enter into a Memorandum of Agreement (MOA) with the Buffalo District and be willing to abide by all terms and conditions, including but not limited to, paying the required tipping fee (fee varies with each Federal CDF), submitting and complying with an Buffalo District approved Operations Plan, and participating in a site visit with the Corps (Mr. Robert Remmers, see below) to the selected CDF before and after disposal operations.

NOTE: Issuance of a Corps permit for dredging does not automatically guarantee approval for use of the Federal CDF. An approved MOA must be fully executed prior to use of a federal CDF.

- 2. The following specific requirements must be met in order for the Corps to allow dredged material to be placed into a Federal CDF:
 - a. The dredged material must be from a project that is directly related to navigation.
 - b. The material that is proposed to be disposed in the Federal CDF must be toxicologically suitable, as determined by a technical review of relevant contaminant information. This office must approve the technical review prior to final approval of use of the CDF. Such determinations may vary for each CDF depending on site-specific conditions. The material cannot be suitable for open-lake placement, but must not be too contaminated for disposal in the Federal CDF. Upon written request, the Buffalo District will provide guidance on how to adequately characterize the material for Federal CDF disposal. The permittee will be responsible for performing any required sampling, testing and evaluation of the material. The permittee shall submit this required information to the Buffalo District for concurrence regarding the evaluation of the material and its suitability for Federal CDF disposal.
 - c. The sediments that are dredged must be physically suitable for placement into the Federal CDF. Dredged materials accepted for disposal shall include natural sediments and, not include large natural or man-made items such as trees, tree stumps, large tree branches, large boulders, concrete rubble, wooden timbers, steel items, construction materials, etc. Sediments containing small stones (under

4

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I-foot in diameter) and/or small branches or other minor natural woody debris are acceptable.

- d. The dredged material must be removed from below the ordinary high water mark, which was determined by this office. Material above this elevation shall not be disposed of into the Federal CDF. If necessary, contact the Buffalo District for the elevation of the ordinary high water mark.
- 3. If the MOA is approved, the permittee must then submit an Operations Plan, prior to dredging as authorized by this permit, to the Corps (Mr. Robert Remmers, see below) for review and approval. The Operations Plan must address operational activities associated with usage of the CDF including, but not limited to, the method of dredged material disposal (i.e. clamshell, hydraulic pipeline, etc.), identification of the disposal location in the CDF as provided by the Corps, and method of quantity measurement and computation.
- 4. The permitee must perform the work authorized by this permit in such a way that it will not interfere with any scheduled or ongoing maintenance activity conducted by the Federal Government, including at the Federal channel, Federal CDF, or Federal navigation structures. Information regarding the scheduling of Federal maintenance projects may be obtained by contacting Mr. Robert Remmers as noted below.
- 5. Immediately upon completion of disposal operations (within 5 days) the permittee is required to submit to this office a copy of an itemized contractor's bill or statement showing the total cubic yardage deposited into the Federal Disposal Site and a description of the method used to calculate the yardage (e.g., before and after depth soundings within the dredge area). Backup information (including soundings or other survey data) must also be submitted to this office. This information will be used to calculate the disposal facility usage fee, which they will be billed for this amount. The information must be sent to Mr. Robert Remmers as noted below and a second copy must be sent to: Mr. Harold Keppner, Chief, Monitoring and Enforcement Section, U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207-3199.
- 6. Approval to use a Federal CDF is subject to space limitations, as determined by the U.S. Army Corps of Engineers, Buffalo District.
- 7. That should the CDF reach capacity prior to project completion; the permittee will be responsible for finding an alternate upland disposal location and will not be authorized to use the alternate location until they receive written approval from this office.
- Information on how to coordinate an MOA with this office, and requirements for an Operations Plan, may be obtained by contacting Mr. Robert Remmers, Chief, Operations and Technical Support Section, who may be contacted by calling (716) 879-4277, by email: <u>robert.w.remmers@usace.army.mil</u>, or by writing to: Mr. Robert Remmers, Chief,

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

Operations and Tech Support, U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207-3199.

Finally, this letter contains an approved JD for the subject parcel. If you object to this determination, you may request an administrative appeal under Corps regulations at 33 CFR Part 331. Enclosed you will find a Notification of Appeal Process (NAP) fact sheet and Request for Appeal (RFA) form. If you request to appeal the above determination, you must submit a completed RFA form within 60 days of the date on this letter to the Great Lakes/Ohio River Division Office at the following address:

Attn: Appeal Review Officer Great Lakes and Ohio River Division CELRD-PD-REG 550 Main Street, Room 10032 Cincinnati, OH 45202-3222 Phone: 513-684-6212;FAX(513) 684-2460

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 C.F.R. part 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP. Should you decide to submit an RFA form, it must be received at the above address by October 14, 2013.

It is not necessary to submit an RFA to the Division office if you do not object to the determination in this letter.

A copy of this correspondence has been sent to Ms. Mary Beth Giancarlo of the U.S. Environmental Protection Agency Great Lakes National Program Office.

Questions pertaining to this matter should be directed to me at 716-879-4279, by writing to the following address: U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207, or by e-mail at: joseph.m.rowley@usace.army.mil

Sincerely,

MILECTORY, Digitally signed by ROWLEY, JOSEPH M.1266892183 M.1266892183 Charlow Lev JOSEPH, M.126892183 Charlow Lev JOSEPH, M.126892183

> Joseph Rowley Physical Scientist

Enclosures

COMPLETION FORM / COMPLIANCE CERTIFICATION

Each permittee who receives a Nationwide Permit (NWP) verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any compensatory mitigation.

APPLICANT:
Honeywell International, Inc.
101 Columbia Road
Morristown, New Jersey 07962

POINT OF CONTACT: Mr. Rich Galloway Honeywell International, Inc. 101 Columbia Road Morristown, New Jersey 07962 File No.: 2013-00814 File Closed: July 24, 2013 -NWP No.: 38

Upon completion of the activity authorized by this permit and any required compensatory mitigation sign this certification and return it to the address listed below within 30 days of project completion.

Please note that your permitted activity is subject to a compliance inspection by a U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, revocation, and/or assessment of administrative penalties.

The permittee shall certify the completion of the authorized work and mitigation:

- a. The authorized work was done in accordance with the NWP authorization, including any general, regional, or activity specific conditions.
- b. The implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, this certification must include the documentation required by 33 CFR 332.3(1)(3) to confirm that the permittee secured the appropriate number and resource type of credits.

APPLICANTS NAME

Date

Permittee Telephone Number: _____

Project location: 6.2 miles from the mouth of the Buffalo River up to Cazenovia/Buffalo Creeks, including the 1.4 mile City Ship Canal, in the City of Buffalo, Erie County, New York.

Project Description: dredge approximately 485,000 cubic yards of contaminated sediment, discharge clean sediment/stone to cap an approximate 388,700 square foot area

Authorized Impacts (Waters of the U.S. Impacted by Project): 6.2 miles of the Buffalo River

Waterway and/or Project Setting: Buffalo River

Return completed form to: Mr. David Leput Regulatory Branch U.S. Army Corps of Engineers 1776 Niagara Street Buffalo, NY 14207

NOTIFICATION OF ADMINISTRATIVE A	PPEAL OPTIONS AND PROCESS	AND			
REQUEST FO					
Applicant: Honeywell International, Inc.	File Number: 2013-00814	Date: See Section below			
INITIAL PROFFERED PERMIT (Standard Permit or Letter	r of permission)	A			
PROFFERED PERMIT (Standard Permit or Letter of permi		B			
PERMIT DENIAL	331011	C			
X APPROVED JURISDICTIONAL DETERMINATION		D			
PRELIMINARY JURISDICTIONAL DETERMINATION		E			
SECTION I - The following identifies your rights and options regard	ling an administrative anneal of the abo				
information may be found at http://www.usace.army.mil/CECW/Page					
A: INITIAL PROFFERED PERMIT: You may accept or object t					
	•				
•ACCEPT: If you received a Standard Permit, you may sign the pe					
authorization. If you received a Letter of Permission (LOP), you					
signature on the Standard Permit or acceptance of the LOP means					
to appeal the permit, including its terms and conditions, and appr	oved jurisdictional determinations asso	ciated with the permit.			
•OBJECT: If you object to the permit (Standard or LOP) because of	f certain terms and conditions therein	you may request that the			
permit be modified accordingly. You must complete Section II of					
objections must be received by the district engineer within 60 day					
appeal the permit in the future. Upon receipt of your letter, the di					
modify the permit to address all of your concerns, (b) modify the	permit to address some of your objecti-	ons, or (c) not modify			
	the permit having determined that the permit should be issued as previously written. After evaluating your objections, the				
district engineer will send you a proffered permit for your reconsideration, as indicated in Section B below.					
B: PROFFERED PERMIT: You may accept or appeal the permit					
• ACCEPT: If you eccelured a Standard Barrit you may alon the re-	mit document and refere it to the distant	int anniunan fan finnt			
 ACCEPT: If you received a Standard Permit, you may sign the pe authorization. If you received a Letter of Permission (LOP), you 					
signature on the Standard Permit or acceptance of the LOP means that you accept the permit in its entirety, and waive all rights to appeal the permit, including its terms and conditions, and approved jurisdictional determinations associated with the permit.					
	j				
•APPEAL: If you choose to decline the proffered permit (Standard					
may appeal the declined permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this					
form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the					
date of this notice.		1 1 7 1			
C: PERMIT DENIAL: You may appeal the denial of a permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division					
engineer within 60 days of the date of this notice.	a engineer. This form must be receive	a by the arvision			
D: APPROVED JURISDICTIONAL DETERMINATION: You	may accept or appeal the approved IT	or provide new			
information.	may necest of appear the approved ap-	or provide new			
•ACCEPT: You do not need to notify the Corps to accept an approved JD. Failure to notify the Corps within 60 days of the date					
of this notice, means that you accept the approved JD in its entirety, and waive all rights to appeal the approved JD.					
AL 6 20 20 20 20 7" 1/3 - 1 1 1 10" 1					
• APPEAL: If you disagree with the approved JD, you may appeal the approved JD under the Corps of Engineers Administrative					
Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.					
E: PRELIMINARY JURISDICTIONAL DETERMINATION:	You do not need to respond to the Corr	s regarding the			
preliminary JD. The Preliminary JD is not appealable. If you wish, y					
contacting the Corps district for further instruction. Also you may pro-					
reevaluate the JD.		· , · ·			

SECTION II - REQUEST FOR APPEAL or OBJECTIONS TO AN INITIAL PROFFERED PERMIT

REASONS FOR APPEAL OR OBJECTIONS: (Describe your reasons for appealing the decision or your objections to an initial proffered permit in clear concise statements. You may attach additional information to this form to clarify where your reasons or objections are addressed in the administrative record.)

ADDITIONAL INFORMATION: The appeal is limited to a review of the administrative record, the Corps memorandum for the record of the appeal conference or meeting, and any supplemental information that the review officer has determined is needed to clarify the administrative record. Neither the appellant nor the Corps may add new information or analyses to the record. However, you may provide additional information to clarify the location of information that is already in the administrative record.

POINT OF CONTACT FOR QUESTIONS OR INFORMATI	ON:		
If you have questions regarding this decision and/or the appeal	If you only have questions regard	ding the appeal process you may	
process you may contact:	also contact:		
Joseph Rowley	Attn: Appeal Review Officer		
United States Army Corps of Engineers	Great Lakes and Ohio River Div	ision	
Buffalo District	CELRD-PD-REG		
1776 Niagara Street	550 Main Street, Room 10032		
Buffalo, NY 14207	Cincinnati, OH 45202-3222		
716-879-4279	(513) 684-6212;FAX(513) 684-2460		
joseph.m.rowley@usace.army.mil			
RIGHT OF ENTRY: Your signature below grants the right of en			
consultants, to conduct investigations of the project site during the	course of the appeal process. You	u will be provided a 15 day	
notice of any site investigation, and will have the opportunity to p	articipate in all site investigations.		
	Date:	Telephone number:	
Signature of appellant or agent.			

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NAVIGABLE WATERWAYS IN THE BUFFALO DISTRICT APPROVED JURISDICTIONAL DETERMINATION FORM U.S. Army Corps of Engineers

BACKGROUND INFORMATION

A. REPORT COMPLETION DATE FOR APPROVED JURISDICTIONAL DETERMINATION (JD): December 19, 2007

B. DISTRICT OFFICE: Buffalo

C. PROJECT LOCATION AND BACKGROUND INFORMATION: The Buffalo District has previously determined the extent of navigable waters within their regulatory jurisdiction in the states of New York and Ohio. Posting of this jurisdictional determination establishes Corps jurisdiction over these navigable waters under Section 10 of the Rivers and Harbors Act of 1899. A list of these waters is posted on the Buffalo District's regulatory website at

http://www.irb.usace.army.mil/Portals/45/docs/regulatory/DistrictInfo/waterway_ny.pdf and

http://www.lrb.usace.army.mil/Portuls/45/docs/regulatory/DistrictInfo/waterway_oh.pdf . The Buffalo District has determined that a site/project specific jurisdictional determination involving these Section 10 navigable waters is not required.

D. REVIEW PERFORMED FOR EVALUATION: Office (Desk) Determination. Date: December 19, 2007

<u>SUMMARY OF FINDINGS</u> RHA SECTION 10 DETERMINATION OF JURISDICTION.

There Are "navigable waters of the U.S." within Rivers and Harbors Act (RHA) jurisdiction (as defined by 33 CFR part 329) in the review area. [Required]

Waters subject to the ebb and flow of the tide.

Waters are presently used, or have been used in the past, or may be susceptible for use to transport interstate or foreign commerce. Explain: Prior to the determination and listing of Buffalo District's Section 10 navigable waters, detailed navigability studies were performed throughout the Buffalo District to determine which waters met the navigable waters definition found in 33 CFR Part 329. Upon completion, the Buffalo District issued several public notices updating the listing of Section 10 navigable waters determinations within the Buffalo District's regulatory boundaries. Since the most recent Public Notice (PN) 7-81, dated 27 July 1981, the extent of navigability of these listed waters and additional waters determined to be navigable was further refined pursuant to the "Definition of Navigable Waters of the United States" as provided in 33 CFR Part 329. The PN indicated that federal regulatory jurisdiction extends laterally to the entire water surface and bed of a navigable waterbody, which includes all the land and waters below the ordinary high water mark (OHW) and that all adjacent embayments, or backwater areas, ponds or wetlands located below OHW are considered navigable for administrative purposes. In addition, the Pittsburg District issued PN 00-54, dated 3 October 2000, established Section 10 jurisdiction over a portion of the Allegheny River which now occurs within the Buffalo District's regulatory boundaries. The current list of navigable waters, including extent of jurisdiction, occurring within the Buffalo District's regulatory website at

http://www.lrb.usace.army.mil/Portals/45/docs/regulatory/DistrictInfo/waterway_ny.pdf and

http://www.hb.usace.army.mil/Portals/45/docs/regulatory/DistrictInfu/waterway_oh.pdf. or provided upon request.

DATA SOURCES

SUPPORTING DATA. Data reviewed for JD (check all that apply - checked items shall be included in case file and, where checked and requested, appropriately reference sources below):

- Maps, plans, plots or plat submitted by or on behalf of the applicant/consultant:
- Data sheets prepared/submitted by or on behalf of the applicant/consultant.
 - Office concurs with data sheets/delineation report.
 - Office does not concur with data sheets/delineation report.
- Data sheets prepared by the Corps:
- Corps navigable waters' study: Navigable water studies are available for the majority of navigable waters within the Buffalo District regulatory jurisdiction; Buffalo District issued PN 7-81, dated 27 July 1981; Pittsburg District issued PN 00-54, dated 3 October 2000
- U.S. Geological Survey map(s). Cite scale & quad name:.
- USDA Natural Resources Conservation Service Soil Survey. Citation:
- FEMA/FIRM maps:
- 100-year Floodplain Elevation is: (National Geodetic Vertical Datum of 1929)
- Photographs: Aerial (Name & Date):
 - or 🛄 Other (Name & Date):
 - Previous determination(s). File no. and date of response letter: .
- Applicable/supporting case law:
- Applicable/supporting scientific literature:
- Other information (please specify): Definition of Navigable Waters of the United States (33 CFR Part 329).

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ACTIVITIES AUTHORIZED BY 2012 NATIONWIDE PERMIT WITHIN THE STATE OF NEW YORK Expiration March 18, 2017

B. Nationwide Permits

38. Cleanup of Hazardous and Toxic Waste. Specific activities required to effect the containment, stabilization, or removal of hazardous or toxic waste materials that are performed, ordered, or sponsored by a government agency with established legal or regulatory authority. Court ordered remedial action plans or related settlements are also authorized by this NWP. This NWP does not authorize the establishment of new disposal sites or the expansion of existing sites used for the disposal of hazardous or toxic waste.

Notification: The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity. (See general condition 31.) (Sections 10 and 404)

Note: Activities undertaken entirely on a Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) site by authority of CERCLA as approved or required by EPA, are not required to obtain permits under Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act.

Buffalo District Only Permit-specific Regional Conditions: None

New York District Only Permit-specific Regional Conditions:

a. For those activities that are located within Essential Fish Habitat waters as defined in Section G-D.8, below, to the maximum extent practicable, no in-water work shall occur between March I and June 30.

b. For those activities that would impact more than 0.5 acres of waters of the United States, and are located within Essential Fish Habitat waters as defined in Section G-D.8. below, a complete copy of any PCN submitted to the Corps of Engineers shall also be forwarded by the applicant, directly to the National Marine Fisheries Service (NMFS) Habitat Conservation Division, 212 Rogers Avenue, Milford, Connecticnt 06460. The applicant must provide evidence to the Corps that this has been accomplished. The Corps of Engineers will coordinate review of the PCN with the NMFS pursuant to the requirements of the Magnuson Stevens Fishery Conservation and Management Act.

Section 401 Water Ouality Certification:

The New York State Department of Environmental Conservation (NYSDEC) has denied Section 401 Water Quality Certification in New York State for this Nationwide Permit. Any party conducting the activities authorized by this NWP must apply for and obtain an individual Section 401 Water Quality Certification from the New York State Department of Environmental Conservation.

New York State Department of State Coastal Zone Management Consistency Determination:

Pursuant to 15 CFR Part 930.41, the New York State Department of State (NYSDOS) concurs with the USACE consistency determination for this NWP with which all general and all Buffalo and New York District regional conditions are complied.

C. Nationwide Permit General Conditions

Note: To qualify for NWP authorization, the prospective permittee must comply with the following general conditions, as applicable, in addition to any regional or case-specific conditions imposed by the division engineer or district engineer. Prospective permittees should contact the appropriate Corps district office to determine if regional conditions have been imposed on an NWP. Prospective permittees should also contact the appropriate Corps district office to determine the status of Clean Water Act Section 401 water quality certification and/or Coastal Zone Management Act consistency for an NWP. Every person who may wish to obtain permit authorization under one or more NWPs, or who is currently relying on an existing or prior permit authorization under one or more NWPs, has been and is on notice that all of the provisions of 33 CFR §§ 330.1 through 330.6 apply to every NWP authorization. Note especially 33 CFR § 330.5 relating to the modification. suspension, or revocation of any NWP authorization.

1. <u>Navigation</u>. (a) No activity may cause more than a minimal adverse effect on navigation.

(b) Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the United States.

(c) The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

2. <u>Aquatic Life Movements</u>. No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those aquatic species.

3. <u>Spawning Areas</u>. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.

4. <u>Migratory Bird Breeding Areas</u>. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.

5. <u>Shellfish Beds</u>. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48, or is a shellfish seeding or habitat restoration activity authorized by NWP 27.

6. <u>Suitable Material</u>. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).

7. <u>Water Supply Intakes</u>. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.

8. <u>Adverse Effects From Impoundments</u>. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.

9. <u>Management of Water Flows</u>. To the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization and storm water management activities, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the pre-construction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).

10. <u>Fills Within 100-Year Floodplains</u>. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.

11. <u>Equipment</u>. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be taken to minimize soil disturbance.

12. <u>Soil Erosion and Sediment Controls</u>. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the United States during periods of low-flow or no-flow.

13. <u>Removal of Temporary Fills</u>. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The affected areas must be revegetated, as appropriate.

14. <u>Proper Maintenance</u>. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety and compliance with applicable NWP general conditions, as well as any activity-specific conditions added by the district engineer to an NWP authorization.

15. <u>Single and Complete Project</u>. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.

16. Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency responsible for the designated Wild and Scenic River or study river (e.g., National Park Service, U.S. Forest Service, Bureau of Land Management, U.S. Fish and Wildlife Service).

17. <u>Tribal Rights</u>. No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.

18. Endangered Species. (a) No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify the critical habitat of such species. No activity is authorized under any NWP which "may affect" a listed species or critical habitat, unless Section 7 consultation addressing the effects of the proposed activity has been completed.

(b) Federal agencies should follow their own procedures for complying with the requirements of the ESA. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address ESA compliance for the NWP activity, or whether additional ESA consultation is necessary.

(c) Non-federal permittees must submit a pre-construction notification to the district engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, and shall not begin work on the activity until notified by the district engineer that the requirements of the ESA have been satisfied and that the activity is authorized. For activities that might affect Federally-listed endangered or threatened species or designated critical habitat, the pre-construction notification must include the name(s) of the endangered or threatened species that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work. The district engineer will determine whether the proposed activity "may affect" or will have "no effect" to listed species and designated critical habitat and will notify the non-Federal applicant of the Corps' determination within 45 days of receipt of a complete pre-construction notification. In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the project, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification the proposed activities will have "no effect" on listed species or critical habitat, or until Section 7 consultation has been completed. If the non-Fe

(d) As a result of formal or informal consultation with the FWS or NMFS the district engineer may add speciesspecific regional endangered species conditions to the NWPs.

(e) Authorization of an activity by a NWP does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with "incidental take" provisions, etc.) from the U.S. FWS or the NMFS, The Endangered Species Act prohibits any person subject to the jurisdiction of the United States to take a listed species, where "take" means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct. The word "harm" in the definition of "take" means an act which actually kills or injures wildlife. Such an act may include significant habitat modification or degradation where it actually kills or injures wildlife by significantly impairing essential behavioral patterns, including breeding, feeding or sheltering.

(f) Information on the location of threatened and endangered species and their critical habitat can be obtained directly from the offices of the U.S. FWS and NMFS or their world wide web pages at http://www.fws.gov/ or http://www.fws.gov/ipac_and http://www.noaa.gov/fisheries.html_respectively.

19. <u>Migratory Birds and Bald and Golden Eagles</u>. The permittee is responsible for obtaining any "take" permits required under the U.S. Fish and Wildlife Service's regulations governing compliance with the Migratory Bird Treaty Act or the Bald and Golden Eagle Protection Act. The permittee should contact the appropriate local office of the U.S. Fish and Wildlife Service to determine if such "take" permits are required for a particular activity.

20. <u>Historic Properties</u>. (a) In cases where the district engineer determines that the activity may affect properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

(b) Federal permittees should follow their own procedures for complying with the requirements of Section 106 of the National Historic Preservation Act. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address section 106 compliance for the NWP activity, or whether additional section 106 consultation is necessary.

(c) Non-federal permittees must submit a pre-construction notification to the district engineer if the authorized activity may have the potential to cause effects to any historic properties listed on, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, including previously unidentified properties. For such activities, the pre-construction notification must state which historic properties may be affected by the proposed work or include a vicinity map indicating the location of the historic properties or the potential for the presence of historic properties. Assistance regarding information on the location of or potential for the presence of historic resources can be sought from the State Historic Preservation Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). When reviewing pre-construction notifications, district engineers will comply with the current procedures for addressing the requirements of Section 106 of the National Historic Preservation Act. The district engineer shall make a reasonable and good faith effort to carry out appropriate identification efforts, which may include background research, consultation, oral history interviews, sample field investigation, and field survey. Based on the information submitted and these efforts, the district engineer shall determine whether the proposed activity has the potential to cause an effect on the historic properties. Where the non-Federal applicant has identified historic properties on which the activity may have the potential to cause effects and so notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA has been completed.

(d) The district engineer will notify the prospective permittee within 45 days of receipt of a complete preconstruction notification whether NHPA Section 106 consultation is required. Section 106 consultation is not required when the Corps determines that the activity does not have the potential to cause effects on historic properties (see 36 CFR §800.3(a)). If NHPA section 106 consultation is required and will occur, the district engineer will notify the non-Federal applicant that he or she cannot begin work until Section 106 consultation is completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(e) Prospective permittees should be aware that section 110k of the NHPA (16 U.S.C. 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who, with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to notify the ACHP and provide documentation specifying the circumstances, the degree of damage to the integrity of any historic properties affected, and proposed mitigation. This documentation must include any views obtained from the applicant, SHPO/THPO, appropriate Indian tribes if the undertaking occurs on or affects historic properties on tribal lands or affects properties of interest to those tribes, and other parties known to have a legitimate interest in the impacts to the permitted activity on historic properties.

21. <u>Discovery of Previously Unknown Remains and Artifacts</u>. If you discover any previously unknown historic, cultural or archeological remains and artifacts while accomplishing the activity authorized by this permit, you must immediately notify the district engineer of what you have found, and to the maximum extent practicable, avoid construction activities that may affect the remains and artifacts until the required coordination has been completed. The district engineer will initiate the Federal, Tribal and state coordination required to determine if the items or remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

22. Designated Critical Resource Waters. Critical resource waters include, NOAA-managed marine sanctuaries and marine monuments, and National Estuarine Research Reserves. The district engineer may designate, after notice and opportunity for public comment, additional waters officially designated by a state as having particular environmental or ecological significance, such as outstanding national resource waters or state natural heritage sites. The district engineer may also designate additional critical resource waters after notice and opportunity for public comment.

(a) Discharges of dredged or fill material into waters of the United States are not authorized by NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, 50, 51, and 52 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters.

(b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 31, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.

23. <u>Mitigation</u>. The district engineer will consider the following factors when determining appropriate and practicable mitigation necessary to ensure that adverse effects on the aquatic environment are minimal:

(a) The activity must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the United States to the maximum extent practicable at the project site (i.e., on site).

(b) Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating for resource losses) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.

(c) Compensatory mitigation at a minimum one-for-one ratio will be required for all wetland losses that exceed 1/10-acre and require pre-construction notification, unless the district engineer determines in writing that either some other form of mitigation would be more environmentally appropriate or the adverse effects of the proposed activity are minimal, and provides a project-specific waiver of this requirement. For wetland losses of 1/10-acre or less that require pre-construction notification, the district engineer may determine on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effects on the aquatic environment. Compensatory mitigation projects provided to offset losses of aquatic resources must comply with the applicable provisions of 33 CFR part 332.

(1) The prospective permittee is responsible for proposing an appropriate compensatory mitigation option if compensatory mitigation is necessary to ensure that the activity results in minimal adverse effects on the aquatic environment.

(2) Since the likelihood of success is greater and the impacts to potentially valuable uplands are reduced, wetland restoration should be the first compensatory mitigation option considered.

(3) If permittee-responsible mitigation is the proposed option, the prospective permittee is responsible for submitting a mitigation plan. A conceptual or detailed mitigation plan may be used by the district engineer to make the decision on the NWP verification request, but a final mitigation plan that addresses the applicable requirements of 33 CFR 332.4(c)(2) - (14) must be approved by the district engineer before the permittee begins work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation (see 33 CFR 332.3(k)(3)).

(4) If mitigation bank or in-lieu fee program credits are the proposed option, the mitigation plan only needs to address the baseline conditions at the impact site and the number of credits to be provided.

(5) Compensatory mitigation requirements (e.g., resource type and amount to be provided as compensatory mitigation, site protection, ecological performance standards, monitoring requirements) may be addressed through conditions added to the NWP authorization, instead of components of a compensatory mitigation plan.

(d) For losses of streams or other open waters that require pre-construction notification, the district engineer may require compensatory mitigation, such as stream rehabilitation, enhancement, or preservation, to ensure that the activity results in minimal adverse effects on the aquatic environment.

(e) Compensatory mitigation will not be used to increase the acreage losses allowed by the acreage limits of the NWPs. For example, if an NWP has an acreage limit of 1/2-acre, it cannot be used to authorize any project resulting in the loss of greater than 1/2-acre of waters of the United States, even if compensatory mitigation is provided that replaces or restores some of the lost waters. However, compensatory mitigation can and should be used, as necessary, to ensure that a project already meeting the established acreage limits also satisfies the minimal impact requirement associated with the NWPs.

(f) Compensatory mitigation plans for projects in or near streams or other open waters will normally include a requirement for the restoration or establishment, maintenance, and legal protection (e.g., conservation easements) of riparian areas next to open waters. In some cases, riparian areas may be the only compensatory mitigation required. Riparian areas should consist of native species. The width of the required riparian area will address documented water quality or aquatic habitat loss concerns. Normally, the riparian area will be 25 to 50 feet wide on each side of the stream, but the district engineer may require slightly wider riparian areas to address documented water quality or habitat loss concerns. If it is not possible to establish a riparian area on both sides of a stream, or if the waterbody is a lake or coastal waters, then restoring or establishing a riparian area along a single bank or shoreline may be sufficient. Where both wetlands and open waters exist on the project site, the district engineer will determine the appropriate compensatory mitigation (e.g., riparian areas and/or wetlands compensation) based on what is best for the aquatic environment on a watershed basis. In cases where riparian areas are determined to be the most appropriate form of compensatory mitigation, the district engineer may waive or reduce the requirement to provide wetland compensatory mitigation for wetland losses.

(g) Permittees may propose the use of mitigation banks, in-lieu fee programs, or separate permittee-responsible mitigation. For activities resulting in the loss of marine or estuarine resources, permittee-responsible compensatory mitigation may be environmentally preferable if there are no mitigation banks or in-lieu fee programs in the area that have marine or estuarine credits available for sale or transfer to the permittee. For permittee-responsible mitigation, the special conditions of the NWP verification must clearly indicate the party or parties responsible for the implementation and performance of the compensatory mitigation project, and, if required, its long-term management.

(h) Where certain functions and services of waters of the United States are permanently adversely affected, such as the conversion of a forested or scrub-shrub wetland to a herbaceous wetland in a permanently maintained utility line right-ofway, mitigation may be required to reduce the adverse effects of the project to the minimal level. 24. <u>Safety of Impoundment Structures</u>. To ensure that all impoundment structures are safely designed, the district engineer may require non-Federal applicants to demonstrate that the structures comply with established state dam safety criteria or have been designed by qualified persons. The district engineer may also require documentation that the design has been independently reviewed by similarly qualified persons, and appropriate modifications made to ensure safety.

25. <u>Water Quality</u>. Where States and authorized Tribes, or EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water Quality Certification must be obtained or waived (see 33 CFR 330.4(c)). The district engineer or State or Tribe may require additional water quality management measures to ensure that the authorized activity does not result in more than minimal degradation of water quality.

26. <u>Coastal Zone Management</u>. In coastal states where an NWP has not previously received a state coastal zone management consistency concurrence, an individual state coastal zone management consistency concurrence must be obtained, or a presumption of concurrence must occur (see 33 CFR 330.4(d)). The district engineer or a State may require additional measures to ensure that the authorized activity is consistent with state coastal zone management requirements.

27. <u>Regional and Case-By-Case Conditions</u>. The activity must comply with any regional conditions that may have been added by the Division Engineer (see 33 CFR 330.4(e)) and with any case specific conditions added by the Corps or by the state, Indian Tribe, or U.S. EPA in its section 401 Water Quality Certification, or by the state in its Coastal Zone Management Act consistency determination.

28. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is prohibited, except when the acreage loss of waters of the United States authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal waters is constructed under NWP 14, with associated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of the United States for the total project cannot exceed 1/3-acre.

29. <u>Transfer of Nationwide Permit Verifications</u>. If the permittee sells the property associated with a nationwide permit verification, the permittee may transfer the nationwide permit verification to the new owner by submitting a letter to the appropriate Corps district office to validate the transfer. A copy of the nationwide permit verification must be attached to the letter, and the letter must contain the following statement and signature:

"When the structures or work authorized by this nationwide permit are still in existence at the time the property is transferred, the terms and conditions of this nationwide permit, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below."

(Transferee)

(Date)

30. <u>Compliance Certification</u>. Each permittee who receives an NWP verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any required compensatory mitigation. The success of any required permittee-responsible mitigation, including the achievement of ecological performance standards, will be addressed separately by the district engineer. The Corps will provide the permittee the certification document with the NWP verification letter. The certification document will include:

(a) A statement that the authorized work was done in accordance with the NWP authorization, including any general, regional, or activity-specific conditions;

(b) A statement that the implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, the certification must include the documentation required by 33 CFR 332.3(l)(3) to confirm that the permittee secured the appropriate number and resource type of credits; and

(c) The signature of the permittee certifying the completion of the work and mitigation.

31. <u>Pre-Construction Notification</u>. (a) <u>Timing</u>. Where required by the terms of the NWP, the prospective permittee must notify the district engineer by submitting a pre-construction notification (PCN) as early as possible. The district engineer must determine if the PCN is complete within 30 calendar days of the date of receipt and, if the PCN is determined

to be incomplete, notify the prospective permittee within that 30 day period to request the additional information necessary to make the PCN complete. The request must specify the information needed to make the PCN complete. As a general rule, district engineers will request additional information necessary to make the PCN complete only once. However, if the prospective permittee does not provide all of the requested information, then the district engineer will notify the prospective permittee that the PCN is still incomplete and the PCN review process will not commence until all of the requested information has been received by the district engineer. The prospective permittee shall not begin the activity until either:

(1) He or she is notified in writing by the district engineer that the activity may proceed under the NWP with any special conditions imposed by the district or division engineer; or

(2) 45 calendar days have passed from the district engineer's receipt of the complete PCN and the prospective permittee has not received written notice from the district or division engineer. However, if the permittee was required to notify the Corps pursuant to general condition 18 that listed species or critical habitat might be affected or in the vicinity of the project, or to notify the Corps pursuant to general condition 20 that the activity may have the potential to cause effects to historic properties, the permittee cannot begin the activity until receiving written notification from the Corps that there is "no effect" on listed species or "no potential to cause effects" on historic properties, or that any consultation required under Section 7 of the Endangered Species Act (see 33 CFR 330.4(f)) and/or Section 106 of the National Historic Preservation (see 33 CFR 330.4(g)) has been completed. Also, work cannot begin under NWPs 21, 49, or 50 until the permittee has received written approval from the Corps. If the proposed activity requires a written waiver to exceed specified limits of an NWP, the permittee in writing that an individual permit is required within 45 calendar days of receipt of a complete PCN, the permittee cannot begin the activity until an individual permit has been obtained. Subsequently, the permittee's right to proceed under the NWP may be modified, suspended, or revoked only in accordance with the procedure set forth in 33 CFR 330.5(d)(2).

(b) Contents of Pre-Construction Notification: The PCN must be in writing and include the following information:

(1) Name, address and telephone numbers of the prospective permittee;

(2) Location of the proposed project;

(3) A description of the proposed project; the project's purpose; direct and indirect adverse environmental effects the project would cause, including the anticipated amount of loss of water of the United States expected to result from the NWP activity, in acres, linear feet, or other appropriate unit of measure; any other NWP(s), regional general permit(s), or individual permit(s) used or intended to be used to authorize any part of the proposed project or any related activity. The description should be sufficiently detailed to allow the district engineer to determine that the adverse effects of the project will be minimal and to determine the need for compensatory mitigation. Sketches should be provided when necessary to show that the activity complies with the terms of the NWP. (Sketches usually clarify the project and when provided results in a quicker decision. Sketches should contain sufficient detail to provide an illustrative description of the proposed activity (e.g., a conceptual plan), but do not need to be detailed engineering plans);

(4) The PCN must include a delineation of wetlands, other special aquatic sites, and other waters, such as lakes and ponds, and perennial, intermittent, and ephemeral streams, on the project site. Wetland delineations must be prepared in accordance with the current method required by the Corps. The permittee may ask the Corps to delineate the special aquatic sites and other waters on the project site, but there may be a delay if the Corps does the delineation, especially if the project site is large or contains many waters of the United States. Furthermore, the 45 day period will not start until the delineation has been submitted to or completed by the Corps, as appropriate;

(5) If the proposed activity will result in the loss of greater than 1/10-acre of wetlands and a PCN is required, the prospective permittee must submit a statement describing how the mitigation requirement will be satisfied, or explaining why the adverse effects are minimal and why compensatory mitigation should not be required. As an alternative, the prospective permittee may submit a conceptual or detailed mitigation plan.

(6) If any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, for non-Federal applicants the PCN must include the name(s) of those endangered or threatened species that might be affected by the proposed work or utilize the designated critical habitat that may be affected by the proposed work. Federal applicants must provide documentation demonstrating compliance with the Endangered Species Act; and

(7) For an activity that may affect a historic property listed on, determined to be eligible for listing on, or potentially eligible for listing on, the National Register of Historic Places, for non-Federal applicants the PCN must state which historic property may be affected by the proposed work or include a vicinity map indicating the location of the historic property. Federal applicants must provide documentation demonstrating compliance with Section 106 of the National Historic Preservation Act.

(c) Form of Pre-Construction Notification: The standard individual permit application form (Form ENG 4345) may be used, but the completed application form must clearly indicate that it is a PCN and must include all of the information required in paragraphs (b)(1) through (7) of this general condition. A letter containing the required information may also be used.

(d) <u>Agency Coordination</u>: (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the project's adverse environmental effects to a minimal level.

(2) For all NWP activities that require pre-construction notification and result in the loss of greater than 1/2-acre of waters of the United States, for NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 activities that require pre-construction notification and will result in the loss of greater than 300 linear feet of stream bed, and for all NWP 48 activities that require pre-construction notification, the district engineer will immediately provide (e.g., via e-mail, facsimile transmission, overnight mail, or other expeditious manner) a copy of the complete PCN to the appropriate Federal or state offices (U.S. FWS, state natural resource or water quality agency, EPA, State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Office (THPO), and, if appropriate, the NMFS). With the exception of NWP 37, these agencies will have 10 calendar days from the date the material is transmitted to telephone or fax the district engineer notice that they intend to provide substantive, site-specific comments. The comments must explain why the agency believes the adverse effects will be more than minimal. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the pre-construction notification. The district engineer will fully consider agency comments received within the specified time frame concerning the proposed activity's compliance with the terms and conditions of the NWPs, including the need for mitigation to ensure the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The district engineer will provide no response to the resource agency, except as provided below. The district engineer will indicate in the administrative record associated with each pre-construction notification that the resource agencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.

(3) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by Section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act.

(4) Applicants are encouraged to provide the Corps with either electronic files or multiple copies of pre-construction notifications to expedite agency coordination.

D. District Engineer's Decision

1. In reviewing the PCN for the proposed activity, the district engineer will determine whether the activity authorized by the NWP will result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public interest. For a linear project, this determination will include an evaluation of the individual crossings to determine whether they individually satisfy the terms and conditions of the NWP(s), as well as the cumulative effects caused by all of the crossings authorized by NWP. If an applicant requests a waiver of the 300 linear foot limit on impacts to intermittent or ephemeral streams or of an otherwise applicable limit, as provided for in NWPs 13, 21, 29, 36, 39, 40, 42, 43, 44, 50, 51 or 52, the district engineer will only grant the waiver upon a written determination that the NWP activity will result in minimal adverse effects. When making minimal effects determinations the district engineer will consider the direct and indirect effects caused by the NWP activity. The district engineer will also consider site specific factors, such as the environmental setting in the vicinity of the NWP activity, the type of resource that will be affected by the NWP activity, the functions provided by the aquatic resources that will be affected by the NWP activity, the degree or magnitude to which the aquatic resources perform those functions, the extent that aquatic resource functions will be lost as a result of the NWP activity (e.g., partial or complete loss), the duration of the adverse effects (temporary or permanent), the importance of the aquatic resource functions to the region (e.g., watershed or ecoregion), and mitigation required by the district engineer. If an appropriate functional assessment method is available and practicable to use, that assessment method may be used by the district engineer to assist in the minimal adverse effects determination. The district engineer may add case-specific special conditions to the NWP authorization to address site-specific environmental concerns.

2. If the proposed activity requires a PCN and will result in a loss of greater than 1/10-acre of wetlands, the prospective permittee should submit a mitigation proposal with the PCN. Applicants may also propose compensatory mitigation for projects with smaller impacts. The district engineer will consider any proposed compensatory mitigation the applicant has included in the proposal in determining whether the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The compensatory mitigation proposal may be either conceptual or detailed. If the district engineer determines that the activity complies with the terms and conditions of the NWP and that the adverse effects on the aquatic environment are minimal, after considering mitigation, the district engineer will notify the permittee and include any activity-specific conditions in the NWP verification the district engineer deems necessary. Conditions for compensatory mitigation requirements must comply with the appropriate provisions at 33 CFR 332.3(k). The district engineer must approve the final mitigation plan before the permittee commences work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to

ensure timely completion of the required compensatory mitigation. If the prospective permittee elects to submit a compensatory mitigation plan with the PCN, the district engineer will expeditiously review the proposed compensatory mitigation plan. The district engineer must review the proposed compensatory mitigation plan within 45 calendar days of receiving a complete PCN and determine whether the proposed mitigation would ensure no more than minimal adverse effects on the aquatic environment. If the net adverse effects of the project on the aquatic environment (after consideration of the compensatory mitigation proposal) are determined by the district engineer to be minimal, the district engineer will provide a timely written response to the applicant. The response will state that the project can proceed under the terms and conditions of the NWP, including any activity-specific conditions added to the NWP authorization by the district engineer.

3. If the district engineer determines that the adverse effects of the proposed work are more than minimal, then the district engineer will notify the applicant either: (a) That the project does not qualify for authorization under the NWP and instruct the applicant on the procedures to seek authorization under an individual permit; (b) that the project is authorized under the NWP subject to the applicant's submission of a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level; or (c) that the project is authorized under the NWP with specific modifications or conditions. Where the district engineer determines that mitigation is required to ensure no more than minimal adverse effects occur to the aquatic environment, the activity will be authorized within the 45-day PCN period, with activity-specific conditions that state the mitigation requirements. The authorization will include the adverse effects on the aquatic environment to the minimal level. When mitigation is required, no work in waters of the United States may occur until the district engineer has approved a specific mitigation plan or has determined that prior approval of a final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation.

E. Further Information

1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP. 2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.

3. NWPs do not grant any property rights or exclusive privileges.

4. NWPs do not authorize any injury to the property or rights of others.

5. NWPs do not authorize interference with any existing or proposed Federal project.

F. Definitions

Best management practices (BMPs): Policies, practices, procedures, or structures implemented to mitigate the adverse environmental effects on surface water quality resulting from development. BMPs are categorized as structural or non-structural.

<u>Compensatory mitigation</u>: The restoration (re-establishment or rehabilitation), establishment (creation), enhancement, and/or in certain circumstances preservation of aquatic resources for the purposes of offsetting unavoidable adverse impacts which remain after all appropriate and practicable avoidance and minimization has been achieved.

<u>Currently serviceable</u>: Useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

Direct effects: Effects that are caused by the activity and occur at the same time and place.

Discharge: The term "discharge" means any discharge of dredged or fill material.

<u>Enhancement</u>: The manipulation of the physical, chemical, or biological characteristics of an aquatic resource to heighten, intensify, or improve a specific aquatic resource function(s). Enhancement results in the gain of selected aquatic resource function(s), but may also lead to a decline in other aquatic resource function(s). Enhancement does not result in a gain in aquatic resource area.

<u>Ephemeral stream</u>: An ephemeral stream has flowing water only during, and for a short duration after, precipitation events in a typical year. Ephemeral stream beds are located above the water table year-round. Groundwater is not a source of water for the stream. Runoff from rainfall is the primary source of water for stream flow.

Establishment (creation): The manipulation of the physical, chemical, or biological characteristics present to develop an aquatic resource that did not previously exist at an upland site. Establishment results in a gain in aquatic resource area.

High Tide Line: The line of intersection of the land with the water's surface at the maximum height reached by a rising tide. The high tide line may be determined, in the absence of actual data, by a line of oil or scum along shore objects, a more or less continuous deposit of fine shell or debris on the foreshore or berm, other physical markings or characteristics, vegetation lines, tidal gages, or other suitable means that delineate the general height reached by a rising tide. The line encompasses spring high tides and other high tides that occur with periodic frequency but does not include storm surges in which there is a departure from the normal or predicted reach of the tide due to the piling up of water against a coast by strong winds such as those accompanying a hurricane or other intense storm.

<u>Historic Property</u>: Any prehistoric or historic district, site (including archaeological site), building, structure, or other object included in, or eligible for inclusion in, the National Register of Historic Places maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawalian organization and that meet the National Register criteria (36 CFR part 60).

Independent utility: A test to determine what constitutes a single and complete non-linear project in the Corps regulatory program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.

Indirect effects: Effects that are caused by the activity and are later in time or farther removed in distance, but are still reasonably foreseeable.

Intermittent stream: An intermittent stream has flowing water during certain times of the year, when groundwater provides water for stream flow. During dry periods, intermittent streams may not have flowing water. Runoff from rainfall is a supplemental source of water for stream flow.

Loss of waters of the United States: Waters of the United States that are permanently adversely affected by filling, flooding, excavation, or drainage because of the regulated activity. Permanent adverse effects include permanent discharges of dredged or fill material that change an aquatic area to dry land, increase the bottom elevation of a waterbody, or change the use of a waterbody. The acreage of loss of waters of the United States is a threshold measurement of the impact to jurisdictional waters for determining whether a project may qualify for an NWP; it is not a net threshold that is calculated after considering compensatory mitigation that may be used to offset losses of aquatic functions and services. The loss of stream bed includes the linear feet of stream bed that is filled or excavated. Waters of the United States temporarily filled, flooded, excavated, or drained, but restored to pre-construction contours and elevations after construction, are not included in the measurement of loss of waters of the United States. Impacts resulting from activities eligible for exemptions under Section 404(f) of the Clean Water Act are not considered when calculating the loss of waters of the United States.

<u>Non-tidal wetland</u>: A non-tidal wetland is a wetland that is not subject to the ebb and flow of tidal waters. The definition of a wetland can be found at 33 CFR 328.3(b). Non-tidal wetlands contiguous to tidal waters are located landward of the bigh tide line (i.e., spring high tide line).

Open water: For purposes of the NWPs, an open water is any area that in a year with normal patterns of precipitation has water flowing or standing above ground to the extent that an ordinary high water mark can be determined. Aquatic vegetation within the area of standing or flowing water is either non-emergent, sparse, or absent. Vegetated shallows are considered to be open waters. Examples of "open waters" include rivers, streams, lakes, and ponds.

Ordinary High Water Mark: An ordinary high water mark is a line on the shore established by the fluctuations of water and indicated by physical characteristics, or by other appropriate means that consider the characteristics of the surrounding areas (see 33 CFR 328.3(e)).

<u>Perennial stream</u>: A perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow.

<u>Practicable</u>: Available and capable of being done after taking into consideration cost, existing technology, and logistics in light of overall project purposes.

<u>Pre-construction notification</u>: A request submitted by the project proponent to the Corps for confirmation that a particular activity is authorized by nationwide permit. The request may be a permit application, letter, or similar document that includes information about the proposed work and its anticipated environmental effects. Pre-construction notification may be required by the terms and conditions of a nationwide permit, or by regional conditions. A pre-construction notification notification may be voluntarily submitted in cases where pre-construction notification is not required and the project proponent wants confirmation that the activity is authorized by nationwide permit.

<u>Preservation</u>: The removal of a threat to, or preventing the decline of, aquatic resources by an action in or near those aquatic resources. This term includes activities commonly associated with the protection and maintenance of aquatic resources through the implementation of appropriate legal and physical mechanisms. Preservation does not result in a gain of aquatic resource area or functions.

<u>Re-establishment</u>: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former aquatic resource. Re-establishment results in rebuilding a former aquatic resource and results in a gain in aquatic resource area and functions.

<u>Rehabilitation</u>: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of repairing natural/historic functions to a degraded aquatic resource. Rehabilitation results in a gain in aquatic resource function, but does not result in a gain in aquatic resource area.

<u>Restoration</u>: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former or degraded aquatic resource. For the purpose of tracking net gains in aquatic resource area, restoration is divided into two categories: re-establishment and rehabilitation.

<u>Riffle and pool complex</u>: Riffle and pool complexes are special aquatic sites under the 404(b)(1) Guidelines. Riffle and pool complexes sometimes characterize steep gradient sections of streams. Such stream sections are recognizable by their hydraulic characteristics. The rapid movement of water over a course substrate in riffles results in a rough flow, a turbulent surface, and high dissolved oxygen levels in the water. Pools are deeper areas associated with riffles. A slower stream velocity, a streaming flow, a smooth surface, and a finer substrate characterize pools.

<u>Riparian areas</u>: Riparian areas are lands adjacent to streams, lakes, and estuarine-marine shorelines. Riparian areas are transitional between terrestrial and aquatic ecosystems, through which surface and subsurface hydrology connects riverine, lacustrine, estuarine, and marine waters with their adjacent wetlands, non-wetland waters, or uplands. Riparian areas provide a variety of ecological functions and services and help improve or maintain local water quality. (See general condition 23.)

Shellfish seeding: The placement of shellfish seed and/or suitable substrate to increase shellfish production. Shellfish seed consists of immature individual shellfish or individual shellfish attached to shells or shell fragments (i.e., spat on shell). Suitable substrate may consist of shellfish shells, shell fragments, or other appropriate materials placed into waters for shellfish habitat.

Single and complete linear project: A linear project is a project constructed for the purpose of getting people, goods, or services from a point of origin to a terminal point, which often involves multiple crossings of one or more waterbodies at separate and distant locations. The term "single and complete project" is defined as that portion of the total linear project proposed or accomplished by one owner/developer or partnership or other association of owners/developers that includes all crossings of a single water of the United States (i.e., a single waterbody) at a specific location. For linear projects crossing a single or multiple waterbodies several times at separate and distant locations, each crossing is considered a single and complete project for purposes of NWP authorization. However, individual channels in a braided stream or river, or individual arms of a large, irregularly shaped wetland or lake, etc., are not separate waterbodies, and crossings of such features cannot be considered separately.

Single and complete non-linear project: For non-linear projects, the term "single and complete project" is defined at 33 CFR 330.2(i) as the total project proposed or accomplished by one owner/developer or partnership or other association of owners/developers. A single and complete non-linear project must have independent utility (see definition of "independent utility"). Single and complete non-linear projects may not be "piecemealed" to avoid the limits in an NWP authorization.

<u>Stormwater management</u>: Stormwater management is the mechanism for controlling stormwater runoff for the purposes of reducing downstream erosion, water quality degradation, and flooding and mitigating the adverse effects of changes in land use on the aquatic environment.

<u>Stormwater management facilities</u>: Stormwater management facilities are those facilities, including but not limited to, stormwater retention and detention ponds and best management practices, which retain water for a period of time to control runoff and/or improve the quality (i.e., by reducing the concentration of nutrients, sediments, hazardous substances and other pollutants) of stormwater runoff.

<u>Stream bed</u>: The substrate of the stream channel between the ordinary high water marks. The substrate may be bedrock or inorganic particles that range in size from clay to boulders. Wetlands contiguous to the stream bed, but outside of the ordinary high water marks, are not considered part of the stream bed.

<u>Stream channelization</u>: The manipulation of a stream's course, condition, capacity, or location that causes more than minimal interruption of normal stream processes. A channelized stream remains a water of the United States.

<u>Structure</u>: An object that is arranged in a definite pattern of organization. Examples of structures include, without limitation, any pier, boat dock, boat ramp, wharf, dolphin, weir, boom, breakwater, bulkhead, revetment, riprap, jetty, artificial island, artificial reef, permanent mooring structure, power transmission line, permanently moored floating vessel, piling, aid to navigation, or any other manmade obstacle or obstruction.

<u>Tidal wetland</u>: A tidal wetland is a wetland (i.e., water of the United States) that is inundated by tidal waters. The definitions of a wetland and tidal waters can be found at 33 CFR 328.3(b) and 33 CFR 328.3(f), respectively. Tidal waters rise and fall in a predictable and measurable rhythm or cycle due to the gravitational pulls of the moon and sun. Tidal waters end where the rise and fall of the water surface can no longer be practically measured in a predictable rhythm due to masking by other waters, wind, or other effects. Tidal wetlands are located channelward of the high tide line, which is defined at 33 CFR 328.3(d).

<u>Vegetated shallows</u>: Vegetated shallows are special aquatic sites under the 404(b)(1) Guidelines. They are areas that are permanently inundated and under normal circumstances have rooted aquatic vegetation, such as seagrasses in marine and estuarine systems and a variety of vascular rooted plants in freshwater systems.

<u>Waterbody</u>: For purposes of the NWPs, a waterbody is a jurisdictional water of the United States. If a jurisdictional wetland is adjacent – meaning bordering, contiguous, or neighboring – to a waterbody determined to be a water of the United States under 33 CFR 328.3(a)(1)-(6), that waterbody and its adjacent wetlands are considered together as a single aquatic unit (see 33 CFR 328.4(c)(2)). Examples of "waterbodies" include streams, rivers, lakes, ponds, and wetlands.

G. General Regional Conditions

These conditions apply to ALL Nationwide Permits.

G-A. Construction Best Management Practices (BMP's): Unless specifically approved otherwise through issuance of a waiver by the District Engineer, the following BMP's must be implemented to the maximum degree practicable, to minimize erosion, migration of sediments, and adverse environmental impacts. Note that at a minimum, all erosion and sediment control and stormwater management practices must be designed, installed and maintained in accordance with the latest version of the "*New York Standards and Specifications for Erosion and Sediment Control*" and the "*New York State Stormwater Management Design Manual*". These documents are available at: <u>http://www.dec.ny.gov/chemical/29066.html</u> and http://www.dec.ny.gov/chemical/29066.html

1. All synthetic erosion control features (e.g., silt fencing, netting, mats), which are intended for temporary use during construction, shall be completely removed and properly disposed of after their initial purpose has been served. Only natural fiber materials, which will degrade over time, may be abandoned in place.

2. Materials resulting from trench excavation for utility line installation or ditch reshaping activities which are temporarily sidecast or stockpiled into waters of the United States must be backfilled or removed to an upland area within 30 days of the date of deposition. Note: upland options shall be utilized prior to temporary placement within waters of the U.S., unless it can be demonstrated that it would not be practicable or if the impacts of complying with this upland option requirement would result in more adverse impacts to the aquatic environment.

3. For trenching activities in wetlands the applicant shall install impermeable trench dams or trench breakers at the wetland boundaries and every 100 feet within wetland areas to prevent inadvertent drainage of wetlands or other waters of the United States.

4. Dry stream crossing methods (e.g., diversion, dam and pump, flume, bore) shall be utilized for culvert or other pipe, or utility installations to reduce downstream impacts from turbidity and sedimentation. This may require piping or pumping the stream flow around the work area and the use of cofferdams.

5. No in-stream work shall occur during periods of high flow, except for work that occurs in dewatered areas behind temporary diversions, cofferdams or causeways.

6. Construction access shall be by means that avoid or minimize impacts to aquatic sites (e.g. upland access, floating barges, mats, etc.). Discharges of fill material associated with the construction of temporary access roads and work pads in wetlands shall be placed on filter fabric. All temporary fills shall be removed upon completion of the work and the disturbed area restored to pre-construction contours, elevations and wetland conditions.

7. All return flow from dredge material disposal areas shall not result in an increase in turbidity in the receiving water body that will cause a substantial visible contrast to natural conditions. (See NWP #16)

8. For activities involving the placement of concrete into waters of the U.S., the permittee must employ watertight forms. The forms shall be dewatered prior to the placement of the concrete. The use of tremie concrete is allowed, provided that it complies with New York State water quality standards.

9. New stormwater management facilities shall be located outside of waters of the U.S. A waiver of this requirement may be requested with the submission of a PCN. The PCN must include justification which demonstrates that avoidance and minimization efforts have been met.

10. To the maximum extent practicable, the placement of fill in wetlands must be designed to maintain preconstruction surface water flows/conditions between remaining on or off-site waters. This may require the use of culverts and/or other measures. Furthermore, the activity must not restrict or impede the passage of normal or expected high flows (unless the primary purpose of the fill is to impound waters). The activity may alter the preconstruction flows/conditions if it can be shown that it benefits the aquatic environment (i.e. wetland restoration and/or enhancement).

11. In order to ensure compliance with NWP General Condition #2 – Aquatic Life Movement and #9 Management of Water Flows, all new or replacement culverts shall be constructed/installed in accordance with the following:

General Information:

a. Use of the following requirements and recommendations alone will not satisfy the need for proper engineering and design. In particular, appropriate engineering is required to ensure structures are sized

and designed to provide adequate capacity (to pass various flood flows) and stability (bed, bed forms, footings and abutments).

- b. Site specific information (i.e. stream bed slope, type and size of stream bed material, stream type, existing natural or manmade barriers, etc.) should be assessed to determine appropriate culvert design and to ensure management of water flows and aquatic life movement.
- c. Before replacing a culvert or other crossing structure with a larger structure it is essential that the replacement be evaluated for its impacts on: downstream flooding, upstream and downstream habitat (in-stream habitat, wetlands), potential for erosion and headcutting, and stream stability.
- d. Measures should be included in all culvert designs that will promote the safe passage of fish and other indigenous aquatic organisms.
- e. The dimension, pattern, and profile of the stream above and below the stream crossing should not be permanently modified by changing the width or depth of the stream channel.

Preconstruction Notification (PCN)/Waiver Requirements:

- A. A PCN is not required for projects that are designed to meet Requirements B and C, below, unless a PCN is otherwise required by the NWP regulations.
- B. In order to comply with General Condition #2 Aquatic Life Movement, either a bottomless culvert or bridge must be used where practicable. If the stream cannot be spanned, the culvert must be installed with its bottom buried (embedded) below the grade of the stream bed where practicable. (Note: When not practicable to do so due to small culvert size, it is suitable to allow natural deposition to cover the interior of the culvert bed.)
 - i. A minimum of three stream channel cross sections shall be taken at proximal locations to the crossing location to determine the average of the lowest points in elevation of the stream bed. This average low point shall be used to ensure low flow is maintained through the culvert and from which all embedment depths are measured.
 - ii. To ensure low flow and aquatic life movement is maintained, an embedment depth of a minimum of 20 percent of the culvert vertical rise throughout the length of the culvert is recommended. Additionally, it is recommended that the culvert bed slope remain consistent with the slope of the adjacent stream channel.
- C. In order to comply with General Condition #9 Management of Water Flows, bank-full flows shall be accommodated through maintenance of the existing bank-full channel cross sectional dimensions within the culvert. Bank-full width is generally considered to be the top width at the stage where a stream begins to overtop its banks and spread into the floodplain.
 - i. An average of three measurements (project location and straight sections of the stream upstream and downstream) shall be used to determine appropriate opening width. If the project is a replacement of an existing structure then only upstream and downstream locations shall be used to compute the average.
 - ii. To ensure bank-full flow is accommodated by the culvert, it is recommended that minimum culvert widths include a minimum of 1.25 times width of the stream channel at the ordinary high water or a 2 year design storm.
- D. In summary, a PCN is required, requesting a waiver of the above requirements for projects where:
 - i. both spanning the waterway and embedding are found to be not practicable;
 - ii. embedding is practicable but the recommendations for embedment depth cannot be met;
 - iii. bank-full flow will not be accommodated within the culvert;
 - iv. less than the recommended minimum culvert width is proposed
- E. In addition to the PCN requirements of General Condition #31, the PCN must include the following information:
 - a note indicating which of the above requirements will not be met by the proposed project;
 - ii. information as to why the use of such structures or measures would not be practicable;
 - a brief description of the stream discussing the items outlined in the above General Information section;
 - iv. the cross sections of the stream used to calculate the stream bed low point and bank-full

width;

- v. an evaluation of the effects the crossing would have on aquatic life movement and/or water flows; and
- vi. mitigation measures that will be employed to minimize these effects.

A waiver of the requirement(s) will be issued if it can be demonstrated that the proposal would result in the least environmentally damaging practicable alternative (e.g. compliance with any of the requirement(s) would result in detrimental impacts to the aquatic system).

- 12. Culvert Rehabilitation Projects, not including culvert replacement projects:
 - a. A PCN is required for culvert rehabilitation projects which will involve pipe slip lining or other activities, including concrete invert paving and concrete lining, that raise the existing invert elevation such that it causes an impediment to the passage of low flow or aquatic life movement. A PCN is not required for projects that utilize cured-in-place pipe lining. Slip lining is defined as the insertion of a smaller diameter pipe into an existing pipe by pulling pushing, or spiral winding.
 - b. Culvert rehabilitation projects shall assess the existing culvert, prior to the proposed repair, for compliance with Nationwide Permit General Conditions (GC) #2 (Aquatic Life Movements) and #9 (Management of Water Flows). If an impediment is found to exist, a PCN is required for any rehabilitation project.
 - c. For all projects requiring PCN, the applicant must provide an evaluation of the existing culvert and proposed rehabilitation project and their effects upon aquatic life movements and low/ high flow conditions in order to show compliance with GC #2 & #9. For those culverts that will impede the movement of aquatic life and water flows, the applicant must provide information as to how they will mitigate for those deficiencies. Mitigation measures may include, but are not limited to baffles, weirs, roughened channels, and grade control structures.

G-B. No regulated activity authorized by a Nationwide Permit can cause the loss of areas classified as a bog or fen in the State of New York, as determined by the Buffalo or the New York District Corps of Engineers, due to the scarcity of this habitat in New York State and the difficulty with in-kind mitigation. The Districts will utilize the following document in the classification:

Reschke, C. 1990. *Ecological Communities of New York State*. New York Natural Heritage Program. New York State Department of Environmental Conservation. Latham, N.Y. 96p. This document is available at the following location: <u>http://www.dec.ny.gov/animals/29389.html</u>

G-C. National Wild and Scenic Rivers (NWSR): The Upper Delaware River has been designated as a National Wild and Scenic River from the confluence of the East and West Branches below Hancock, New York, to the existing railroad bridge immediately downstream of Cherry Island in the vicinity of Sparrow Bush, New York. Also, the portion of the Genesee River located within Letchworth Gorge State Park, beginning at the southern boundary of the park and extending downstream to the Mt. Morris Dam, was designated by Congress as a permanent Study River in the Genesee River Protection Act of 1989. In accordance with General Condition #16, no activity may occur within a NWSR, including Study Rivers, unless the National Park Service (NPS) has determined in writing that the

proposed work will not adversely affect the NWSR designation or study status. Therefore, a PCN is required for any NWP which would impact the designated portions of the Genesee River or the Upper Delaware River. (Note: the applicant may not commence work under any NWP until the NPS determines in writing that the project will not adversely affect the NWSR even if 45-days have passed since receipt of the PCN package.) Information regarding NWSR may be found at: http://www.rivers.gov/wildriverslist.html

G-D. For all proposals requiring a pre-construction notification (PCN), in addition to the requirements in General Condition 31, the applicant shall also include: (Note: the application will not be considered complete until all of the applicable information is received).

1. New York State/USACE Joint Application Form: The application form shall be completed and signed and shall clearly indicate that the submission is a PCN.

2. Drawings: The PCN must include legible, black and white project drawings on 8.5" x 11" paper. Full size drawings may be submitted in addition to the 8.5" x 11" plans to aid in the application review. Three types of illustrations are needed to properly depict the work to be undertaken. These illustrations or drawings are a Vicinity Map (i.e. a location map such as a USGS topographical map), a Plan View and a Cross-Section Map. Each illustration should identify the project, the applicant, and the type of illustration (vicinity map, plan view or cross section). The Vicinity Map shall provide the location of the entire project site. In addition, each illustration should be identified with a figure or attachment number. The location map shall include the Latitude and Longitude or UTM coordinates of the project. For linear projects, the PCN shall include a map of the entire project including a delineation of all waters of the U.S. within the corridor. Aquatic resource information shall be submitted using the Cowardin Classification System mapping conventions (e.g. PFO, PEM, etc.)

3. Color photographs: The photos should be sufficient to accurately portray the project site, keyed to a location map and not taken when snow cover is present.

4. Avoidance and Minimization: The PCN must include a written narrative explaining how avoidance and minimization of temporary impacts and permanent losses of waters of the U.S. were achieved on the project site (i.e. site redesign, reduction in scope, alternate methods, etc). It should include a description of the proposed construction practices that would be implemented to perform the proposed work and a description of the reasonably foreseeable direct and indirect effects to waters of the U.S. from the proposed construction practices.

5. Mitigation (See General Conditions 23 & 31(b)(5)): The PCN must include at least a conceptual compensatory mitigation plan for all projects resulting in the loss of greater than $1/10^{th}$ of an acre of waters of the United States; or for which a waiver of the 300 linear foot limit on intermittent and ephemeral streams is being requested. Mitigation conceptual plans submitted with the PCN must include the following information at a minimum: proposed compensation type (bank or in-lieu fee credit, restoration, creation, preservation, etc.), location and brief discussion on factors considered for site selection (i.e. soils, water source, potential for invasive species, etc.), amount proposed per resource type and a discussion of how the proposal will compensate for aquatic resource functions and services lost as a result of the project.

<u>Note 1</u>: All mitigation projects must comply with the Federal Regulations on compensatory mitigation (33 CFR 332) entitled "Compensatory Mitigation for Losses of Aquatic Resources: Final Rule", dated April 10, 2008, which is available at: <u>http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title33/33cfr332_main_02.tpl</u>, and any applicable District Guidelines.

Note 2: Although a conceptual mitigation plan may be sufficient for the purposes of a PCN submission, a detailed mitigation plan must be approved by the Corps before any jurisdictional work may occur on the project site.

6. Nationwide Rivers Inventory: The PCN shall indicate if a river segment listed within the National Park Service Nationwide Rivers Inventory (NRI) is located within the proposed project area. For project areas containing a listed NRI segment, the PCN shall also include a statement as to how adverse effects to the river have been avoided or mitigated. The list is available at: <u>http://www.nps.gov/ncrc/programs/rtca/nri/states/ny.html</u>.

7. Historic or Cultural Resources: In accordance with General Condition 20, a PCN is required for any nonfederal activity which may have the potential to cause effects to any historic properties listed, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places. Please refer to General Condition 20 for submission requirements. In addition, all PCNs must include a written statement indicating if any such properties may be affected by the proposed project. A copy of any completed survey reports shall be provided with the PCN. If a survey has not been performed, the statement shall include a list of resources checked in the determination. Copies of any available correspondence from the New York State Office of Parks, Recreation, and Historic Preservation State Historic Preservation Officer (SHPO) regarding historic properties shall be provided with the PCN. Information regarding cultural resources may be found at:

<u>http://nysparks.state.ny.us/shpo/</u>. In addition, assistance regarding the determination of the presence of historic or cultural resources at or near the project site should be directed to SHPO. NOTE: as stated in General Condition 20, if any listed, eligible or potentially eligible properties are present, the applicant shall not begin the activity until notified by the district engineer in writing either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA has been completed.

8. Endangered Species (See General Condition 18) and Essential Fish Habitat: The PCN must include a written statement and documentation concerning any Essential Fish Habitat (EFH) and any federally listed Threatened and Endangered (T&E) species or designated critical habitat that might be affected or is in the vicinity of the project, or

if the project is located in designated critical habitat. The PCN must include a copy of any correspondence from the U.S. Fish and Wildlife Service (USFWS) and/or National Oceanic and Atmospheric Administration Fisheries Service (NOAA-Fisheries) formerly National Marine Fisheries Service (NMFS), regarding the presence of T&E species or evidence that the applicant has utilized the USFWS T&E website:

http://www.fws.gov/northeast/nyfo/es/section7.htm. Information on NOAA-Fisheries (NMFS) species (both T&E and EFH) can be found at: http://www.nero.noaa.gov/nero.

Website evidence shall include a County list of T&E species. For projects located in counties containing T&E species, the PCN shall also include a discussion of potential T&E habitat within the project site. If there is potential habitat for any Federally listed species within the project site the following should be submitted:

a. The results of any habitat surveys and presence/absence surveys. Note: all surveys should be coordinated with the USFWS and/or NOAA-Fisheries(NMFS) prior to initiation.

b. A detailed description of the proposed project, including secondary impacts and approximate proposed project construction schedule of project activities (e.g. land clearing, utilities, stormwater management).

c. A description of the natural characteristics of the property and surrounding area (e.g. forested areas, freshwater wetlands, open waters, and soils). Additionally, please include a description of surrounding land use (residential, agricultural, or commercial).

d. A description of the area to be impacted by the proposed project, including the species and number or acres of trees to be removed.

e. The location of the above referenced property and extent of any project related activities or discharges clearly indicated on a copy of a USGS 7.5 minute topographic quadrangle (quad) with the name of the quad(s) and latitude/longitude clearly labeled.

f. A description of conservation measures to avoid or minimize impacts to listed species.

Please note that there are no known threatened or endangered (T&E) species or EFH species under the jurisdiction of the NOAA-Fisheries (NMFS) within the Buffalo District. Therefore, all Buffalo District requests for information regarding the presence of T&E species should be directed to the USFWS.

General Condition #18 is emphasized, ... "In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the project, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification the proposed work will have "no effect" on listed species or critical habitat, or until Section 7 consultation has been completed."

9. 100 Year Floodplain: For permanent fills within waters of the United States within the 100 year floodplain, documentation of compliance with FEMA-approved state or local floodplain management requirements.

10. Submission of Multiple Copies of PCN:

- a) One (1) additional copy of the PCN package shall be provided to USACE for coordination with National Oceanic and Atmospheric Administration (NOAA) for utility lines to be constructed or installed in navigable waters of the U.S. proposed under NWP #12, (See Note 1 of NWP #12)
- b) One (1) additional copy of the PCN package shall be provided to USACE for coordination with Department of Defense Siting Clearinghouse (See NWP #12, 39, 51 & 52 Notes) for:
 - i. overhead utility lines proposed under NWP #12 and
 - ii. any activity that involves the construction of a wind energy generating structure, solar tower, or overhead transmission lines proposed under NWP #39, 51 or 52
- c) Two (2) additional copies of the PCN package shall be provided to USACE when the project is located within the New York City Watershed, for coordination with the New York City Department of Environmental Protection.
- d) Five (5) additional copies of the PCN package shall be submitted to USACE for agency coordination in accordance with General Condition # 31(d)(2) for:
 - i. All NWP activities that result in the loss of greater than 1/2-acre of waters of the United States,
 - ii. NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 activities that will result in the loss of greater than 300 linear feet of intermittent and ephemeral stream bed,
 - iii. All NWP 48 activities requiring pre-construction notification.

G-E. CRITICAL RESOURCE WATERS

In accordance with NWP General Condition (GC) #22, certain activities in Critical Resource Waters cannot be authorized under the NWP program or would require a PCN (see GC #22 for a list of the NWP activities that are either excluded or require a PCN).

Critical Resource Waters in New York State include the following:

1. East-of-Hudson portion of the New York City Water Supply: This area includes portions of Dutchess, Putnam and Westchester Counties as delineated on Enclosure 2.

2. Hudson River National Estuarine Research Reserves (NERR): The Hudson River NERR consists of four components: Piermont Marsh, Iona Island, Tivoli Bay, and Stockport Flats.

H. NYSDEC General Water Quality Certification (WQC) Conditions applicable to all NWPs for which WQC has been provided are as follows:

1. Non-contamination of Waters:

• All necessary precautions shall be taken to preclude contamination of any wetland or waterway by suspended solids, resins, sediments, fuels, solvents, lubricants, epoxy coatings, paints, concrete, leachate or any other environmentally deleterious materials associated with the project.

2. Installation and Maintenance of Culverts:

• This certification does not authorize the installation of any culverts that are not embedded beneath the existing grade of the stream channel.

• This certification does not authorize culvert rehabilitation projects that involve slip lining, invert paving, or similar treatments that can result in an impediment to the passage of aquatic life movement through the culvert.

• This certification does authorize the rehabilitation of culverts utilizing Cure in Place Pipe Lining (CIPP) or concrete spray lining for culverts which currently meet Nationwide Permit General Condition #2 - Aquatic Life Movements.

3. Discharges and Disturbances:

• Except for Nationwide Permit # 3, 4, 20, 22, 27, 30, 33, 37, 41 and maintenance activities under Nationwide Permit 43, this certification does not authorize discharges greater than 1/4 acre in size or more than 300 feet of stream disturbance.

4. Maintenance of Water Levels:

• Except for Nationwide Permit 27, this certification does not authorize any activity that results in a permanent water level alteration in waters of the United States, such as draining or impounding.

5. Dewatering:

• Authorized dewatering is limited to immediate work areas that are cofferdammed or otherwise isolated from the larger water body or waters of the United States. Dewatering must be localized and not drain extensive areas of a water body or reduce the water level such that fish and other aquatic vertebrates are killed, or their eggs and nests are exposed to desiccation, freezing or depredation in areas outside of the immediate work site.

• Cofferdams or diversions shall not be constructed in a manner that causes or exacerbates erosion of the bed or banks of a watercourse.

· All dewatering structures must be permanently removed when construction is completed.

6. Endangered or Threatened Species:

• Applicants must certify that the proposed activity will not jeopardize the existence of an endangered species or threatened species listed in 6 NYCRR Part 182, or likely to destroy or adversely modify the habitat of such species. Information on New York State endangered or threatened species may be obtained from the NYS Department of Environmental regional offices, the New York Natural Heritage Program in Albany, New York or on the NYSDEC website at http://www.dec.ny.gov/animals/29338.html. If it is determined that there is a species of concern that may be impacted by the proposed activity, this blanket water quality certification is not applicable, and the applicant will need an individual water quality certification from the Department.

7. Prohibition Period/or In-stream Work:

Unless approved in writing by the Regional Natural Resources Supervisor or their designee, in-stream work is prohibited during the following time periods:

• in cold water trout fisheries (waters classified under Article 15 of New York's Environmental

Conservation Law with a "t" or "ts" designation), beginning October 1 and ending May 31

• in perennial warm water fisheries, (non- trout waters classified under Article 15 of New York's

Environmental Conservation Law as "A, B or C"), beginning March 1 and ending July 15.

To determine which prohibition period is in effect for a particular water, contact the Regional Natural Resources Supervisor in the appropriate NYSDEC regional office.

8. Significant Coastal Fish and Wildlife Habitat:

• This certification does not authorize any discharge occurring in a designated Significant Coastal Fish and Wildlife Habitat area pursuant to 19 NYCRR Part 602; Title 19 Chapter 13, Waterfront Revitalization and Coastal Resources.

9. Coastal Erosion Hazard Areas:

• This certification does not authorize projects in Coastal Erosion Hazard Areas, as identified in NYS Environmental Conservation Law (ECL) Article 34, and its implementing regulations, 6 NYCRR Part 505.

10. State-owned Underwater Lands:

• Prior to undertaking any Nationwide Permit activity that will involve or occupy state owned lands now or formerly under the waters of New York State, the party proposing the activity must first obtain all necessary approvals from:

NYS Office of General Services Division of Real Estate Development Corning Tower Building, 26th Floor Empire State Plaza Albany, NY 12242 Tel. (518) 474-2195

11. Tidal Wetlands:

• This authorization does not authorize any activities in tidal wetlands as defined in Article 25 of NYS ECL, with the exception of NWP # 4, 20 and 48.

12. Wild, Scenic and Recreational Rivers:

This certification does not authorize activities in any Wild, Scenic or Recreational River or state designated WSR corridors.

13. Floodplains:

• Authorized projects must be in compliance with State and Local Floodplain Regulations.

14. Combined use of permits:

• This authorization does not allow the stacking of NWPs so that in combination they exceed 1/4 of an acre of fill or 300 linear feet of stream disturbance. When used in combination, the most restrictive conditions apply.

15. Public Service Commission:

• This certification does not authorize activities regulated pursuant to Article VII of the New York State Public Service Law. For such projects, Section 401 Water Quality Certification is obtained from the New York State Public Service Commission.

16. Utility Projects:

• This certification does not authorize maintenance or other activities associated with hydropower projects.

• This certification does not authorize the construction of substation facilities or permanent access roads in wetlands or within the FEMA mapped 100 year floodplain.

• Excess materials resulting from trench excavation must be moved out of the wetland and contained so that they do not re-enter any waters of the United States.

1. New York State Department of State (NYSDOS) Coastal Zone Management Consistency Determination <u>applicable to all NWPs located within or affecting the NYS Coastal Zone:</u>

To ensure that the NWPs and activities authorized by USACE would be consistent with the NYS Coastal Management Program and approved LWRPs, the following conditions will apply to NWPS where NYSDOS has objected to the USACE consistency determination or where the project will not comply with the NYSDOS NWP specific condition(s):

The applicant will submit a request for an individual consistency determination to NYSDOS. Within thirty (30) days of receipt by NYSDOS of an applicant's submission, which should include a complete joint New York State Department of Environmental Conservation and U.S. Army Corps of Engineers Permit Application, completed Federal Consistency Assessment Form, and all information and data necessary to assess the effects of the proposed activity on and its consistency with the CMP, including location maps and photographs of the site where the activity is proposed, NYSDOS will inform the applicant and the Corps whether:

1) Necessary data and information is missing from the applicant's submission. If so, the NYSDOS will notify the applicant and the Corps of the missing necessary data and information, and state that the NYSDOS review will not commence until the date the necessary data and information is provided;

2) The activity meets the General Concurrence criteria set forth in the CMP and therefore, further review of the proposed activity by the NYSDOS, and the NYSDOS concurrence with an individual consistency certification for the proposed activity, are not required; or

3) NYSDOS review of the proposed activity and NYSDOS concurrence with the applicant's consistency certification is necessary. If NYSDOS indicates review of the activity and a consistency certification for it is necessary, the activity shall not be authorized by NWP or other form of Corps authorization unless NYSDOS concurs with an applicant's consistency certification, in accordance with 15 CFR Part 930, Subpart D, or unless NYSDOS indicates the activity meets CMP General Concurrence criteria (see item 2 above).

NYSDOS concurrence with an applicant's consistency certification shall not be presumed unless NYSDOS fails to concur with or object to an applicant's consistency certification within six (6) months of commencement of NYSDOS review of an applicant's consistency certification and all necessary data and information in accordance with 15 CFR Parts 930.62 or 930.63.

Notes:

- Unless NYSDOS issues consistency concurrence or USACE has determined that NYSDOS concurrence is presumed, NWPs are not valid within the Coastal Zone.
- Limits of the coastal zone, including the CMP special management area designations of Significant Coastal Fish and Wildlife Habitats (SCFWH), can be viewed at: http://www.dos.ny.gov/communitieswaterfronts/atlas/index.html Local Waterfront Revitalization Program information can be viewed at: http://www.dos.ny.gov/communitieswaterfronts/WFRevitalization/LWRP_status.html
- > All consistency concurrence determination requests must be submitted directly to NYSDOS.
- Details regarding NYSDOS submission requirements can be obtained at: http://www.dos.ny.gov/communitieswaterfronts/consistency/federal.html

J. INFORMATION ON NATIONWIDE PERMIT VERIFICATION

Verification of the applicability of these Nationwide Permits is valid until March 19, 2017 unless the Nationwide Permit is modified, suspended revoked, or the activity complies with any subsequent permit modification.

It is the applicant's responsibility to remain informed of changes to the Nationwide Permit program. A public notice announcing any changes will be issued when they occur and will be available for viewing at our website: http://www.lrb.usace.army.mil/Missions/Regulatory.aspx.

Please note in accordance with 33 CFR part 330.6(b), that if you commence or are under contract to commence an activity in reliance of the permit prior to the date this Nationwide permit expires, is suspended or revoked, or is modified such that the activity no longer complies with the terms and conditions, you have twelve months from the date of permit modification, expiration, or revocation to complete the activity under the present terms and conditions of the permit, unless the permit has been subject to the provisions of discretionary authority.

Possession of this permit does not obviate you of the need to contact all appropriate state and/or local governmental officials to insure that the project complies with their requirements.

NOTE: This document is an excerpt of the May 30, 2012 Public Notice by USACE Buffalo & New York Districts. The above referenced Enclosures 2-4 and Appendix A are not included in this Buffalo District document as they are only applicable within the geographic boundaries of the New York District. The Public Notice is available at the USACE websites or by contacting the Districts at the addresses provided below in Section K.

K. AGENCY CONTACT INFORMATION

NYS Department of Environmental Conservation

www.dec.ny.gov

NYS DEC REGION 1 Regional Permit Administrator SUNY @ Stony Brook 50 Circle Road Stony Brook, NY 11790-3409 (631) 444-0365

NYS DEC REGION 2

Regional Permit Administrator 1 Hinter's Point Plazo 47-40 21st Street Long Island City, NY 11101-5407 (718) 482-4997

NYS DEC REGION 3

Regional Permit Administrator 21 South Patt Corners Road New Paltz, NY 12561-1620 (845) 256-3054

NYS DEC REGION 4

Regional Permit Administrator 1130 North Westcott Road Scheneetady, NY 12306-2014 (518) 357-2069

NVS DEC REGION 4 Sub-Office

Deputy Regional Permit Administrator 65561 State Hwy 10 Stamford, NY 12167-9503 (607) 652-7741

NYS DEC REGION 5

Regional Permit Administrator PO Box 296 1115 Route 86 Ray Brook, NY 12977-0296 (518)897-1234

NYS DEC REGION 5 Sub-Office

Deputy Regional Permit Administrator PO Box 220 232 Golf Course Rd Warrensburg, NY 12885-0220 (518) 623-1281

NYS DEC REGION 6

Regional Permit Administrator 317 Washington Street Watertown, NY 13601-3787 (315) 785-2245

NYS DEC REGION 6 Sub-Office

Deputy Regional Permit Administrator 207 Genesee Street Utica, NY 13501-2885 (315) 793-2555

NYS DEC REGION 7

Regional Pennit Administrator 615 Erie Blvd. West Syracuse, NY 13204-2400 (315)426-7438

NYS DEC REGION 7 Sub-Office Deputy Regional Pennit Administrator 1285 Fisher Avenue Contland, NY 13045-1090 (607) 753-3095

NYS DEC REGION 8

Regional Pennit Administrator 6274 E. Avon - Lima Road Avon, NY 14414-9519 (585) 226-2466

NYS DEC REGION 9

Regional Permit Administrator 270 Michigan Avenue Buffato, NY 14203-2915 (716) 851-7165

NVS DEC REGION 9 Sub-Office

Deputy Regional Permit Administrator 182 East Union Street Allogany, NY 14706-1328 (716) 372-0645

NYS Department of State

Division of Coastal Resources Consistency Review Unit One Commerce Plaza 99 Washington Avenue, Sulte 1010 Albany, NY 12231-00001 (518) 474-6000 www.nyswaterfronts.com PO Box 296 1115 Route 86 Ray Brook, NY 12977-0296 (518) 897-1234

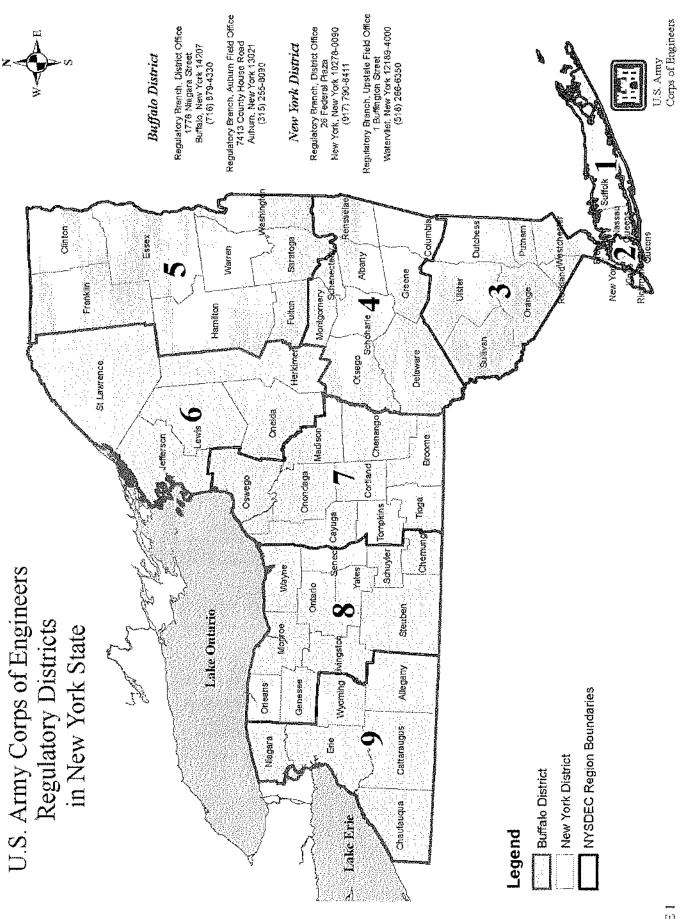
US Army Corps of Engineers

For DEC Regions 1, 2 and 3 US Army Corps of Engineers NV District ATTN: Regulatory Branch 26 Federal Plaza, Room 1937 New York, NY 19278-0090 Enuid: CENAN.PublicNotice@usace.army.mil For DEC Regions 1, 2, Westchester County and Rockland County (917) 790-8511 For the other counties of DEC Region 3 -(917) 790-8411

For DEC Regions 4, 5 Department of the Army ATTN: CENAN-OP-R NY District, Corps of Engineers 1 Building 10, 3a/Ploor Watervliet, NY 12189-4000 (318) 266-6350 - Permits team (518) 266-6360 - Compliance Team

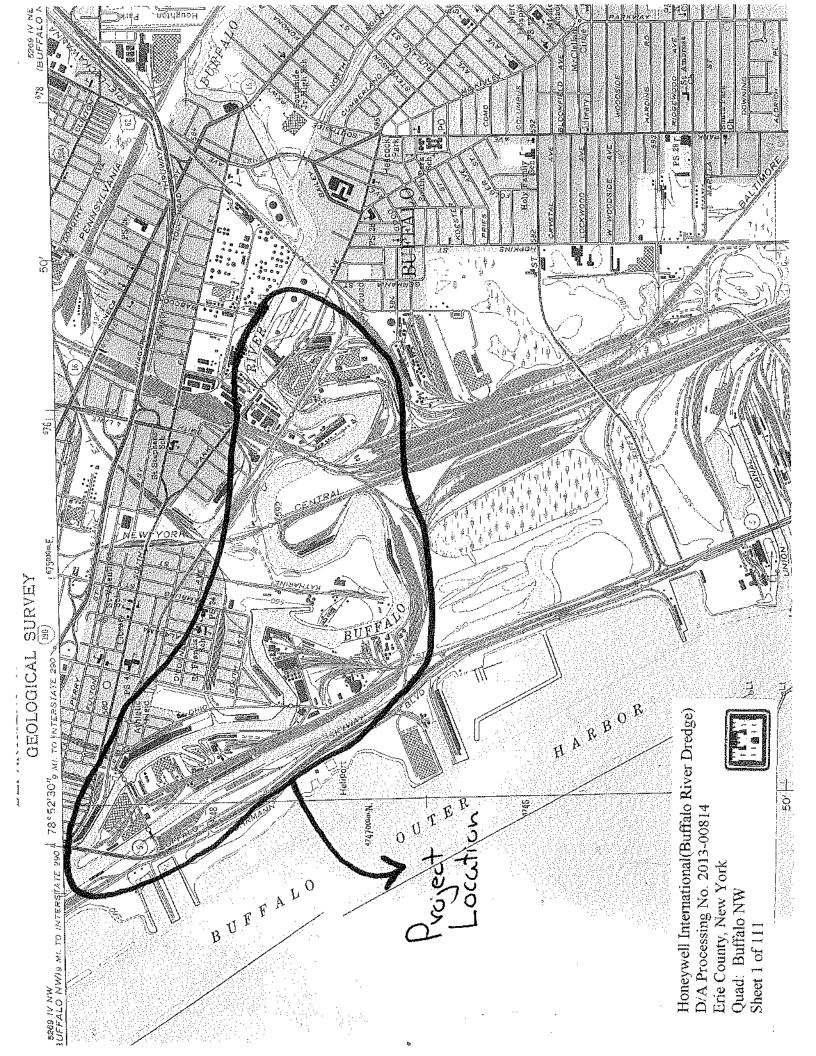
Email: cenan.rfo@usace.army.mil

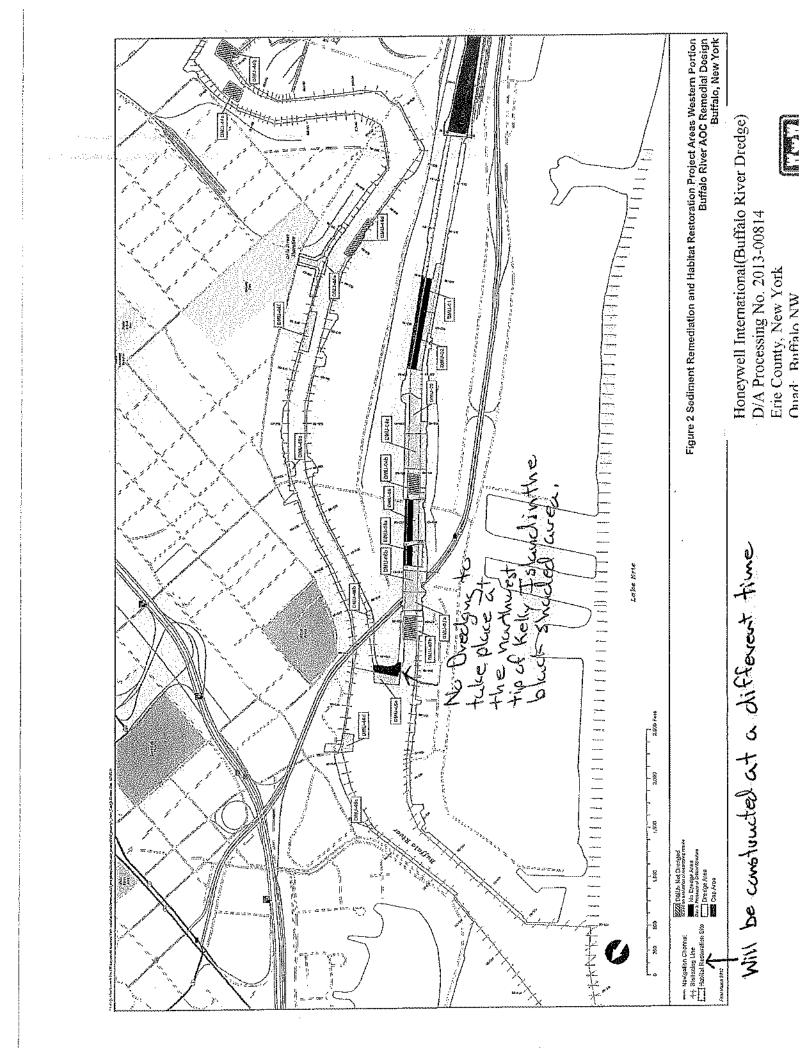
For DEC Regions 6, 7, 8, 9 US Army Corps of Engineers Buffalo District ATTN: Regulatory Branch 1776 Niagana Street Buffalo, NY 14207-3199 (716) 879-4330 Email: <u>LRB Regulatory/dusace.anny.mil</u> www.lkb.usace.anny.mil

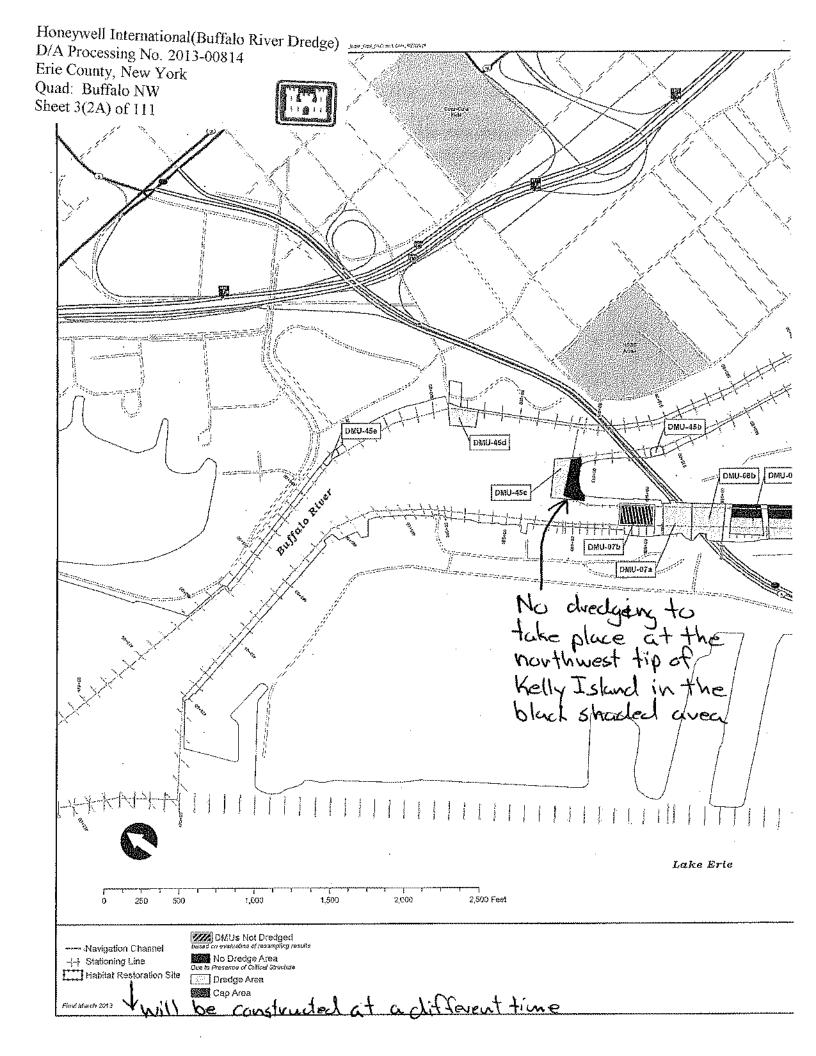


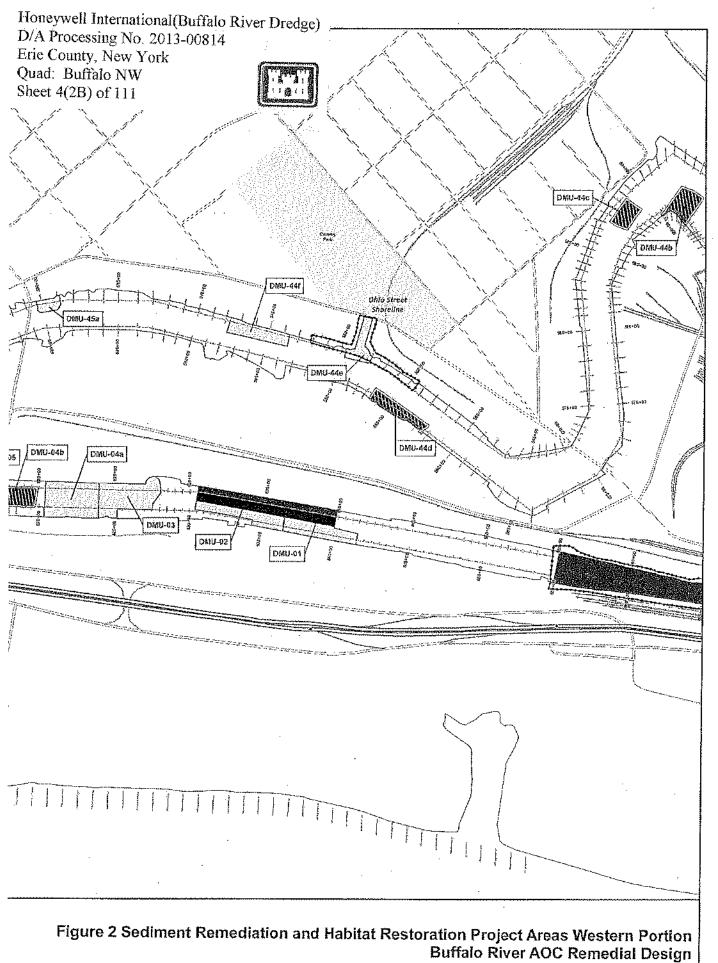
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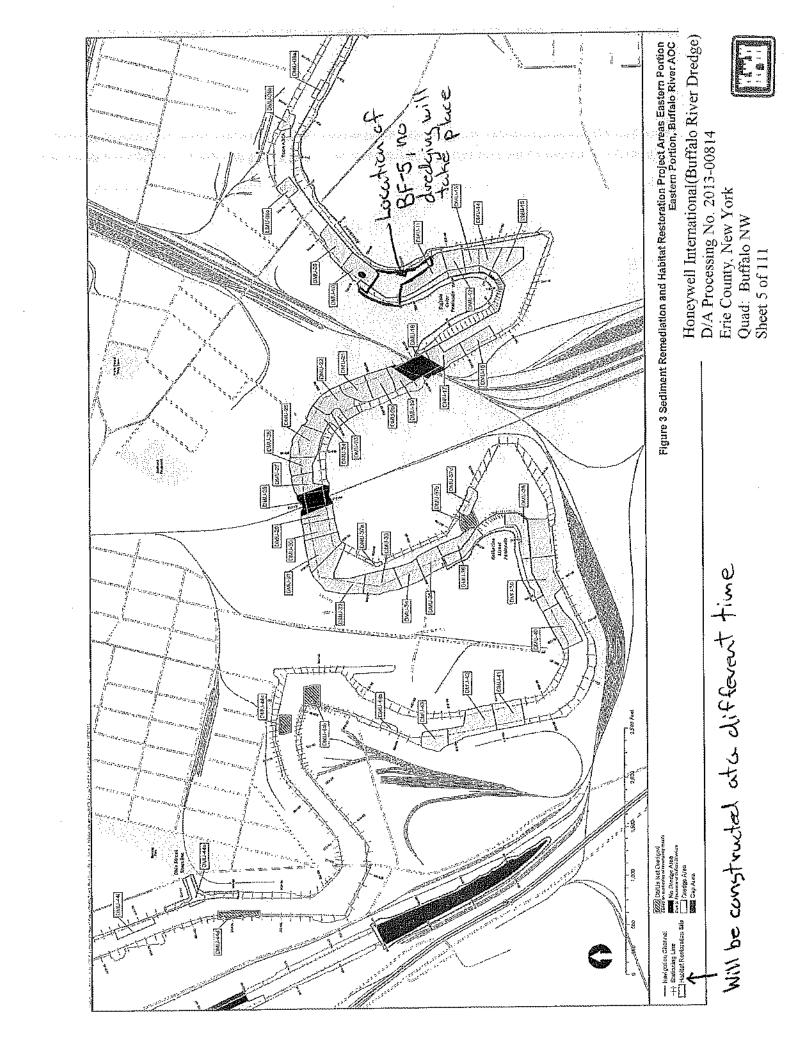


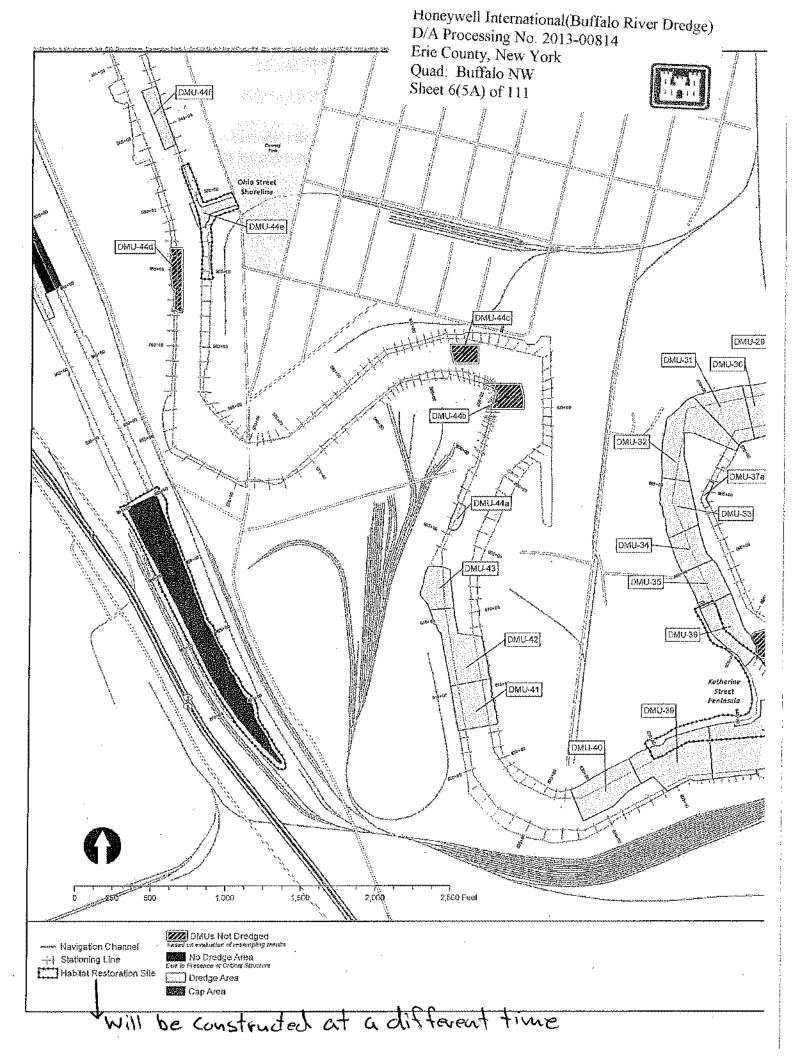


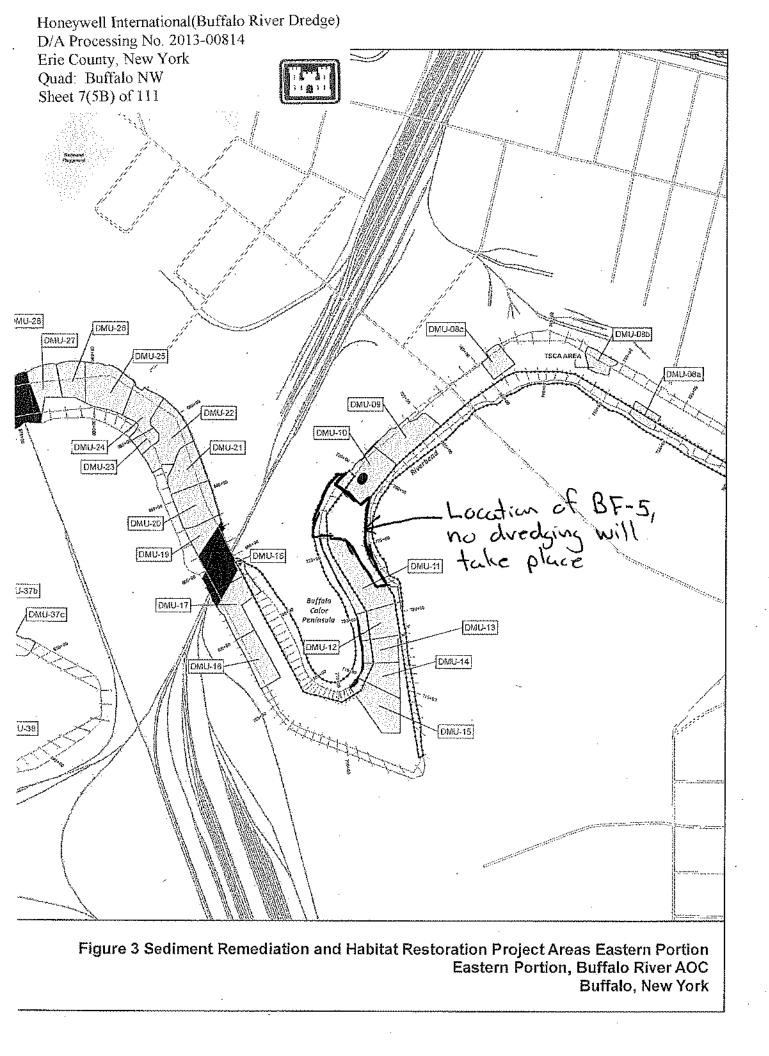




Buffalo, New York







Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 8 of 111

	Change Note																											*****														<
	Operational Dredge Volume (cv)		3,500	2,100	6,300	7,100		6,300	4,300	9,700	000'3		2,500	1.400	2 700	14,400	11 200	19,300	15,000	4,200	3,500	8,500	5,600	6,800	0001	6,800	8,200	12,200	2,700	5.400	8.700	6,500	8,600	0	6,300 10 300	18,760	27,900	14,000	11,600	9,800	18,600	2,200
	Allowable Over- dredge Volume (cv)		008	002	1,400	1,500	· · · · · · · · · · · · · · · · · · ·	1,000	600	005			400	100	900	1,300	1,300					1,300		~~ 	009		1,000	1,500	300	608			33			1 800		1,400		1,000		100
	Average Dredge Deoth (ft)		2.1	1.5	2.3	2.3		3.2	4.7	5.4	4.0		3.3	6.6	27	5.6	4.3				:	3.4	0.8		2.6				4.4	. 0 4		4.3		0.0	7.4		0.1					00
	DMU Dredge Surface Area (ff ²)		44,800	38,800	75,660	82.400		52,900	24,600	48,800	40,800		20,500	5.600	27.100	69,600			57,900				50,800		002-66	64,100	56,500	85,900	16,500	51,100	B1,600	41,100	43,300	100	40,/00 53,100				53,300	56.400	00,500	5.900
	Potential Dredged Volume (cv)	-	2,700	1,400	4,800	5,600	dging	5,300	3,800	8,800	doing	20182	2.100	1.300	2.200	13,100	0.900	17,200	9,960	3,500	2,700	7,200	4,700	009'6	2,500	5,800	7,200	10,600	2,400	5,500	8,200	5,700	7,200	0	00000	17 100	25,600	12,600	10,600	8,800	10,000	2.100
	Potential Undredged Volume (total DMU) (cv)		5,500	5,100	0	0	No environmental dredging	4,400	3,700	200	Voiceut zuut g		0	0	0	400	1.800	500	600	200	100	100. COL	0	42.200	3,200	Þ	Ф.	¢	0	0	0	0	2,300	13,600	000	¢	1001	Q	0		7602	400
~	Total EPA Dredge Volume (cv)	;	8,200	6,500	4,900	5,600	No envli	002'6	7,500	000'8	No envir		2,100	1,300	2.200	13,500	11.700	17,700	10,500	3,700	2,800	1005.7	4/100		5.500	6,600	7,200	10,600 {	2,400	5,500	8,200	5,700	9,500	13,5011	00112	17.100	25,700	12,600	10,600	8,800	1012101	2.500
	USACE Dredge Volume (cv)	, ,	1,600	1,500	1,700 1	2,500		2,700	1,300	4,000	700		1,600	Ģ	1,000	12,200	7.000	12,500	10.000	4,100	4,200	006'11	0071	01/10	2.600	6,700	3,900	8.500	2,000	6,700	8,800	2,100	2,100	0.00	7 200	8,700	B, 300	800	300	2,400	nnere	600
	DMU Total Dredge Volume		9,800	8,000 S	6,600	8,100 {		12,400	a,800	10,000	T 100'		3,700	1.300	3.200	25,700	18,700	30,200	20,500	7,800	2,000	18,700	008'0	004 71	8,100	12,300	11,100	19,100	4,460	12,200	17,000	7,800	11,600	13,600	12 200	23,600	34,000	13,400	10,600	11,200	000'17	3,100
	EPA Dredge Depth		to till on nonoritical structure side	:		en Co	ļĮ	to till on noncritical structure side	of the river only	to till, to riprap			to till	See the TSCA Tables for the volumes of this DMU	to till, to 5 ft of noncritical structure	to till, to 5 ft of noncritical structure	to till, to 2011 of critical structure			to till, to riprap		147 - 4			to till to no dredge boundary	to till	to (jil	to [[]		to tel, to 5 ft of monentical structure	to till		to till, to no dredge boundary			10 10	to täl	to fil	to til		to till to 10 ft of celifical etructure	
-	USACE Dredge Depth		545.7	545.7	545.7	545.7	545.7	545.7	545.7	545.7	545 7		545.7	545.7	545.7	545.7	545.7	545.7	545.7	545.7	545.7	240.7	040./	1 040	545.7	545.7	545.7	545.7	545.7	545.7	545.7	545.7	545.7	243.7	545.7	545.7	545.7	645.7	646.7	545./	2457	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
	Dredge Area Name	ip Canal	DA-†		6-4CI		R-01	DA-03	R-02	DA-04	R-03	River	DA-17	DA-16	R-15	44	2			DA-14			DA-13		R-14	DA. 17		R-13		DA-11	· ·					·••	ł	-14-PO			DA-18	? i
-	DMU No.	Buffalo Ship Cana	~	64	8	43	4b	9	ga	20	42	10	5a	85*	ပ္မွင္ရ	0	10	11	42	13	44	0	<u></u>	- a	19	20	21	ផ្ត	23	24	35	26	23	207	30	34	32	33	8	02 99	379	5

Table 2. Addendum 1 Design Volume Estimates by Dredge Management Unit (DMU)

5/13/2013 tast undate:

able Z. A	HODELINDIA	1 Inesidi V	ו מאוב ל. אמעפווממוש ו הפצואו אסומווב לצמווומנים על הובמלפ ומשופלפנוומוי מזוו (השוח)	o mamafip	נהושה) וש						ident change	2040-0	
	Dredge	USACE		DîMU Total	USACE Dredge	Total EPA Dredge	Potential Undredged Votume		DM/U Dredge		Allowable Over-	Operational	
DMU No.	Area Name	Dredge Depth	EPA Dredge Depth	Dredge Volume	Volume (cy)	Volume (cy)	(total DMU) (cy)	Volume (cy)	Surface Area (ft ³)	Dredge Depth (ft)	dredge Dredge Volume (cy) Volume (cy)	Dredge Volume (cy)	Change Note
370	DA-10	545.7	to till, to 10 ft of critical structure	15,400	7,200	8,200	1.600	6,600	31,600	â	. 600	7,200	A
36		545.7		23,500	5,760	17,800	400	17,400	152,600	3.6	2,800	20,200	Ā
39	DA-9	545.7	to till, to 10 ft of critical structure	24,000	6.700	17,300	1,100	16,200	149,200	9.4	2,800		A
40		545.7	to til	7,400	200	7,200	0	7,200	90,500	2.7	1,700	8,500	A
41		545.7	to thi, to 5 ft of noncritical structure	13,900	3,300	10,600	001	10,500	292'62	4,1	1,500	12,000	
42	DA-8	545.7	to tili, to 5 ft of noncritical structure	16,300	2,200	14,100	400	13,700	110,500	3,8	2,000	15,700	
43	.	545.7	to till	10,700	2002	10,000	00+	9,900	51,200	5.7	- 008	10,800	
44a	R-11	1	no dredge zone	700	¢	700	U	700	12,100	0'0	200	006	
44b	R-10	1				Nc env	No environmental dredging	dging					
44C	R-09	1				No env	No environmental dredging	dging					
440	R-03	545.7				No env	No environmental dredging	dging					
440	DA-07	545.7	to till, to 5 ft of noncritical structure	17,700	200	17,200	400	16,800		18.2	. 500	17,300	
446	R-07		fo til	2,400	100	2,300	0	2,300	36,100		200		
45a	R-06	545.7	to till, to 5 ft of noncritical structure	1,400	200	1,200	0	1,200		3.1	200		
45b	R-05	1	to till, to structure	006	D	006	0	005			100		
45c	DA-05	545.7	to (i)	16,800	3,400	13,400	9,600	3,800	24/700	4.7	500		
45d	DA-06	545.7	to (iii, to 10ft of critical structure	6,800	4,300	2,500	400	2,100	23,700	0.0	400	2,500	٩
45e	R-04	545.7	to tili, to 5 ft of honcritical structure	1,200	200	1,000	0	1,000			100	1,100	
Totals:				656,800	189,500	467,300	73,700	393,600	2,903,200	4.99	53,800	447,400	
								Additional c	Additional dredging based on confirmation sampling	on confirms	tion sampling	0	
									1	Sediment	Sedimentation (Year 1)	10,000	
The volu	une is show	un for Non-T	* The volume is shown for Non-TSCA material in DMU-8b. The estimated volume of TSCA material is 4200 cy.	nated volum	le of TSCA	materia! is 4	4200 cy.			Sediment	Sedimentation (Year 2)		
							,					CON POR	

Notes:

464.000 Rounded Volume Volume Range (Rounded)

512,000 488

487,400

USACE Dredge Depth based on USACE contract drawing C-102, and is the depth beneath low water datum of 569.21 Volume estimates are presented in cubic yards (cy), rounded to the nearest 100 cy.

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EPA Dredge Depth based on evaluation of till elevation measurements within the DMU and presence of structures (ortifical and non-ortifical) which affect potential dredge prisms.

DMU Total Dredge Volume based on a total volume within a DMU between the EPA dredge dopth and current (2010) bathymetric surface. eó. 4

DMUs 4b, 7b; 37b, 44b, 44c, and 44d (Resample Areas R-1, R-3, R-12, R-10, R-9, and R-8 respectively) will not be dredged based on the DMU evaluations.

USACE Dredge Volume was calculated by the difference between the bathymetric surface and USACE Dredge Prism within the DMU boundaries (assuming a 1H:1V side slope and dredge depth to elevation 545.71). Refer to USACE contract drawing C-101). 7. Total EPA Dredge Volume is the difference between the DMU Total Dredge Volume and the UASCE Dredge Volume. ന് ന്

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Potential Undredged Volume is the volume of sediment assumed to be left in place without bank stabilization and assuming 1H:1V side slopes or astimated remaining material at critical structures based on CAD calculations of no dredge zones and hand calculations of offsets.

Potential Dredge Volume is the difference between Total EPA Dredge Volume and Potential Undredged Volume. ග්

10. Dredge Surface Area is Use Total DMU surface area adjusted for no dredge boundarles.

11. Altowable Over-Dredge Volume was estimated as 6" thick over each DMU area. This volume is the overdredge volume for which the contractor will be reimbursed.

12. Operational Dredge Volume was the Potential Dredge Volume plus the Allowable Over-Dredge Volume.

Change Note:

Change in approach to critical structure with credging to within 10 feet of structure. 4

Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW

Sheet 9 of 111

	3 J 510234 3 J 510234 3 J 51024 JP2, 2 200 JP2, 2		Concernation of the service restrict and res	GENERAL NOTES, ABBREVIATIONS AMD LEGEUD	MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 55-245 MRIN 10 24-24-24 MRIN 11 1-1-14 MRIN 12 1-1-14 MRIN 12 1-14 MRIN 12 1-14 MRIN 12 1-14 MRIN 12 24 MRIN 12 24 MRIN 12 24 MRIN 12 24
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D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 10 of 111

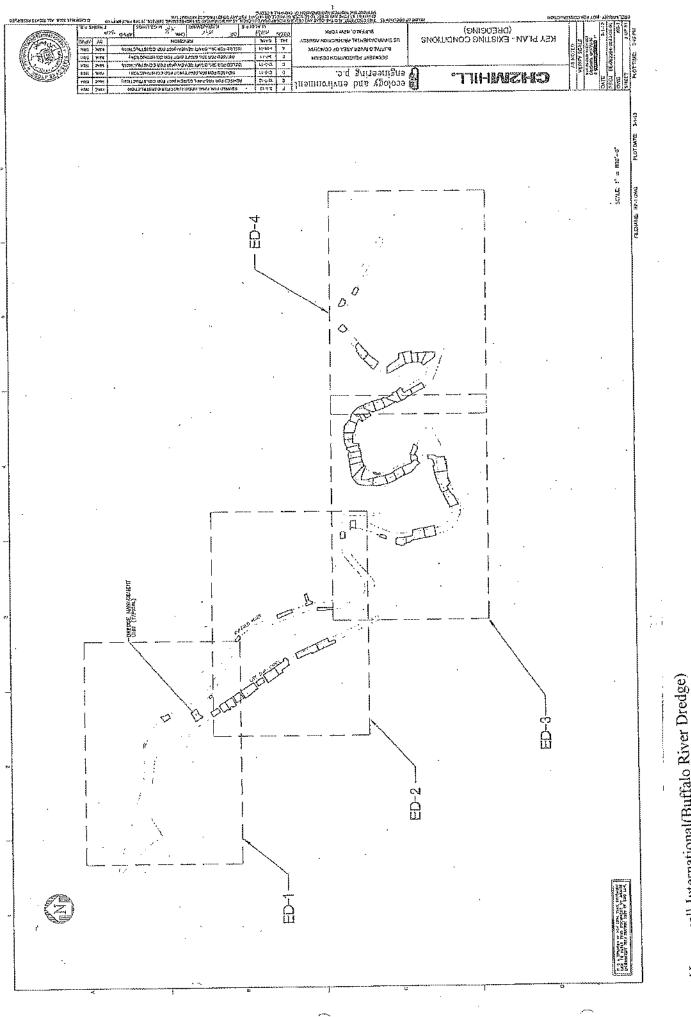
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7. LC D/	W WATER DATUM. (LWD) FOR LAX NUM, CONTRACTOR MAY FIND AT	INTERNATIONAL GREAT LAKES DATUM E ERIE IS 369.2 FT (ICLD R5), BECAL SLOPING SHORELINES THAT SOME DRE THES ARE SHOWN FOR BIDDING PURPO FOR IDENTIFYING AND LOCATING ALL L	USE THE WATER LEVE EGONO OCCURS OUT	OF THE WATER AT THE	. TIME OF THE DREDGIN	G,
10. 10		AND STATIONING IN PROJECT AREA PP				
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		OREDGED BECAUSE THE PROPERTY O I CONDITIONS SUCH AS SHORELINES, "				•
15. CR	NFICAL STRUCTURE BUFFERS ARE	Shown on the dredging operation is based on visual observation an valuated the impact of the propos	i DRAMINGS,			ana (octoefr 24
17. #	AN UNDERWATER SHORELINE STR	ÚCTURE (e.g. sviprav). CONCISETE BOA	T RAMPS, ETC.] IS E	INCOUNTERED IN ANY AI		
і <u>в</u> , тн в	e habitat restoration design	was based on an average water s	URFACE ELEVATION &	of 57: Feet.		
	ABBREVIATIONS	RAST HEGHT		INE TYPES		с
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с	Cosignation (Drawing (a)	Company			TABLE	
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	CED-4, EH-2	City of Buffalo City of Buffalo Oivision of Water	34° dia City of	ameter concrete pipeline, 21 { Buthéo Division of Water d (3) 3, V2, diameter plasito p	O' upstream of Boffelo Cree rawing from Dig Safe shows	* RR Bridge (-S1.5' LWD) old 2" pice below the Mic
	ED-4. EH-1, EH-2		Bridge	8 Bullaio Division of Water d +93' LWD) diameter relevision cable in		
	G ÉD-2 H ED-1, ED-2	New York Telephone City of Auffale Division of Water	15 da (-35 L		e crossing Bufalc River thro	lugh Fire Tug Silo, 100' do
복	<u></u>	City of Sofialo Fire Ceperiment New York Certral Rainbad	Subma Maps	neter submarine cable, 130 arine cable, 60 cownstream sent by National Grid (curre	I of N.Y. Central RR Bridge nt owner of Niscara Mohaw	(-35' LWD) x Power Compation) show
× York	K ED-4, EH-1, EH-2 L E0-4, EH-1, EH-2 M ED-4, EH-2	National Grid (formæty Nizgan Moliank Po City of Buffalo CSX	Five (5 2' dian	 2-3/4" & four (4) 2-1/2" am meter electric cable, 30' ups 	ncred power cables, immed incern of Buralo Creek RR.	letely downstream of Sout Bridge (-30' LWD).
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Cuad: Buffalo NW Quad: Buffalo NW Sheet 11(10A) of 11	P ED-4, EH-1, EH-2 Q ED-3	New York Telephone New York Telephone Vertson (formerly MCI) Unde LLC. (formerly BCC Processing Plant	Subms Subms	(8) 4" dismeter aubmanne c sina communications cable after communications cable after communications cable (10) microson plasting, average (10) microson plasting, average)	approximately 50 downst in 5' conduit, approximatel	tream of South Park Alient y 20° upstream of N.Y. C
uad: ieet]	M ED-3 S ED-2 T ED-3, EH-3 U ED-1, ED-2	Linda LLC. (comeny ECC Processing Plant City of Buffalo Division of Water City of Buffalo Division of Water National Grid	16° fe 5' broj	10° nérogen pipeline, appro xible submæged pipe prose ken veletige pipe, wrought	its City Ship Canal downst lion pipe, 6 feet south of ce	ment of Michigen Ave Brid mer of Ensign street
) CY THE	V ED-3, ED-3, EH-5			forn National Grid show a c forn National Grid show a c		

Honeywell International (Buffalo River Dredge)

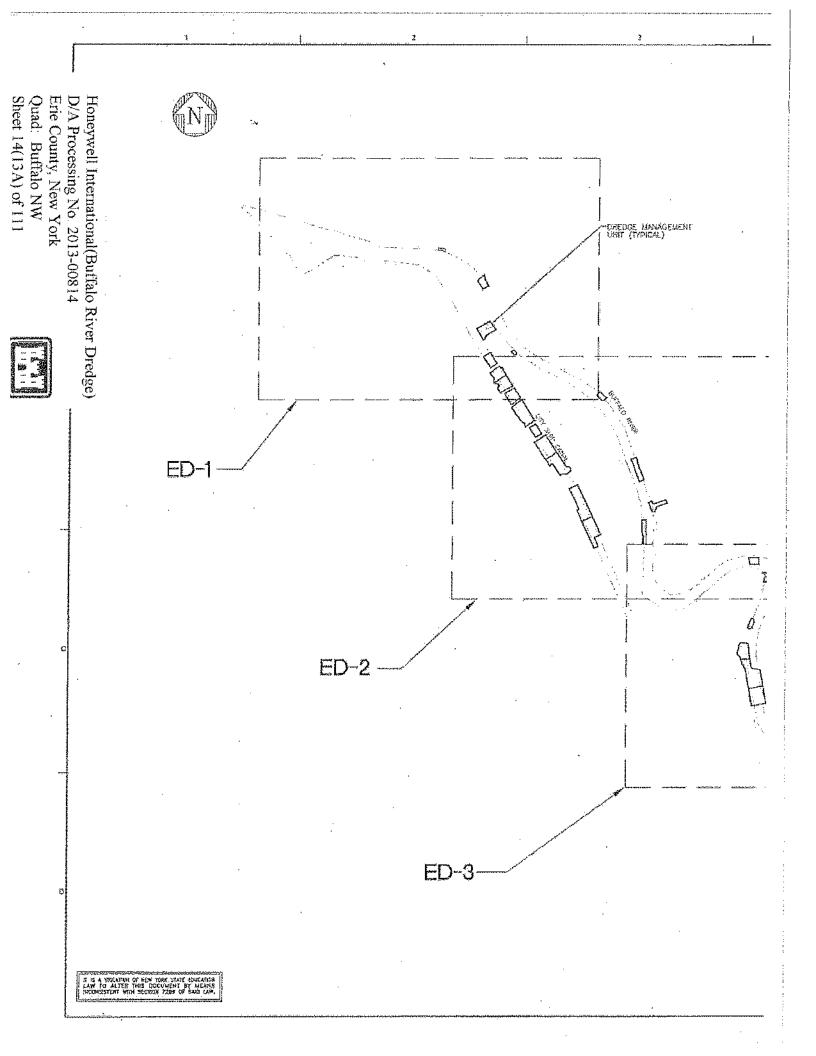
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Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 13 of 111



Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 15(13B) of 111



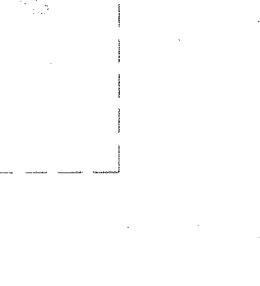
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COMPANY NULT 2000. ALL RIGHTS RESERVED JENS P.C 톮 NH: Ē Krak ROAK FRAK NUK XMX it X PVD revised for Pre-Final Design (not for construction) issued for 30% oract achieven hot for construction resued for 50% diaget review (not for construction Reused For adm. Maney (NOT FOR CONSTRUCTION) NOLDING STOR CONSUMPLY NO. 1 TEAACEU FUIT TARE DATA DILANG 12-7-12 2-16-17 14144 Ş ENT C Ψ ø 4 ç ecology and environment engineering p.c. US ENVIRONMENTAL PROTECTION AGUNDY BUFFALO RIVER AREA OF CONCERN SEDMENT REMEDIATION DESIGN RUFFALC; MEW YORK KEY PLAN - EXISTING CONDITIONS (DREDGING) LINALO NOT FOR CONSTRUCTION

RMK.

REVISED FOR FINAL DESIGN (NOT FOR CONSTRUCTION)

2-1-10



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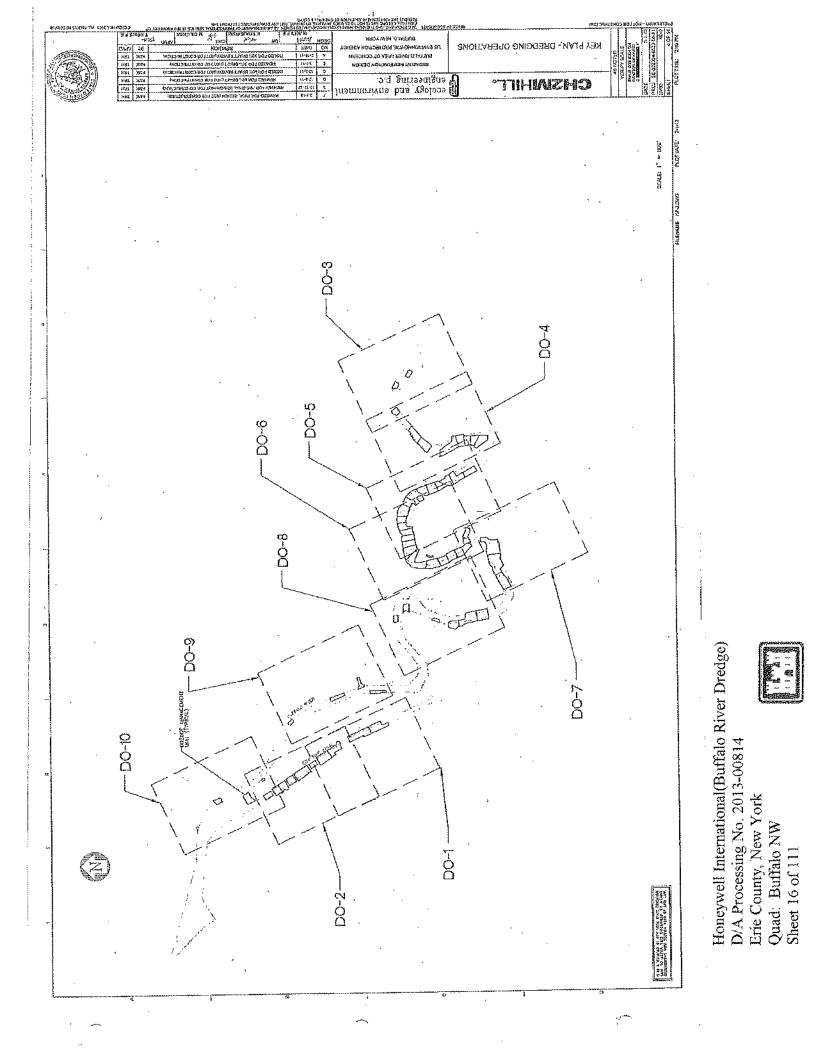
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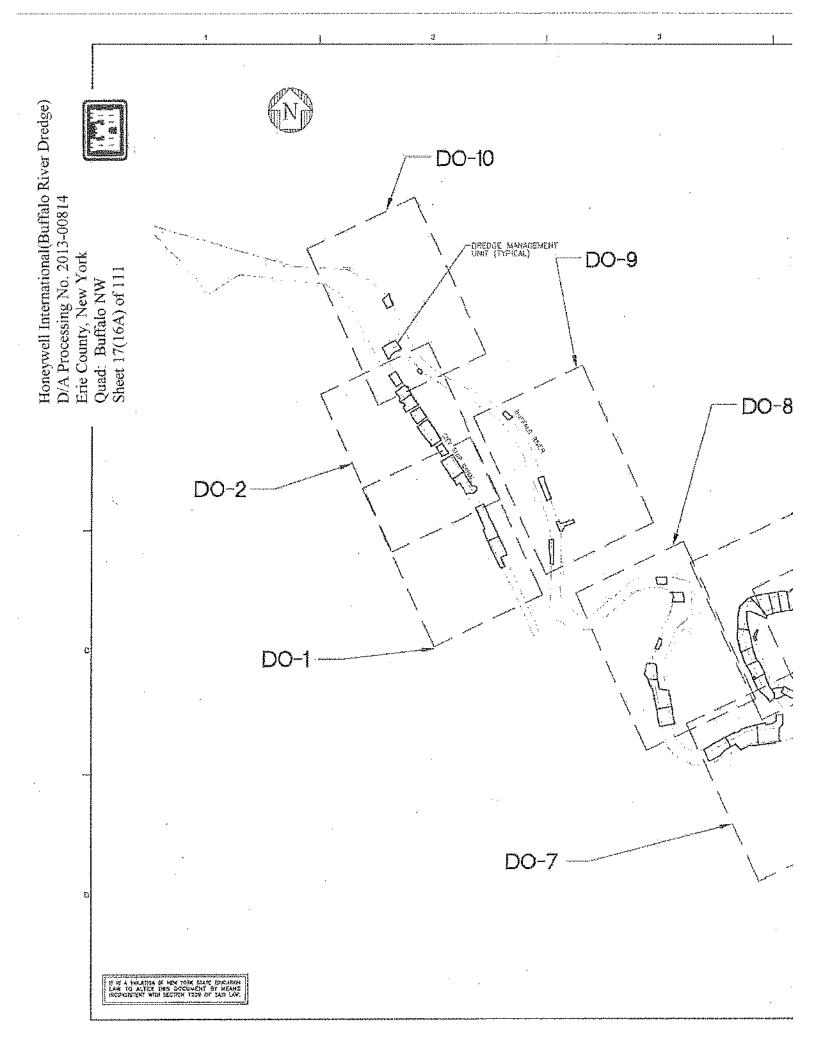
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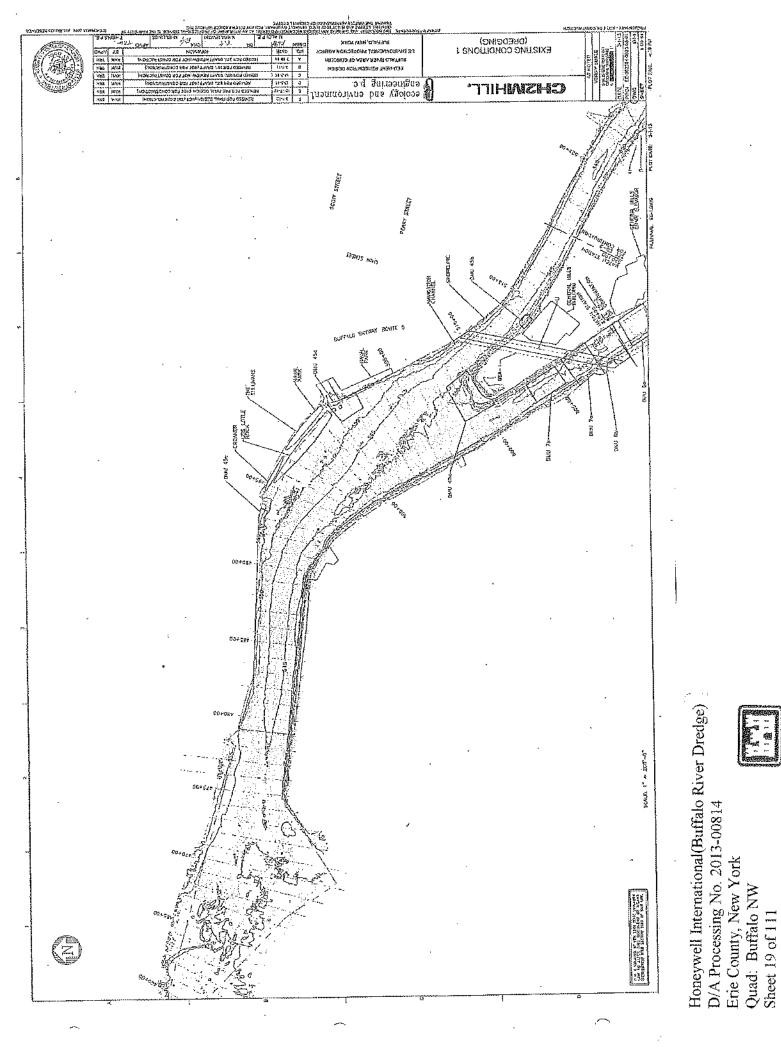
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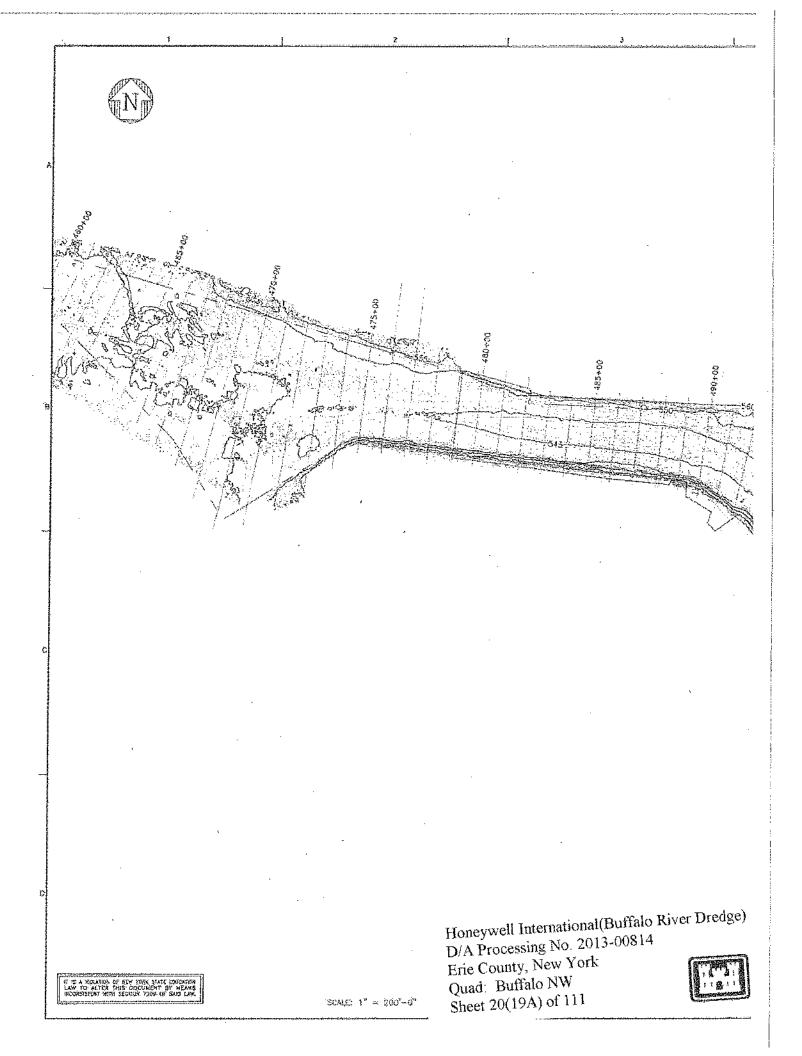
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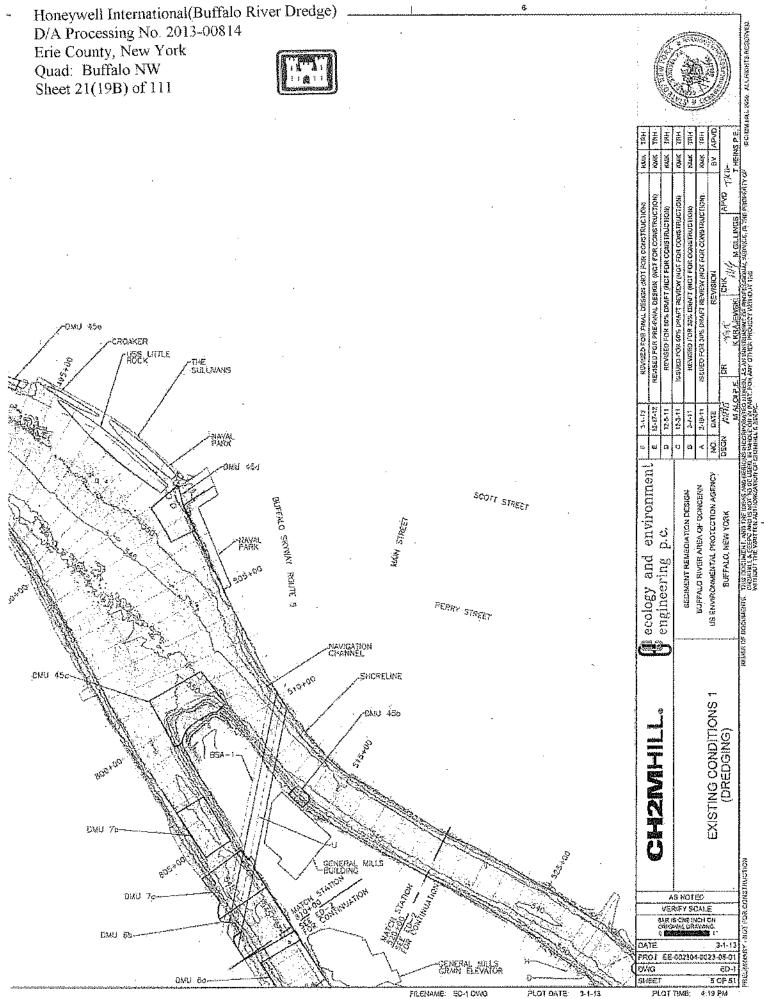


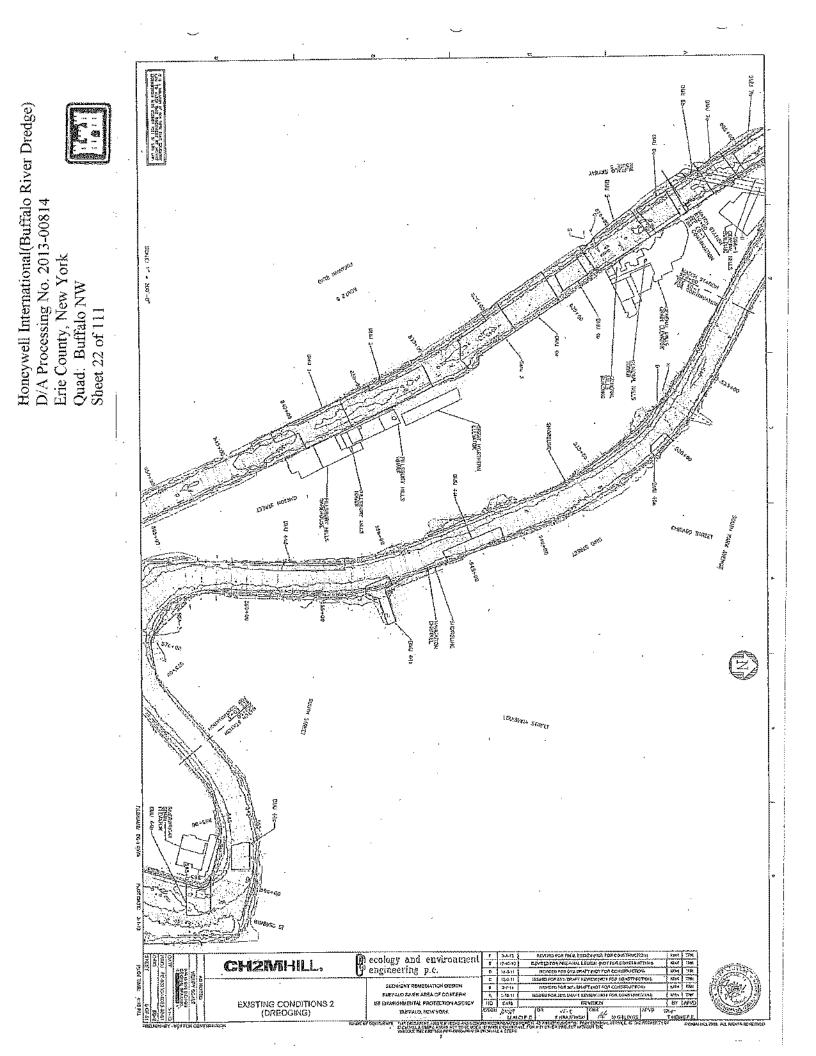


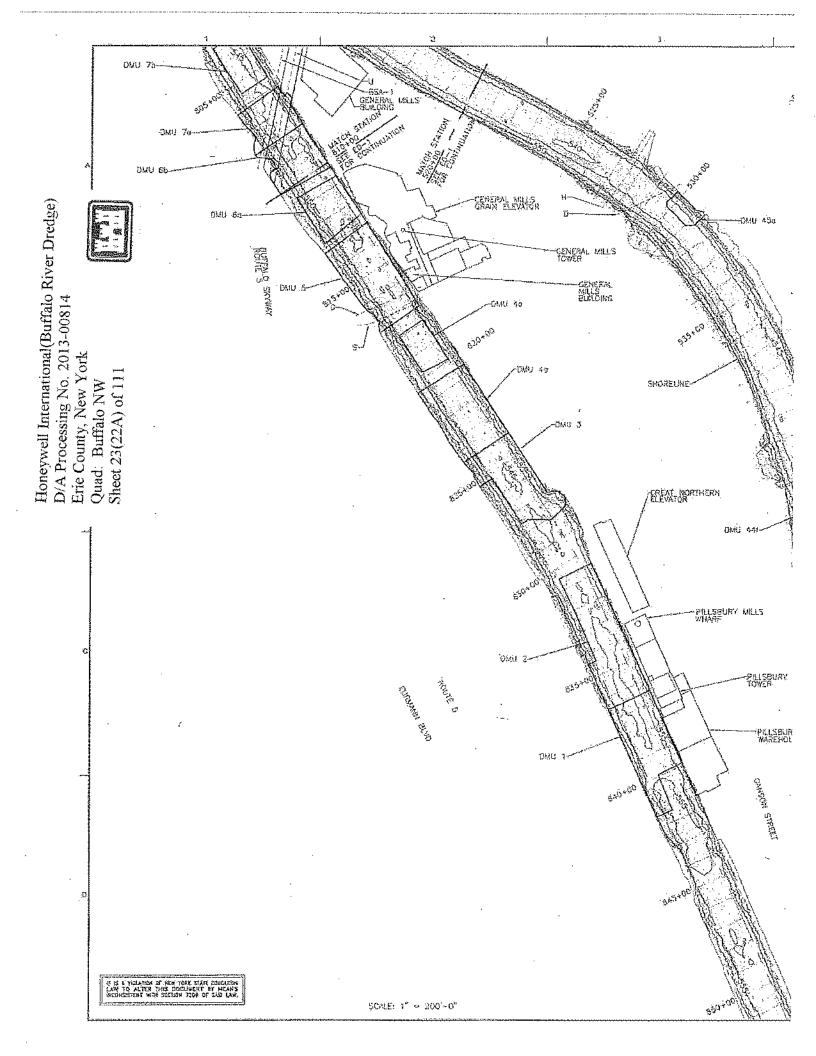
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Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 18(16B) of 111	VERIFY SCALE ZARIS CRE REFORM ORIGNAL SRANNO J MEXIMINATION DATE PROJ EE-0(2304-0023-08-01 OWE OWE KD-2 SHEET 4 OF 51

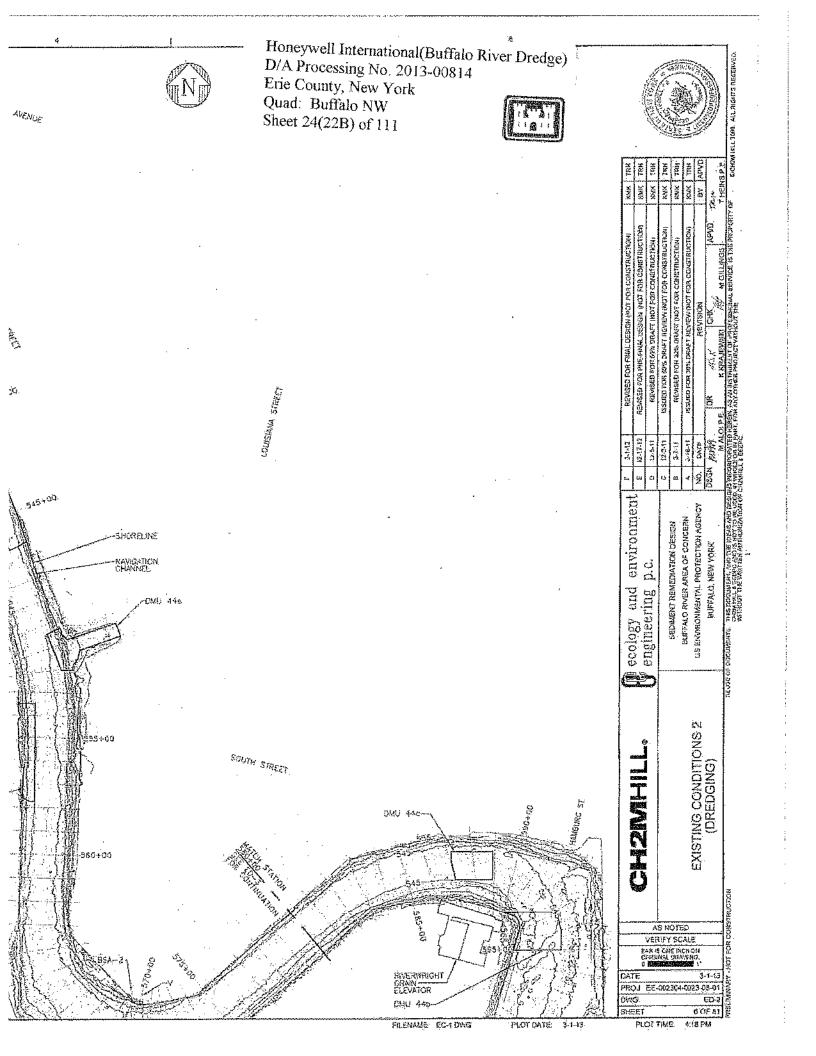






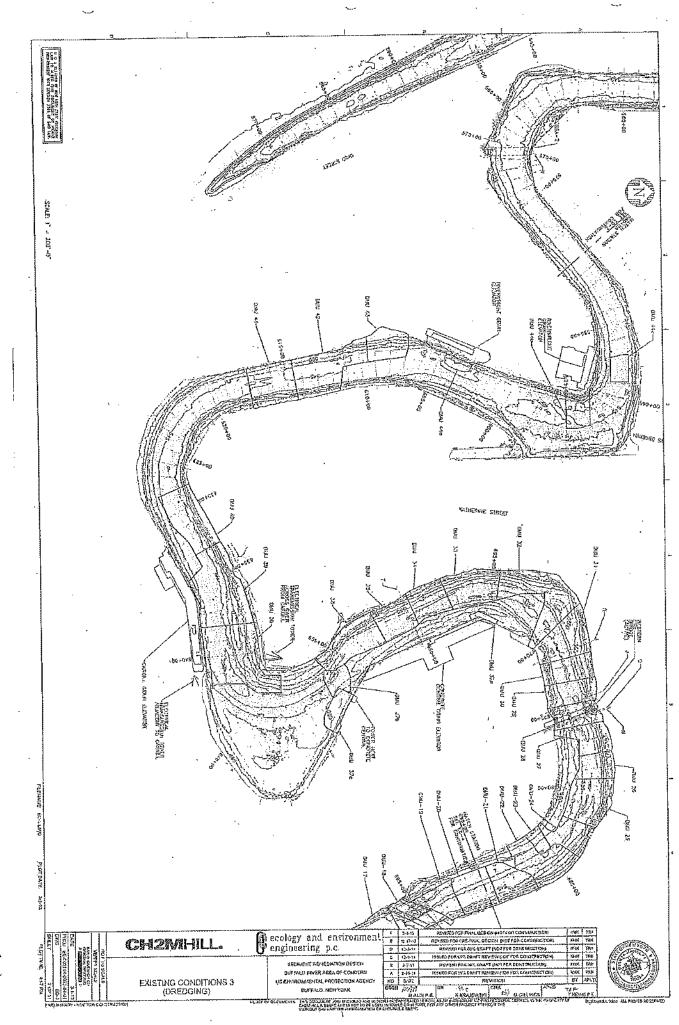


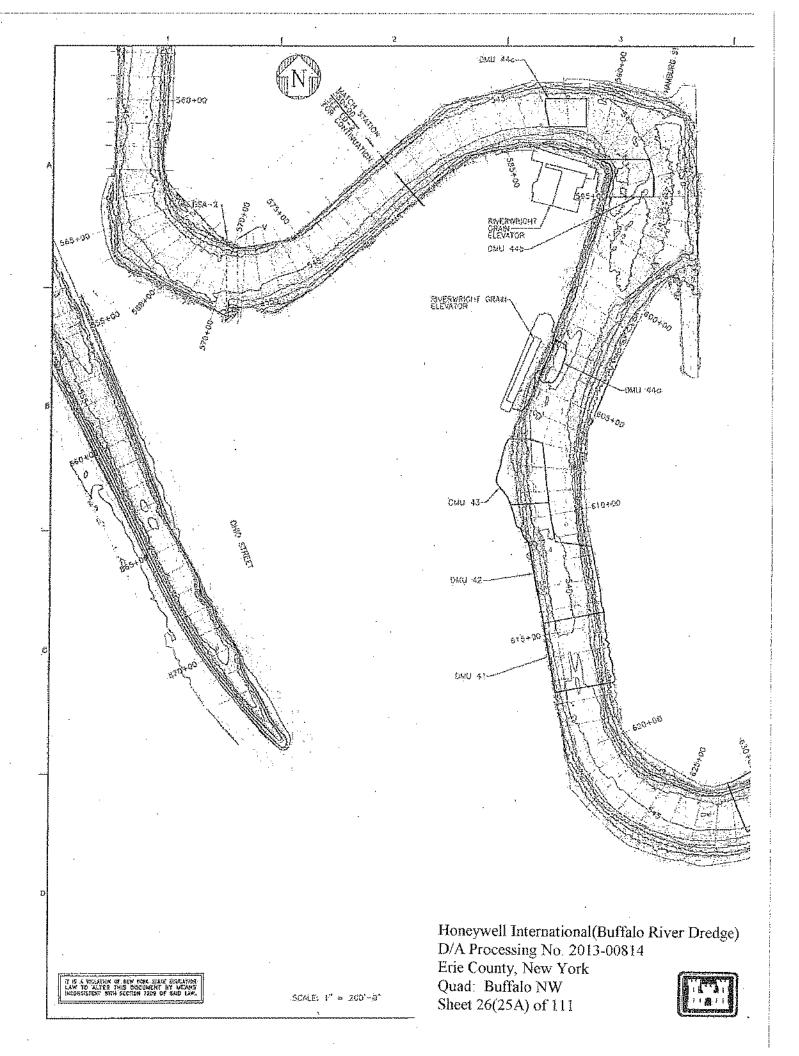


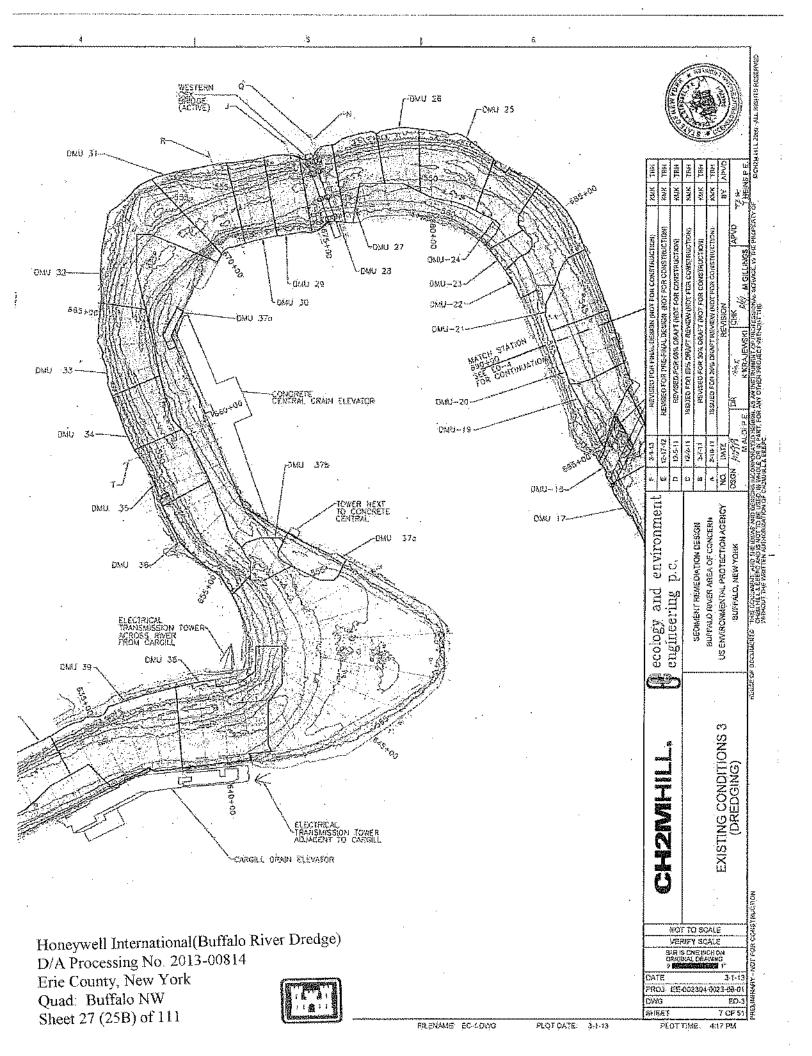


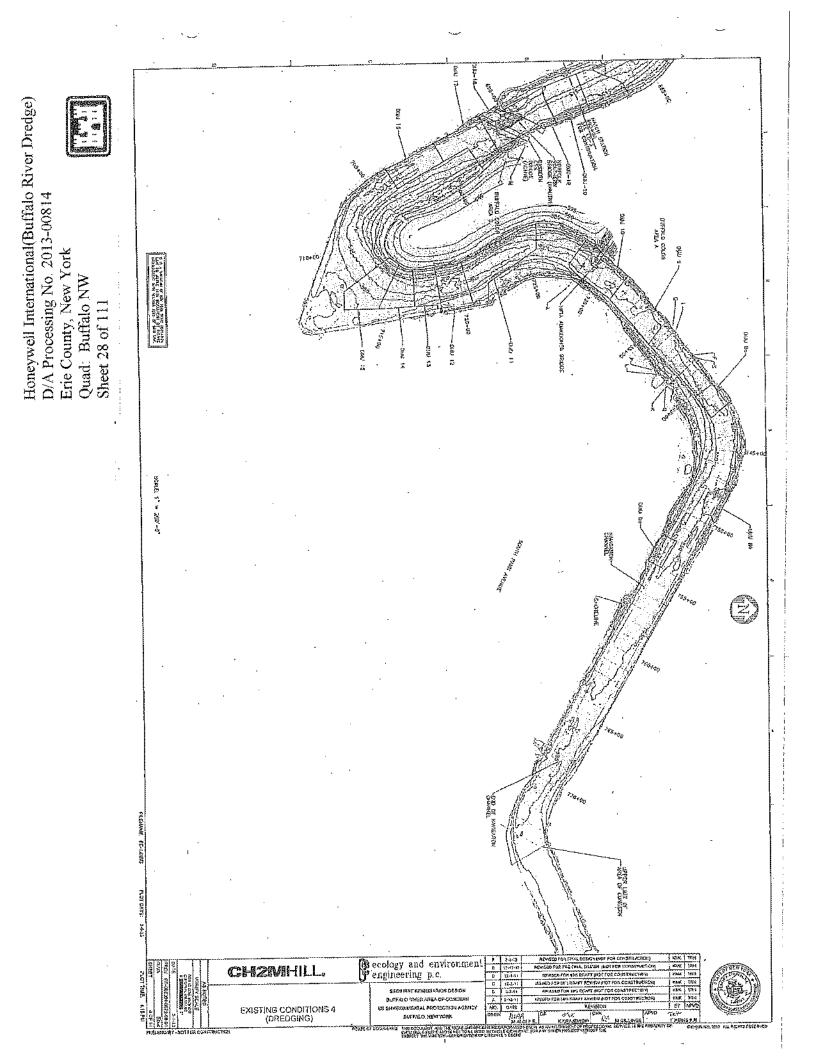


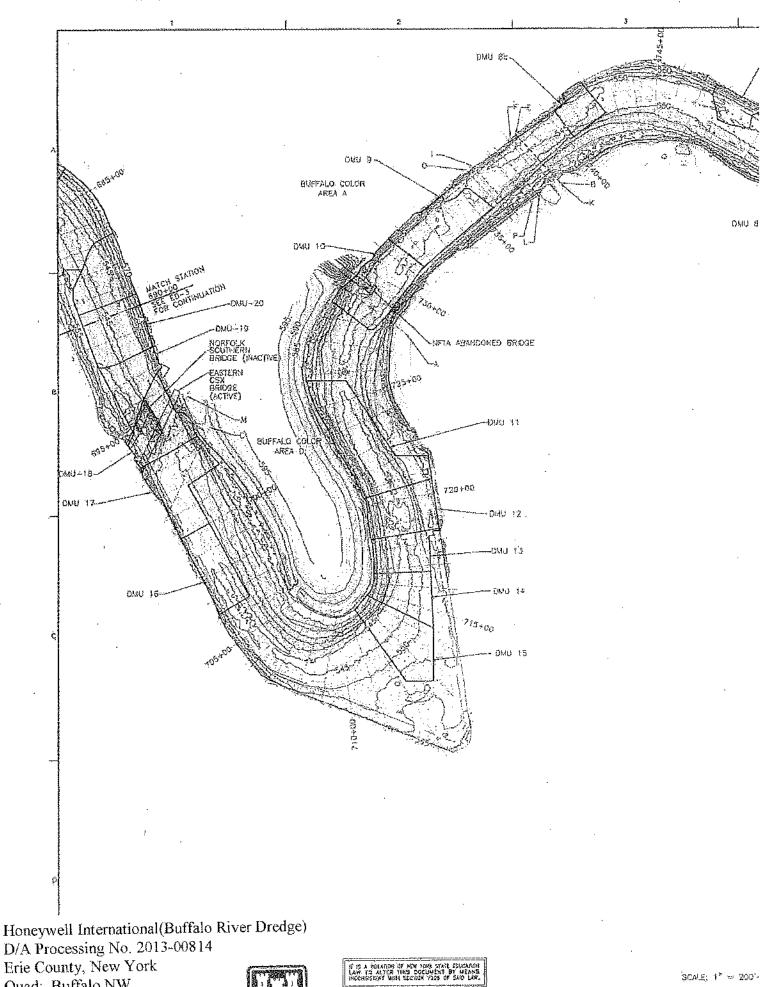
Erie County, New York Quad: Buffalo NW Sheet 25 of 111 Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814





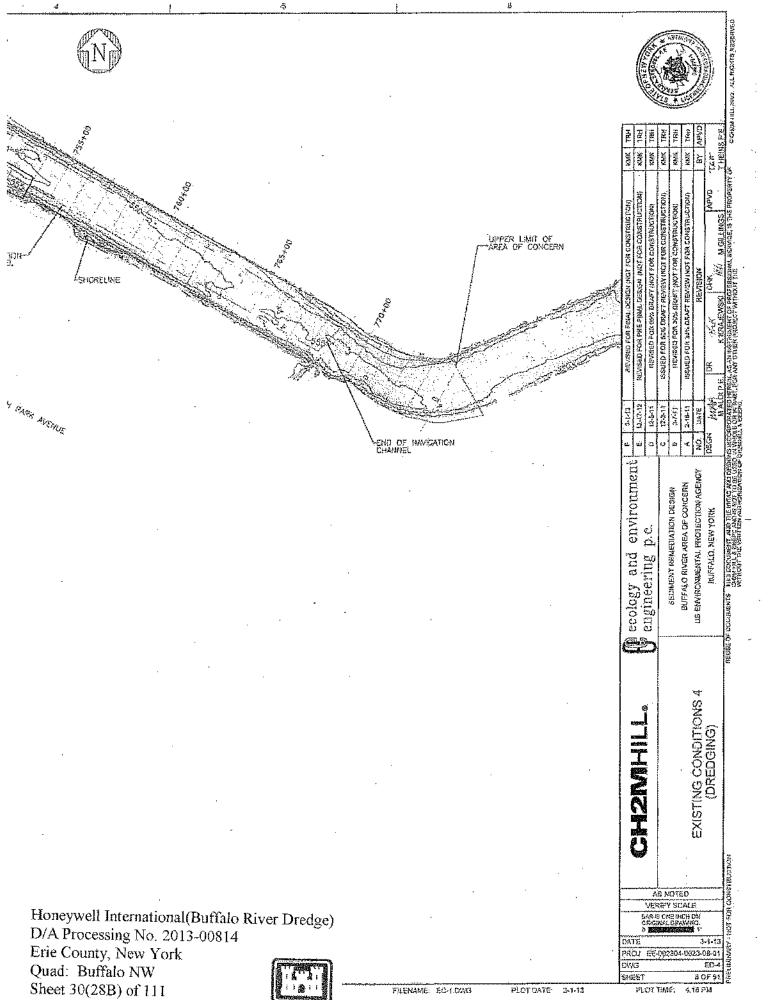






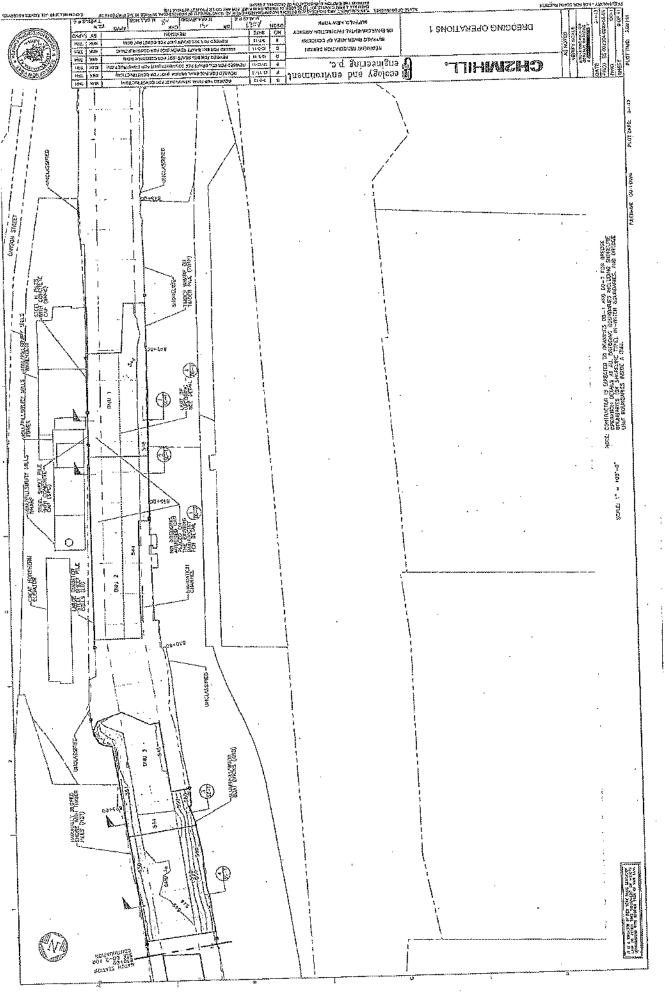
Quad: Buffalo NW Sheet 29(28A) of 111

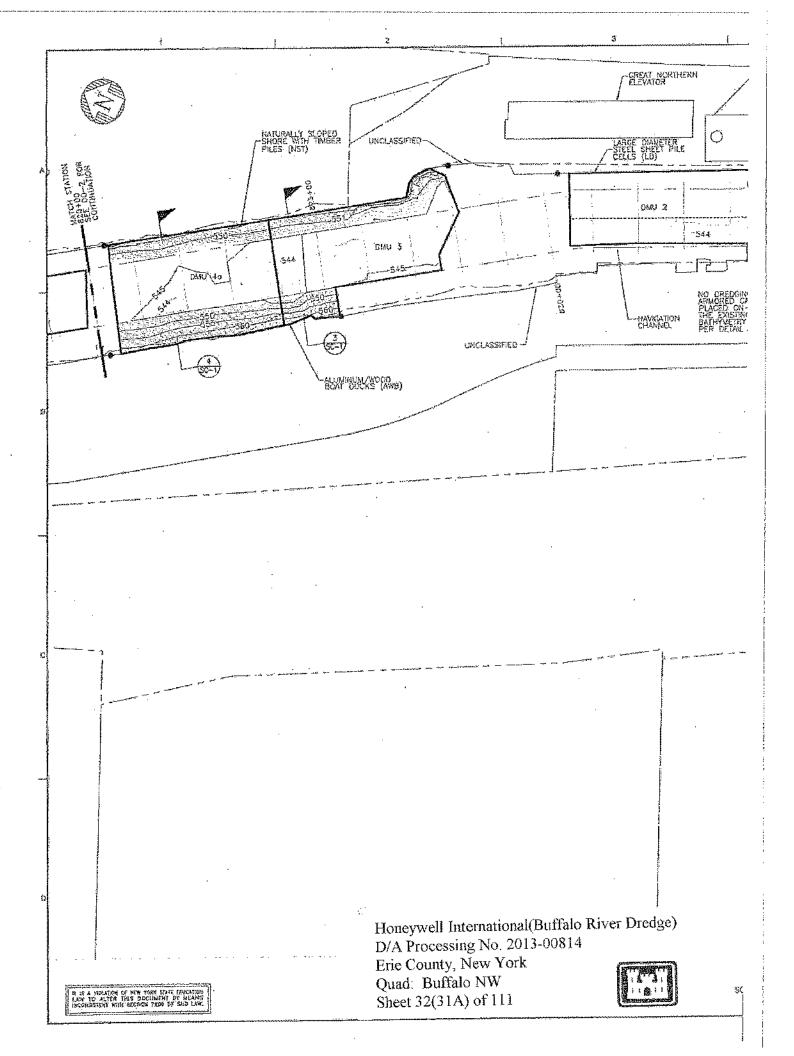


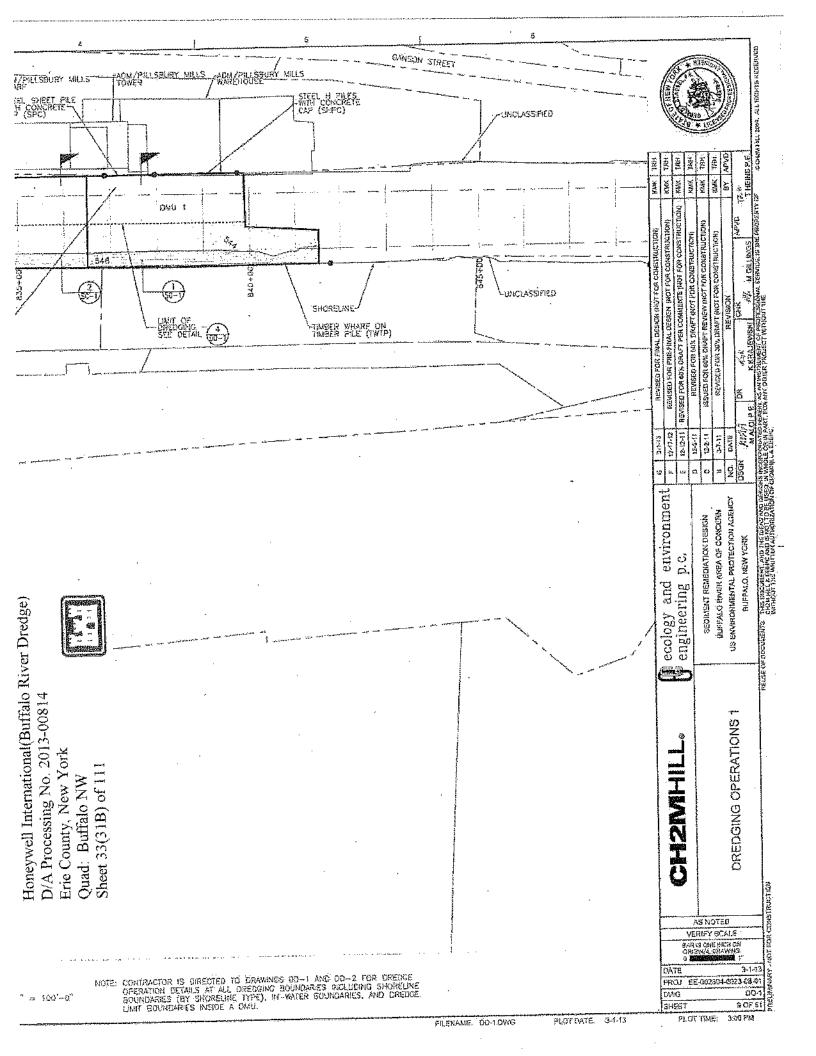


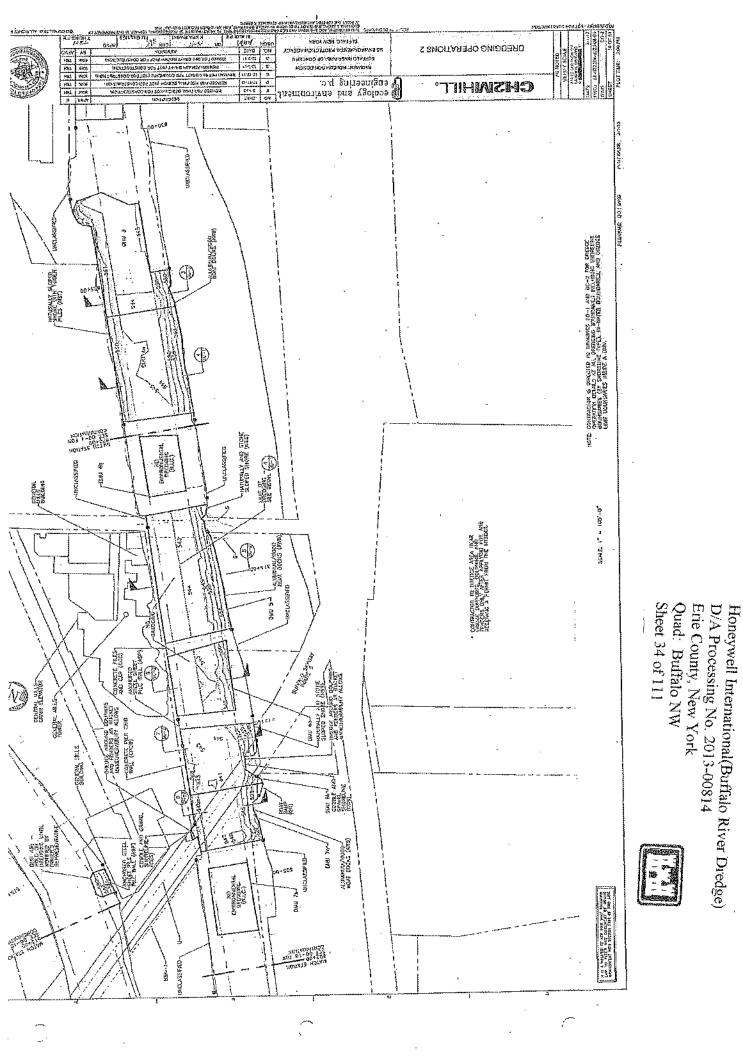


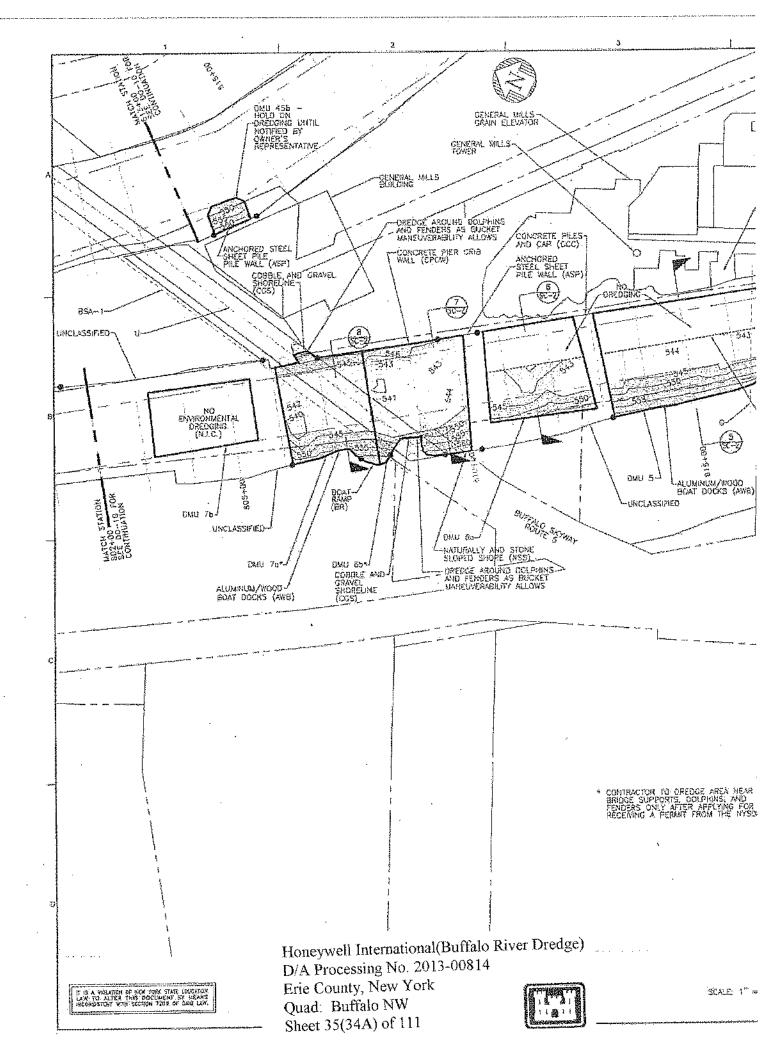
Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Eric County, New York Quad: Buffalo NW Sheet 31 of 111

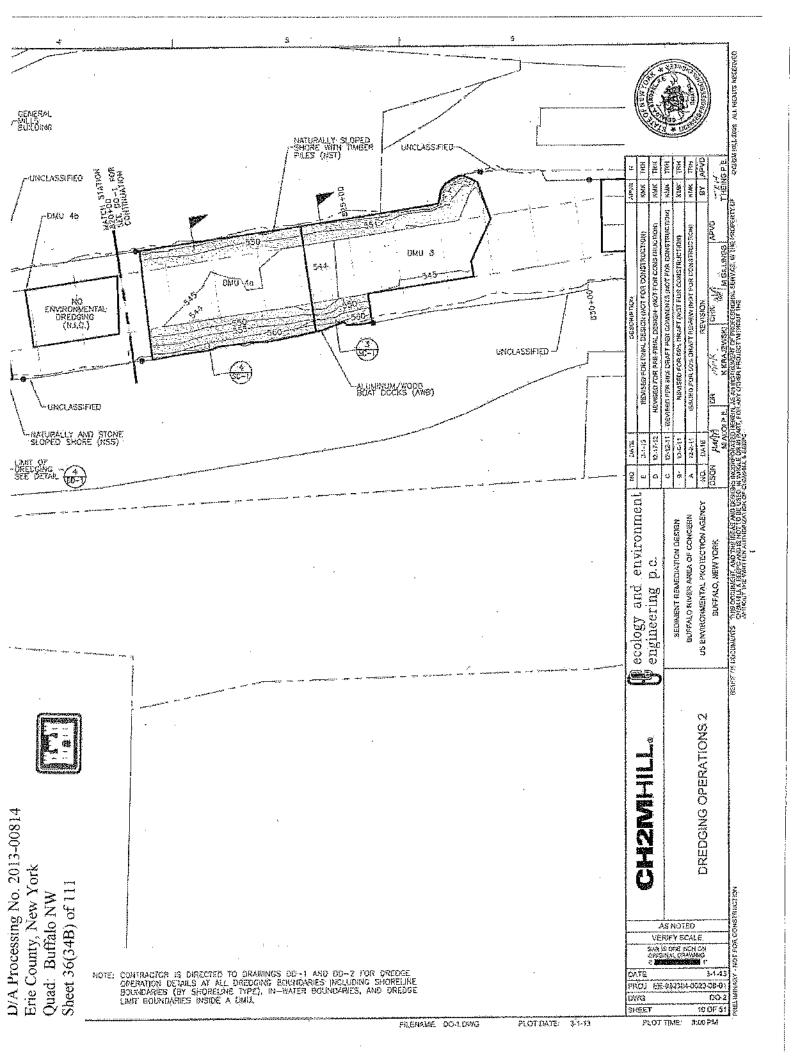


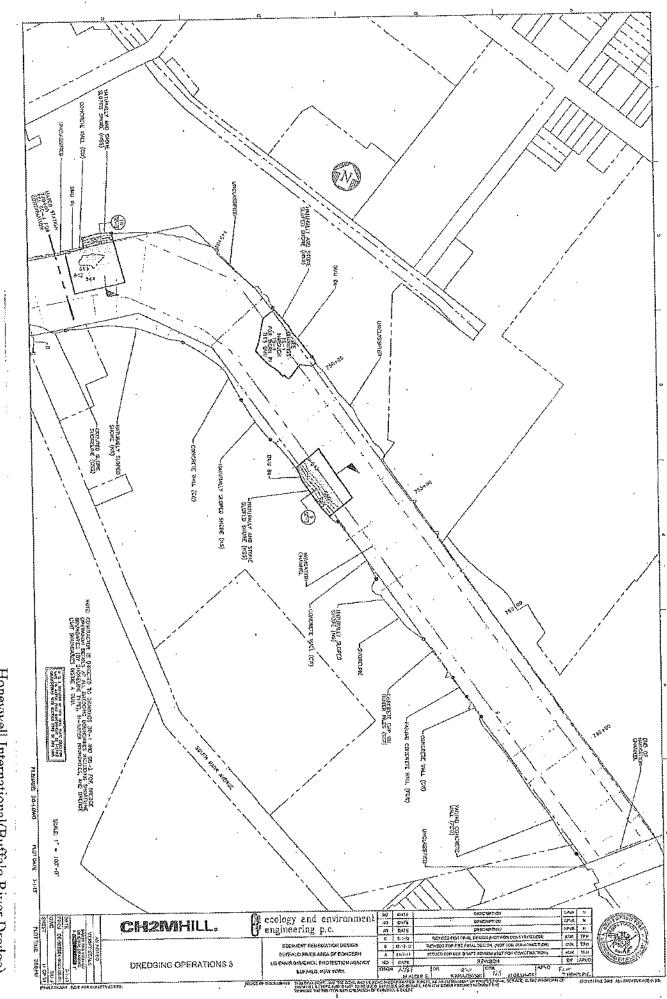




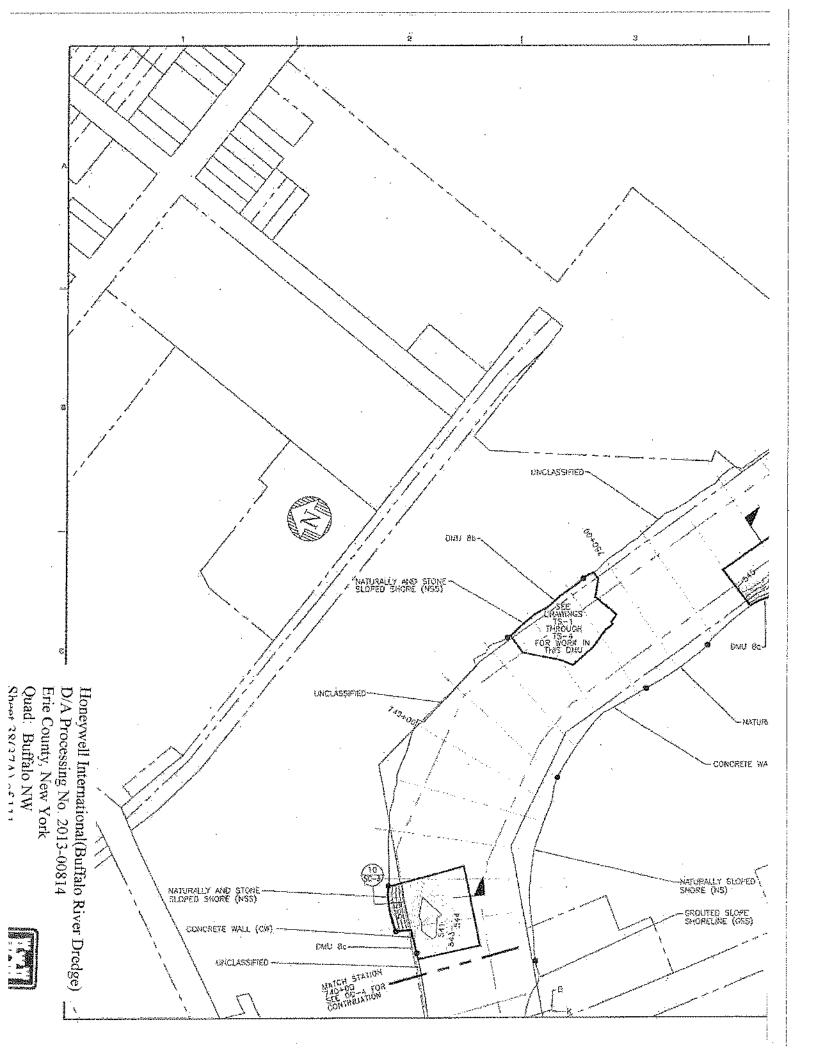


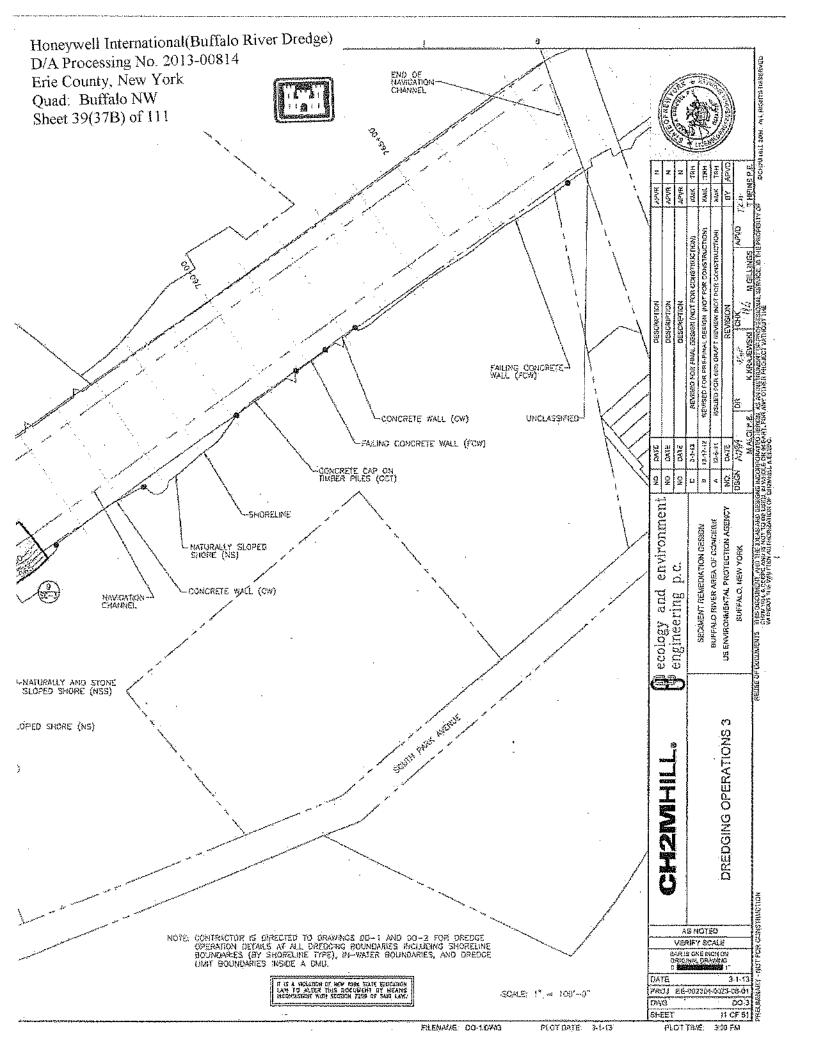


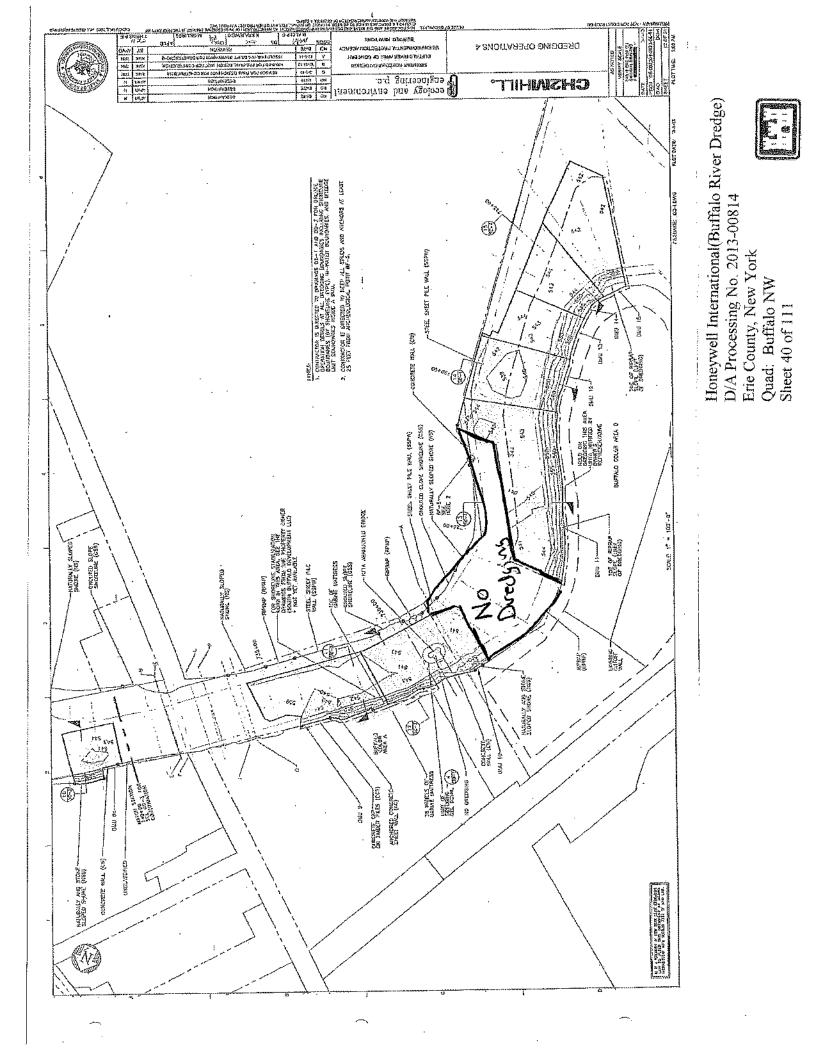


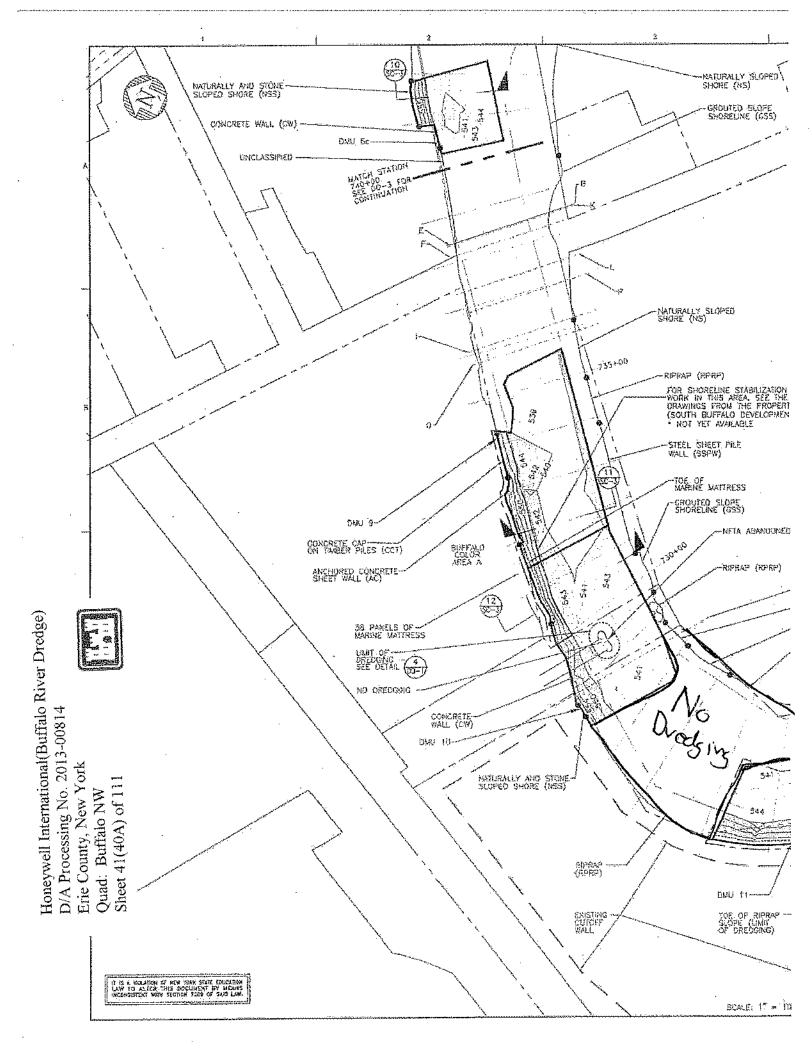


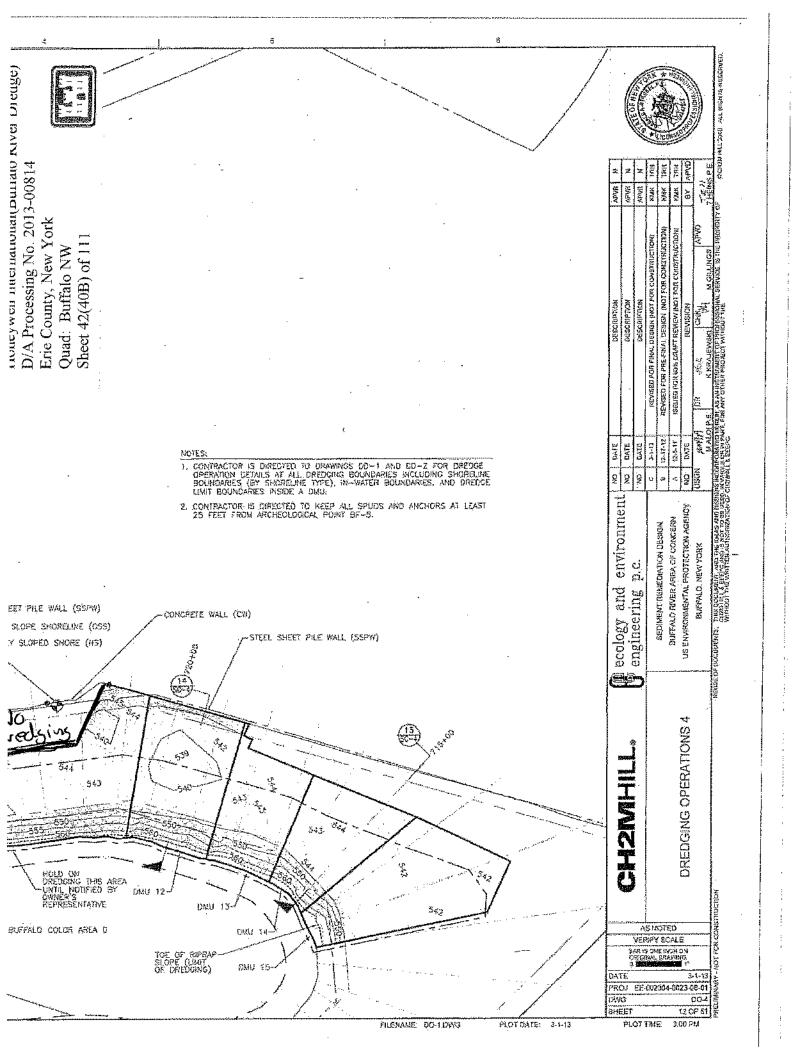
Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 37 of 111

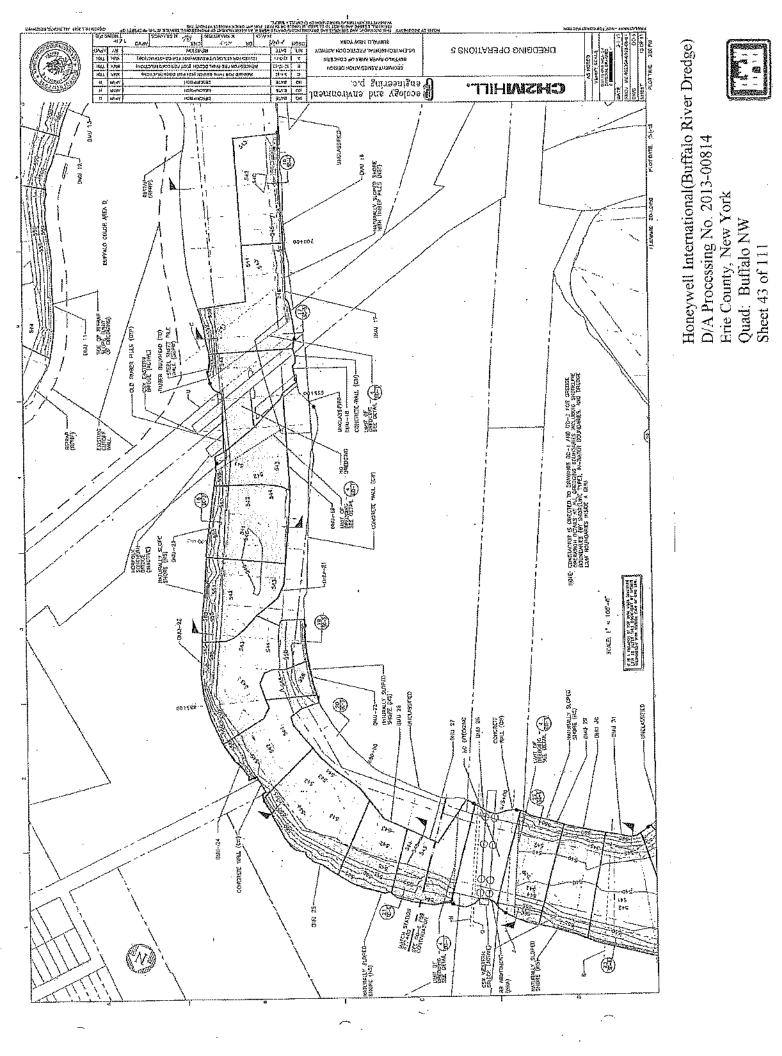


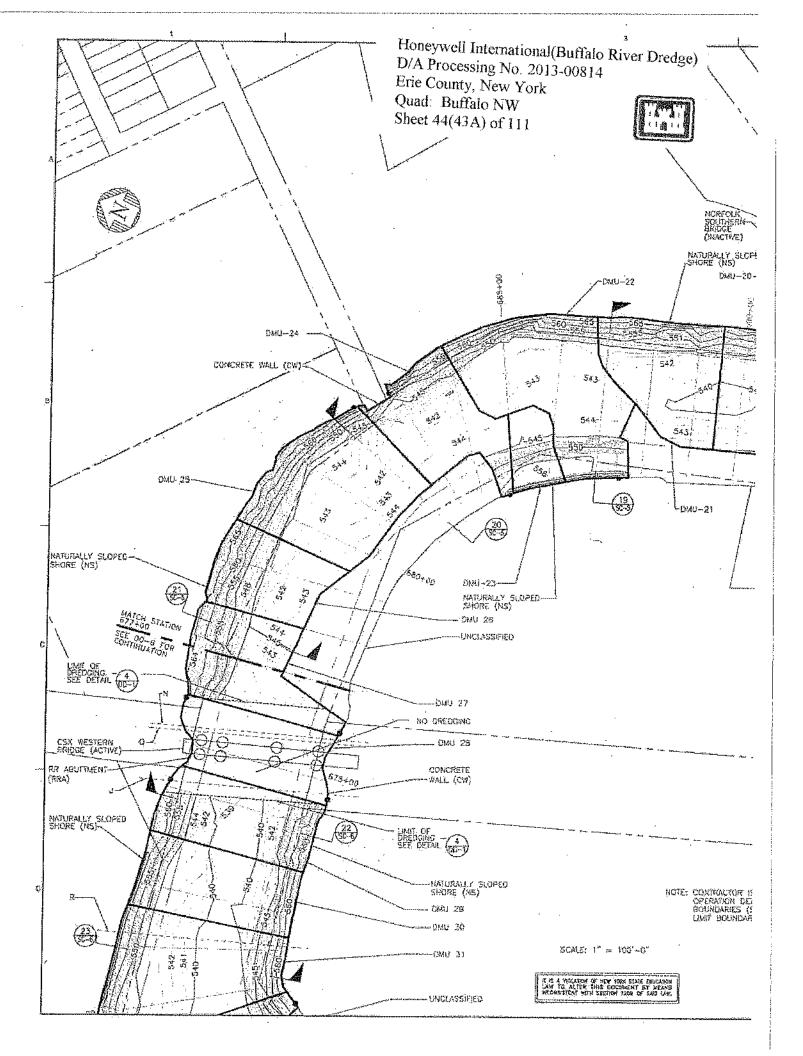


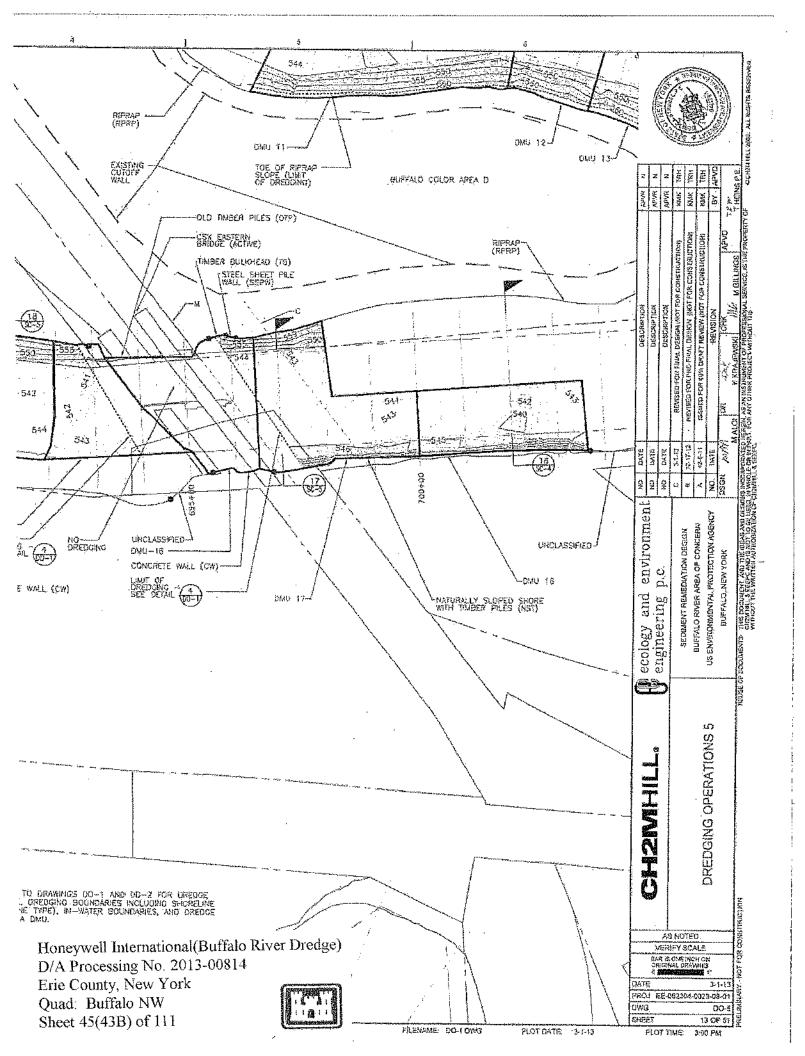


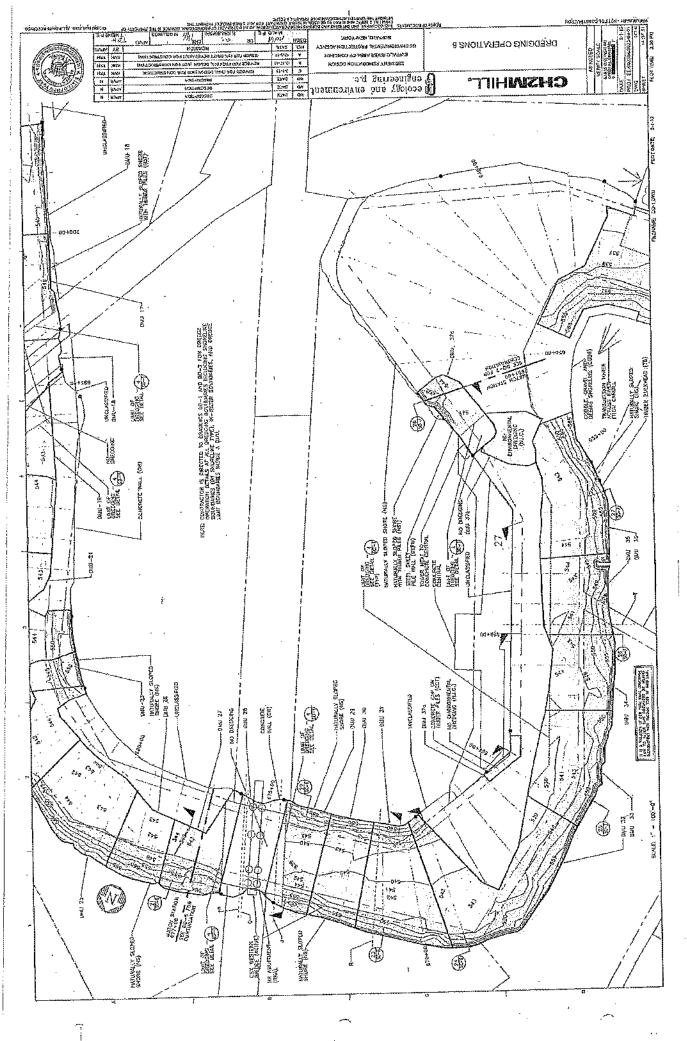






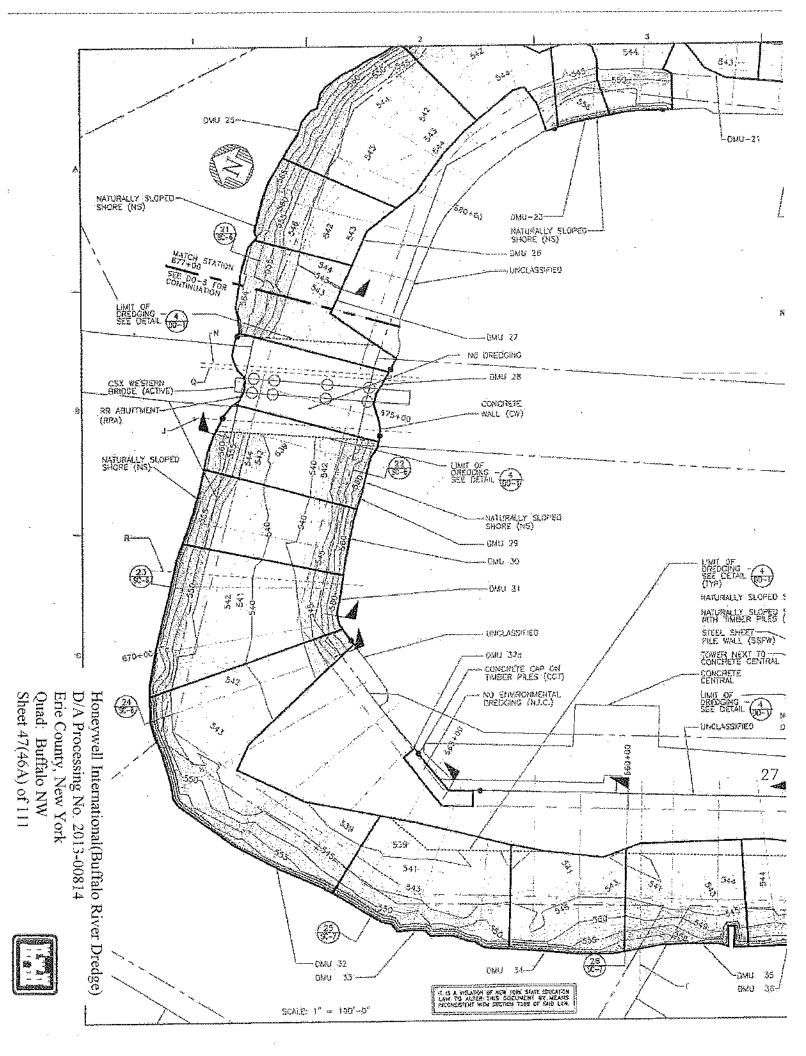


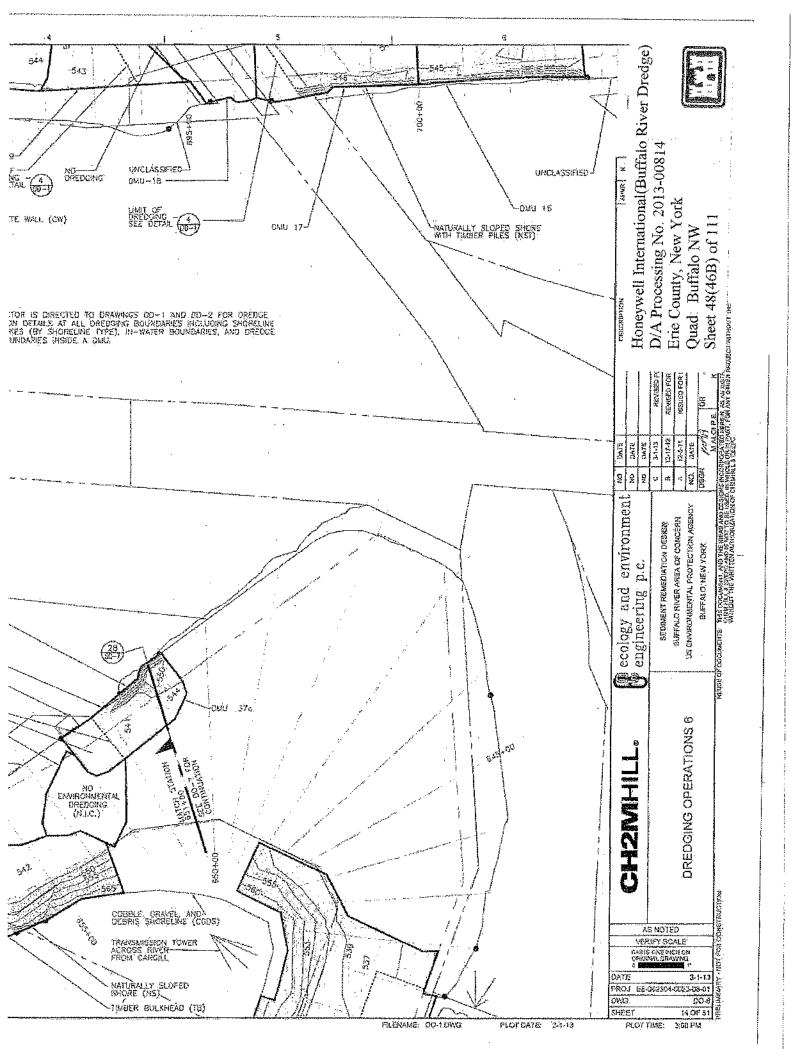


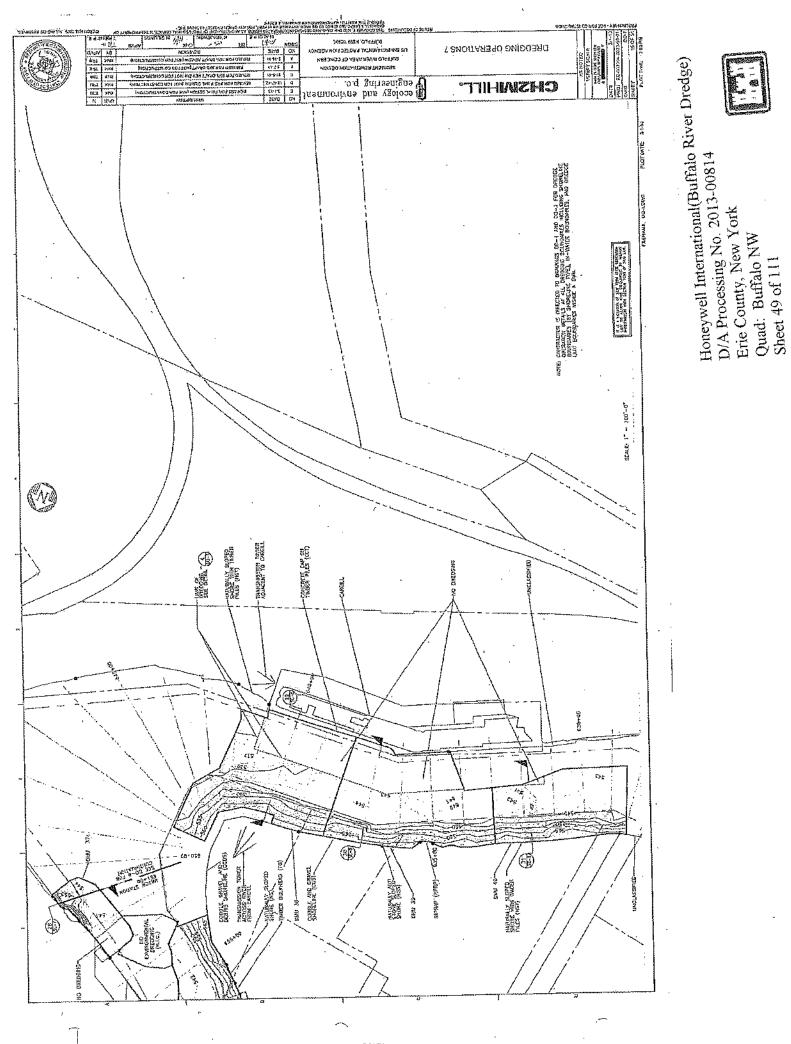


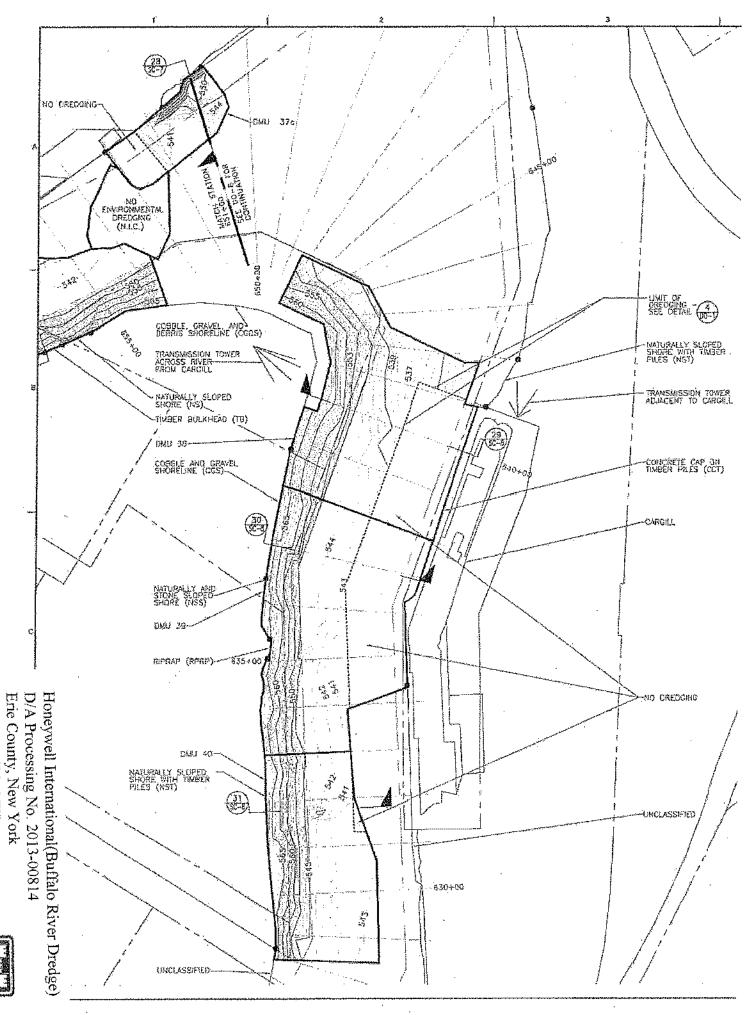
Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad. Buffalo NW Sheet 46 of 111





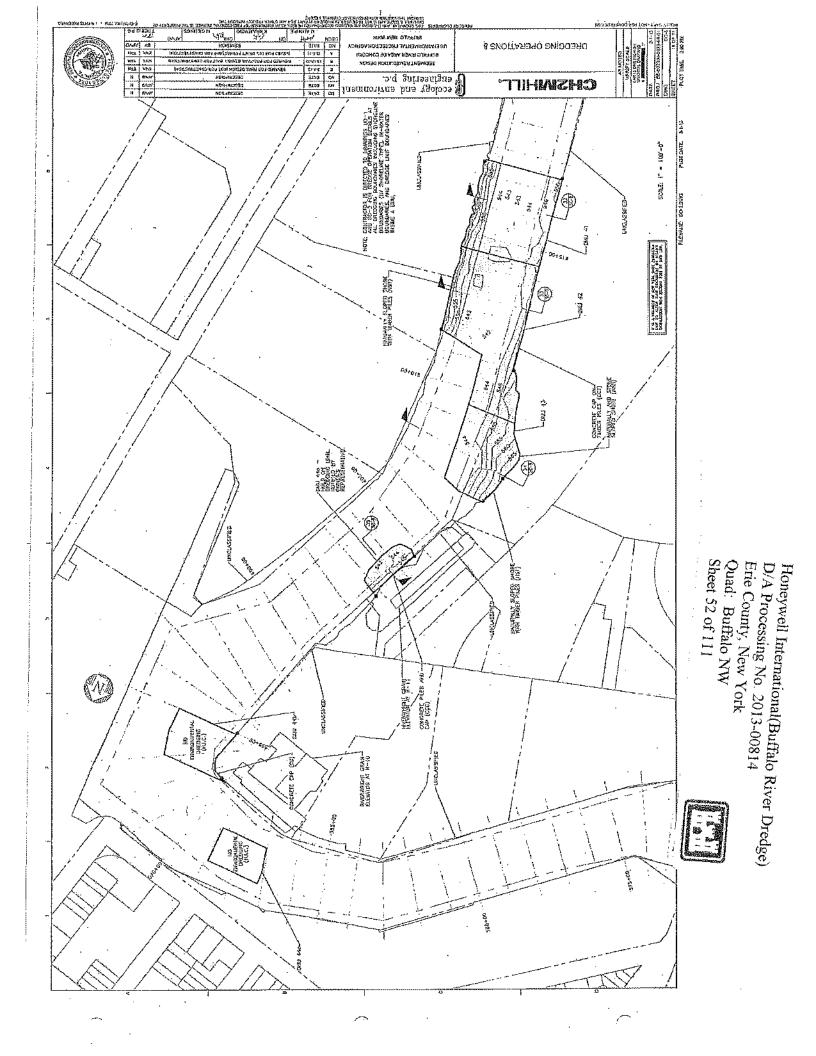


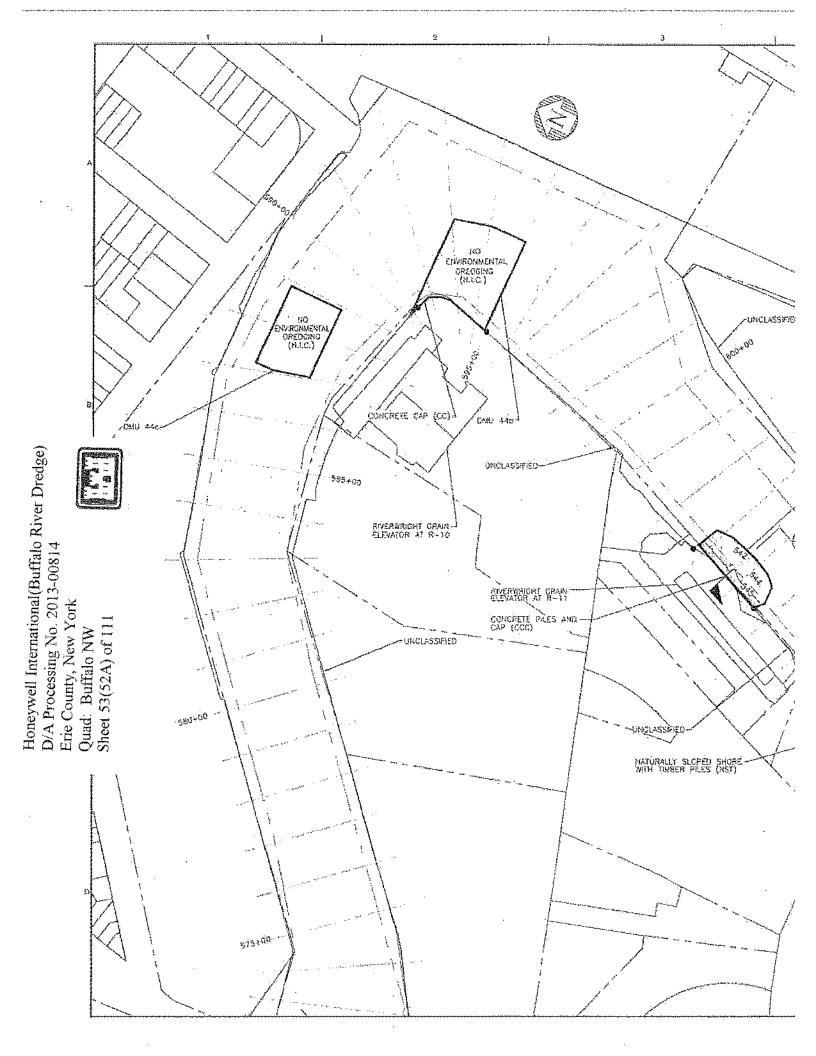


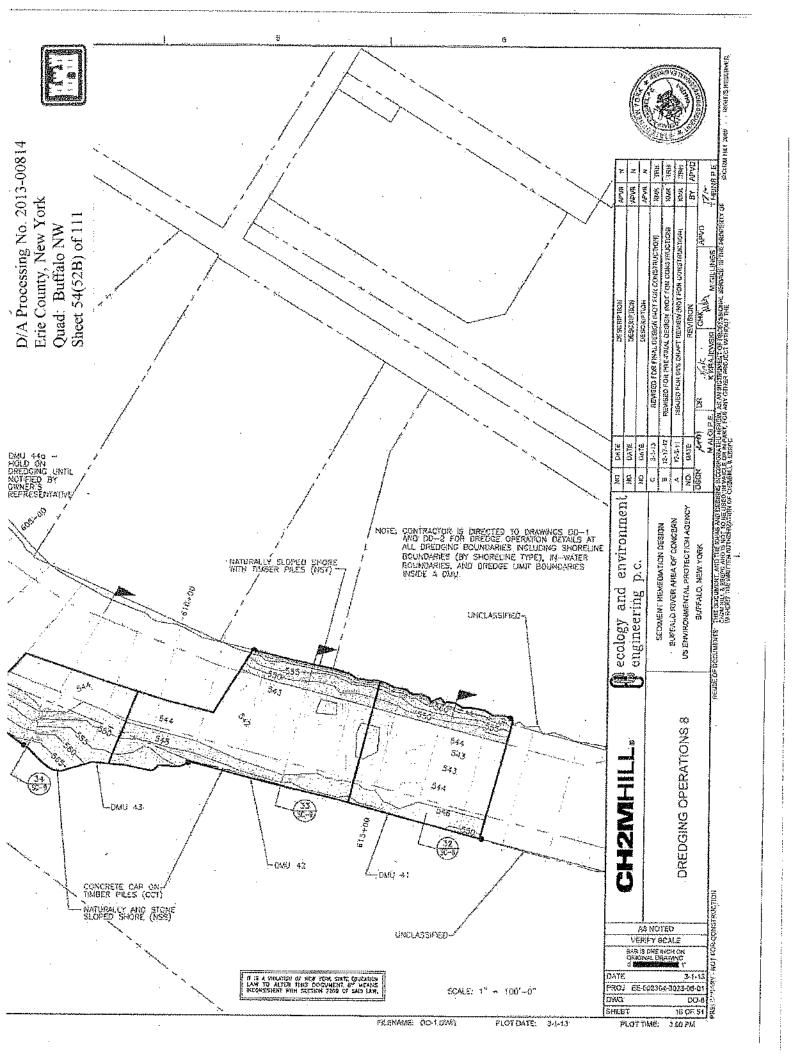


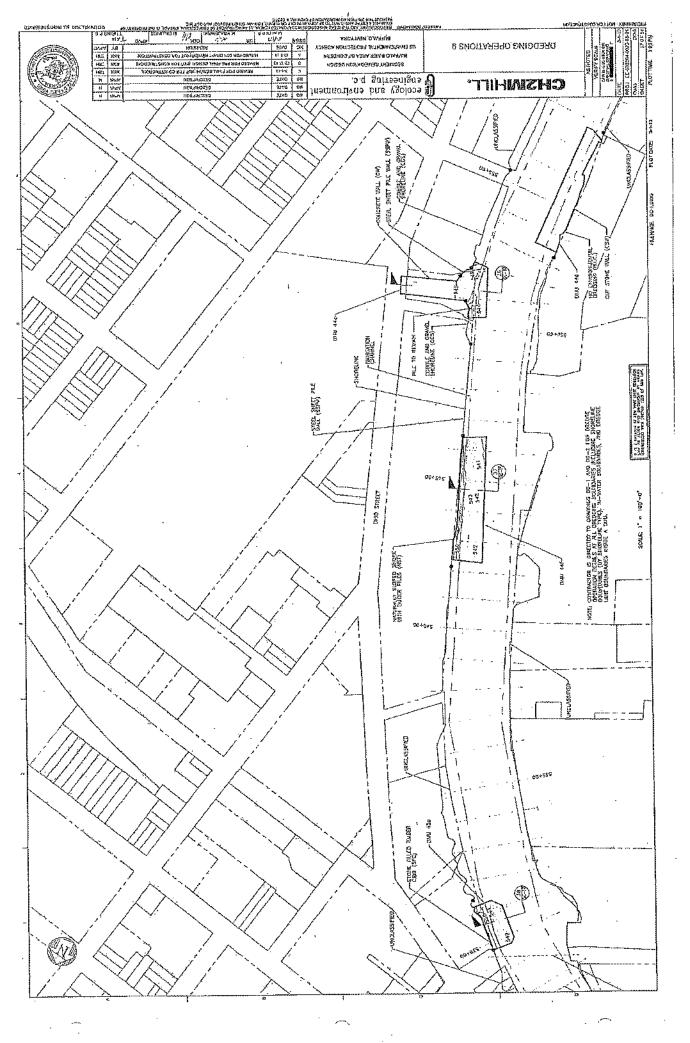
Quad: Buffalo NW

+	Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 51(49B) of 111	1) 11/11/11/11/11/11/11/11/11/11/11/11/11/
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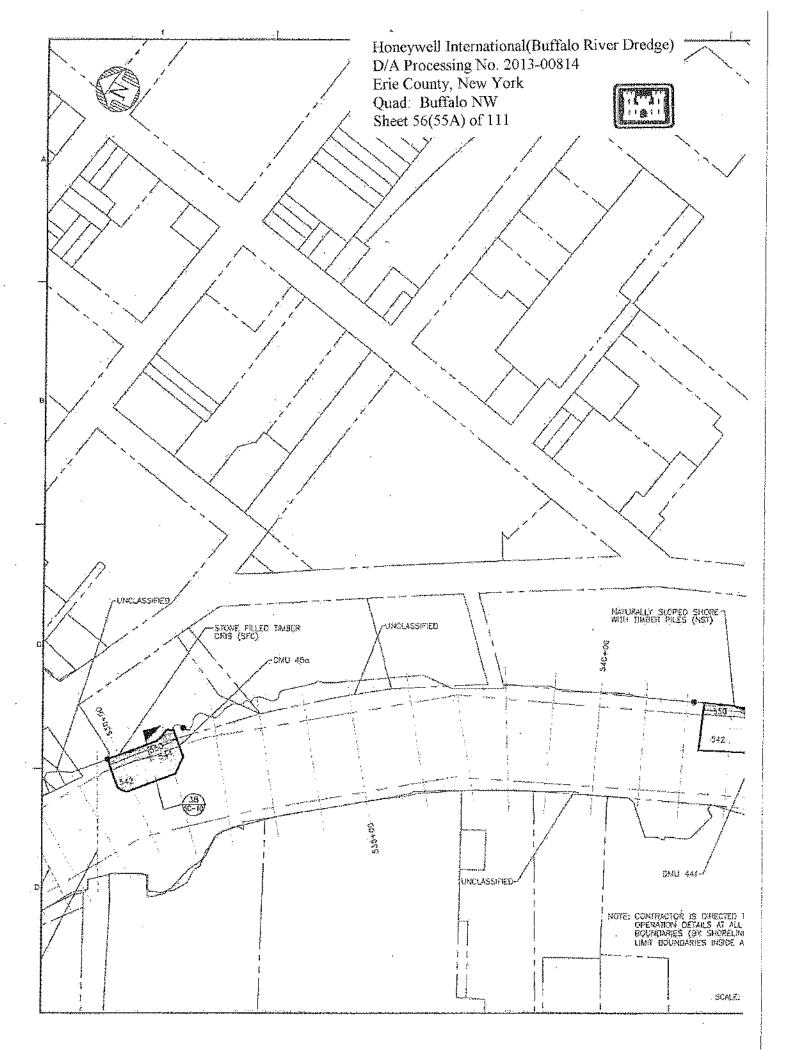


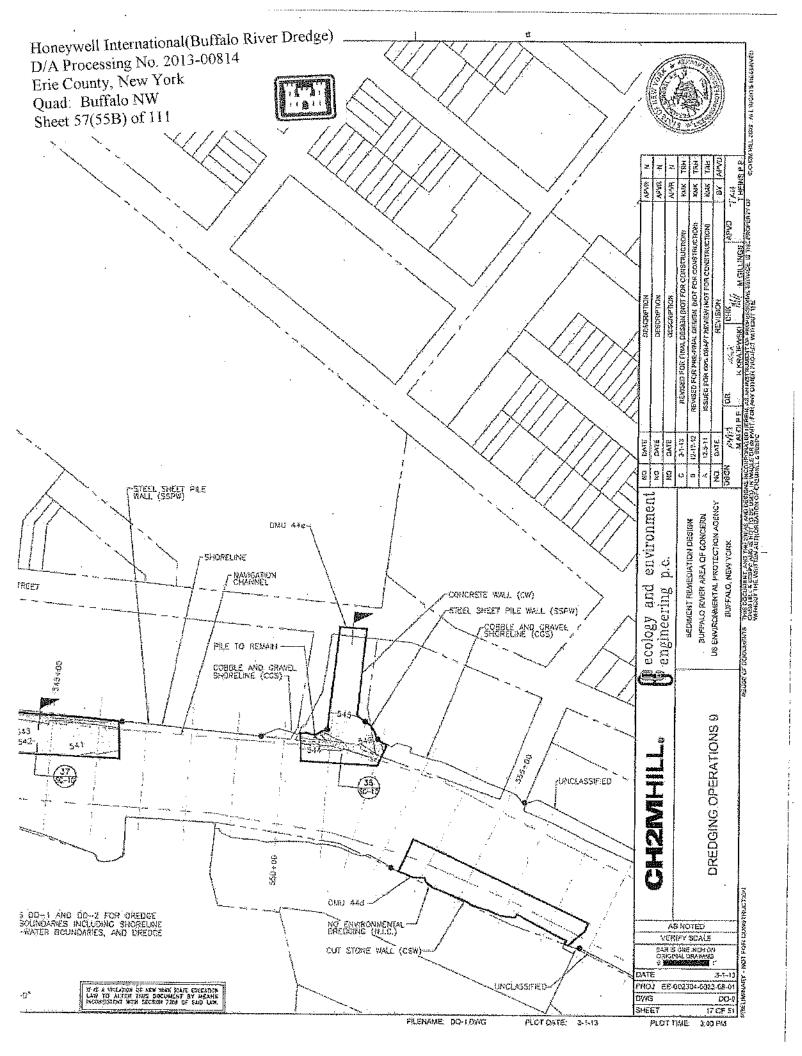


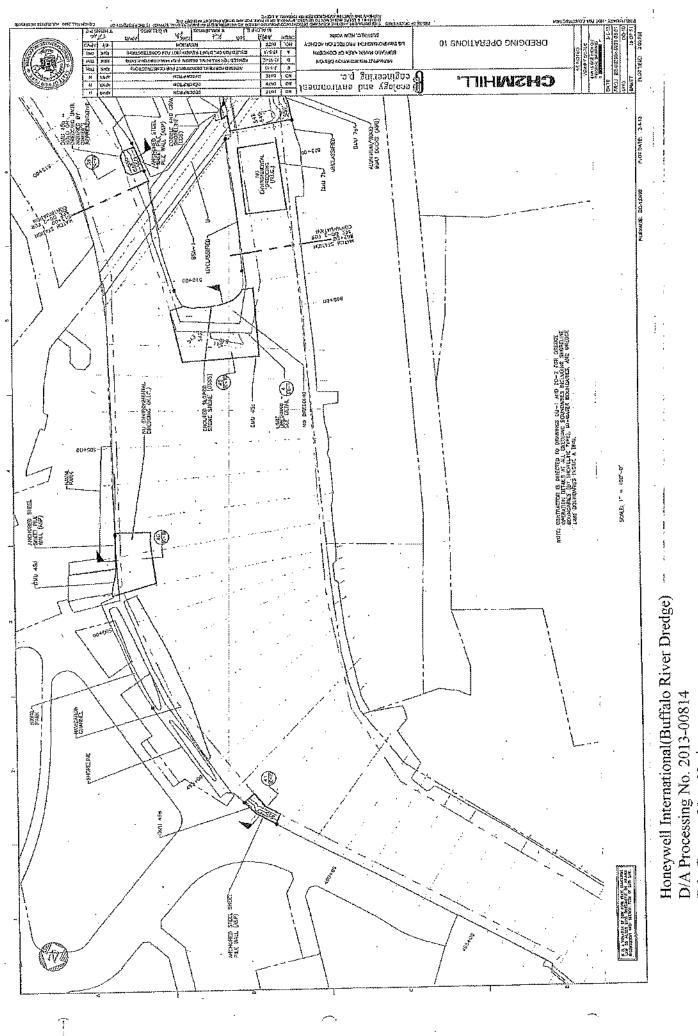


Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 55 of 111

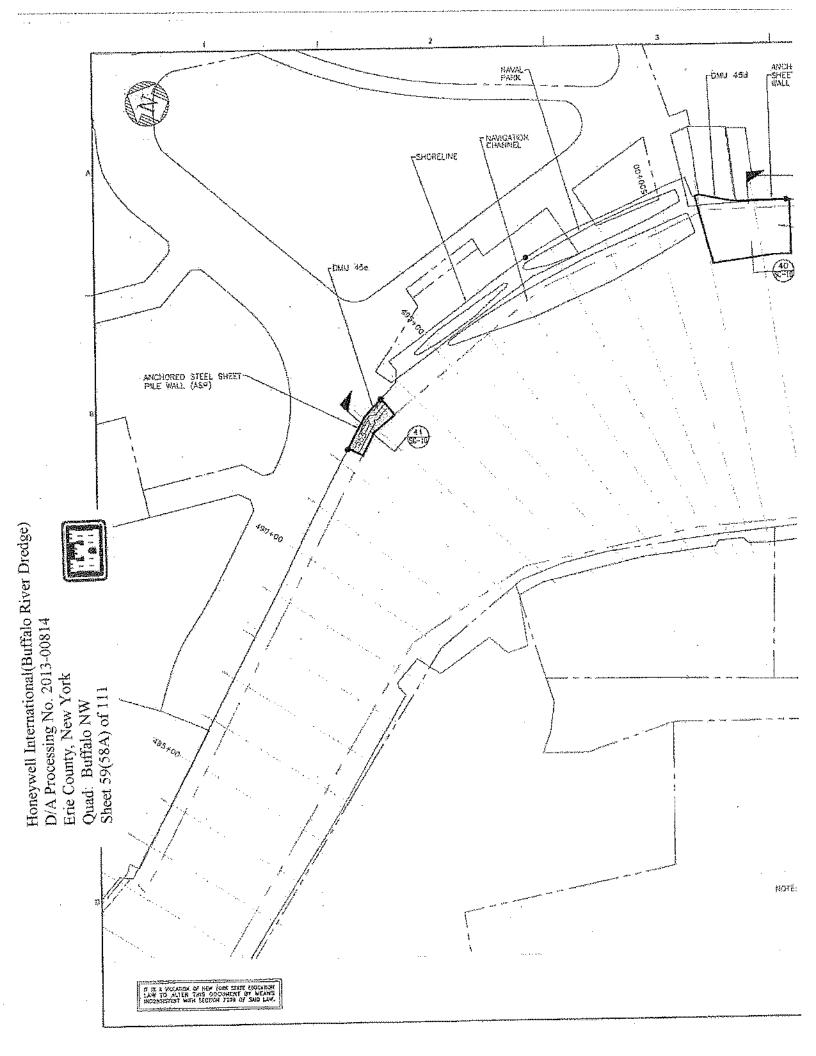


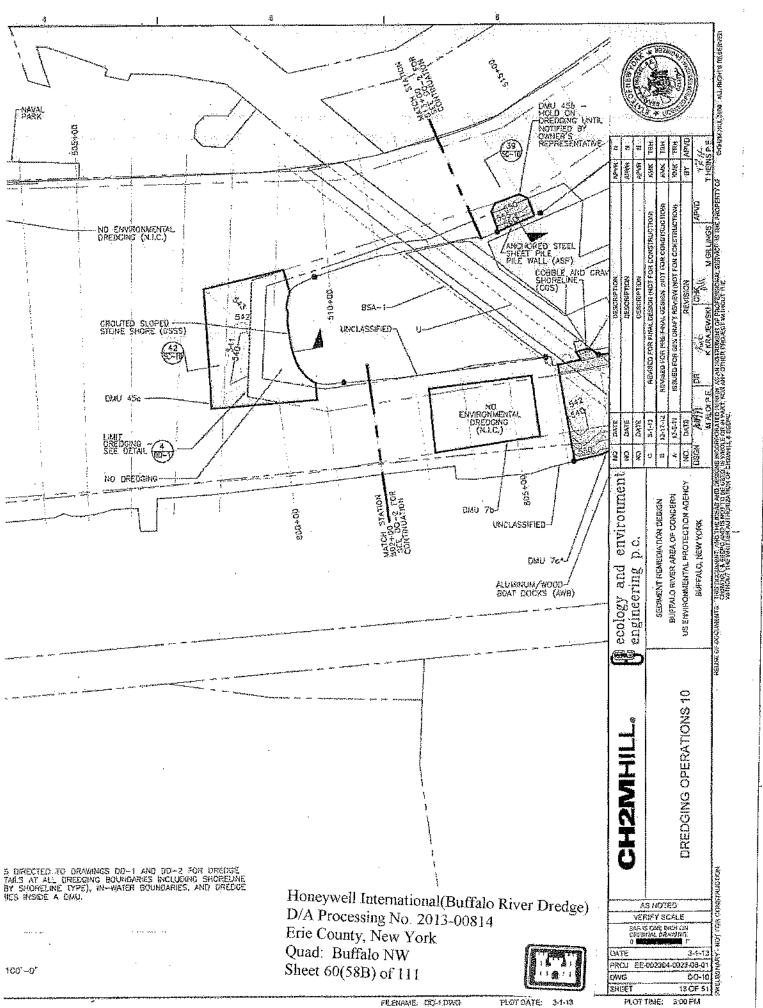


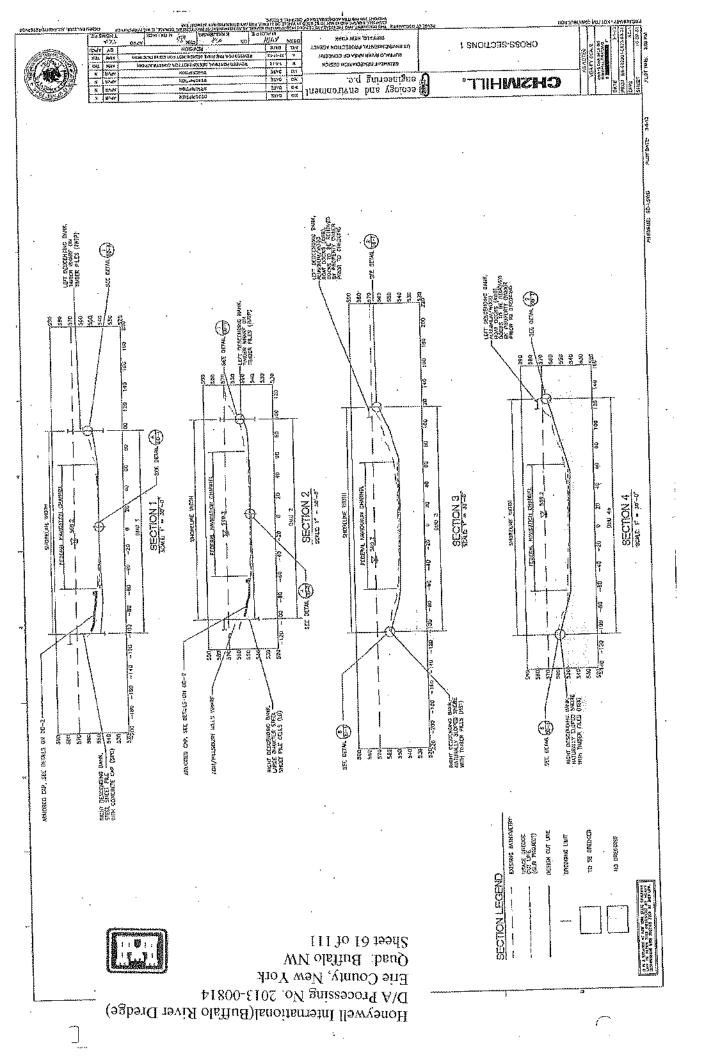


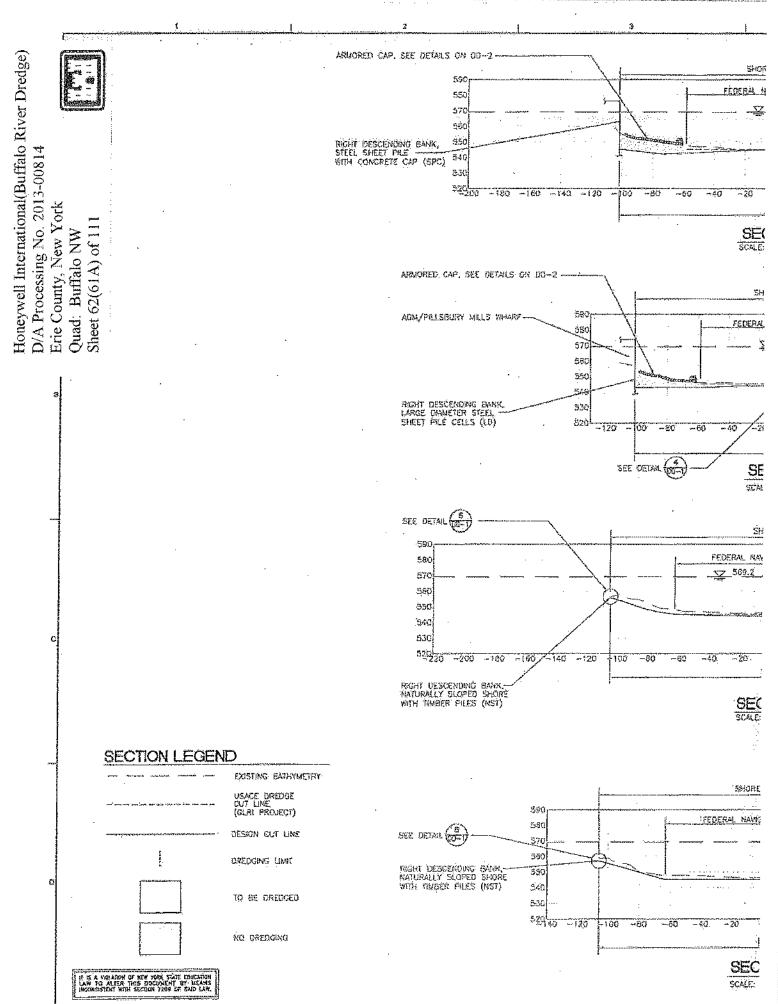


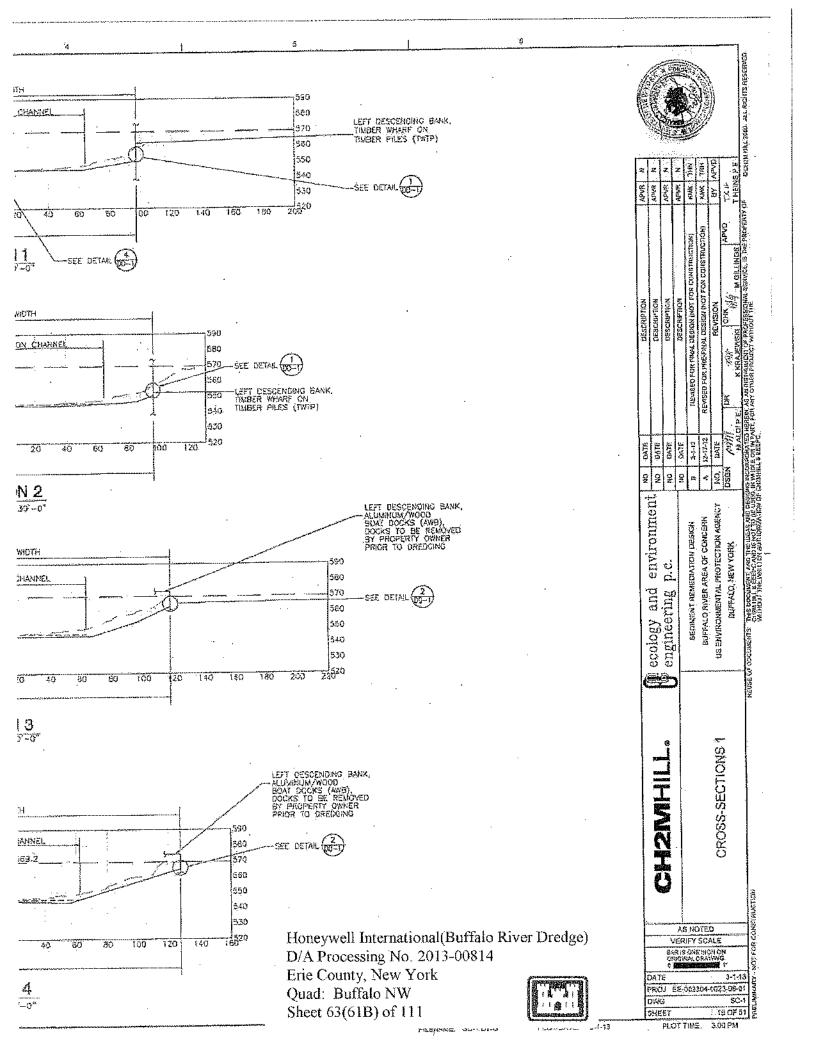
D/A Processing No. 2013 Erie County, New York Quad: Buffalo NW Sheet 58 of 111

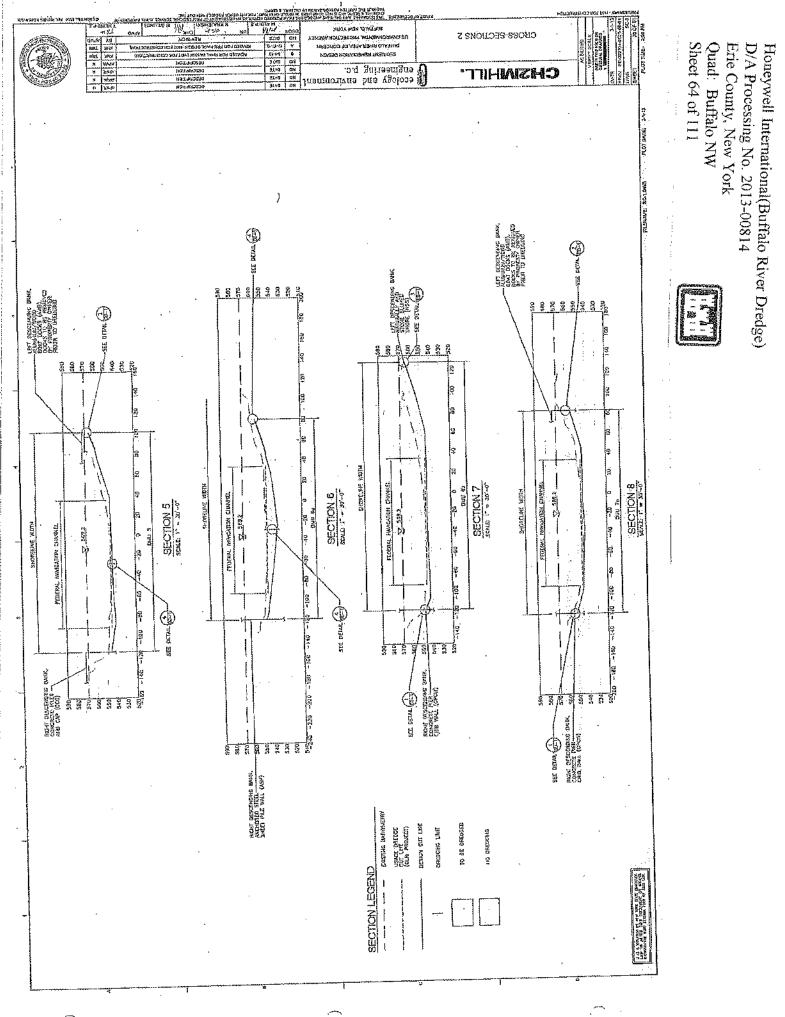






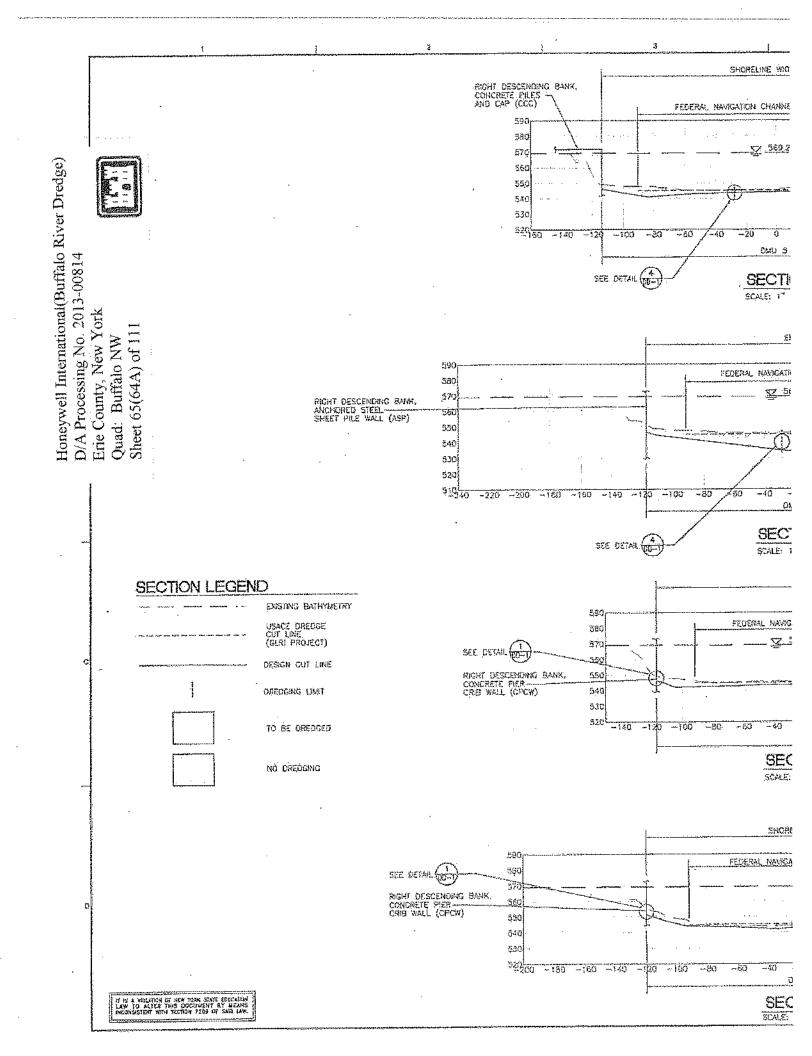


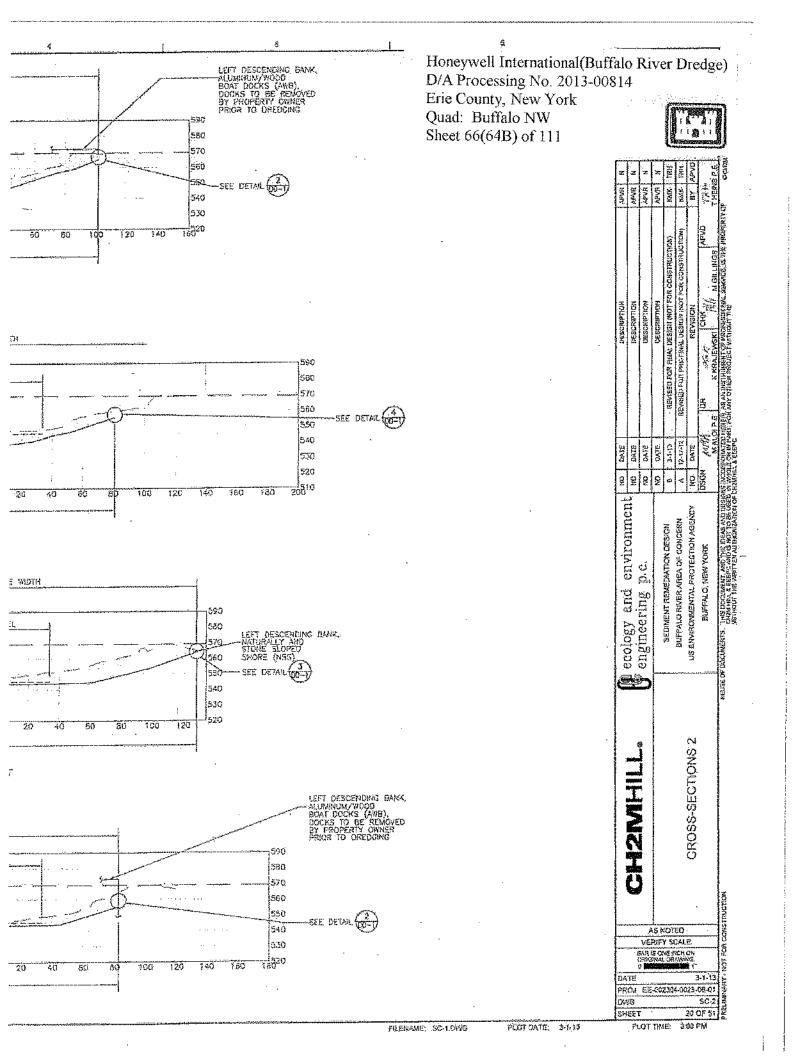


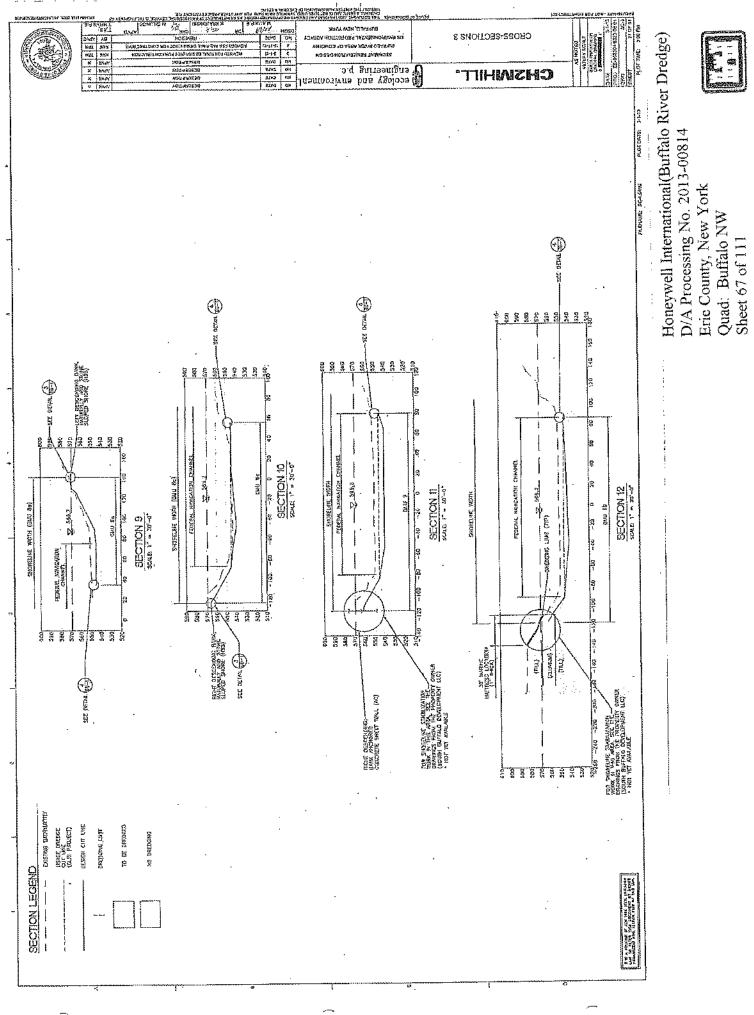


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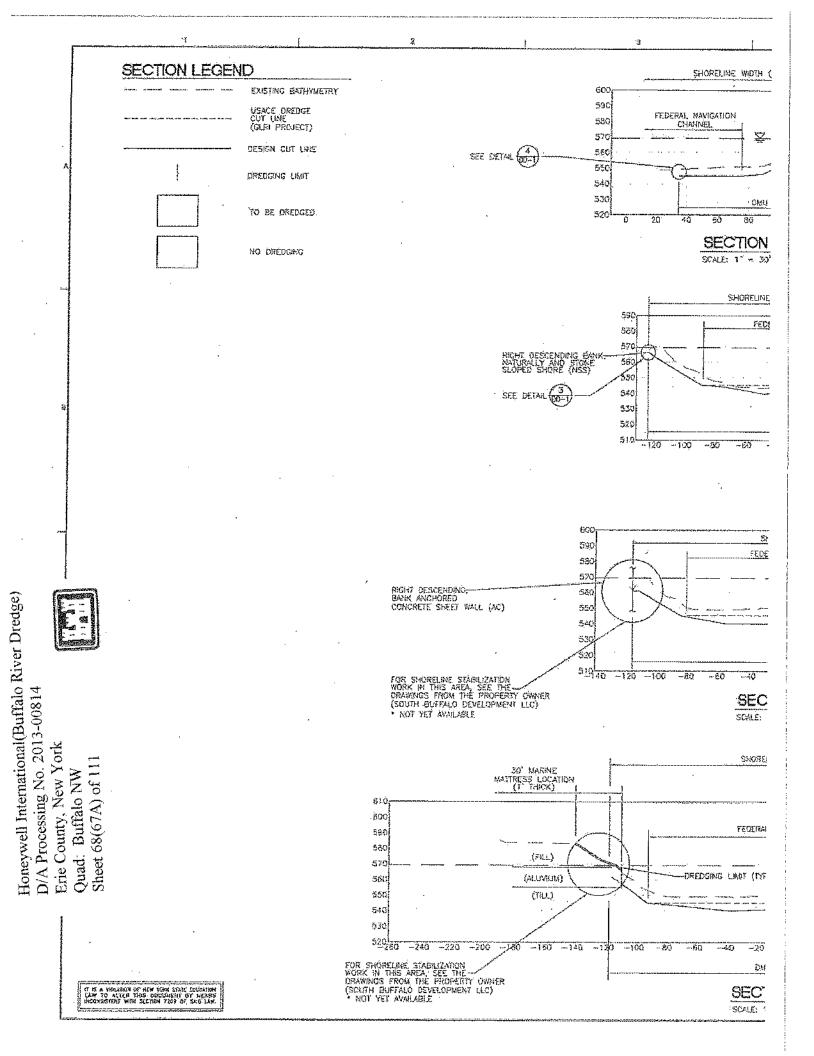
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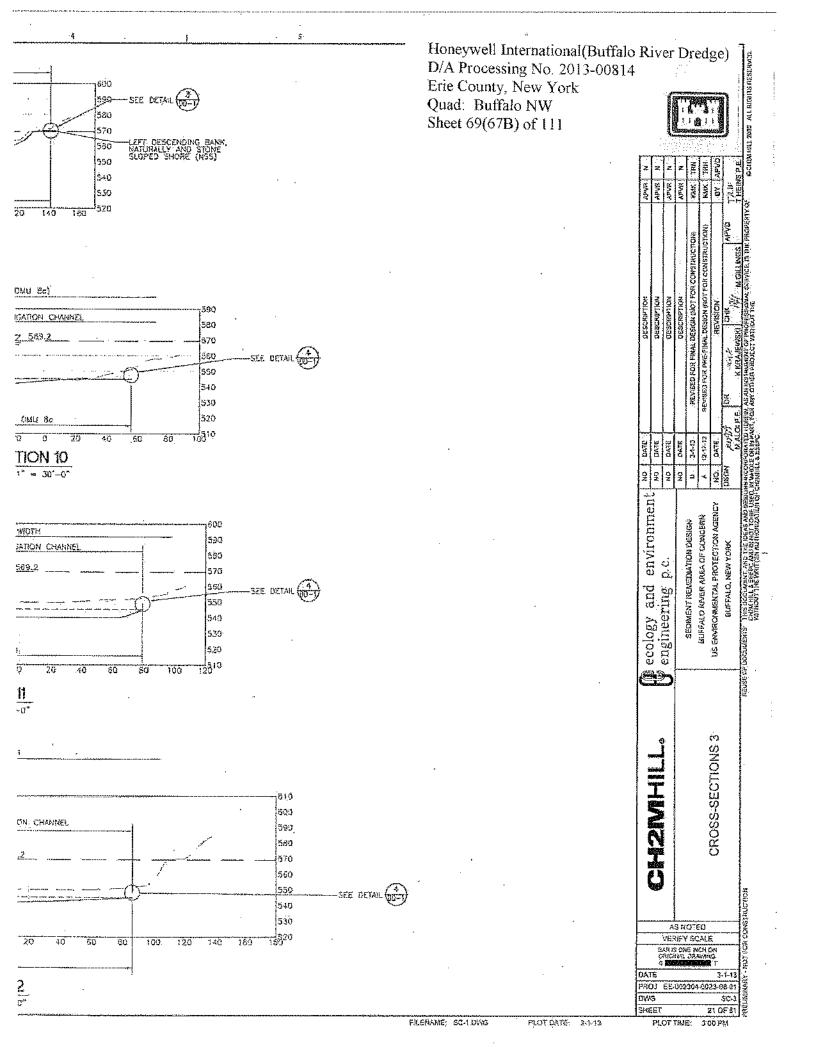


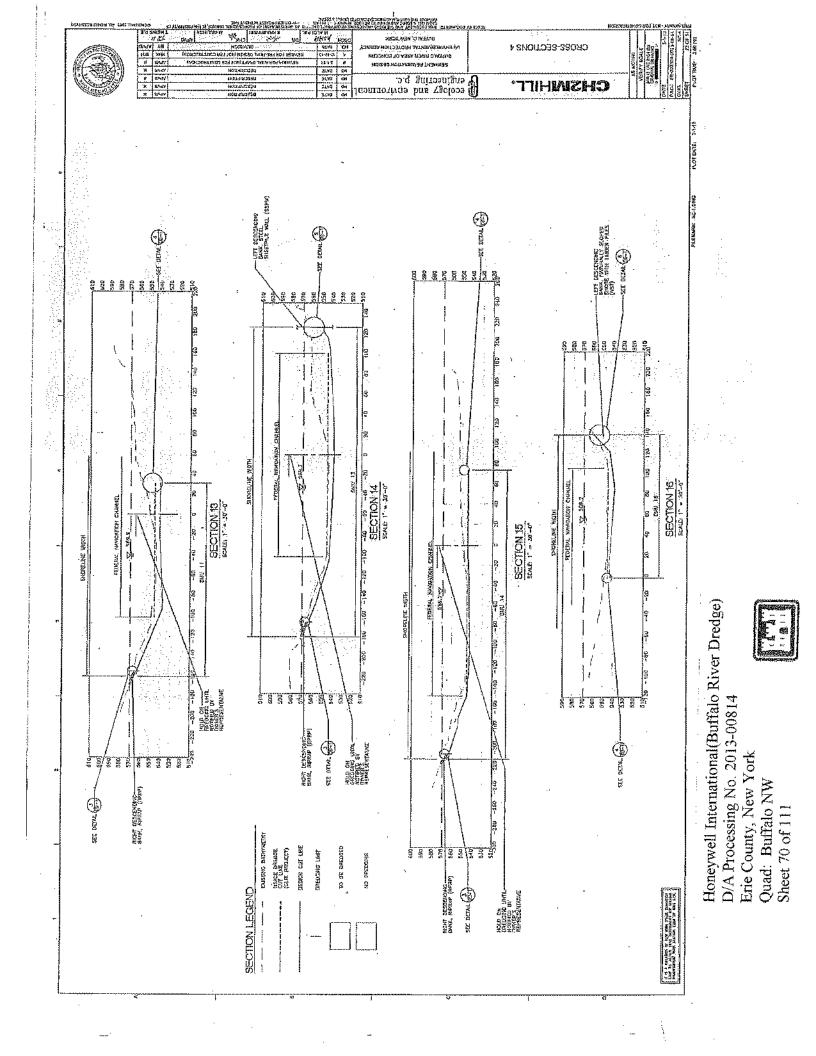


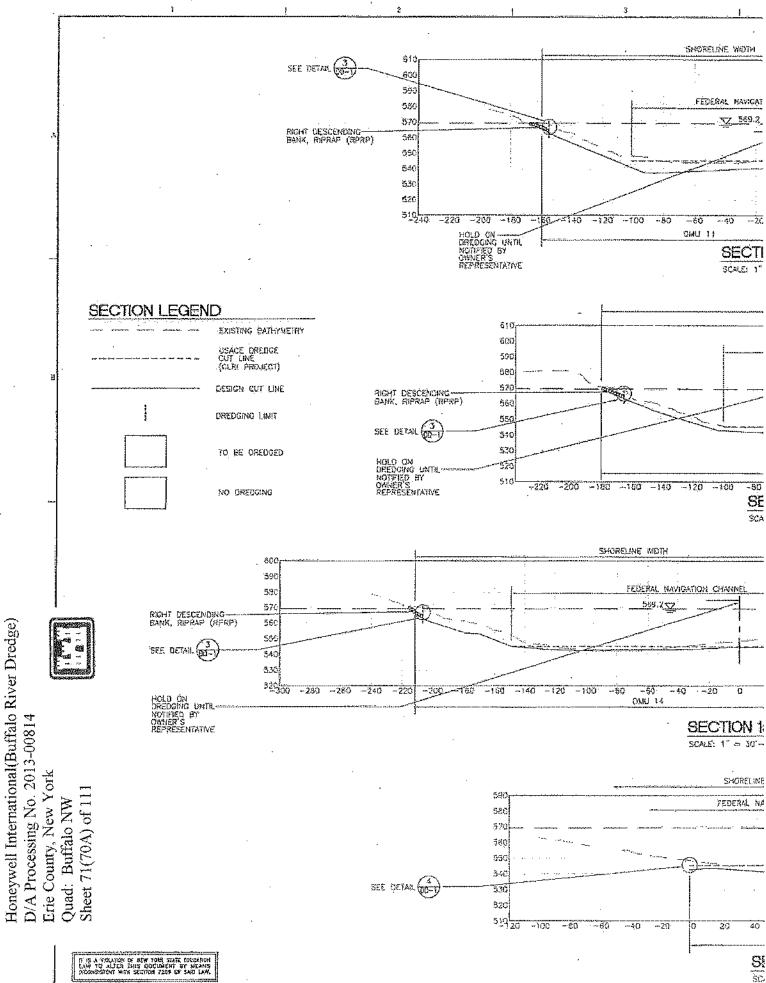


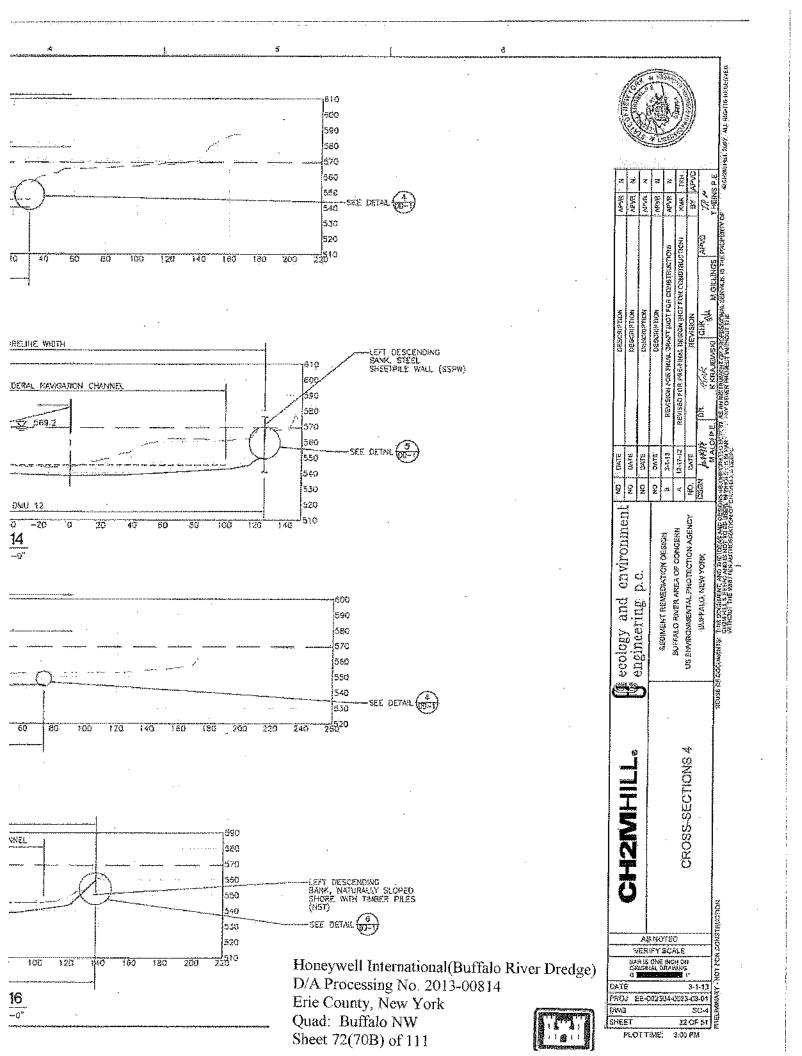
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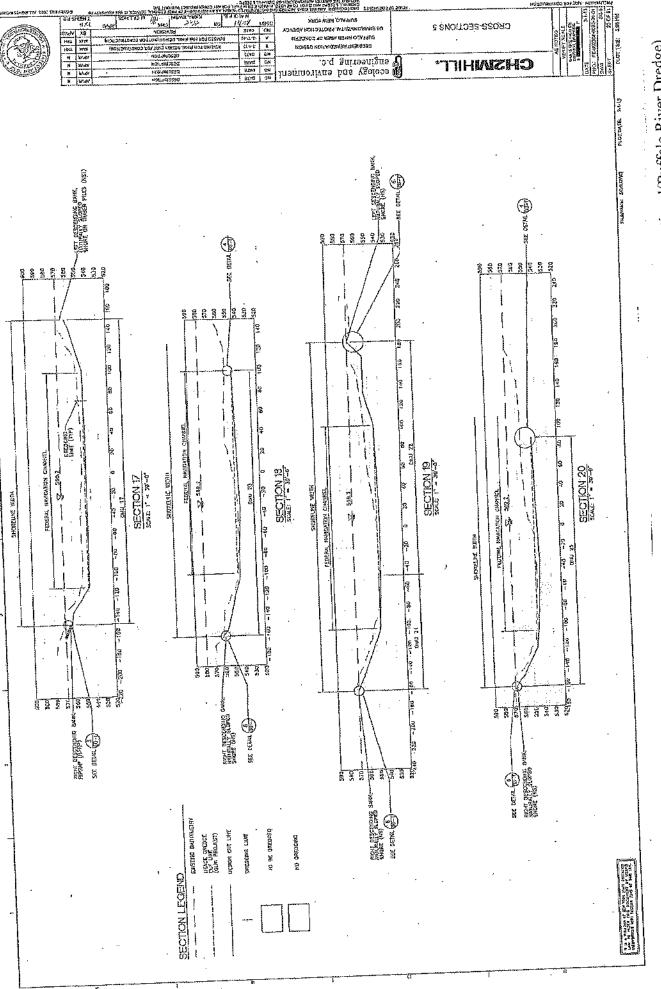




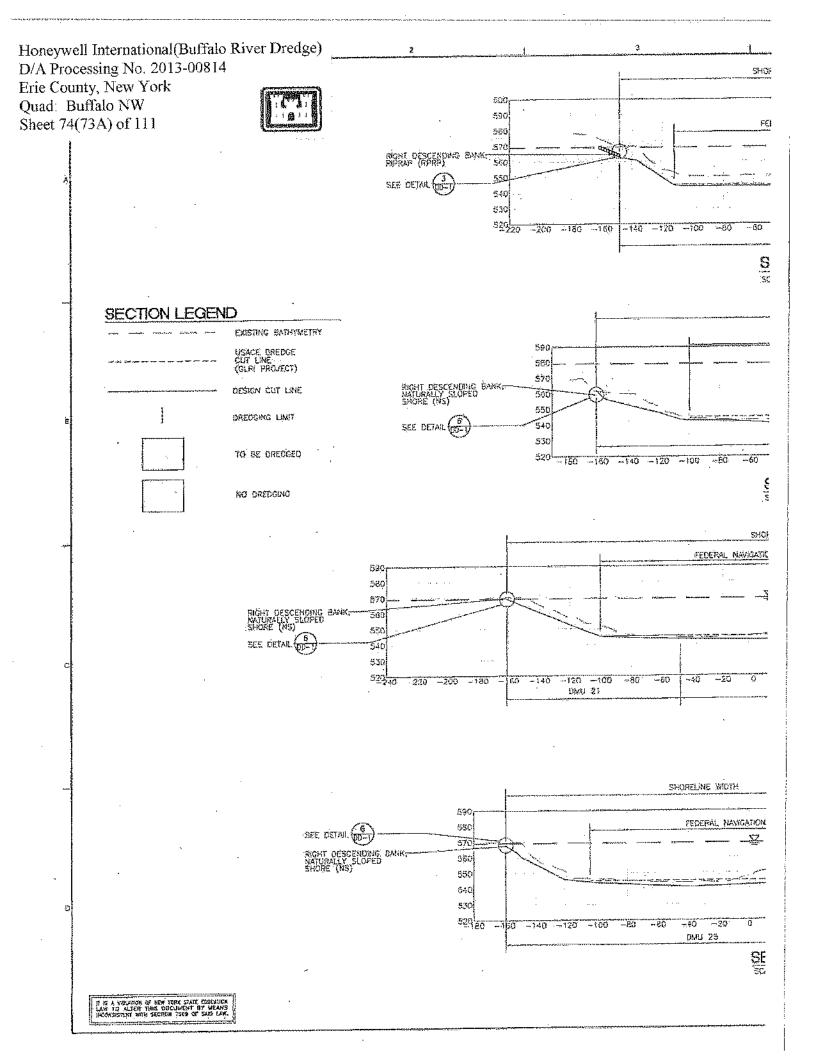


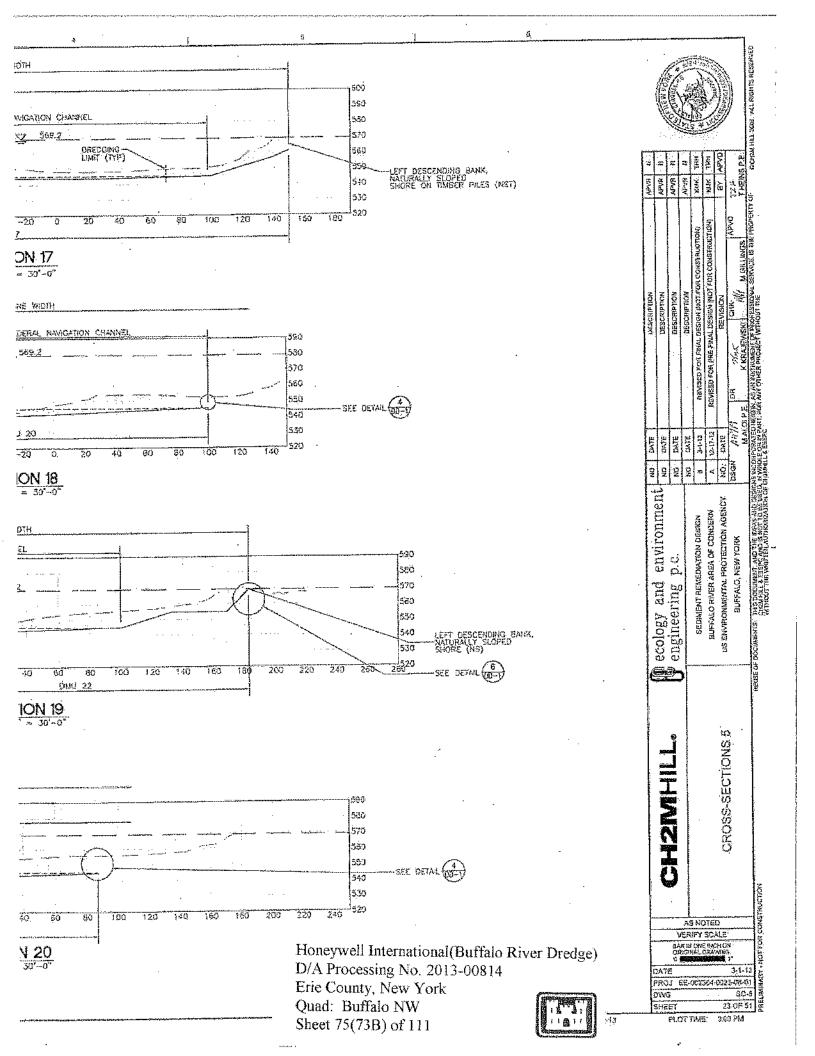


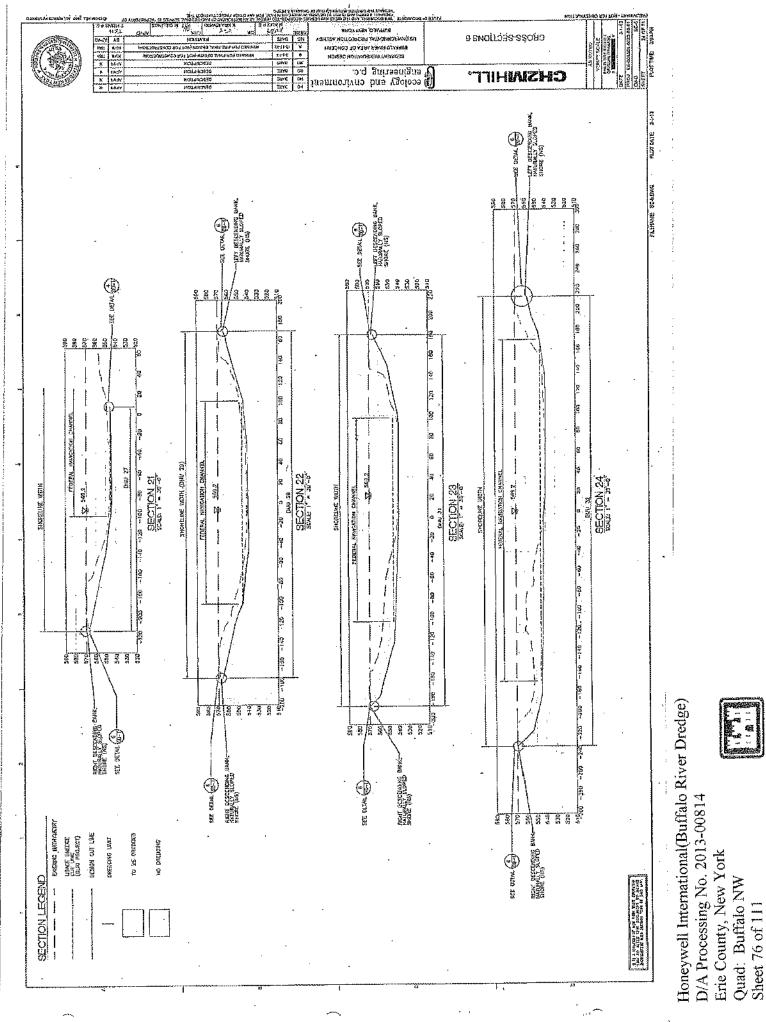
Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 73 of 111

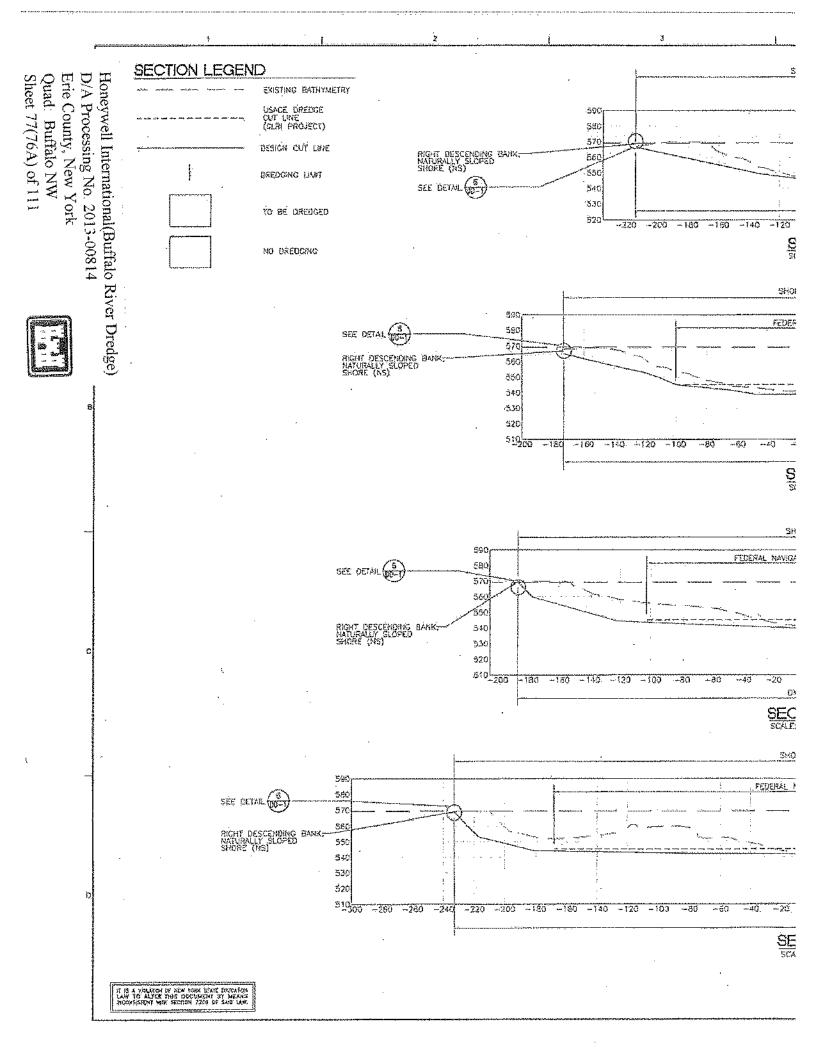


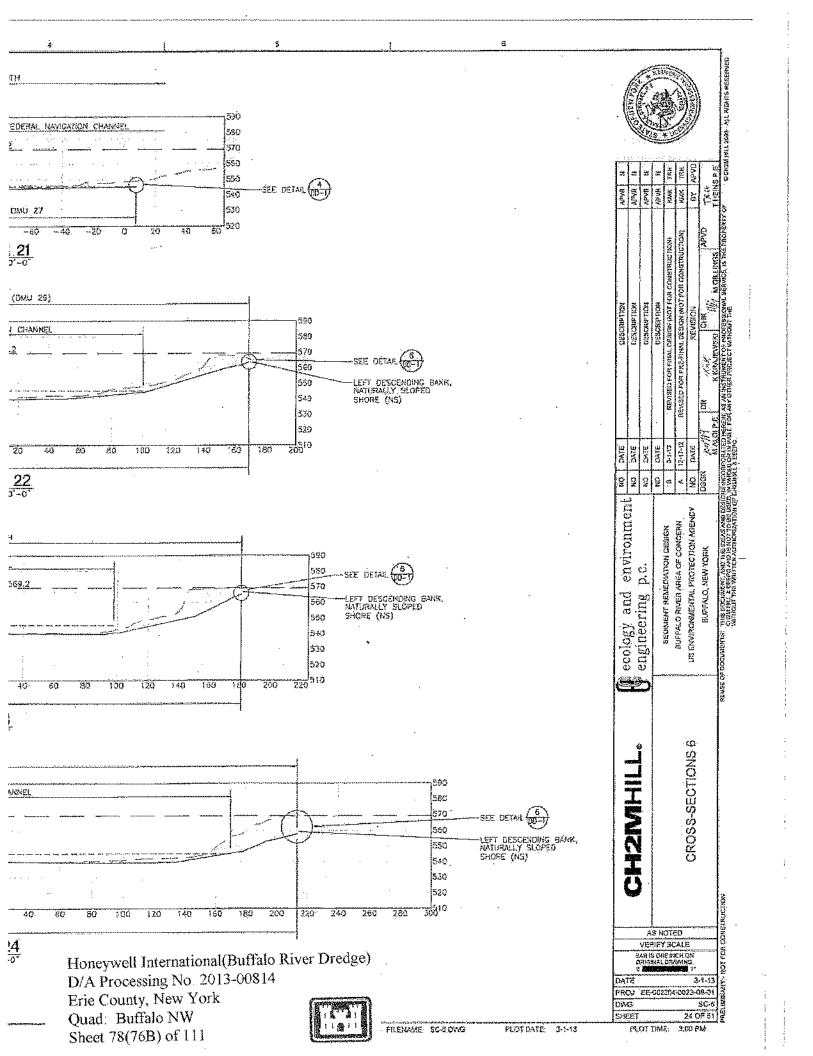
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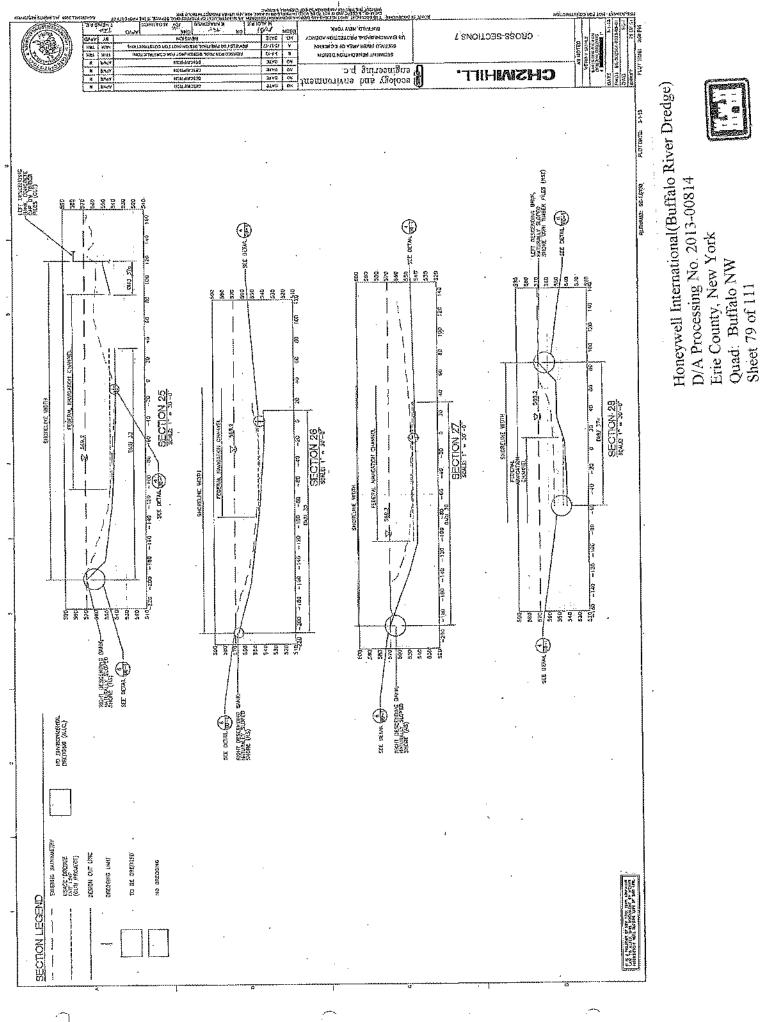


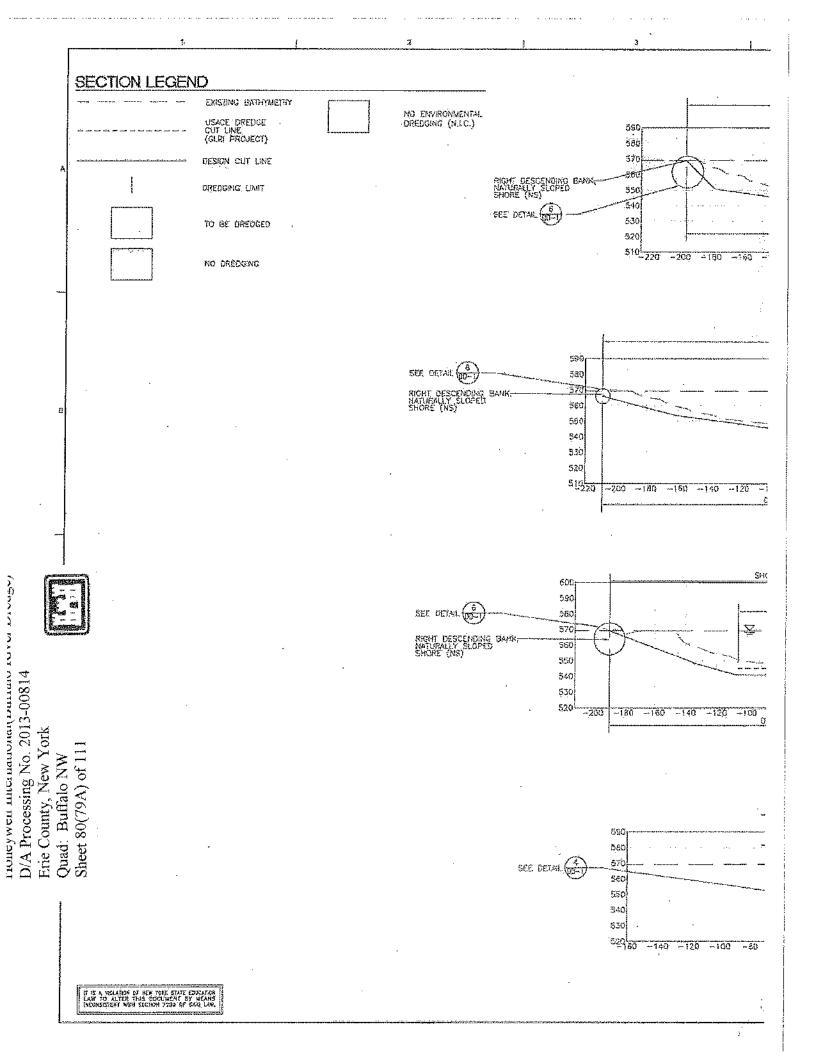


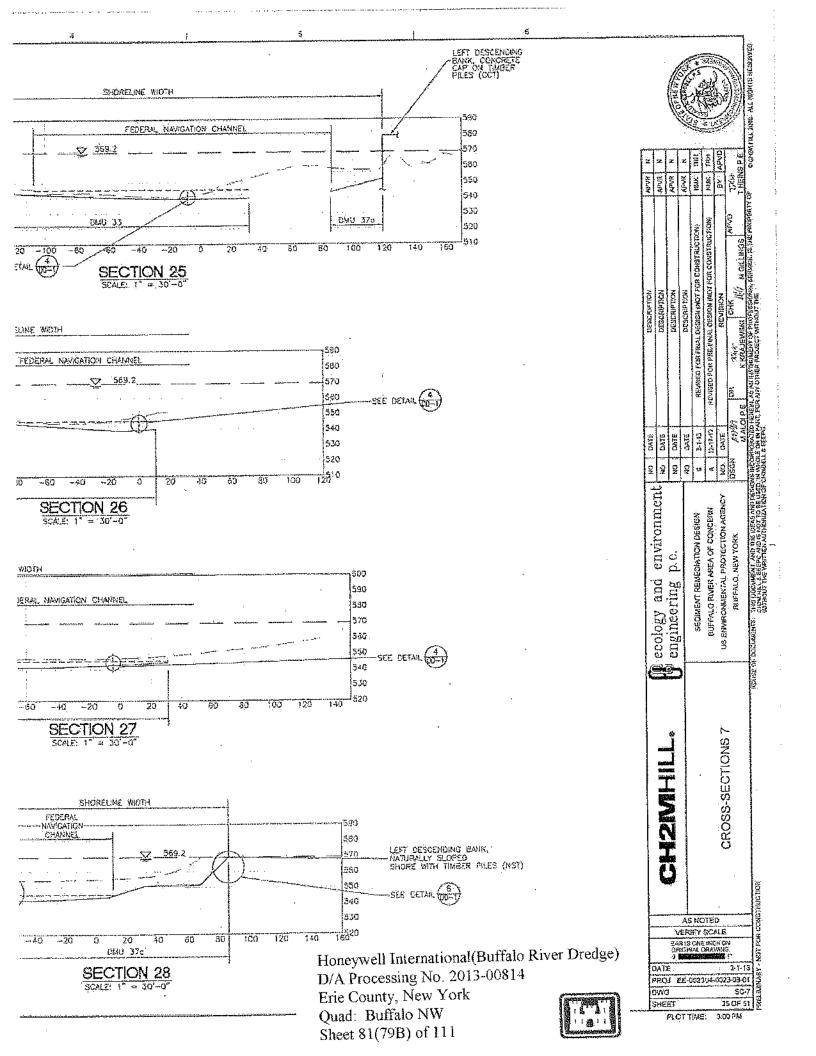


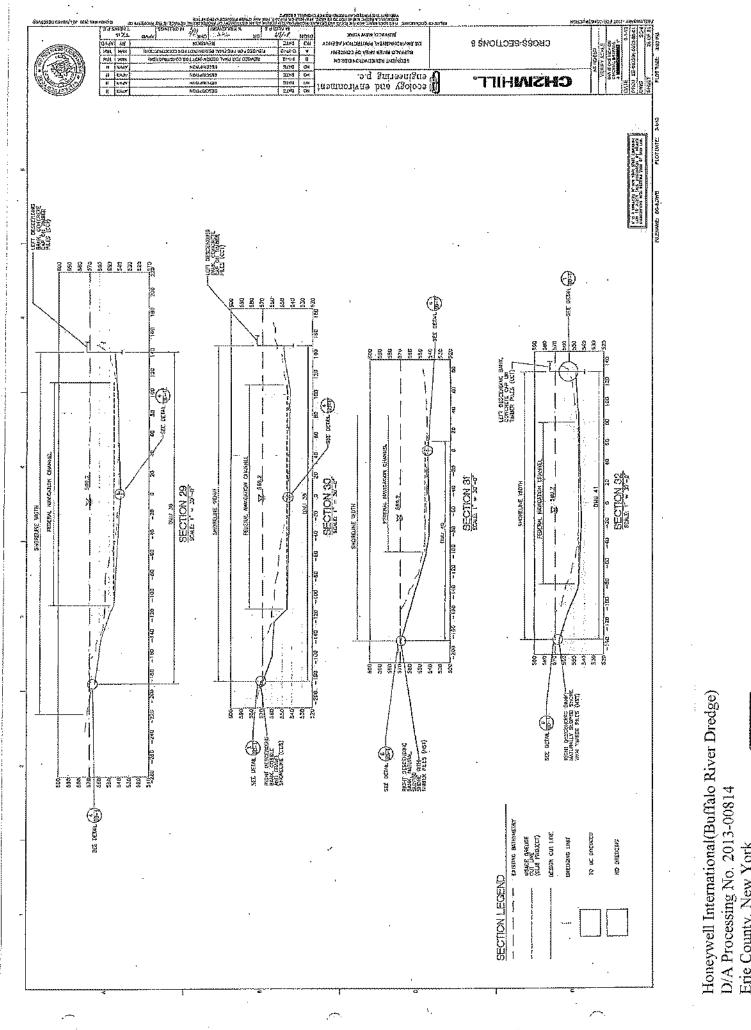




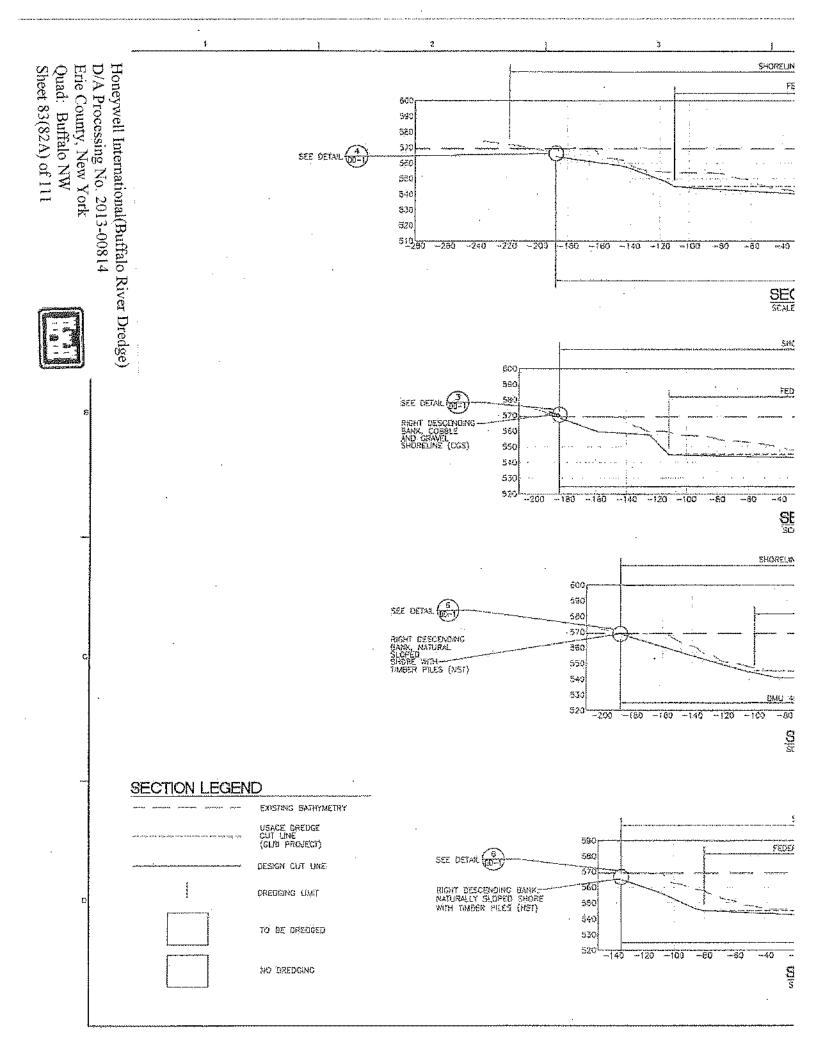


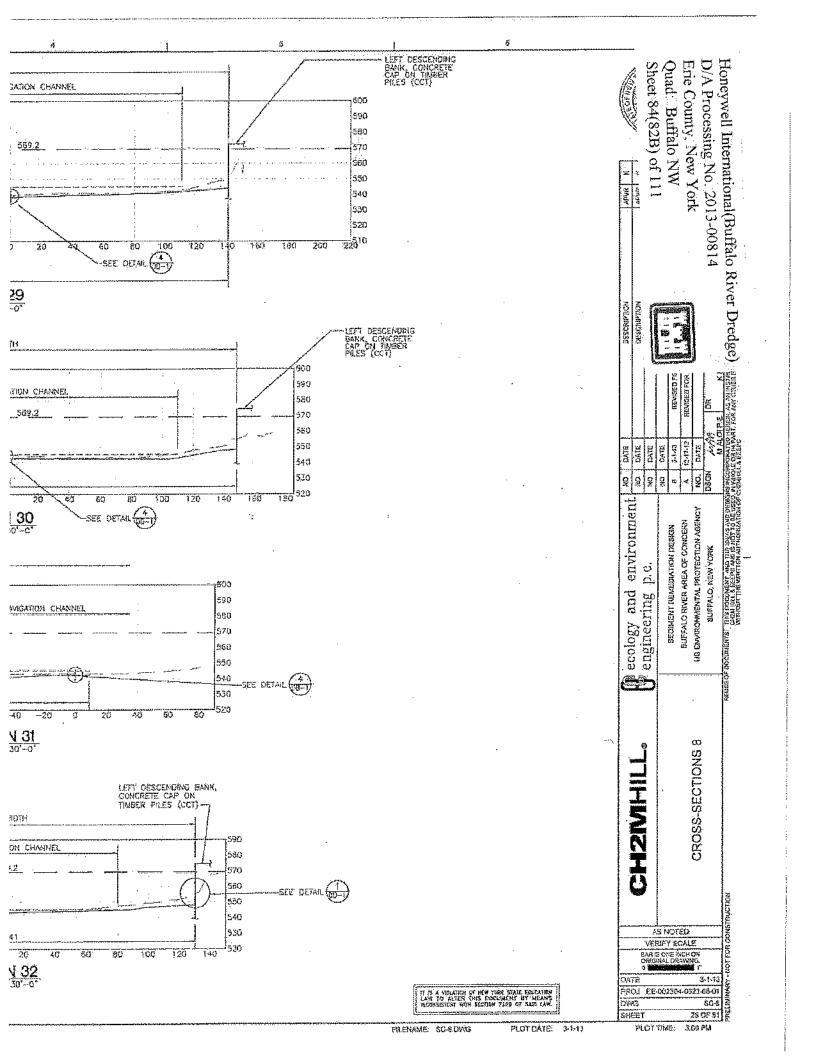


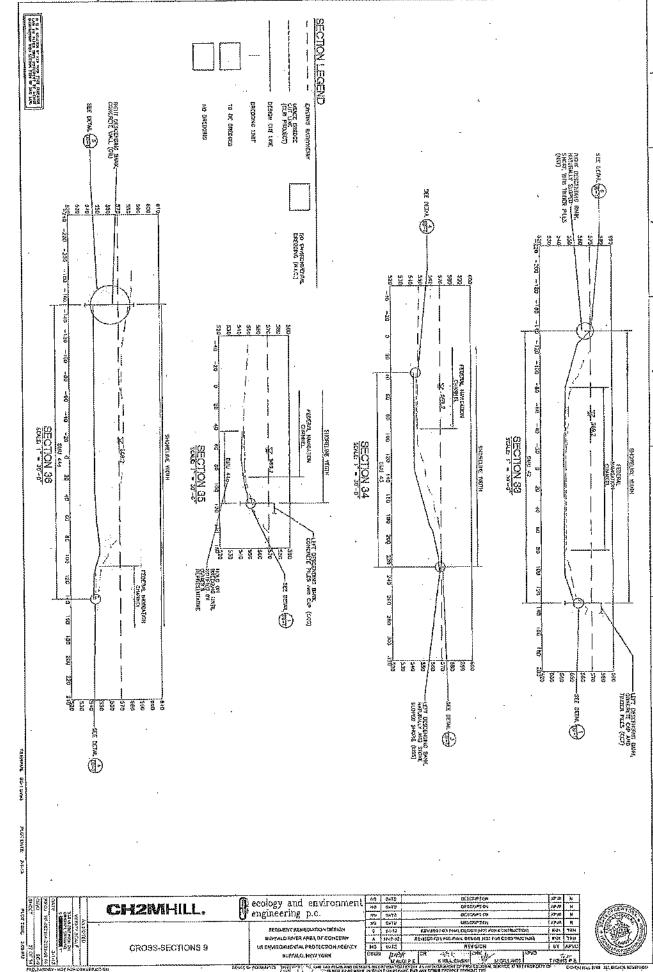




Erie County, New York Quad: Buffalo NW

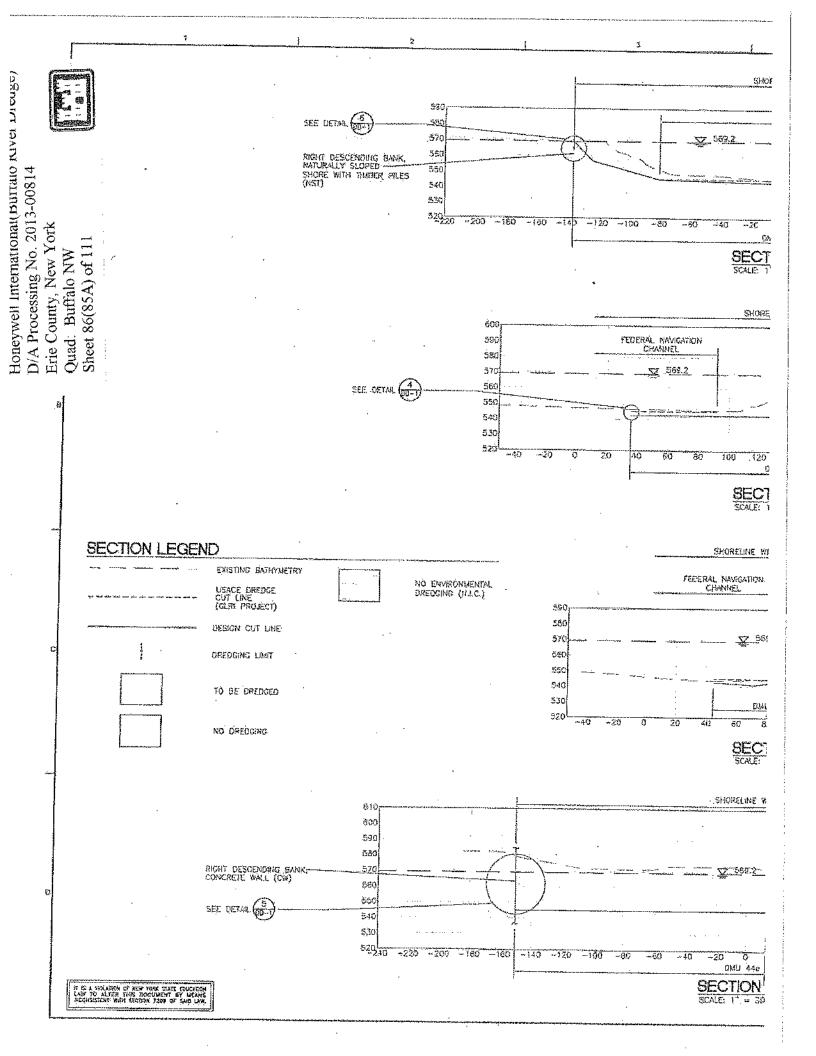


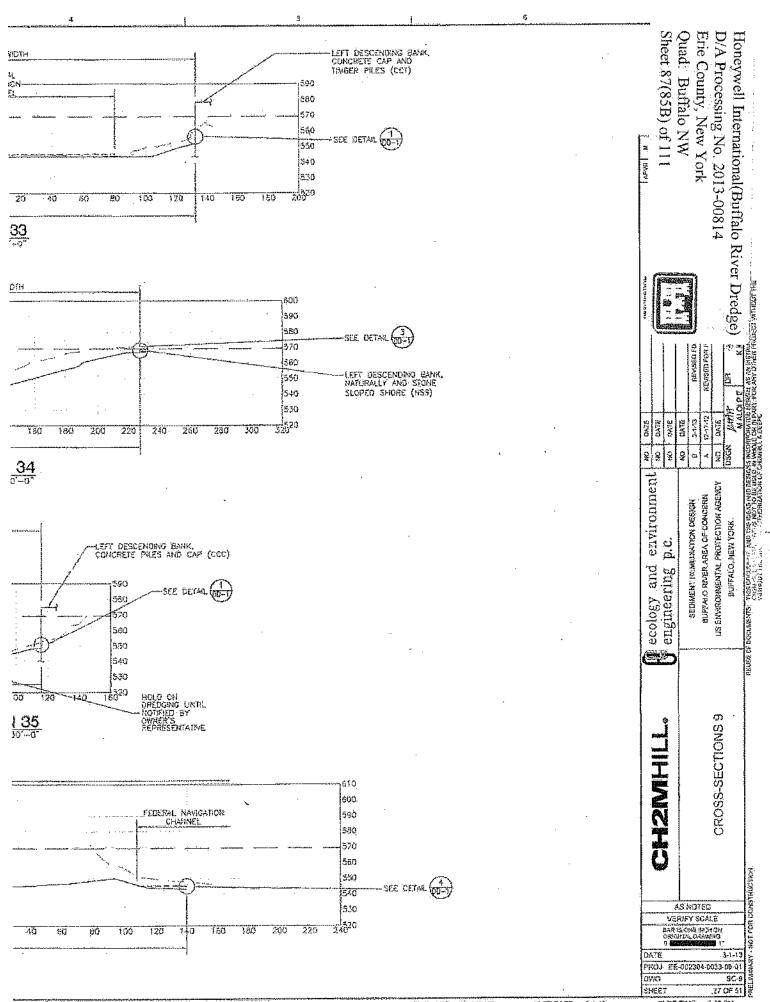




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Sheet 85 of 111 Quad: Buffalo NW Erie County, New York D/A Processing No. 2013-00814 Honeywell International(Buffalo River Dredge)

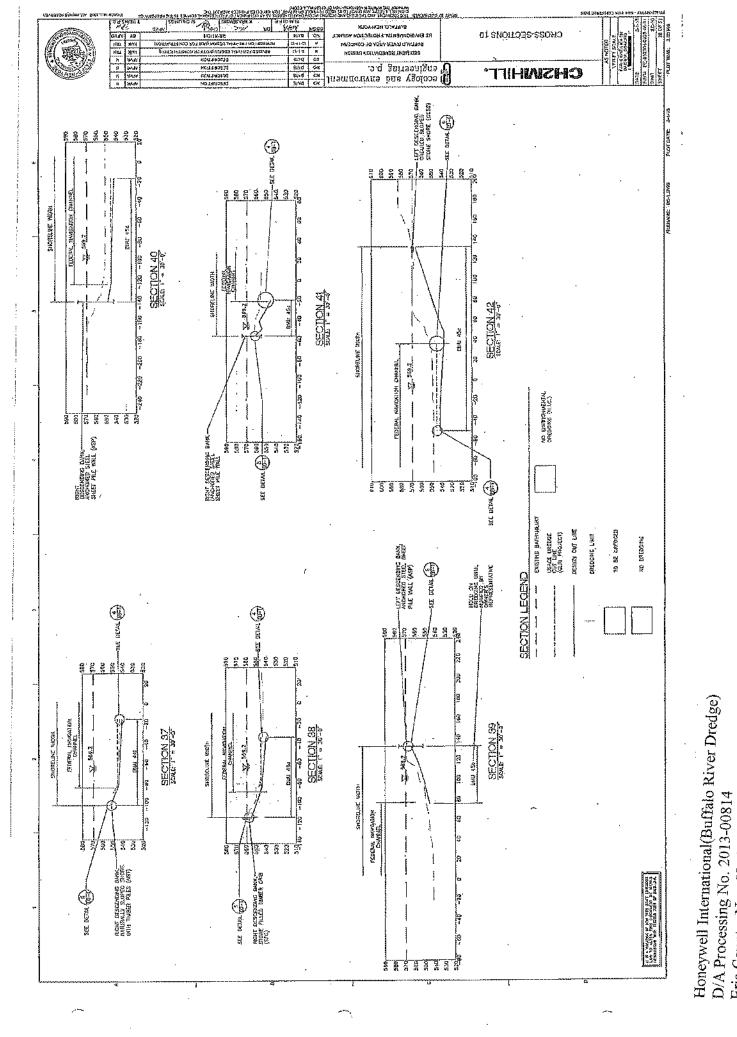




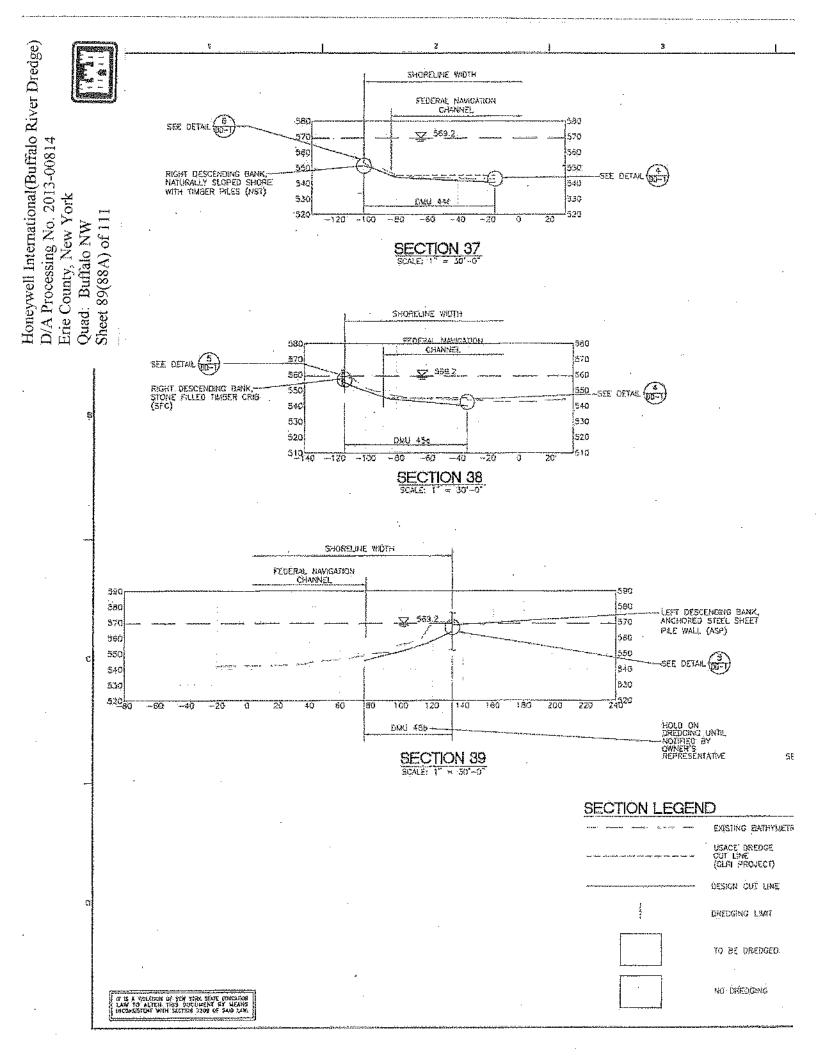
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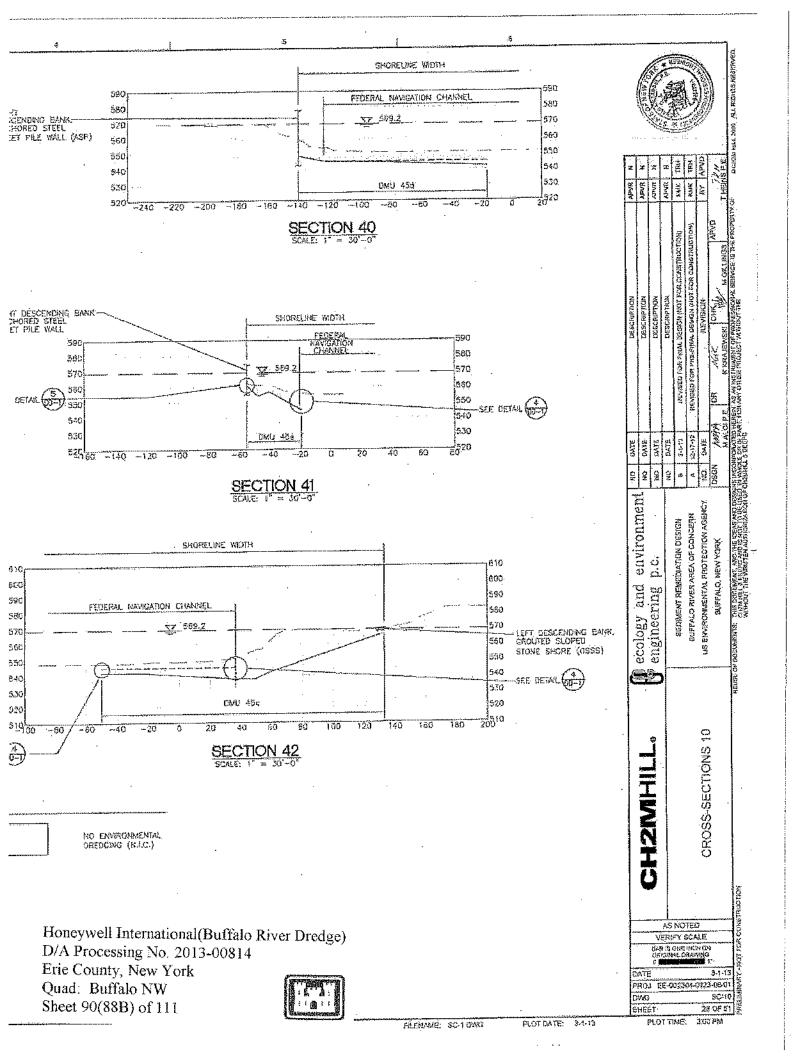
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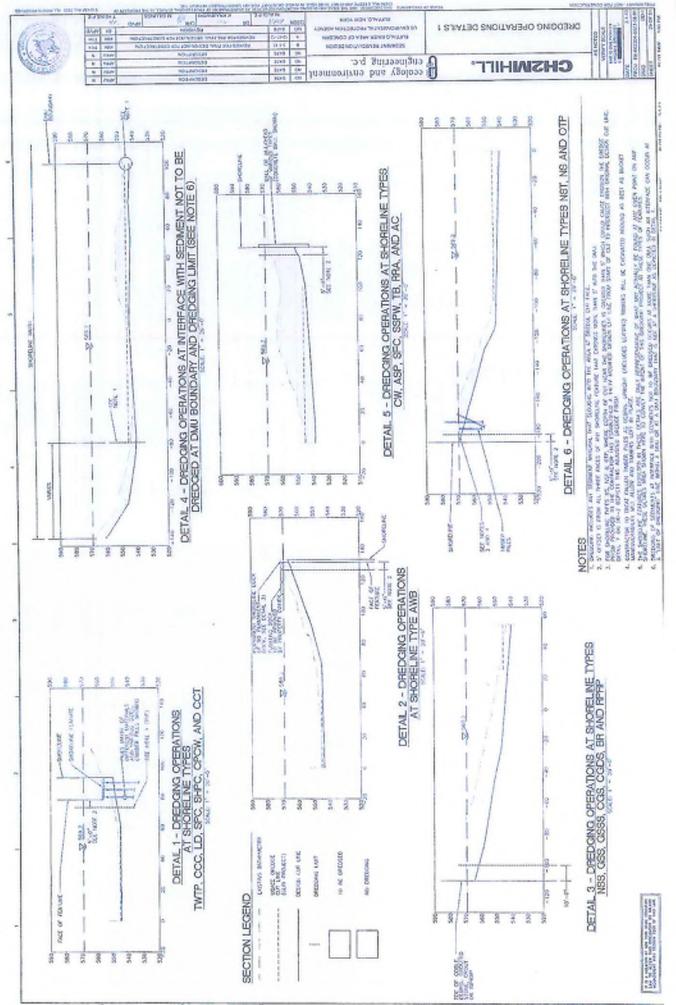
Erie County, New York Quad: Buffalo NW Sheet 88 of 111

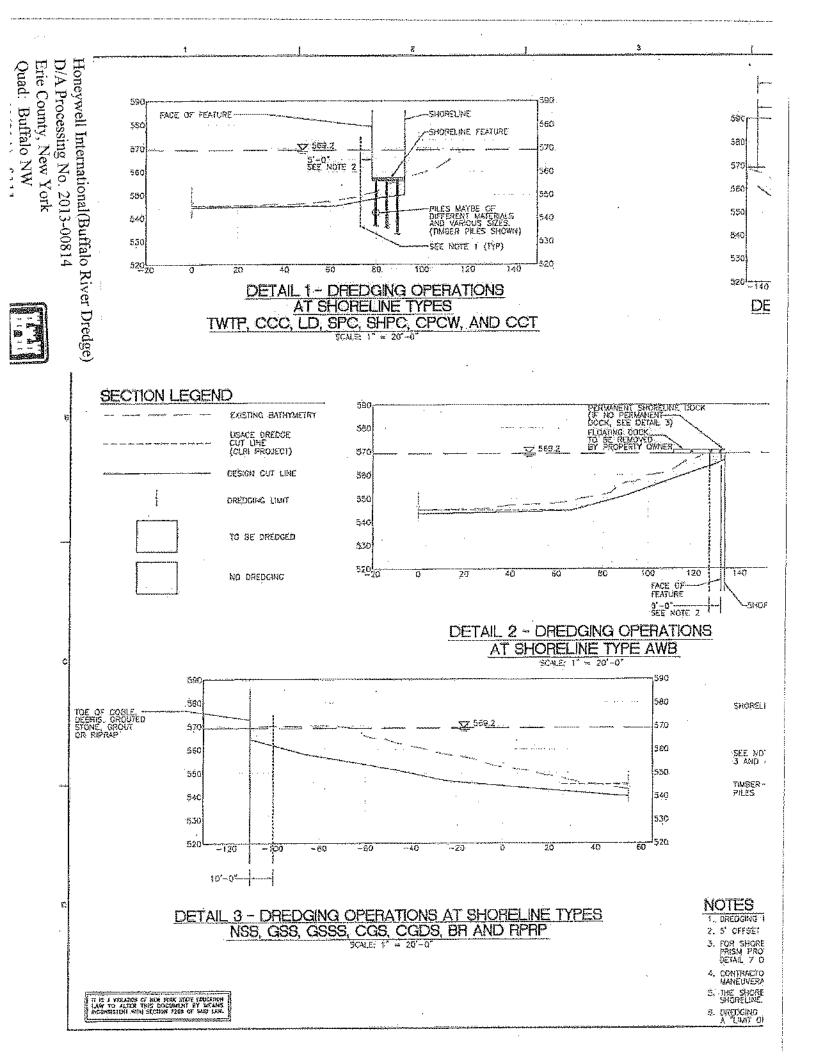


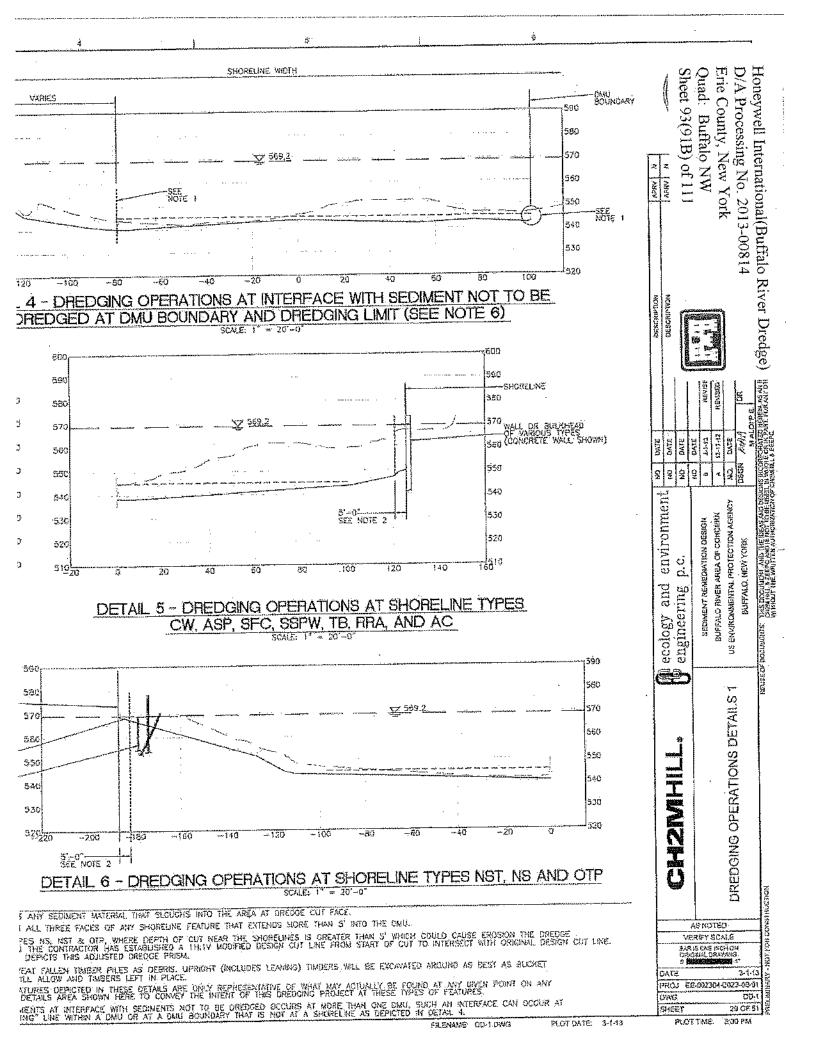


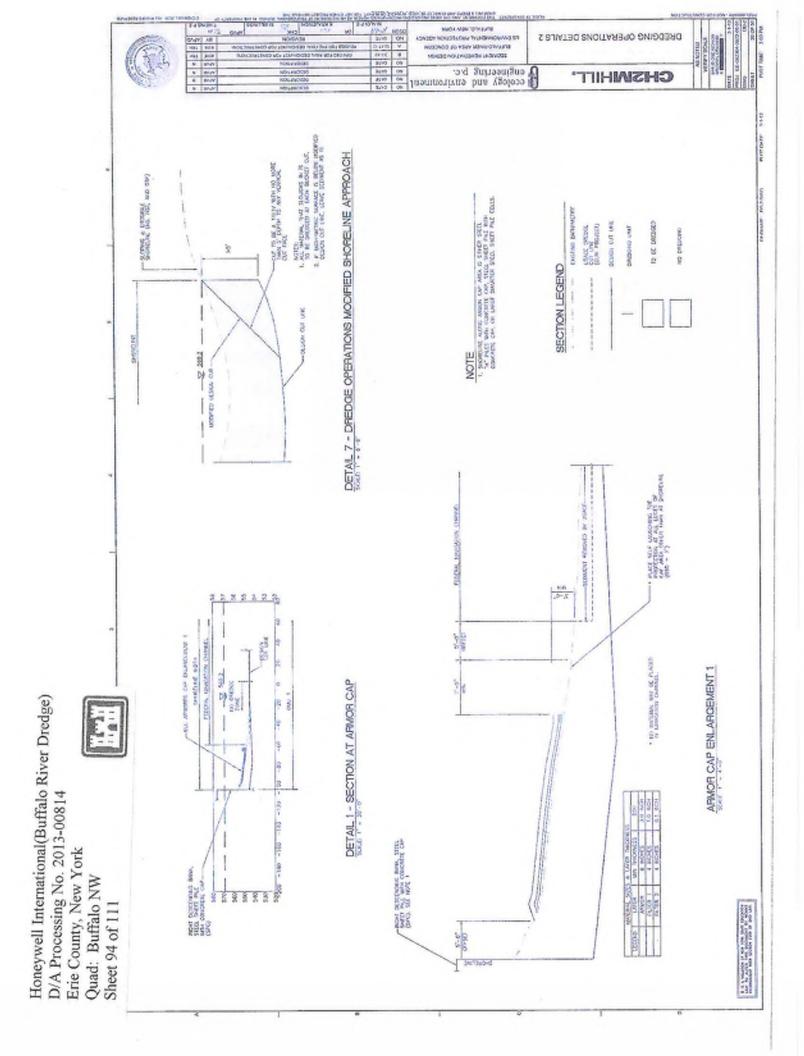
Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 91 of 111

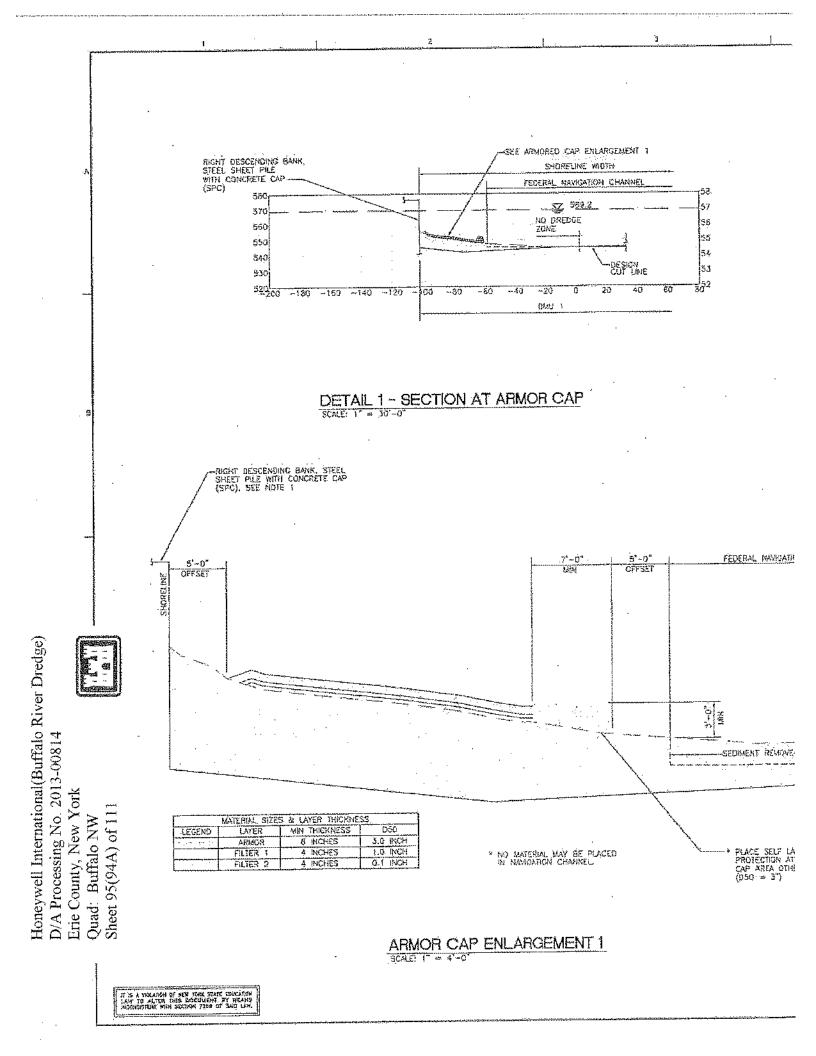


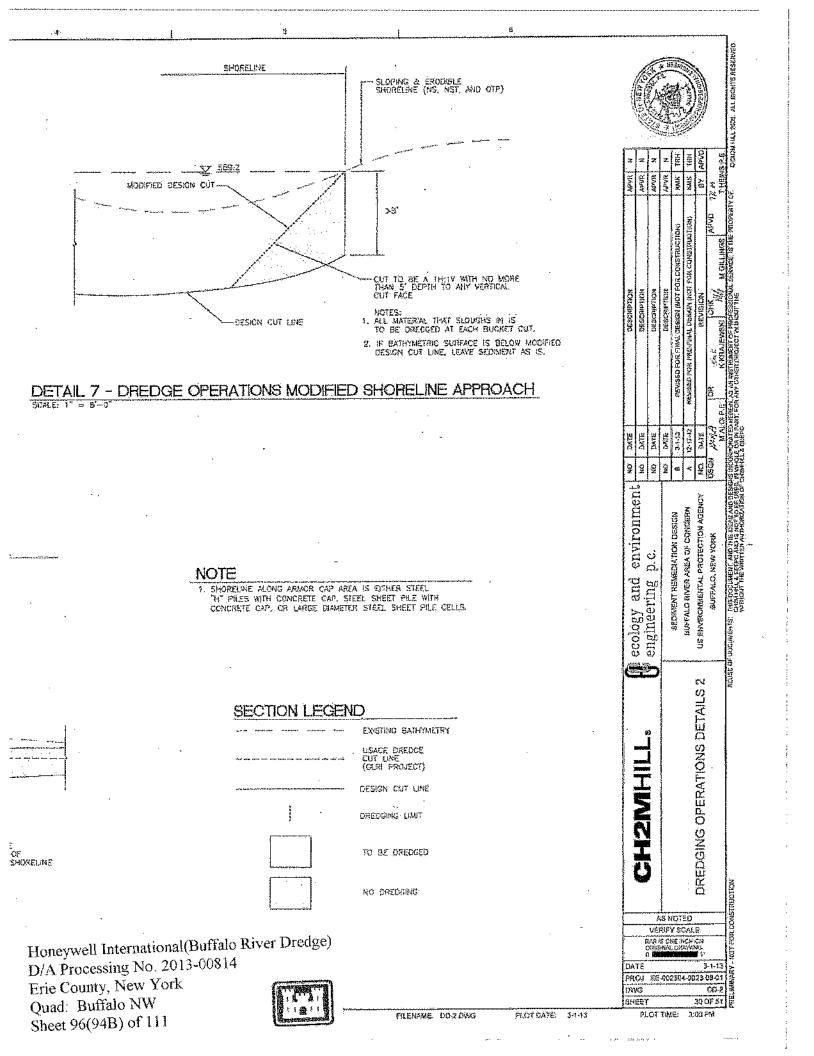








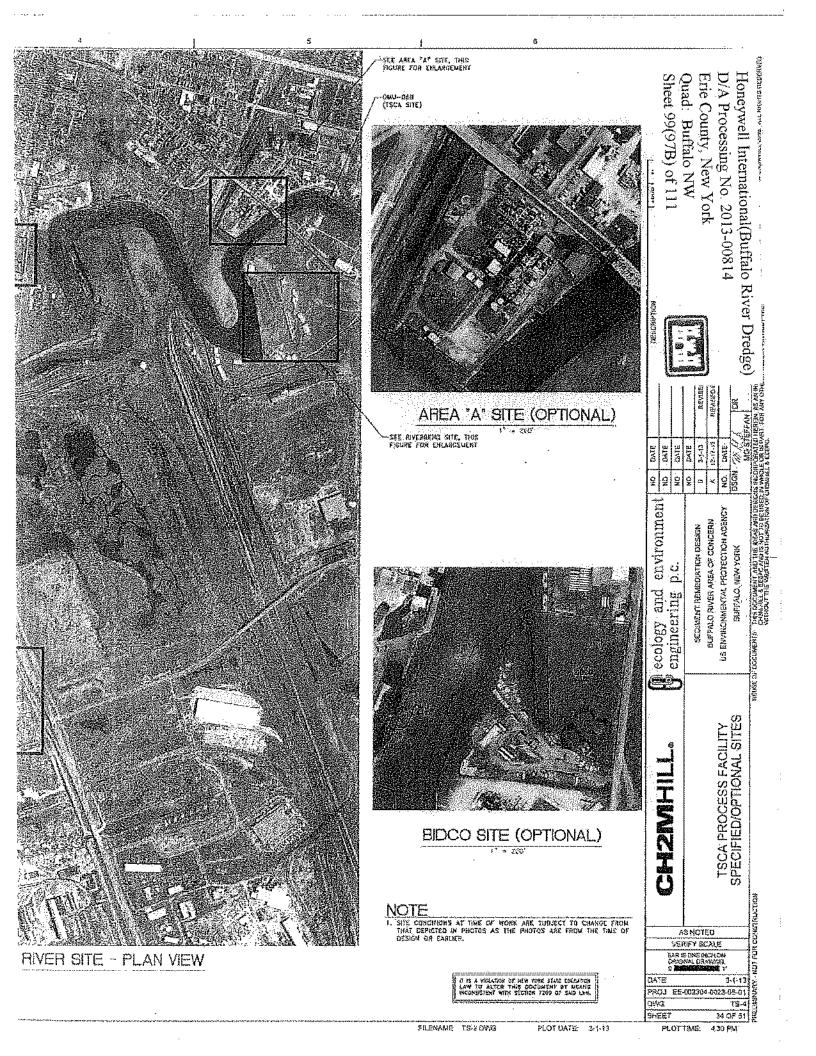


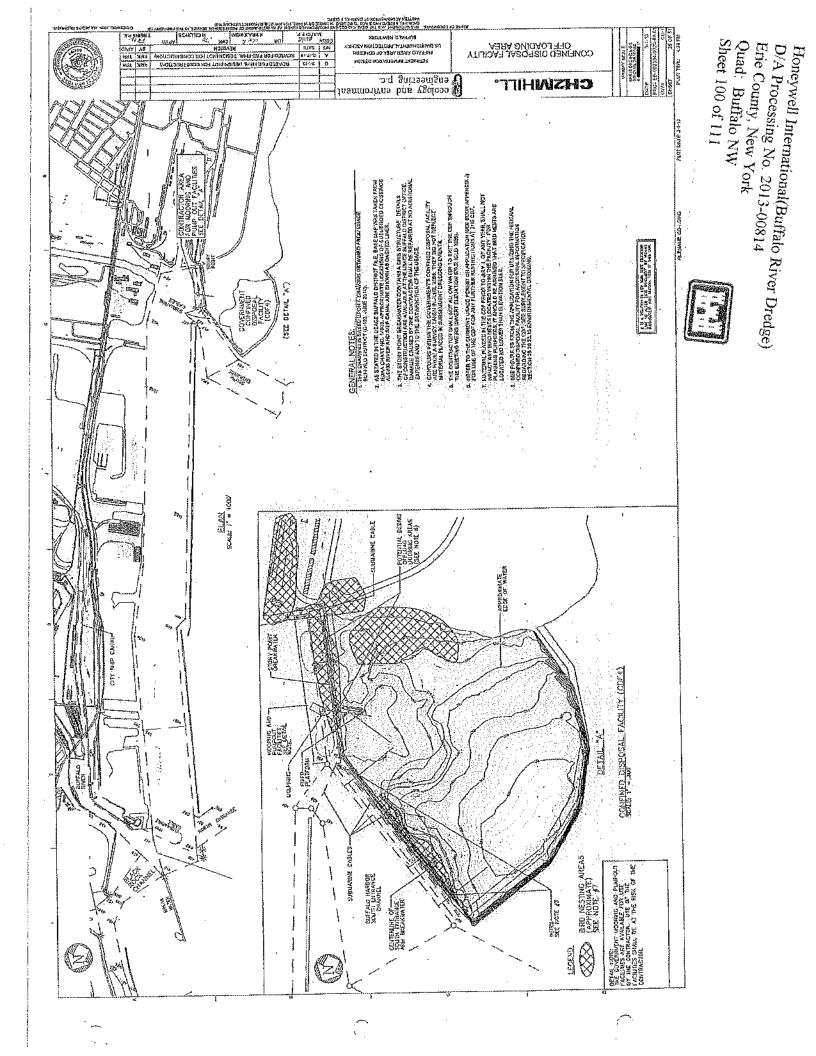


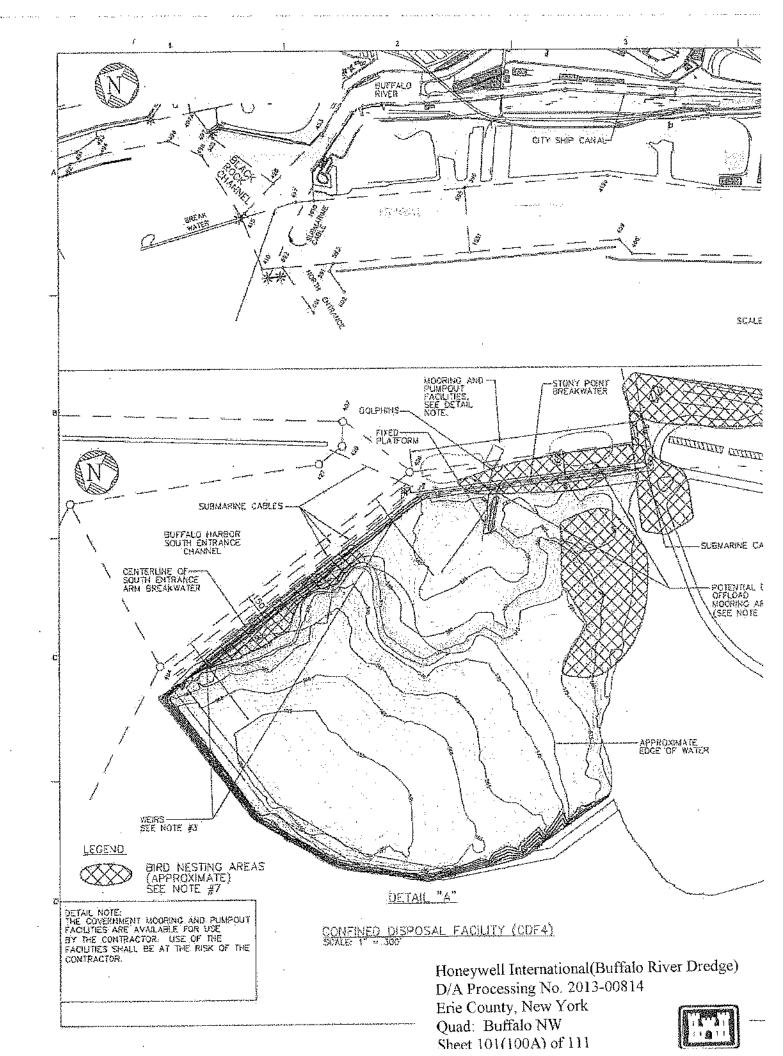


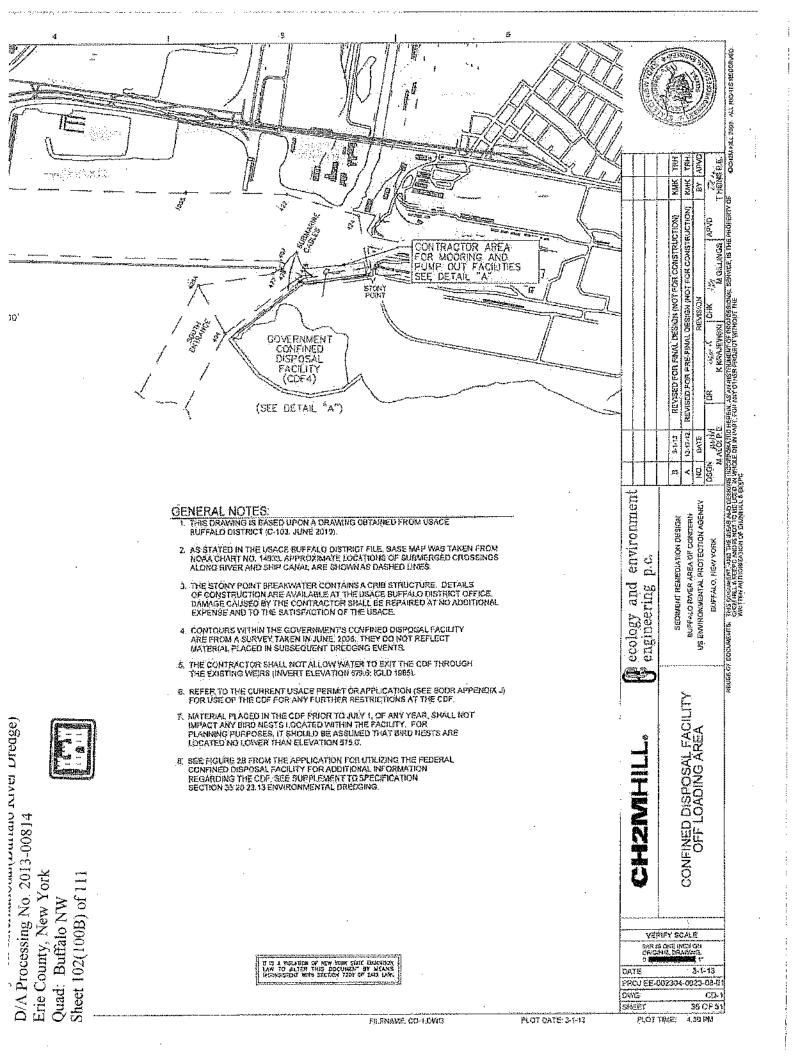
Honeywell International (Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 97 of 111

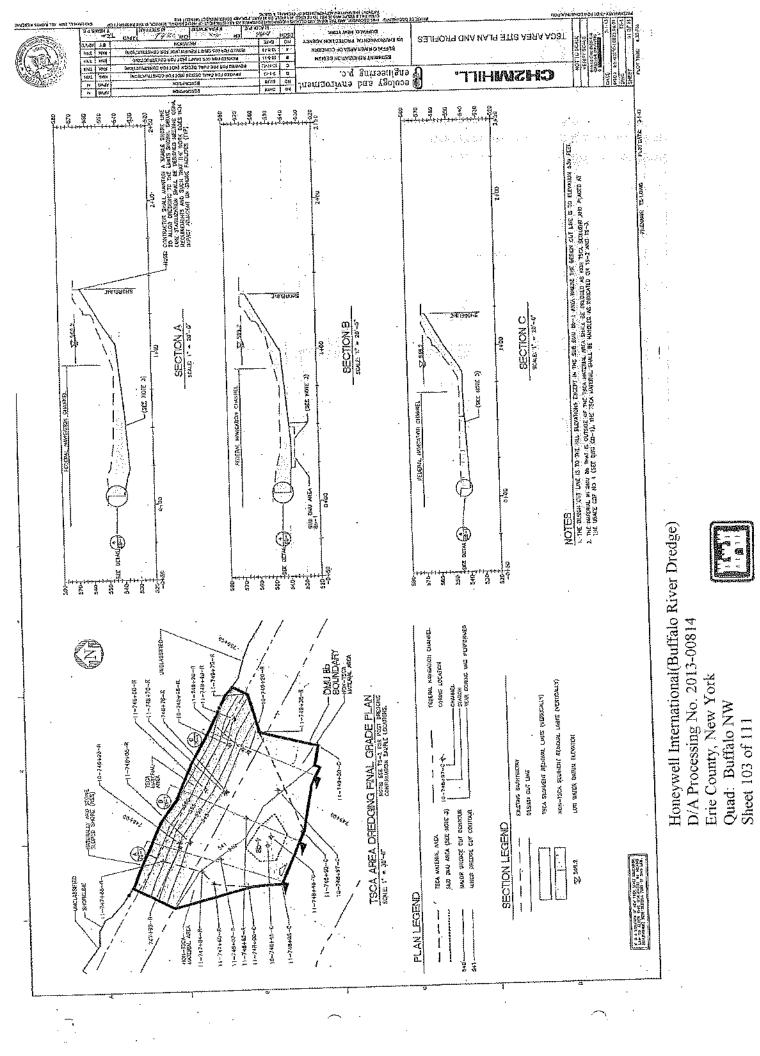


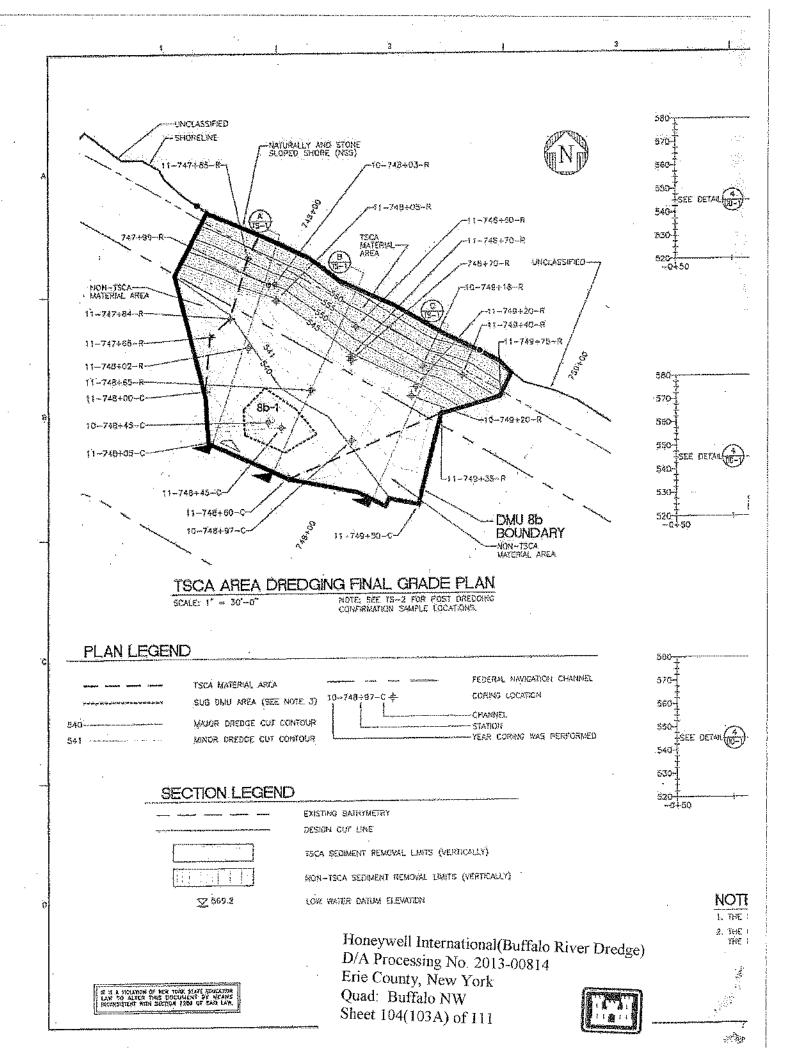


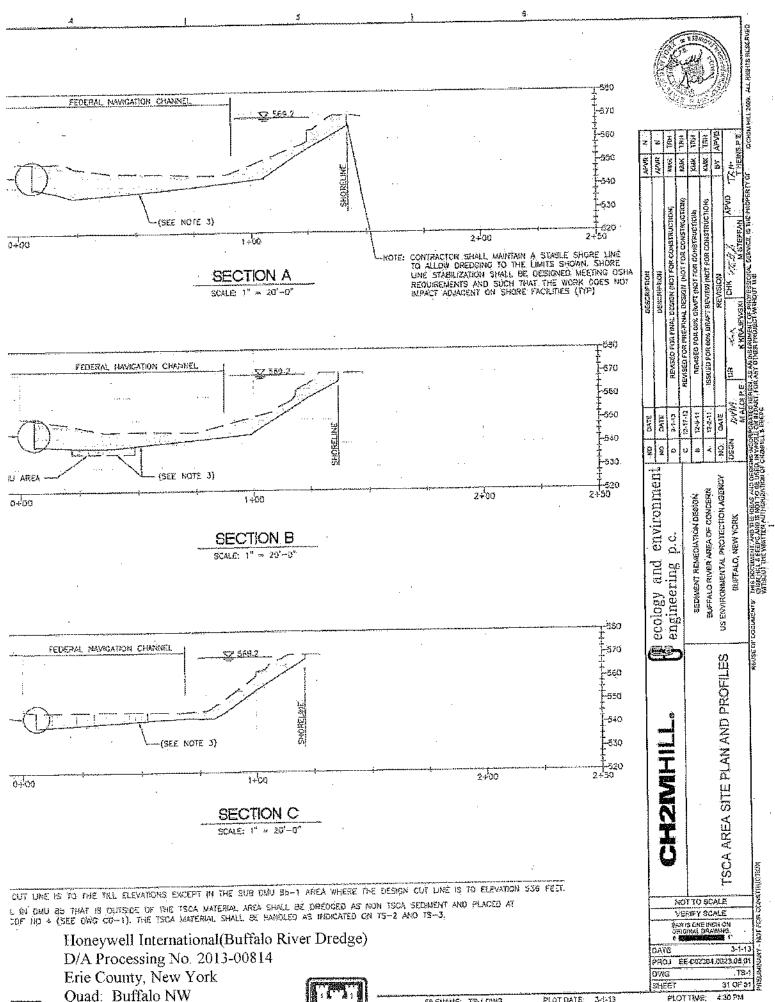










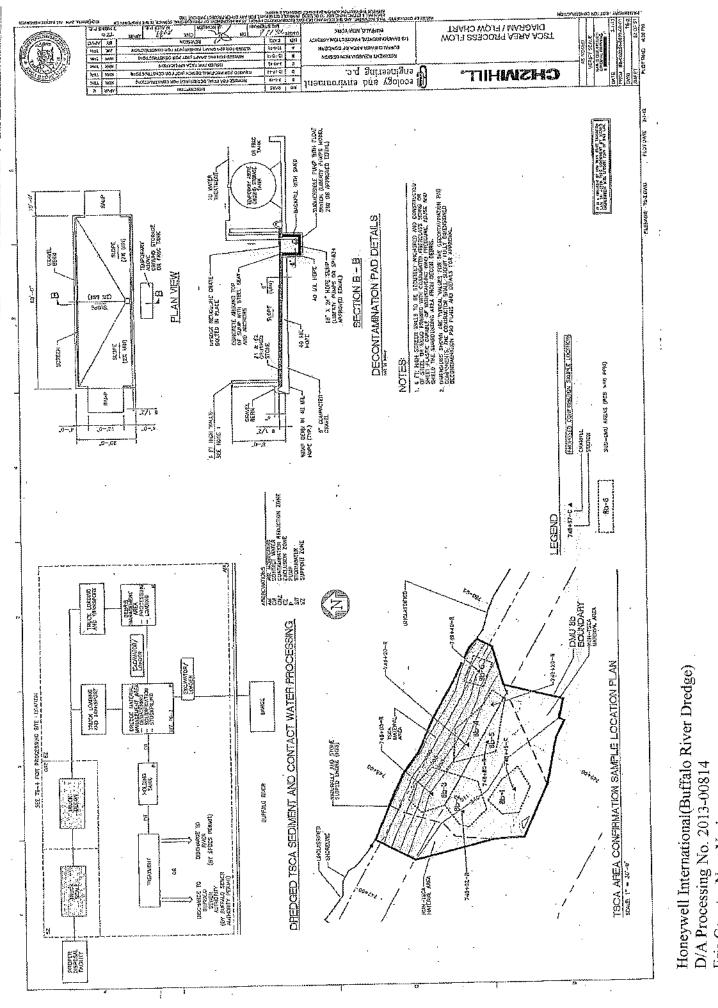


Ouad: Buffalo NW Sheet 105(103B) of 111

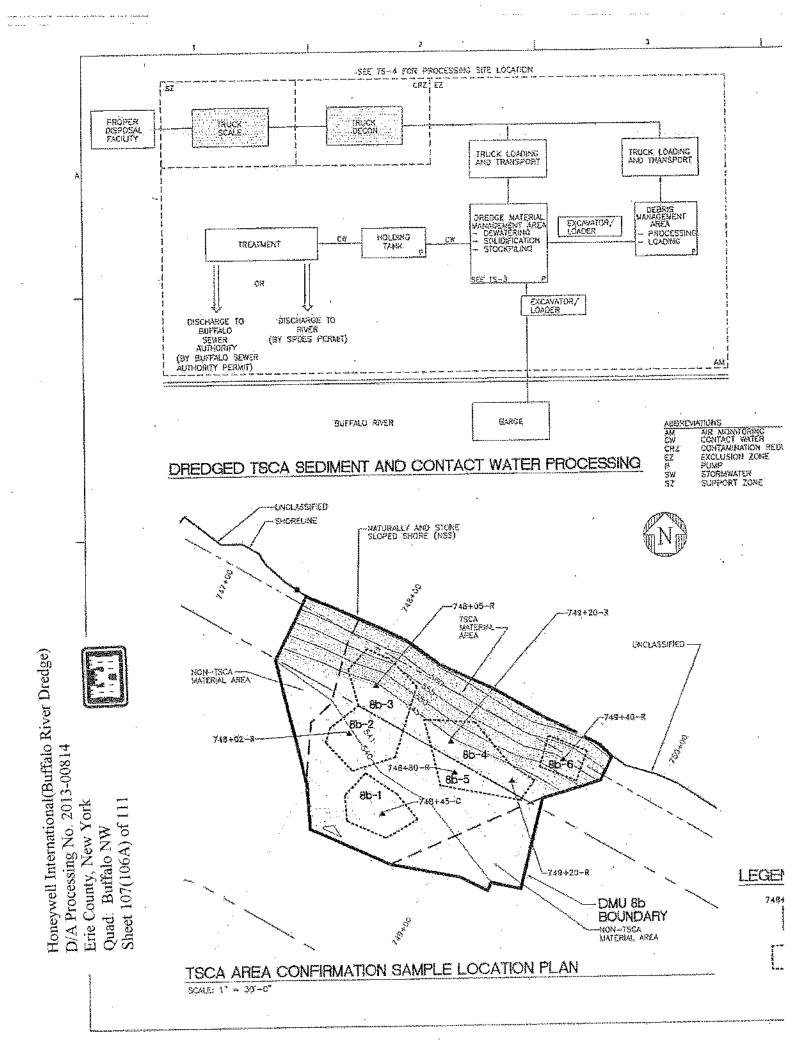


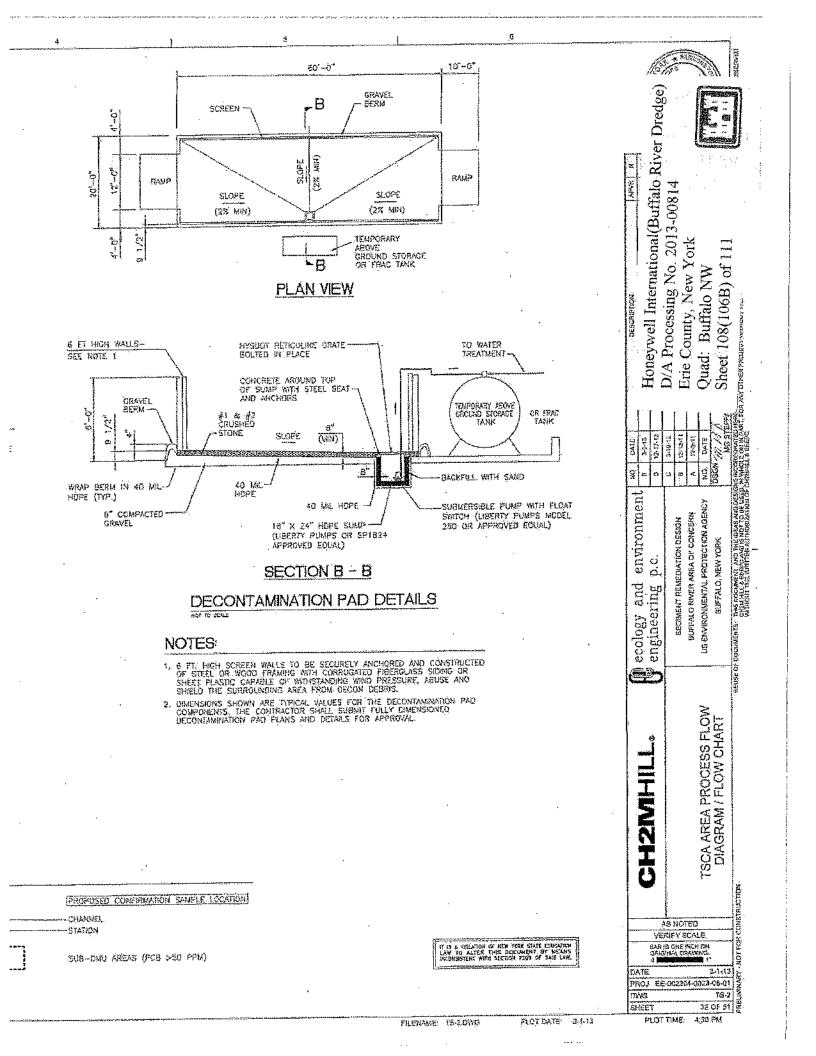
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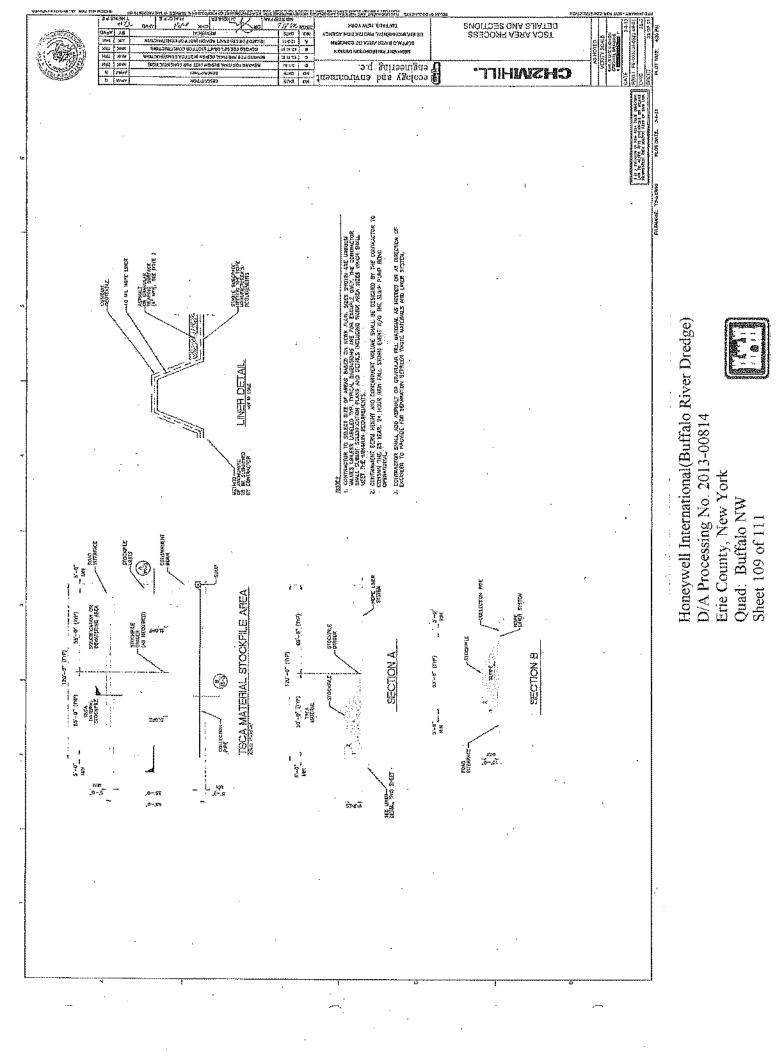
PLOT DATE: J-1-13

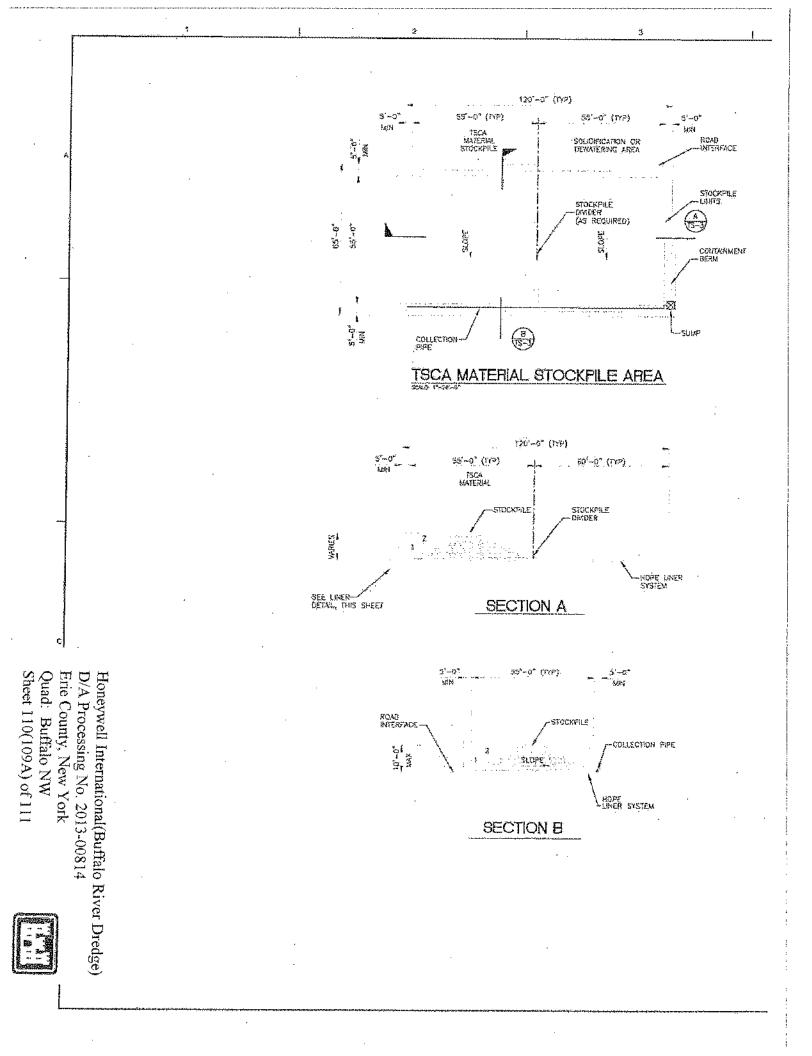


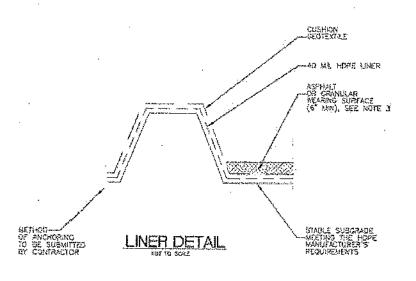
D/A Processing No. 201 Erie County, New York Quad: Buffalo NW Sheet 106 of 111











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- 2. CONTAINMENT BERM HEIGHT AND CONTAINMENT VOLUME SHALL BE DESIGNED BY THE CONTRACTOR TO CONTAIN THE 25 YEAR, 24 HOUR RAN FALL STORM EVENT W/O THE SUMP PUMP BEING OPERATIONAL.
- 3. CONTRACTOR SHALL ADD ASPHALT OR CHANULAR FILL MATERIAL AS NEEDED OR AT DIRECTION OF ENGINEER TO PROVIDE FOR SEPARATION BETWEEN WASTE MATERIALS AND LINER SYSTEM.

Honeywell International(Buffalo River Dredge) D/A Processing No. 2013-00814 Erie County, New York Quad: Buffalo NW Sheet 111(109B) of 111



SILENAME: TS-3 OWG

PLOT DATE.

3-1-13 FLOT THE: 4.50 PM

7207

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DEPARTMENT OF THE ARMY

BUFFALO DISTRICT, CORPS OF ENGINEERS 1776 NIAGARA STREET BUFFALO, NEW YORK 14207-3199

REPLY TO ATTENTION OF:

October 16, 2015

Regulatory Branch

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

Mr. Rich Galloway Honeywell International, Inc. 101 Columbia Road Morristown, New Jersey 07962

Dear Mr. Galloway:

I am writing to you in regards to the recent request by Honeywell International, Inc to dredge approximately 40,000 cubic yards of contaminated sediment throughout the Buffalo River and install approximately 220-linear feet sheet piling to prevent destabilization of a section of bank lined with concrete aprons during dredging. This dredging is additional to what was previously authorized by the Corps to dredge within the Buffalo River Area of Concern (AOC) under the U.S. EPA's Great Lakes Legacy Act (GLLA) on August 14, 2013 (Reaffirmed September 17, 2013). All dredging will take place within 6.2 miles from the mouth of the Buffalo River up to Cazenovia/Buffalo Creeks, including the 1.4 mile City Ship Canal, in the City of Buffalo, Erie County, New York.

I have evaluated the impacts associated with your proposal, and have concluded that they are authorized by the enclosed Nationwide Permit (NWP) provided that the attached conditions are satisfied.

Verification of the applicability of this NWP is valid until March 19, 2017 unless the NWP is modified, suspended, revoked, or the activity complies with any subsequent permit modification. Please note in accordance with 33 CFR part 330.6(b), that if you commence or are under contract to commence an activity in reliance of the permit prior to the date this Nationwide permit expires, is suspended or revoked, or is modified such that the activity no longer complies with the terms and conditions, you have twelve months from the date of permit modification, expiration, or revocation to complete the activity under the present terms and conditions of the permit, unless the permit has been subject to the provisions of discretionary authority.

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

It is your responsibility to remain informed of changes to the NWP program. A public notice announcing any changes will be issued when they occur and will be available for viewing at our website: http://www.lrb.usace.army.mil/Missions/Regulatory.aspx. Finally, note that if your activity is not undertaken within the defined period or the project specifications have changed, you must immediately notify this office to determine the need for further approval or reverification.

This affirmation is limited to the attached NWP and associated WQC, and does not obviate the need to obtain any other project specific Federal, state, or local authorization. Specifically, you may need to obtain Article 15 (Protection of Water), Article 24 (Freshwater Wetland), and/or Article 34 (Coastal Erosion Management) authorization from the New York State DEC.

In addition to the general conditions attached to the NWP, your attention is directed to the following Special Conditions which are also appended at the end of the NWP General Conditions:

Administrative

- 1. At the request of an authorized representative of the Buffalo District, U.S. Army Corps of Engineers, the permittee shall allow access to the project site and all restoration areas to determine compliance with the conditions of this permit.
- 2. You are responsible for ensuring that all contractors and/or workers executing the activity(s) authorized by this permit have knowledge of the terms and conditions of the authorization and that a copy of the permit document is at the project site throughout the period that the authorized work is underway. You shall also inform all contractors of liabilities associated with non-compliance of this permit.
- 3. If any historic or archeological artifacts or remains are discovered while conducting work authorized by this permit, you must notify the Corps of Engineers in accordance with General Condition 21 and all work in the vicinity of the discovery must be stopped immediately, pending initiation of any required consultation under the National Historic Preservation Act.
- 4. Should human remains be encountered during any phase of the proposed project, such person or persons encountering the human remains must immediately cease work in the vicinity of the discovery and must not disturb or remove the remains, must protect the exposed portions of the remains from inclement weather and vandalism, and immediately notify the permittee. Continuing work on the project may result in adverse effects to the remains, which may be contrary to the National Historic Preservation Act. After discovery, the permittee must immediately notify (within 24 hours) Joseph Rowley, USACE Buffalo District, 1776 Niagara Street, Buffalo New York 14207 at (716) 879-4279 or email:

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

joseph.m.rowley@usace.army.mil and the New York State Office of Parks, Recreation, and Historic Preservation, Peebles Island State Park, P.O. Box 189, Waterford, New York 12188-0189, (518) 237-8643.

- 5. Please note, dredging in DMU-45c should be monitored by a qualified archaeologist to identify and record any structural remains that are encountered.
- 6. Please note, contractor is directed to keep all spuds and anchors at least 25 feet from the center of the locator point that is meant to represent sonar target BF-5. This area is adjacent to DMU-11.
- 7. The permittee is authorized to discharge only clean fill material that is free of fines, oil and grease, debris, wood, general refuse, plaster, broken asphalt, or other potential pollutants.
- 8. The Section 401 Water Quality Certification issued for this project by the State of New York is hereby part of this Department of the Army permit pursuant to Section 401(d) of the Clean Water Act. Noncompliance with any limitations or requirements stated in the certification may be a basis for suspension, revocation or modification of this permit.

Dredging

- 1. The permittee, including their contractors, must ensure the dredged material is not temporarily or permanently placed in Waters of the U.S., including wetlands.
- 2. The permitte must notify Mr. Joseph Rowley, USACE Buffalo District, 1776 Niagara Street, Buffalo New York 14207, in writing, at least two (2) weeks prior to initiating any dredging activities authorized by this permit.
- 3. The permittee or their contractors must have a copy of this permit on the vessel used for the authorized transportation and disposal of dredged material.
- 4. The permittee must install and maintain, at their expense, any safety lights and signals prescribed by the United States Coast Guard (USCG), through regulations or otherwise, on the structures or vessels being utilized for the dredging operation. The USCG may be reached at the following address: Commander (OAN), U.S. Coast Guard, Ninth Coast Guard District, 1240 East Ninth Street, Cleveland, Ohio 44199-2060, Telephone: (216) 902-6069; FAX: (216) 902-6059
- 5. Dredging operations must be strictly controlled to minimize spillage and re-suspension of bottom sediments.

Confined Disposal Facility

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

- The permitte is responsible for abiding by the terms and conditions of the signed Memorandum of Agreement (MOA) with the Buffalo District for use of the Confined Disposal Facility (CDF). Questions in regards to the MOA should be directed to Mr. Robert Remmers, Chief, Operations and Technical Support Section, who may be contacted by calling (716) 879-4277, by e-mail: <u>robert.w.remmers@usace.army.mil</u>, or by writing to: Mr. Robert Remmers, Chief, Operations and Tech Support, U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207-3199.
- 2. Immediately upon completion of disposal operations (within 30 days) the permittee is required to submit to this office a copy of an itemized contractor's bill or statement showing the total cubic yardage deposited into the Federal Disposal Site and a description of the method used to calculate the yardage (e.g., before and after depth soundings within the dredge area). Backup information (including soundings or other survey data) must also be submitted to this office. The information must be sent to Mr. Robert Remmers as noted below and a second copy must be sent to: Mr. Harold Keppner, Chief, Monitoring and Enforcement Section, U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207-3199.

Finally, this letter contains an approved JD for the subject parcel. If you object to this determination, you may request an administrative appeal under Corps regulations at 33 CFR Part 331. Enclosed you will find a Notification of Appeal Process (NAP) fact sheet and Request for Appeal (RFA) form. If you request to appeal the above determination, you must submit a completed RFA form within 60 days of the date on this letter to the Great Lakes/Ohio River Division Office at the following address:

Attn: Appeal Review Officer Great Lakes and Ohio River Division CELRD-PD-REG 550 Main Street, Room 10032 Cincinnati, OH 45202-3222 Phone: 513-684-6212;FAX(513) 684-2460

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 C.F.R. part 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP. Should you decide to submit an RFA form, it must be received at the above address by December 17, 2015.

It is not necessary to submit an RFA to the Division office if you do not object to the determination in this letter.

A copy of this correspondence has been sent to Ms. Mary Beth Giancarlo of the U.S. Environmental Protection Agency Great Lakes National Program Office.

SUBJECT: Transmittal of Department of the Army Permit No. 2013-00814, Nationwide Permit No. 38 as Published in the Federal Register, Volume 77, No. 34, on Tuesday, February 21, 2012, New York State Department of Environmental Conservation No. 9-1402-01094

Questions pertaining to this matter should be directed to me at 716-879-4279, by writing to the following address: U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207, or by e-mail at: joseph.m.rowley@usace.army.mil

Sincerely,

Joseph Rowley Physical Scientist

Enclosures

COMPLETION FORM / COMPLIANCE CERTIFICATION

Each permittee who receives a Nationwide Permit (NWP) verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any compensatory mitigation.

APPLICANT:	POINT OF CONTACT:	File No.: 2013-00814	
Honeywell International, Inc.	Mr. Rich Galloway	File Closed: October 16, 2015	
Honeywell	Honeywell	NWP No.: 38	
115 Tabor Road	115 Tabor Road		
Morris Plains, NJ 07950	Morris Plains, NJ 07950		
Upon completion of the activity authorized by this permit and any required compensatory mitigation sign			
this certification and return it to the address listed below within 30 days of project completion.			

Please note that your permitted activity is subject to a compliance inspection by a U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, revocation, and/or assessment of administrative penalties.

The permittee shall certify the completion of the authorized work and mitigation:

- a. The authorized work was done in accordance with the NWP authorization, including any general, regional, or activity specific conditions.
- b. The implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, this certification must include the documentation required by 33 CFR 332.3(1)(3) to confirm that the permittee secured the appropriate number and resource type of credits.

APPLICANTS NAME

Date

Permittee Telephone Number: _____

Project location: 6.2 miles from the mouth of the Buffalo River up to Cazenovia/Buffalo Creeks, including the 1.4 mile City Ship Canal, in the City of Buffalo, Erie County, New York.

Project Description: dredge approximately 40,000 cubic yards of contaminated sediment; 220-linear feet of Steel Sheet Piling

Authorized Impacts (Waters of the U.S. Impacted by Project): 6.2 miles of the Buffalo River/City Ship Canal

Waterway and/or Project Setting: Buffalo River/City Ship Canal

Return completed form to: Mr. David Leput Regulatory Branch U.S. Army Corps of Engineers 1776 Niagara Street Buffalo, NY 14207

NOTIFICATION OF ADMINISTRATIVE APPEAL OPTIONS AND PROCESS AND REQUEST FOR APPEAL

Applicant: Honeywell International, Inc.	File Number: 2013-00814	Date: Oct 9, 2015	
Attached is:		See Section below	
INITIAL PROFFERED PERMIT (Standard Permit or Lett	ter of permission)	А	
PROFFERED PERMIT (Standard Permit or Letter of perm	-	В	
PERMIT DENIAL		С	
X APPROVED JURISDICTIONAL DETERMINATION		D	
PRELIMINARY JURISDICTIONAL DETERMINATION	1	Е	
SECTION I - The following identifies your rights and options rega	rding an administrative appeal of the abo	ve decision. Additional	
information may be found at http://www.usace.army.mil/CECW/Pa			
A: INITIAL PROFFERED PERMIT: You may accept or objec	t to the permit.		
•ACCEPT: If you received a Standard Permit, you may sign the permit document and return it to the district engineer for final authorization. If you received a Letter of Permission (LOP), you may accept the LOP and your work is authorized. Your signature on the Standard Permit or acceptance of the LOP means that you accept the permit in its entirety, and waive all rights to appeal the permit, including its terms and conditions, and approved jurisdictional determinations associated with the permit.			
•OBJECT: If you object to the permit (Standard or LOP) because of certain terms and conditions therein, you may request that the permit be modified accordingly. You must complete Section II of this form and return the form to the district engineer. Your objections must be received by the district engineer within 60 days of the date of this notice, or you will forfeit your right to appeal the permit in the future. Upon receipt of your letter, the district engineer will evaluate your objections and may: (a) modify the permit to address all of your concerns, (b) modify the permit to address some of your objections, or (c) not modify the permit having determined that the permit should be issued as previously written. After evaluating your objections, the district engineer will send you a proffered permit for your reconsideration, as indicated in Section B below.			
B: PROFFERED PERMIT: You may accept or appeal the permi	t		
•ACCEPT: If you received a Standard Permit, you may sign the permit document and return it to the district engineer for final authorization. If you received a Letter of Permission (LOP), you may accept the LOP and your work is authorized. Your signature on the Standard Permit or acceptance of the LOP means that you accept the permit in its entirety, and waive all rights to appeal the permit, including its terms and conditions, and approved jurisdictional determinations associated with the permit.			
•APPEAL: If you choose to decline the proffered permit (Standard or LOP) because of certain terms and conditions therein, you may appeal the declined permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.			
C: PERMIT DENIAL: You may appeal the denial of a permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.			
D: APPROVED JURISDICTIONAL DETERMINATION: You may accept or appeal the approved JD or provide new			
information.			
•ACCEPT: You do not need to notify the Corps to accept an approved JD. Failure to notify the Corps within 60 days of the date of this notice, means that you accept the approved JD in its entirety, and waive all rights to appeal the approved JD.			
•APPEAL: If you disagree with the approved JD, you may appeal the approved JD under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.			
E: PRELIMINARY JURISDICTIONAL DETERMINATION: preliminary JD. The Preliminary JD is not appealable. If you wish contacting the Corps district for further instruction. Also you may p reevaluate the JD.	, you may request an approved JD (which	n may be appealed), by	

SECTION II -	REQUEST FOR	APPEAL or	OBJECTIONS	TO AN INITIAL	PROFFERED	PERMIT
0-0-1-0111			02020210110			

REASONS FOR APPEAL OR OBJECTIONS: (Describe your reasons for appealing the decision or your objections to an initial proffered permit in clear concise statements. You may attach additional information to this form to clarify where your reasons or objections are addressed in the administrative record.)

ADDITIONAL INFORMATION: The appeal is limited to a review of the administrative record, the Corps memorandum for the
record of the appeal conference or meeting, and any supplemental information that the review officer has determined is needed to
clarify the administrative record. Neither the appellant nor the Corps may add new information or analyses to the record. However,
you may provide additional information to clarify the location of information that is already in the administrative record.

POINT OF CONTACT FOR QUESTIONS OR INFORMATION:			
If you have questions regarding this decision and/or the appeal	If you only have questions regar	ding the appeal process you may	
process you may contact:	also contact:		
Joseph Rowley	Attn: Appeal Review Officer		
United States Army Corps of Engineers	Great Lakes and Ohio River Div	vision	
Buffalo District	CELRD-PD-REG		
1776 Niagara Street	550 Main Street, Room 10032		
Buffalo, NY 14207	Cincinnati, OH 45202-3222		
716-879-4279	(513) 684-6212;FAX(513) 684-2460		
joseph.m.rowley@usace.army.mil			
RIGHT OF ENTRY: Your signature below grants the right of er	ntry to Corps of Engineers personn	nel, and any government	
consultants, to conduct investigations of the project site during the course of the appeal process. You will be provided a 15 day			
notice of any site investigation, and will have the opportunity to participate in all site investigations.			
	Date:	Telephone number:	
		_	
Signature of appellant or agent.			

New York State Department of Environmental Conservation Division of Environmental Permits. Region 9

270 Michigan Avenue, Buffalo, New York 14203-2915 **Phone:** (716) 851-7165 • **Fax:** (716) 851-7168 **Website:** <u>www.dec.ny.gov</u>



Joe Martens Commissioner

August 22, 2013

Honeywell International Inc. 101 Columbia Road Morristown, New Jersey 07962

Attention: Mr. John Morris

Dear Permittee:

PERMIT TRANSMITTAL LETTER BUFFALO RIVER AOC SEDIMENT REMEDIATION & HABITAT RESTORATION PERMIT NO. 9-1402-01094/00001

Enclosed is your permit which was recently modified in accordance with applicable provisions of the Environmental Conservation Law. The permit is valid for only that project, activity or operation expressly authorized. Please note that this permit replaces, in its entirety, the permit issued July 24, 2013.

The DEC permit number and Program ID number, if applicable, should be retained for your records and should be referenced on all future correspondence and applications related to the permit. If modifications are desired after permit issuance, you must submit the proposed revisions and receive written approval from the Permit Administrator prior to initiating any change. If the Department determines that the modification represents a material change in the scope of the authorized project, activity, operation or permit conditions, you will be required to submit a new application for permit.

Please note the <u>expiration date</u> of the permit. Applications for permit renewal should be made well in advance of the expiration date (minimum of 30 days) and submitted to the Regional Permit Administrator at the above address.

PLEASE REVIEW ALL PERMIT CONDITIONS CAREFULLY. IN PARTICULAR, IDENTIFY YOUR INITIAL RESPONSIBILITIES UNDER THIS PERMIT IN ORDER TO ASSURE TIMELY ACTION IF REQUIRED. SINCE FAILURE TO COMPLY PRECISELY WITH PERMIT CONDITIONS MAY BE TREATED AS A VIOLATION OF THE ENVIRONMENTAL CONSERVATION LAW, YOU ARE REQUESTED TO PROVIDE A COPY OF THE PERMIT TO THE PROJECT CONTRACTOR, FACILITY OPERATOR, AND OTHER PERSONS DIRECTLY RESPONSIBLE FOR PERMIT IMPLEMENTATION (IF ANY).

If you have any questions, please contact this office at the above address.

Respectfully, David S. Denk Regional Permit Administrator

Enclosure

 ecc: Captain Frank Lauricella, NYSDEC, Division of Law Enforcement Mr. Martin Doster, NYSDEC, Division of Environmental Remediation Mr. Timothy DePriest, NYSDEC, Division of Fish, Wildlife and Marine Resources Mr. Damianos Skaros, NYSDEC, Great Lakes Programs U.S. Department of the Army Corps of Engineers, Buffalo District Office Honorable Bryon Brown, City of Buffalo, Mayor Mr. Steven Stepniak, City of Buffalo, Commissioner of Public Works Mr. David Comerford, City of Buffalo, Buffalo Sewer Authority Mr. Brendan Mehaffy, City of Buffalo, Office of Strategic Planning Mr. Richard Galloway, Honeywell International Inc.



Permittee and Facility Information

Permit Issued To: HONEYWELL INTERNATIONAL INC

101 COLUMBIA RD MORRISTOWN, NJ 07962 Facility:

BUFFALO RIVER AOC SEDIMENT REMEDIATION & HABITAT RESTORATION BUFFALO RIVER AND CITY SHIP CANAL BUFFALO, NY

Facility Location: in BUFFALO in ERIE COUNTYFacility Principal Reference Point: NYTM-E: 183.073NYTM-N: 4754.248Latitude: 42°52'30.2"Longitude: 78°52'48.7"

Authorized Activity: Dredge approximately 500,000 cubic yards of contaminated sediments from portions of the Buffalo River and City Ship Canal. Sediment disposal will be at the U.S. Army Corps of Engineers Confined Disposal Facility. Approximately 4,200 cubic yards of sediments regulated under the Toxic Substance Control Act will be specially handled and properly disposed. Dredge and TSCA regulated sediment volumes may change during construction. Actual volumes will be recorded and reported at the completion of the work. Some capping of sediments will be done at the head of the ship canal and in areas where dredging could compromise shoreline structures. The final phase of activities associated with the dredging and capping operation is habitat restoration at five sites as shown in the referenced plans.

Permit Authorizations

Excavation & Fill in Navigable Waters - Under Article 15, Title 5 Permit ID 9-1402-01094/00001

New Permit	Effective Date: <u>7/24/2013</u>	Expiration Date: 7/23/2018			
Modification #1	Effective Date: 8/22/2013	Expiration Date: 7/23/2018			
Water Quality Certification - Under Section 401 - Clean Water Act					
Permit ID 9-1402-01094/00	0003	Sec. H			
Modification #0	Effective Date: 8/22/2013	Expiration Date: 7/23/2018			

NYSDEC Approval

By acceptance of this permit, the permittee agrees that the permit is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this permit.

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION Facility DEC ID 9-1402-01094

Permit Administrator:	DAVID S DENK, Regional Permit Administrator	
Address:	NYSDEC REGION 9 HEADQUARTERS	
	270 MICHIGAN AVE	
	BUFFALO, NY 14203 -2915	
Authorized Signature	$-O_{1}O_{1}$	Da

8,22,2013

Distribution List

Law Enforcement

Mr. John Morris, Honeywell International Inc Mr. Rich Galloway, Honeywell International Inc Honorable Byron Brown, City of Buffalo Mr. Steven Stepniak, City of Buffalo Commissioner of Public Works Mr. David Comerford, Buffalo Sewer Authority Mr. Brendan Mehaffy, City of Buffalo Office of Strategic Planning US ARMY CORP OF ENGINEEERS - BUFFALO DISTRICT Mr. Martin Doster Mr. Timothy DePriest Mr. Damianos Skaros

Permit Components

NATURAL RESOURCE PERMIT CONDITIONS

WATER QUALITY CERTIFICATION SPECIFIC CONDITION

GENERAL CONDITIONS, APPLY TO ALL AUTHORIZED PERMITS

NOTIFICATION OF OTHER PERMITTEE OBLIGATIONS

NATURAL RESOURCE PERMIT CONDITIONS - Apply to the Following Permits: EXCAVATION & FILL IN NAVIGABLE WATERS; WATER QUALITY CERTIFICATION

1. Conformance With Plans All activities authorized by this permit must be in strict conformance with the approved plans submitted by the applicant or applicant's agent as part of the permit application. Such approved plans were prepared by CH2M HILL and Ecology and Environment Engineering, P.C.

2. Environmental Window for Dredging Dredging in the following areas shall be scheduled during the following time frames ("environmental window") to avoid adverse impacts to fishing resources in those areas:

Buffalo River-June 15 - December 30Buffalo Ship Canal-July 1 - December 30Page 2 of 7



3. **Design and Use of Dredging Bucket** The dredging method used for silty material must minimize sediment resuspension no less efficiently than an environmental bucket with the following specifications and operational restrictions. The bucket shall:

- Provide a level cut during the closing cycle.
- Completely enclose the dredged sediment and water captured.
- Be fitted with escape valves or vents that close when the bucket is withdraw from the water.
- Have a smooth-cut surface, with no digging teeth unless otherwise approved in writing by the Department.
- Be operator controlled using a global positioning system.
- Have integrated software that allows the bucket position to be monitored in real time and a horizontal accuracy of one foot and vertical accuracy of +0 inch to -six inches.
- Have operator control of bucket penetration to avoid overfilling and minimize sediment resuspension.
- Allow the operator to mark the presence of obstructions encountered prior to reaching target dredge elevation.
- If excessive loss of water and/or sediments from the bucket is observed from the time of its breaking the water surface to crossing the barge gunwale, the inspector shall halt dredging operations and inspect the bucket for defects. Operations shall be suspended until all necessary repairs or replacements are made.

4. Inspection of Dredging Bucket Dredging is not to commence until the Inspector has examined said bucket and determined that it is constructed as described and properly functioning.

5. Silt Curtains Silt curtains must be placed around the dredging locations (DMUs: 6, 8, 9, 10, 16, 17, 37, 41 and 44) as required by the River Water Monitoring Plan dated 2013 and extend at least halfway down in the water column. Silt curtain must not be allowed to contact or drag on the bottom. Silt curtains must be used in other dredging locations if is determined that Best Management Practices are not being met.

6. Control Turbidity Turbidity must be monitored at the dredging locations as defined in the River Water Monitoring Plan dated March 2013. If excess turbidity outside the curtain is observed, the Department must be notified and dredging stopped until the situation is corrected.

7. **Prevent Spillage of Sediment** During the dredging operation, the permittee and his contractor shall prevent spillage of sediment during excavation and haulage. Dredging shall be accomplished with a clam shell or other closed bucket equipment.

8. Handling of Sediment Excavated sediment shall be placed directly into the approved disposal/dewatering site or conveyance vehicle. No side-casting (double dipping) or temporary storage of dredged material is authorized (other than TSCA sediment).

9. Non-sediment Materials at the CDF Non-sediment materials (solid waste) which have been removed from the river and canal will be separated from sediments at the CDF and be properly disposed of at the CDF or an off-site location authorized to accept such materials.

10. Water Treatment of TSCA Material There shall be special measures for dealing with excess water from TSCA-level sediment. An on-site water treatment unit will be installed at the TSCA waste handling site. Following treatment, this water will be tested prior to disposal or retreatment. If treated waters meet discharge limits, the water will be discharged either to the sanitary sewer system under a Buffalo Sewer Authority permit or to the Buffalo River under a DEC State Pollutant Discharge Elimination System (SPDES) permit. Water which does not meet discharge limits will be retreated until it meets limits.

11. Approval Needed Department approval is needed if it becomes necessary to change the location from the specified site known as "Riverbend" where the TSCA regulated material will be handled/stabilized.

12. Control of Dust in TSCA Handling Areas Air monitoring will be conducted as described in the TSCA monitoring plan. Measures for the control of dust will be implemented if air quality standards are exceeded.

13. Construction of Habitat Restoration Projects and Replacement of Plantings The habitat restoration projects at the City Ship Canal and Katherine Street Peninsula must be constructed if the dredging and capping project authorized in this permit is undertaken. The plantings must be monitored yearly for at least four years. The permittee must ensure a minimum of 80% vegetative cover at each habitat restoration project site by the end of five growing seasons. Additional planting for replacement must be put in place by the end of the following planting season. The other three habitat restoration projects are authorized under this permit.

14. Control of Invasive Species The aquatic habitat restoration areas must monitored for exotic invasive species, and if necessary treated, on an annual basis for four growing seasons after initial restoration. The monitoring and treatment must result in no more than 10 percent of areal cover of exotic species in each restoration area at the end of the monitoring period.

15. Final Residuals Monitoring Plan The two year and five year monitoring plans detailed in The Final Residuals Monitoring Plan dated March 2013 must be completed as described in the plan if the dredging and capping project authorized in this permit is undertaken.

16. Overflow Weir Configuration The dredge material overflow weir and return water discharge must be configured so that water with the lowest possible turbidity is returned to the waterway. The discharge outfall shall be monitored by the permittee or his agent and, if a visible plume becomes evident, the discharge shall cease immediately until the problem is corrected.

17. No Interference With Navigation There shall be no unreasonable interference with navigation by the work herein authorized.

18. State Not Liable for Damage The State of New York shall in no case be liable for any damage or injury to the structure or work herein authorized which may be caused by or result from future operations undertaken by the State for the conservation or improvement of navigation, or for other purposes, and no claim or right to compensation shall accrue from any such damage.



19. State May Order Removal or Alteration of Work If future operations by the State of New York require an alteration in the position of the structure or work herein authorized, or if, in the opinion of the Department of Environmental Conservation it shall cause unreasonable obstruction to the free navigation of said waters or flood flows or endanger the health, safety or welfare of the people of the State, or cause loss or destruction of the natural resources of the State, the owner may be ordered by the Department to remove or alter the structural work, obstructions, or hazards caused thereby without expense to the State, and if, upon the expiration or revocation of this permit, the structure, fill, excavation, or other modification of the watercourse hereby authorized shall not be completed, the owners, shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may require, remove all or any portion of the watercourse. No claim shall be made against the State of New York on account of any such removal or alteration.

20. State May Require Site Restoration If upon the expiration or revocation of this permit, the project hereby authorized has not been completed, the applicant shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may lawfully require, remove all or any portion of the uncompleted structure or fill and restore the site to its former condition. No claim shall be made against the State of New York on account of any such removal or alteration.

21. Precautions Against Contamination of Waters All necessary precautions shall be taken to preclude contamination of any wetland or waterway by suspended solids, sediments, fuels, solvents, lubricants, epoxy coatings, paints, concrete, leachate or any other environmentally deleterious materials associated with the project.

WATER QUALITY CERTIFICATION SPECIFIC CONDITIONS

1. Water Quality Certification The NYS Department of Environmental Conservation hereby certifies that the subject project will not contravene effluent limitations or other limitations or standards under Sections 301, 302, 303, 306 and 307 of the Clean Water Act of 1977 (PL 95-217) provided that all of the conditions listed herein are met.

GENERAL CONDITIONS - Apply to ALL Authorized Permits:

1. Facility Inspection by The Department The permitted site or facility, including relevant records, is subject to inspection at reasonable hours and intervals by an authorized representative of the Department of Environmental Conservation (the Department) to determine whether the permittee is complying with this permit and the ECL. Such representative may order the work suspended pursuant to ECL 71-0301 and SAPA 401(3).

The permittee shall provide a person to accompany the Department's representative during an inspection to the permit area when requested by the Department.

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION Facility DEC ID 9-1402-01094



A copy of this permit, including all referenced maps, drawings and special conditions, must be available for inspection by the Department at all times at the project site or facility. Failure to produce a copy of the permit upon request by a Department representative is a violation of this permit.

2. Relationship of this Permit to Other Department Orders and Determinations Unless expressly provided for by the Department, issuance of this permit does not modify, supersede or rescind any order or determination previously issued by the Department or any of the terms, conditions or requirements contained in such order or determination.

3. Applications For Permit Renewals, Modifications or Transfers The permittee must submit a separate written application to the Department for permit renewal, modification or transfer of this permit. Such application must include any forms or supplemental information the Department requires. Any renewal, modification or transfer granted by the Department must be in writing. Submission of applications for permit renewal, modification or transfer are to be submitted to:

Regional Permit Administrator NYSDEC REGION 9 HEADQUARTERS 270 MICHIGAN AVE BUFFALO, NY14203 -2915

4. Submission of Renewal Application The permittee must submit a renewal application at least 30 days before permit expiration for the following permit authorizations: Excavation & Fill in Navigable Waters, Water Quality Certification.

5. Permit Modifications, Suspensions and Revocations by the Department The Department reserves the right to exercise all available authority to modify, suspend or revoke this permit. The grounds for modification, suspension or revocation include:

- a. materially false or inaccurate statements in the permit application or supporting papers;
- b. failure by the permittee to comply with any terms or conditions of the permit;
- c. exceeding the scope of the project as described in the permit application;
- d. newly discovered material information or a material change in environmental conditions, relevant technology or applicable law or regulations since the issuance of the existing permit;
- e. noncompliance with previously issued permit conditions, orders of the commissioner, any provisions of the Environmental Conservation Law or regulations of the Department related to the permitted activity.

6. **Permit Transfer** Permits are transferrable unless specifically prohibited by statute, regulation or another permit condition. Applications for permit transfer should be submitted prior to actual transfer of ownership.



NOTIFICATION OF OTHER PERMITTEE OBLIGATIONS

Item A: Permittee Accepts Legal Responsibility and Agrees to Indemnification

The permittee, excepting state or federal agencies, expressly agrees to indemnify and hold harmless the Department of Environmental Conservation of the State of New York, its representatives, employees, and agents ("DEC") for all claims, suits, actions, and damages, to the extent attributable to the permittee's acts or omissions in connection with the permittee's undertaking of activities in connection with, or operation and maintenance of, the facility or facilities authorized by the permit whether in compliance or not in compliance with the terms and conditions of the permit. This indemnification does not extend to any claims, suits, actions, or damages to the extent attributable to DEC's own negligent or intentional acts or omissions, or to any claims, suits, or actions naming the DEC and arising under Article 78 of the New York Civil Practice Laws and Rules or any citizen suit or civil rights provision under federal or state laws.

Item B: Permittee's Contractors to Comply with Permit

The permittee is responsible for informing its independent contractors, employees, agents and assigns of their responsibility to comply with this permit, including all special conditions while acting as the permittee's agent with respect to the permitted activities, and such persons shall be subject to the same sanctions for violations of the Environmental Conservation Law as those prescribed for the permittee.

Item C: Permittee Responsible for Obtaining Other Required Permits

The permittee is responsible for obtaining any other permits, approvals, lands, easements and rights-ofway that may be required to carry out the activities that are authorized by this permit.

Item D: No Right to Trespass or Interfere with Riparian Rights

This permit does not convey to the permittee any right to trespass upon the lands or interfere with the riparian rights of others in order to perform the permitted work nor does it authorize the impairment of any rights, title, or interest in real or personal property held or vested in a person not a party to the permit.



NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Permits, Region 9 270 Michigan Avenue, Buffalo, NY 14203-2915 P: (716) 851-7165 I F: (716) 851-7168 www.dec.ny.gov

November 12, 2015

John J. Morris, P.E., Remediation Director Honeywell International Inc. 101 Columbia Road Morristown, New Jersey 07962

Dear Mr. Morris:

MODIFICATION OF PERMIT BUFFALO RIVER AOC SEDIMENT REMEDIATION AND HABITAT RESTORATION DEC NO. 9-1402-01094/00001

The Department has received the permit modification request and follow-up submittals from your consultant, Anchor QEA LLC for proposed changes to the above referenced Protection of Waters Permit / Water Quality Certification. The Department hereby modifies the subject authorization to include the Buffalo River AOC 2015 Additional Dredging construction drawings (35 sheets) dated November 6, 2015. The authorized work includes dredging within DMUs 1, 2, 5, 6a, 9, 10, 17, 18, 19, 27, 28, 38a, and 44e, backfilling following dredging in 44e, and a submerged sheetpile kneewall installation to support the removal of sediments in DMUs 9 and 10, with additional backfill following installation. In addition, turbidity monitoring shall be conducted as described in the September 4, 2015 memorandum from Mark Reemts and Ram Mohan, Anchor QEA, PLLC to Chad Staniszewski and Damianos Skaros, NYSDEC.

This letter shall be considered an official amendment to the original permit, and as such, a copy of this letter must be available along with the original permit and previous modifications at the work site to produce if requested by a DEC representative.

If you have any questions or comments about this letter or the New York State Environmental Conservation Law, please contact me at (716) 851-7165.

David S. Denk Regional Permit Administrator



DSD/dsd

Capt. Frank Lauricella, NYSDEC Division of Law Enforcement ecc: Mr. Chad Staniszewski, NYSDEC DER

Mr. Damianos Skaros, NYSDEC

Ms. Mary Beth Giancarlo, USEPA GLNPO

Mr. Scott Cieniawski, USEPA GLNPO

Mr. Joseph Rowley, USACE

Mr. Rich Galloway, Honeywell

Mr. Mark Reemts, Anchor QEA, LLC



MEMORANDUM

То:	Chad Staniszewski and Damianos Skaros, NYSDEC	Date:	September 4, 2015
From:	Mark Reemts and Ram Mohan, Anchor QEA Engineering, PLLC	Project:	E50287-03.01
Cc:	Rich Galloway, Honeywell International Inc.		
	Scott Cieniawski and Mary Beth Giancarlo, USEPA GLNPO		
Re:	Proposed Changes to Dredging Monitoring – 20 Contract DMUs	15 USACE	and Honeywell

Anchor QEA Engineering, PLLC (Anchor QEA), in support of the Project Coordination Team for the Great Lakes Legacy Act (GLLA) Buffalo River Area of Concern Remediation and Restoration Project, is currently developing revised dredge prisms for select Dredge Management Units (DMUs) for additional dredging to occur in 2015. In an effort to reduce potential schedule and river logistical conflicts, the United States Environmental Protection Agency (USEPA) is coordinating with the United States Army Corps of Engineers (USACE) to potentially contract a portion of the dredging under a USACE maintenance dredging contract. The proposal of work for USACE operations includes sediment removal within DMUs 1, 2, 5, 6a, 38a, and 101. Dredging of DMU 101 is contingent on BIDCO executing the GLLA Project Agreement by September 15, 2015. Additionally, Honeywell is proposing to perform the dredging of sediments within the DMUs at 9, 10, 17, 18, 19, 27, 28, 29, and 44e. The dredging and/or placement of a cap in DMUs 17, 18, 19, 27, 28 and 29 is contingent on CSX executing the GLLA Project Agreement by September 15 2015. Remaining sediments in DMU 8b, both non-TSCA and TSCA, will be addressed through the USEPA GLNPOCS contract. Given the differences in contract requirements between the USACE, USEPA GLNPO and Honeywell contracts, small quantities and shorter durations of work, and in an attempt to reduce potential change orders to perform the work, Anchor QEA and USACE ERDC personnel have been evaluating requirements for dredge operations to develop an optimal operations plan.

An initial evaluation was completed by USACE ERDC in June, 2015, to determine the need for silt curtain usage and dredging production modifications at DMU 6a. Previously established restrictions from the Final Design (CH2M 2013) required the use of silt curtains during dredging within select DMUs, including 6a, as well as restricted dredging rates in select areas. DMU 6a was initially restricted to a maximum of 164 cubic yards per day from a single dredge plant operating less than 3 hours per day. Following re-evaluation of the remaining sediments, and expected operations for the remaining work in DMU 6a, USACE ERDC analysis indicated that the use of silt curtains would not be required, and that production restrictions are not required in this area as well. For additional information, please see the USACE ERDC memorandum (USACE 2015). Dredging restrictions, including silt curtain usage and production rate limitations, at the remaining DMUs would remain as previously established. Accordingly, dredging in DMUs 9, 10, 17, and 44e will utilize silt curtains, and DMU 17 dredging will be limited to daily maximum of 5,038 cubic yards.

Additional monitoring requirements for dredging operations are outlined in the Final River Water Monitoring Plan, included as an appendix to the Final Design (CH2M 2013). To support evaluation of these requirements for the proposed 2015 dredging work, historical records from the previous dredging activities, including records of turbidity, Total Suspended Solids (TSS), and analytical data from water column samples, were reviewed. Records included daily, weekly, and other records spanning the duration of dredging from 2013 through 2014. Data included records from all completed DMUs, and include all but one of the proposed 2015 DMUs. Since DMU 101 was added following the 2013 and 2014 dredging operations, it has not yet been dredged; the remainder of the proposed 2015 DMUs included various levels of partial dredging during previous operations.

DISCUSSION OF OBSERVED TRENDS

During dredging operations in 2013 and 2014, infrequent spikes in turbidity measurements occurred, all associated with high flow events following storm conditions. Generally, turbidity monitoring kept within the 100 NTU upstream to downstream difference during the individual 15 minute frequency measurements, with only a single instance exceeding the 4 hour rolling average restriction outlined in the Water Monitoring Plan. Analytical results collected identified only a single TSS exceedance which did not occur at the time of the single turbidity exceedance. Outside of these two occasions, turbidity and TSS sampling did

not exceed monitoring restrictions during all remaining non-TSCA dredging. In addition to the lack of turbidity and TSS exceedances, no exceedances of targeted chemicals of concern were identified in analytical sampling during all non-TSCA dredging activities, and only trace concentrations of individual PCBs were recorded during TSCA dredging of DMU 8b.

Throughout the dredging operations, only a single exceedance of the turbidity 4 hour rolling average difference occurred on August 3, 2014, which was attributed to storm related turbidity, and not dredging operations. Occasional short term spikes in turbidity readings occurred during operations, identified in the 15 minute interval sampling, as well as some instances of debris or equipment impacting readings. These short duration events typically resulted from passing boat wakes or other short term effects, and did not cause significant rise in the rolling average. Except for the single rolling average exceedance event, the work was within turbidity criteria during all dredging operations.

A single exceedance of the TSS criteria (100 parts per million above ambient background condition) was recorded on December 12, 2013 during dredging within the City Ship Canal. The 'upstream' location, located within the City Ship Canal, recorded a TSS level of 54.4 mg/l while the 'downstream' location, located at the confluence of the Buffalo River and City Ship Canal at DMU 45c, recorded a TSS level of 426 mg/l. This single exceedance occurred following a storm event, and is likely attributable to the location of the two buoys and the effects of the backwater canal versus the more turbid flow on the main river exacerbating the difference in values, rather than direct dredging related impacts.

Recorded data provided correlation between turbidity records and TSS results; evaluations illustrated that the originally proposed and utilized 1:1 correlation for turbidity (NTU) to TSS (mg/l) was conservative and protective based on the data collected, as outlined in the summary memorandum on turbidity/TSS correlation (AECOM 2013).

SUMMARY

Given the limited scope of the 2015 dredging, the documented lack of historical exceedances of water quality criteria (specifically the lack of TSS and analytical exceedances), and the good empirical correlation between turbidity and TSS, it is proposed to utilize turbidity monitoring for water quality assessments during remaining dredging activities. Turbidity

monitoring will occur as outlined in the Final River Water Monitoring Plan, and include the use of real time buoys staged upstream and downstream of operations. Considering the small volume dredge areas proposed for 2015, and per the request of NYSDEC and GLNPO, it is proposed to implement a tiered monitoring program for 2015, consisting of an "alert level", and an "action level". Data will be recorded in 15 minute intervals, and utilize rolling 2 hour averages as the driver for implementation of BMPs to manage turbidity generation, if incurred. This two hour value will not be a compliance value, rather an early warning system, to "alert" the site staff and managers about a potential for exceedance in water quality criteria. Upon notification of exceedance of the 2-hour alert level, field crew will immediately investigate the cause of the exceedance, and implement corrective steps, as necessary. Any such exceedance shall also be promptly notified to Honeywell, GLNPO and NYSDEC, along with Anchor QEA's analysis of the situation, and summarizing the investigative/corrective measures undertaken, as appropriate.

Historic data trends indicate that current BMPs through operational restrictions will be sufficient to control the release of contaminants during dredging, if needed. Therefore, for all non-TSCA DMUs dredged under the USACE contract and Honeywell contract, no additional aliquot sampling would occur; existing correlations for TSS would be utilized to determine if dredging operations are meeting NYSDEC guidance values.

The compliance, or formal "action level" shall remain as the 4-hour rolling average value. This is the level, upon exceedance of which, formal regulatory notification and immediate corrective measures will be required.

The proposed modification would be applicable to all non-TSCA dredging under the USACE contract= (applicable to DMUs 1, 2, 5, 6a, 38a, and 101), and the Honeywell contract (applicable to DMUs 9, 10, 17, 18, 19, 27, 28, 29, and 44e). Dredging operations under the USEPA contract for DMU 8b dredging would utilize the originally outlined monitoring approach, unless further modifications are requested at a later date.

REFERENCES

AECOM, 2013. Memorandum, *Buffalo River Turbidity/TSS Correlation*. Buffalo, NY. November 2013.

CH2M, 2013. *Basis of Design Report, Buffalo River Area of Concern, Buffalo, New York. Final Design for Sediment Remediation.* Buffalo, NY. March 2013.

USACE, 2015. Memorandum, *Evaluation of Dissolved Contaminant Releases Resulting from GLLA Cleanup Dredging Work at DMU 6a in the Buffalo Ship Canal*. Buffalo, NY. June 2015.