

Department of Environmental Conservation

BROWNFIELD CLEANUP PROGRAM (BCP) APPLICATION TO AMEND BROWNFIELD CLEANUP AGREEMENT AND AMENDMENT

PART I, BROWNFIELD CLEANUP AGREEMENT AMENDMENT APPLICATION

Check the appropriate box below based on the nature of the amendment modification requested:

✓ Amendment to [check one or more boxes below]

Add Substitute

Change in Name

applicant(s) to the existing Brownfield Cleanup Agreement [Complete Section I-IV below and Part II]

Does this proposed amendment involve a transfer of title to all or part of the brownfield site? Yes No

If yes, pursuant to 6 NYCRR Part 375-1.11(d), a Change of Use form should have been previously submitted. If not, please submit this form with this Amendment. See http://www.dec.ny.gov/chemical/76250.html

Amendment to modify description of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Sections I and V below and Part II]

Amendment to Expand or Reduce property boundaries of the property(les) listed in the existing Brownfield Cleanup Agreement [Complete Section I and V below and Part II]

Sites in Bronx, Kings, New York, Queens, or Richmond counties ONLY: Amendment to request determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit. Please answer questions on the supplement at the end of the form.

Other (explain in detail below)

Please provide a brief narrative on the nature of the amendment:

Legacy LaSalle seeks to amend its BCA with the DEC to:

1. Add Legacy South Campus, L.P. ("Requestor 1") and Legacy UPAL, L.P., ("Requestor 2") as Volunteer-Requestors to the BCA; and

2. Remove an 18' foot parcel of property that runs along the length of nine (9) adjacent residential properties along William Price Parkway (the "Parkway Parcel") from the BCA's definition of "Site."

Please refer to the attached instructions for guidance on filling out this application

July 2015

Please refer to the attached instructions for guidance on filling out this application

04/2014			
Section I. Existing Application I	nforma	ion	
BCP SITE NAME: 89 LaSalle Avenue	e Site	BCPS	TTE NUMBER. C915283
NAME OF CURRENT APPLICANT(S) Lega	acy Las	alle LLC	
INDEX NUMBER OF EXISTING AGREEMENT	C9152	33-05-14 DATE	OF EXISTING AGREEMENT: JUNE 6, 2014
Section II. New Requestor Inform	mation (f no change to Cu	rent Applicant, skip to Section V)
NAME Legacy South Campus,	L.P. ([OS ID No.: 462	8615)
ADDRESS 250 Ramsdell Avenue		<u> </u>	
CITY/TOWN Buffalo, New York			21P CODE 14216
PHONE 716-689-3300	FAX	E-MAIL	ac@legacydev.com
Is the requestor authorized to conduct business in N	lew York Si	e (NYS)?	Yes No
-If the requestor is a Corporation, LLC, LLP or othe name must appear, exactly as given above, in the N the DOS database must be submitted to DEC with	YS Departe	m of State's (DOS) Corporat	IVS Department of State to conduct business in NVS, the requestor's ion & Business Entity Database. A print-out of cutity information from cant is authorized to do business in NVS.
NAME OF NEW REQUESTOR'S REPRESENTA			
ADDRESS 250 Ramsdell Avenu	ie		
CITY/TOWN Buffalo, New York			ZIP CODE 14216
PHONE 716-689-3300	FAX	E-MAIL	ac@legacydev.com
NAME OF NEW REQUESTOR'S CONSULTANT	f (if applicat	±)	
ADDRESS			
CITY/IOWN			zip code
PHONE	FAX	E-MAIL	
NAME OF NEW REQUESTOR'S ATTORNEY (I	applicable)	Myriah V. Jawo	rski, Esq., Phillips Lytle LLP
ADDRESS One Canalside, 125			
CITY/TOWN Buffalo, New York			ZIP CODE 14203
PHONE 716-847-7052	FAX	E-MAIL I	njaworski@phillipslytle.com
	IT IS EITH	R A PARTICIPANT OR VO	LUNTEER IN ACCORDANCE WITH ECL §27-1405 (1) BY
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$			operation of or involvement with the site subsequent to the his box, the requestor certifies that he/she has exercised spect to the contamination found at the facility by taking top any continuing discharge; ii) prevent any threatened future or limit human, environmental, or natural resource exposure to
		24	

Please refer to the attached instructions for guidance on filling out this application

04/2014						
Section I. Existing Application 1	nforma	tion				
BCP SITE NAME: 89 LaSalle Avenu	e Site	:	BCP SITE NUMBER: C915283			
NAME OF CURRENT APPLICANT(S) Legacy LaSalle LLC						
INDEX NUMBER OF EXISTING AGREEMENT	INDEX NUMBER OF EXISTING AGREEMENT: C915283-05-14 DATE OF EXISTING AGREEMENT: JUNE 6, 2014					
Section II. New Requestor Infor	mation (if no change	e to Current Applicant, skip to Section V)			
NAME Legacy UPAL, L.P. (DC	S ID. N	lo.: 46286	17)			
ADDRESS 250 Ramsdell Avenue	<u>,</u>					
CITY/TOWN Buffalo, New York	- <u></u>		21P CODE 14216			
PHONE 716-689-3300	FAX		E-MAIL fac@legacydev.com			
Is the requestor authorized to conduct business in I	New York St	ate (NYS)?	Yes No			
I name over somer exactly as given above in the b	IYS Denarm	ient of State's (DU)	n from the NYS Department of State to conduct business in NYS, the requestor's S) Corporation & Business Entity Database. A print-out of entity information from at the applicant is authorized to do business in NYS.			
NAME OF NEW REQUESTOR'S REPRESENTA						
ADDRESS 250 Ramsdell Avenu	le					
CITY/TOWN Buffalo, New York		· · · · · · · · · · · · · · · · · · ·	21P CODE 14216			
PHONE 716-689-3300	FAX		E-MAIL fac@legacydev.com			
NAME OF NEW REQUESTOR'S CONSULTAN	f (if applicat	tic)				
ADDRESS						
CITY/TOWN			21P CODE			
PHONE	FAX		E-MAR			
NAME OF NEW REQUESTOR'S ATTORNEY (I	l'applicable)	Myriah V.	Jaworski, Esq., Phillips Lytle LLP			
ADDRESS One Canalside, 125	Main S	Street				
CITY/TOWN Buffalo, New York			ZIP CODE 14203			
PHONE 716-847-7052	FAX	2 2 2	E-MAIL mjaworski@phillipslytle.com			
THE NEW REQUESTOR MUST CERTIFY THAT CHECKING ONE OF THE BOXES BELOW:	T IT IS EITH	ER A PARTICIPA	NT OR VOLUNTEER IN ACCORDANCE WITH ECL §27-1405 (1) BY			
	or 2) is unination. result of	as a result of contamination. NOTE: By cl appropriate ca reasonable step release; and iii	ther than a participant, including a requestor whose hability arises solely solvenership, operation of or involvement with the site subsequent to the			
			7 P.			

Section II New Requesto	r Information co	ontinued (if no change to Current Applicant, skip to Section V)
Requestor's Relationship to Property (c		
Prior Owner Current Owner If requestor is not the site owner, requ (Note: proof of site access must be sub	estor will have access to \$	rchaser Other Investors
would be documentation from c	ornorate organization	his Application and Amendment has the authority to bind the Requester. This nal papers, which are updated, showing the authority to bind the corporation, or crating Agreement or Resolution for an LLC.
Describe Requestor's Relationship	to Existing Applicant:	
("Requestor 1"). Legacy to Legacy UPAL, L.P. ("	/ South Campus Requestor 2").	LLC, will be merged into Legacy South Campus, LLC b, LLC will then transfer the Site as a real property contribution Please see Exhibit A for a description of Requestor 1 and ers and required organizational documents.
Section III. Current Prop new existing owner/operation	perty Owner/Op ator information	erator Information (only include if new owner/operator or is provided, and highlight new information)
OWNER'S NAME (if different from re	questor) Legacy	LaSalle, LLC
ADDRESS 250 Ramsdell Aver	nue	
CITY/TOWN Buffalo, New Y	ork	ZIP CODE 14216
PHONE 716-689-3300	Fax	E-MAIL fac@legacydev.com
OPERATOR'S NAME (if different fro	m requestor or owner)	
ADDRESS		
CITY/TOWN	: :	ZIP CODE
PHONE	FAX	E-MAIL
Section IV. Eligibility Inf	formation for Ne	w Requestor (Please refer to ECL § 27-1407 for more detail)
 Are any enforcement actions Is the requestor subject to an Is the requestor subject to an Has the requestor been detern Has the requestor previously Has the requestor been found act involving contaminants? Has the requestor been convi theft, or offense against publ Has the requestor knowingly failse statement in a matter be In the requestor problem of the requestor been convi 	pending against the existing order relatif outstanding claim by mined to have violate been denied entry to I in a civil proceeding cted of a criminal of ic administration? falsified or conceale fore the Department	ing to contamination at the site?

Page 3 of 7

ADDRESS 89 LaSalle Avenue						
CITY/TOWN Buffalo, New York		ZłP C	DDE 14212			
TAX BLOCK AND LOT (TBL) (in existing agr	reement)					
Parcel Address		Parcel No.	Section No.	Block No.	Loi No.	Acreage
89 LaSalle Avenue		79	70	2	11	
71 NY L&W RR		79	70	2	16	<u> </u>
67 LaSalle Avenue	-	79	70	2	18	<u> </u>
Check appropriate boxes below:						
Changes to metes and bounds description	on or TBL correction)n				
Addition of property (may require a star	الارتباد المستقال المراجر فأراها	monding on t	he cize and na	ture of additi	ion - see atta	ched
instructions)	idard application d	ះឯបារពេធិ៍ សា ព	ne 3120 and na			
instructions) Approximate acreage added:	idard application d	сранинд он с	nc 3120 mid no			
Approximate acreage added:	toard application of	Parcel No.	Section No.	Block No.	Lat No.	Acreage
instructions) Approximate acreage added:						
Approximate acreage added:						
Approximate acreage added:	aara application o					
instructions) Approximate acreage added: ADDITIONAL PARCELS: Parcel Address Reduction of property						
instructions) Approximate acreage added: ADDITIONAL PARCELS: Percel Address				Block No.	Lot No.	Acreage
instructions) Approximate acreage added: ADDITIONAL PARCELS: Percet Address Reduction of property Approximate acreage removed: app. 004 PARCELS REMOVED: Percel Address		Parcel No.				
instructions) Approximate acreage added: ADDITIONAL PARCELS: Percet Address Reduction of property Approximate acreage removed: app004 PARCELS REMOVED:		Parcel No.	Section Na.	Block No.	Lot No.	Acreage

If requesting to modify a metes and bounds description or requesting changes to the boundaries of a site, please attach metes and bounds description, survey, or acceptable site map to this application.

PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

BCP SITE NAME: 89 LaSalle Avenue	BCP SITE NUMBER: C915283
NAME OF CURRENT APPLICANT(S): Legacy LaSall	e, LLC
INDEX NUMBER OF EXISTING AGREEMENT: C91528	33-05-14
EFFECTIVE DATE OF EXISTING AGREEMENT: June	4, 2014

Declaration of Amendment:

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

(Individual) I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department. Date:	Statement of Certification and Signatu	res: New Requestor(s) (if applicable)
my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department. Date:Signature:	(Individual)	
Print Name:	my knowledge and belief. I am aware that misdemeanor pursuant to section 210.45 approval for the amendment to the BCA	t any false statement made herein is punishable as a Class A of the Penal Law. My signature below constitutes the requisite
(Entity) I hereby affirm that I am (title <u>general Manager</u>) of (entity <u>legacy Suth (amps)</u>); that I am authorized by that entity to make this application; that this application was prepared by me or under my supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law. <u>My Frank (hinnicid</u> signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department. Date: <u>1445</u> Signature:	Date:Signature:	
I hereby affirm that I am (title <u>general Manager</u>) of (entity <u>legacy Sauth (amps)</u>); that I am authorized by that entity to make this application; that this application was prepared by me or under my supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law. <u>WHATCONK (hinnicid</u> signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department. Date: <u>1145</u> Signature:	Print Name:	
supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.	(Entity)	P
	supervision and direction; and that inform complete to the best of my knowledge and punishable as a Class A misdemeanor punishable as a cl	ation provided on this form and its attachments is true and d belief. I am aware that any false statement made herein is rsuant to Section 210.45 of the Penal Law. by constitutes the requisite approval for the amendment to the pon signature by the Department.
Print Name:	-	ank A Chippini
	Print Name:	and F. Chinnich

PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

Existing Agreement Information	
BCP SITE NAME: 89 LaSalle Avenue	BCP SITE NUMBER: C915283
NAME OF CURRENT APPLICANT(S): Legacy LaSalle, LLC	2
INDEX NUMBER OF EXISTING AGREEMENT: C915283-05-1	4
EFFECTIVE DATE OF EXISTING AGREEMENT: June 4, 2014	L

Declaration of Amendment:

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

	Statement of Certification and Signatures: New Requestor(s) (if applicable)
	(Individual)
	I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.
	Date:Signature:
	Print Name:
	(Entity)
	I hereby affirm that I am (title (general Manager) of (entity Legacy UPAL L.P); that I
	am authorized by that entity to make this application; that this application was prepared by me or under my supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.
5/	ECA Application, which will be effective upon signature by the Department.
	Date:Signature:
	Print Name: Frank A - Chippici

Statement of Certification and Signature applicant must sign)	es: Existing Applicant(s) (an authorized representative of each
(Individual)	
Section I above and that I am aware of this	wnfield Cleanup Agreement and/or Application referenced in a Application for an Amendment to that Agreement and/or as the requisite approval for the amendment to the BCA gnature by the Department.
Date:Signature:	
Print Name:	
(Entity)	
Brownfield Cleanup Agreement and/or App Application for an Amendment to that Agre- below constitutes the requisite approval for upon signature by the Department.	(title) of Legan La Alle (entity) which is a party to the dication referenced in Section I above and that I am aware of this ement and/or Application. Frank Chinnel's signature the amendment to the BCA Application, which will be effective
Date: 16 16 Signature:	de
Print Name: Frank A.C	hinnici

REMAINDER OF THIS AMENDMENT WILL BE COMPLETED SOLELY BY THE DEPARTMENT

Status of Agreement:

owner of the site at the time of the	VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the contamination.
--------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Effective Date of the Original Agreement:

Signature by the Department:

DATED:

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

By:

Robert W. Schick, P.E., Director Division of Environmental Remediation

SUBMITTAL INFORMATION:

Three (3) complete copies are required.

• Two (2) copies, one hard copy with original signatures and one electronic copy in Portable Document Format (PDF) on a CD, must be sent to:

Chief. Site Control Section New York State Department of Environmental Conservation Division of Environmental Remediation 625 Broadway Albany, NY 12233-7020

 One (1) paper copy must be sent to the DEC regional contact in the regional office covering the county in which the site is located. Please check DEC s website for information on our regional offices.

FOR DEPARTMENT USE ONLY		
BCP SITE T&A CODE:	:	LEAD OFFICE:
PROJECT MANAGER:		

Page 7 of 7

·····			
BROWNFIELD CLEANUP PROGRAM (BCP)			
INSTE	UCTIONS FOR COMPLETING A BCA AMENDMENT		
This form must be used to add a pa	arty, modify a property description, or reduce/expand property boundaries for an existing BCP es a standard application to request major changes to the description of the property set forth		
in the BCA (e.g., adding a signifi-	es a standard application to request major changes to the description of algorithm of property can be applied on the description of new property, or adding property that could affect an eligibility ion levels or intended land use). The application must be submitted to DEC in the same on to participate. See Section V.H of <u>DER-32</u> : <u>Brownfield Cleanup Program Applications and</u>		
Agreements for further details.			
SECTION II Requestor Name	NEW REQUESTOR INFORMATION Provide the name of the person(s)/entity requesting participation in the BCP. (If more than one, attach additional sheets with requested information. If an LLC, the members/owners names need to be provided on a separate attachment). The requestor is the person or entity seeking DEC review and approval of the remedial program.		
	If the requestor is a Corporation, LLC, LLP or other entity requiring authorization from the NYS Department of State to conduct business in NYS, the requestor's name must appear, exactly as given above, in the <u>NYS Department of State's Corporation & Business Entity Datahase</u> . A print- out of entity information from the database must be submitted to DEC with the application, to document that the applicant is authorized to do business in NYS.		
Address, etc.	Provide the requestor's mailing address, telephone number; fax number and e-mail address.		
Representative Name	Provide the name of the requestor's authorized representative. This is the person to whom all correspondence, notices, etc will be sent, and who will be listed as the contact person in the BCA.		
Representative Address, etc	Provide the representative's mailing address, telephone number, fax number and e-mail address. Invoices will be sent to the representative unless another contact name and address is provided with the application.		
Consultant Name	Provide the name of the requestor's consultant.		
Consultant address, etc	Provide the mailing address, telephone number, fax number and e-mail address.		
Attorney Name	Provide the name of the requestor's attorney.		
Attorney address, etc	Provide the mailing address, telephone number, fax number and e-mail address.		
Participant/Volunteer Certification	The requestor is required to certify whether it is applying as a "Participant" or a "Volunteer" as defined in Environmental Conservation Law (ECL) 27-1405.1.		
Relationship to Property	The requestor is required to identify its relationship to the property (previous owner, current owner, etc). If the requestor is not the owner, proof of access to the property throughout the BCP project must be provided (e.g. an access agreement).		
SECTION III	CURRENT PROPERTY OWNER/OPERATOR INFORMATION - only include if new owner/operator or new existing owner/operator information is provided, and highlight new		
Owner Name	information in form. Provide the name of the new owner of the property. List <u>all</u> new parties holding an interest in the property.		
Owner Address, ctc	Provide the new owner's mailing address, telephone number, fax number and c-mail address.		
Operator Name	Provide the name of the new operator (if different from the new requestor or owner).		
Operator Address, etc	Provide the new operator's mailing address, telephone number, fax number and e-mail address		
[
	l l		

affirmative It is permissible to re-	NEW REQUESTOR ELIGIBILITY INFORMATION complete and detailed information in response to any eligibility questions answered in the ference specific sections of existing property reports; however, it is requested that such information th multiple addresses or tax parcels, please include this information for each address or tax parcel.
1, Enforcement Action Pending	Are any enforcement actions relating to the proposed brownfield property pending against the requestor?
2. Existing Order	Is the requestor presently subject to an order for the investigation, removal or remediation of the contamination at the property?
3. Outstanding Spill Fund Claim	Is the requestor subject to an outstanding claim by the Spill Fund? Any questions regarding whether a party is subject to a spill claim should be discussed with the Spill Fund Administrator.
4. Violation of ECL Article 27	Has the requestor been determined in an administrative, civil or criminal proceeding to be in violation of i) any provision of the subject law; ii) any related order or determination; iii) any regulation implementing Title 14; or iv) any similar statute, regulation of the state or federal government? If so, provide an explanation on a separate attachment.
5. Previous BCP Denial	Has the requestor previously applied for and been denied entry into the BCP? If so, include information relative to the application, such as name, address, Department assigned site number, the reason for denial, and other relevant information.
6. Negligent/Intentionally Tortious Act	Has the requestor been found in a civil proceeding to have committed a negligent or intentionally tortious act involving the handling, storing, treating, disposing or transporting of contaminants?
7. Criminal Convictions	Has the requestor been convicted of a criminal offense i) involving the handling, storing, treating, disposing or transporting of contaminants; or ii) that involves a violent felony, fraud, bribery, perjury, theft, or offense against public administration (as that term is used in Article 195 of the Penal Law) under federal law or the laws of any state?
8. False Statements	Has the requestor knowingly falsified statements or concealed material facts in any matter within the jurisdiction of the Department, or submitted a false statement or made use of or made a false statement in connection with any document or application submitted to the Department?
9. BCP Application Denial	Is the requestor an individual or entity of the type set forth in ECL 27-1407.8(f) that committed an act or failed to act, and such act or failure to act could be the basis for denial of a BCP application?
SECTION V	PROPERTY DESCRIPTION AND DESCRIPTION OF CHANGES / ADDITIONS / REDUCTIONS (IF APPLICABLE)
adding a significant amount of new	plication to request major changes to the description of the property set forth in the BCA (e.g., property, or adding property that could affect an eligibility determination due to contamination pplication must be submitted to DEC in the same manner as the original application to participate. <u>alield Cleanup Program Applications and Agreements</u> for further details.
Property Address	Provide a street address, city/town, and zip code. For properties with multiple addresses, provide information for all.
Tax Parcel Information	Provide the tax parcel/section/block/lot information. If requesting to modify a metes and bounds description or requesting changes to the boundaries of a site, please attach a revised metes and bounds description, survey, and/or acceptable site map to this application. Tax map information may be obtained from the tax assessor's office for all tax parcels that are included in the property boundaries. Attach a county tax map with identifier numbers, along with any figures needed to show the location and boundaries of the property. Include a USGS 7.5 minute quad map on which the property appears.
L	
	2

EXHIBIT A

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on August 29, 2014.

Duting Siardina

Anthony Giardina Executive Deputy Secretary of State

Rev. 06/13

140828000396

CERTIFICATE OF LIMITED PARTNERSHIP

OF

LEGACY UPAL, L.P.

Under Section 121-201 of the Revised Limited Partnership Act

FIRST: The name of the limited partnership is:

LEGACY UPAL, L.P.

SECOND: The county within this state in which the office of the limited partnership is to be located is:

ERIE

THIRD: The Secretary of State if designated as agent of the limited partnership upon whom process against it may be served. The address to which the Secretary of State shall forward copies of process accepted on behalf of the limited partnership is:

LEGACY UPAL, L.P., 250 Ramsdell Ave., Buffalo, NY 14216

FOURTH: The name and business or residence street address of each general partner is:

UPAL, LLC, 250 Ramsdell Ave., Buffalo, NY 14216

FIFTH: The latest date on which the limited partnership is to dissolve is:

December 31, 2099

140828000396

UPAL, LLC By:

Frank A. Chinnici, Manager

396

CERTIFICATE OF LIMITED PARTNERSHIP

OF

LEGACY UPAL, L.P.

Under Section 121-201 of the Revised Limited Partnership Act

Filed by:

The Garas Law Firm, LLP438 Main St., Suite 201Buffalo, NY 14202

2014 AUG 28 PH 3: 1 STATE OF NEW YORK DEPARTMENT OF STATE AUG 28 2014 FILED () TAX \$ BY:

6

RECEIVED 2014 AUG 28 AM 11:02

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through April 23, 2015.

Selected Entity Name: LEGACY UPAL, L.P.
Selected Entity Status InformationCurrent Entity Name:LEGACY UPAL, L.P.DOS ID #:4628617Initial DOS Filing Date:AUGUST 28, 2014County:ERIEJurisdiction:NEW YORKEntity Type:DOMESTIC LIMITED PARTNERSHIPCurrent Entity Status:ACTIVE

Selected Entity Address Information DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

http://appext20.dos.nv.gov/corp_public/CORPSEARCH.ENTIT... 4/24/2015

LEGACY UPAL, L.P. 250 RAMSDELL AVE BUFFALO, NEW YORK, 14216

Registered Agent

NONE

*Stock Information

of SharesType of Stock\$ Value per ShareNo Information Available

*Stock information is applicable to domestic business corporations.

Name History

Filing DateName TypeEntity NameAUG 28, 2014 ActualLEGACY UPAL, L.P.

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

Search Results New Search

<u>Services/Programs</u> | <u>Privacy Policy</u> | <u>Accessibility</u> <u>Policy</u> | <u>Disclaimer</u> | <u>Return to DOS Homepage</u> | <u>Contact Us</u>

UNANIMOUS WRITTEN CONSENT OF SOLE GENERAL PARTNER AND LIMITED PARTNERS OF LEGACY UPAL, L.P.

The undersigned, being all of the limited partners and the sole general partner of Legacy UPAL, L.P. (the "Partnership") hereby adopt the following resolutions as and for the resolutions of the Partnership in the place and in the stead of a special meeting of the limited and general partners of the Partnership:

WHEREAS, Legacy LaSalle, LLC is a party to the Legacy LaSalle Avenue Site Brownfields Cleanup Agreement (DEC Index No. C915283-05-14 (the "BCA") which governs the remediation and redevelopment of the Legacy LaSalle Avenue Site, located at 89 LaSalle Avenue, Buffalo, NY (the "Site"); and

WHEREAS, the Partnership has an interest in the Site such that it is in the best interests of the Partnership to become a party to the BCA; and

WHEREAS, the undersigned have been presented with a Brownfield Cleanup Agreement Amendment Application pursuant to which (1) the Partnership requests to become a party to the BCA, (2) Legacy South Campus, L.P. also requests to become a party to the BCA, and (3) certain premises are requested to be removed from the BCA's definition of "Site" (the "BCA Amendment").

IT IS HEREBY:

RESOLVED, that the BCA Amendment is approved; and be it further

RESOLVED, that Frank A. Chinnici, in his capacity as the manager of UPAL, LLC, acting as the general partner of the Partnership is hereby authorized, empowered and directed to execute and deliver the BCA Amendment and all amendments thereto and to do all such other and further acts and things as in his sole opinion may be necessary to authorize the BCA Amendment for and on behalf of the Partnership and to cause the Partnership to become a party to the BCA Amendment in the manner contemplated therein; and be it further

RESOLVED, that the execution and delivery of the BCA Amendment and all amendments thereto, by Frank A. Chinnici, in his capacity as the manager of UPAL, LLC acting as the general partner of the Partnership, shall be sufficient to bind the Partnership thereto without the necessity of any further signature, act or action by any other person.

THE THREE HILL FAMILY LLL LLC (Limited Partner)

By:

Dated: May <u>8</u>, 2015

Robert Hill, Manager

LEGACY SOUTH CAMPUS, L.P. (Limited Partner) By: UPAL, LLC (General Partner)

By: Frank A. Chinnici, Manager

Dated: May 8, 2015

UPAL, LLC (General Partner) By:

Dated: May 8, 2015

Frank A. Chinnici, Manager

UNANIMOUS WRITTEN CONSENT OF SOLE GENERAL PARTNER AND LIMITED PARTNERS OF LEGACY UPAL, L.P.

The undersigned, being all of the limited partners and the sole general partner of Legacy UPAL, L.P. (the "Partnership") hereby adopt the following resolutions as and for the resolutions of the Partnership in the place and in the stead of a special meeting of the limited and general partners of the Partnership:

WHEREAS, Legacy LaSalle, LLC is a party to the Legacy LaSalle Avenue Site Brownfields Cleanup Agreement (DEC Index No. C915283-05-14 (the "BCA") which governs the remediation and redevelopment of the Legacy LaSalle Avenue Site, located at 89 LaSalle Avenue, Buffalo, NY (the "Site"); and

WHEREAS, the Partnership has an interest in the Site such that it is in the best interests of the Partnership to become a party to the BCA; and

WHEREAS, the undersigned have been presented with a Brownfield Cleanup Agreement Amendment Application pursuant to which (1) the Partnership requests to become a party to the BCA, (2) Legacy South Campus, L.P. also requests to become a party to the BCA, and (3) certain premises are requested to be removed from the BCA's definition of "Site" (the "BCA Amendment").

IT IS HEREBY:

RESOLVED, that the BCA Amendment is approved; and be it further

RESOLVED, that Frank A. Chinnici, in his capacity as the manager of UPAL, LLC, acting as the general partner of the Partnership is hereby authorized, empowered and directed to execute and deliver the BCA Amendment and all amendments thereto and to do all such other and further acts and things as in his sole opinion may be necessary to authorize the BCA Amendment for and on behalf of the Partnership and to cause the Partnership to become a party to the BCA Amendment in the manner contemplated therein; and be it further

RESOLVED, that the execution and delivery of the BCA Amendment and all amendments thereto, by Frank A. Chinnici, in his capacity as the manager of UPAL, LLC acting as the general partner of the Partnership, shall be sufficient to bind the Partnership thereto without the necessity of any further signature, act or action by any other person.

FAMIL THE imited Part Dated: May 8, 2015 By: Robert Hill, Manager

LEGACY SOUTH CAMPUS, L.P. (Limited Partner) By: UPAL, LLC (General Partner)

By: _____ Dated: May __, 2015 Frank A. Chinnici, Manager

UPAL, LLC (General Partner)

By: _____ Dated: May ___, 2015

Frank A. Chinnici, Manager

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on August 29, 2014.

buting Siardina

Anthony Giardina Executive Deputy Secretary of State

Rev. 06/13

140828000 CERTIFICATE OF LIMITED PARTNERSHIP

Fax:

OF

LEGACY SOUTH CAMPUS, L.P.

Under Section 121-201 of the Revised Limited Partnership Act

FIRST: The name of the limited partnership is:

LEGACY SOUTH CAMPUS, L.P.

SECOND: The county within this state in which the office of the limited partnership is to be located is:

· ERIE

THIRD: The Secretary of State if designated as agent of the limited partnership upon whom process against it may be served. The address to which the Secretary of State shall forward copies of process accepted on behalf of the limited partnership is:

LEGACY SOUTH CAMPUS, L.P., 250 Ramsdell Ave., Buffalo, NY 14216

FOURTH: The name and business or residence street address of each general partner is:

UPAL, LLC, 250 Ramsdell Ave., Buffalo, NY 14216

FIFTH: The latest date on which the limited partnership is to dissolve is:

December 31, 2099

UPAL, LLC Bv:

Frank A, Chinnici, Manager

140828000392

39

CERTIFICATE OF LIMITED PARTNERSHIP

OF

LEGACY SOUTH CAMPUS, L.P.

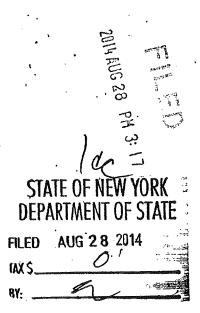
Under Section 121-201 of the Revised Limited Partnership Act

Filed by:

2014 AUG 28 AH 11: 02

RECEIVED

The Garas Law Firm, LLP 438 Main St., Suite 201 Buffalo, NY 14202



11.0

Fax:

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through April 23, 2015.

Selected Entity Name: LEGACY SOUTH CAMPUS, L.P.
Selected Entity Status InformationCurrent Entity Name:LEGACY SOUTH CAMPUS, L.P.DOS ID #:4628615Initial DOS Filing Date:AUGUST 28, 2014County:ERIEJurisdiction:NEW YORKEntity Type:DOMESTIC LIMITED PARTNERSHIPCurrent Entity Status:ACTIVE

Selected Entity Address Information DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

http://appext20.dos.nv.gov/corp public/CORPSEARCH.ENTIT... 4/24/2015

LEGACY SOUTH CAMPUS, L.P. 250 RAMSDELL AVE BUFFALO, NEW YORK, 14216

Registered Agent

NONE

*Stock Information

of Shares Type of Stock \$ Value per Share No Information Available

*Stock information is applicable to domestic business corporations.

Name History

Filing DateName TypeEntity NameAUG 28, 2014 ActualLEGACY SOUTH CAMPUS, L.P.

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

Search Results New Search

<u>Services/Programs</u> | <u>Privacy Policy</u> | <u>Accessibility</u> <u>Policy</u> | <u>Disclaimer</u> | <u>Return to DOS Homepage</u> | <u>Contact Us</u>

UNANIMOUS WRITTEN CONSENT OF SOLE GENERAL PARTNER AND LIMITED PARTNERS OF LEGACY SOUTH CAMPUS, L.P.

The undersigned, being all of the limited partners and the sole general partner of Legacy South Campus, L.P. (the "Partnership") hereby adopt the following resolutions as and for the resolutions of the Partnership in the place and in the stead of a special meeting of the limited and general partners of the Partnership:

WHEREAS, Legacy LaSalle, LLC is a party to the Legacy LaSalle Avenue Site Brownfields Cleanup Agreement (DEC Index No. C915283-05-14 (the "BCA") which governs the remediation and redevelopment of the Legacy LaSalle Avenue Site, located at 89 LaSalle Avenue, Buffalo, NY (the "Site"); and

WHEREAS, the Partnership has an interest in the Site such that it is in the best interests of the Partnership to become a party to the BCA; and

WHEREAS, the undersigned have been presented with a Brownfield Cleanup Agreement Amendment Application pursuant to which (1) the Partnership requests to become a party to the BCA, (2) Legacy UPAL, L.P. also requests to become a party to the BCA, and (3) certain premises are requested to be removed from the BCA's definition of "Site" (the "BCA Amendment").

IT IS HEREBY:

RESOLVED, that the BCA Amendment is approved; and be it further

RESOLVED, that Frank A. Chinnici, in his capacity as the manager of UPAL, LLC, acting as the general partner of the Partnership is hereby authorized, empowered and directed to execute and deliver the BCA Amendment and all amendments thereto and to do all such other and further acts and things as in his sole opinion may be necessary to authorize the BCA Amendment for and on behalf of the Partnership and to cause the Partnership to become a party to the BCA Amendment in the manner contemplated therein; and be it further

RESOLVED, that the execution and delivery of the BCA Amendment and all amendments thereto, by Frank A. Chinnici, in his capacity as the manager of UPAL, LLC acting as the general partner of the Partnership, shall be sufficient to bind the Partnership thereto without the necessity of any further signature, act or action by any other person.

Frank A. Chinnici (Limited Partner)

Dated: May , 2015

Dated: May / , 2015

Frank Egan (Emited Partner)

UPAL, LLC (General Partner)

By: _

Frank A. Chinnici, Manager

Dated: May ____, 2015

UNANIMOUS WRITTEN CONSENT OF SOLE GENERAL PARTNER AND LIMITED PARTNERS OF LEGACY SOUTH CAMPUS, L.P.

The undersigned, being all of the limited partners and the sole general partner of Legacy South Campus, L.P. (the "Partnership") hereby adopt the following resolutions as and for the resolutions of the Partnership in the place and in the stead of a special meeting of the limited and general partners of the Partnership:

WHEREAS, Legacy LaSalle, LLC is a party to the Legacy LaSalle Avenue Site Brownfields Cleanup Agreement (DEC Index No. C915283-05-14 (the "BCA") which governs the remediation and redevelopment of the Legacy LaSalle Avenue Site, located at 89 LaSalle Avenue, Buffalo, NY (the "Site"); and

WHEREAS, the Partnership has an interest in the Site such that it is in the best interests of the Partnership to become a party to the BCA; and

WHEREAS, the undersigned have been presented with a Brownfield Cleanup Agreement Amendment Application pursuant to which (1) the Partnership requests to become a party to the BCA, (2) Legacy UPAL, L.P. also requests to become a party to the BCA, and (3) certain premises are requested to be removed from the BCA's definition of "Site" (the "BCA Amendment").

IT IS HEREBY:

RESOLVED, that the BCA Amendment is approved; and be it further

RESOLVED, that Frank A. Chinnici, in his capacity as the manager of UPAL, LLC, acting as the general partner of the Partnership is hereby authorized, empowered and directed to execute and deliver the BCA Amendment and all amendments thereto and to do all such other and further acts and things as in his sole opinion may be necessary to authorize the BCA Amendment for and on behalf of the Partnership and to cause the Partnership to become a party to the BCA Amendment in the manner contemplated therein; and be it further

RESOLVED, that the execution and delivery of the BCA Amendment and all amendments thereto, by Frank A. Chinnici, in his capacity as the manager of UPAL, LLC acting as the general partner of the Partnership, shall be sufficient to bind the Partnership thereto without the necessity of any further signature, act or action by any other person.

Frank A. Chinnici (Limited Partner)

Dated: May 8, 2015

Frank Egan (Limited Partner)

Dated: May , 2015

UPAL, LLC (General Partner)

Frank A. Chinnici, Manager

Dated: May 8, 2015

EXHIBIT B



Form of Access Indemnification and Insurance Agreement

May 7, 2014

City of Buffalo Office of Strategic Planning Christie R. Nelson Director of Real Estate 65 Niagara Square, Rm. 905 City Hall Buffalo, New York 14202

RE: Access to 71 NYL and W RR ("Property"), Buffalo, New York for the purpose of investigating the Property in preparation for construction of a residential community ("Project") and the undersigned's indemnification of the City of Buffalo ("City") generally in connection with the Project at the Property and the undersigned's indemnification of the City of Buffalo in connection with related work to be performed in and around the Property.

Dear Ms. Nelson:

Legacy LaSalle LLC (the "Contractor") must perform an environmental investigation ("Due Diligence") at the above listed Property in the City and is requesting access to the Property for this purpose. The City is willing to allow use of the Property for this purpose, on the condition that the Contractor provides the City with an indemnification and with evidence of certain types of insurance Coverage.

In connection with the Project, the Contractor shall and does hereby indemnify and save harmless (and agrees to defend) the City, its officers and employees from all claims, suits, actions, damages, losses and costs of every name and description to which the City may be subjected or put by reason of injury to the person or property of another, or the property of the City, resulting in any degree or manner whatsoever from or in connection with the Contractor's activities and/or in connection with the Contractor's Project related activities and/or resulting, from the negligence or carelessness, active or passive, of the Contractor, or the joint negligence, active or passive of the Contractor and others, or of the Contractor and his, its, or their employees, agents, or sub-contractors, in the performance of the Project and/or in connection with the Project or in connection with the delivery and/or installation and operation of equipment, materials and/or supplies or otherwise. This indemnification is without limit.

> 250 Ramsdell Ave + Buffalo NY 14216 Tel: 716-689-3300 Fax: 716-639-0893 www.LegacyDev.com

Contractor shall and does hereby agree to defend, indemnify and hold harmless the City, its and their agents, officers, servants and/or employees for all claims or losses for personal injury involving employees of the Contractor or persons working for the Contractor or any subcontractor or supplier or any third parties injured during the performance of the Project, events related to the Project, or under this contract.

This letter agreement and the effectuation of the indemnification hereunder is intended to be accompanied and facilitated by the Contractor's provision to the City of evidence of various types of insurance coverage as set forth below.

This letter of agreement shall be voided and of no effect unless the Contractor shall provide the City with proof of Workman's Compensation Insurance for the benefit of, and keep insured during the life of the Project work, such employees as are necessary to be insured in compliance with the provisions of the Workmen's Compensation Law of the State of New York on the New York form. The Contractor shall also provide evidence of disability insurance coverage on the New York form.

In furtherance of the foregoing, the Contractor shall also provide evidence of general liability, automobile¹, disability and worker's compensation insurance coverage naming City as an additional insured in the case of general liability and automobile liability insurance (specifying the scope of the allowed access and work objective) and as certificate holder for each type of insurance coverage. Said insurance coverage shall be maintained for the duration of this Agreement in an amount of not less than One Million Dollars (\$1,000,000.00) for injuries or death sustained by two or more persons in any one accident and not less than Three Hundred Thousand Dollars (\$300,000.00) for property damage; to protect City, its agents and employees from any and all claims and damages for personal injuries, or death, or from damage to any property owned by City of land or property otherwise privately or publicly owned, arising from any cause resulting from or proximately caused by Developer's, its agents, consultants or contractors entrance upon and/or work performed by or for Developer pursuant to this Section.

The Contractor shall furnish appropriate certificates of insurance at the time of execution of this letter agreement.

The City shall be named as certificate holder and as an additional insured under the general liability coverage (with the Project being described in the description of operations box of the certificate of insurance) including a reference to the Project the automobile liability insurance coverage (any auto) as well as under any excess/umbrella liability coverage. The City shall be named as certificate holder on a certificate of insurance (on the New York form) evidencing worker's compensation insurance coverage and disability insurance (on the New York form).

The Contractor shall not look to the City for any additional payment whatsoever in connection with the Project. Notwithstanding anything contained herein to the contrary, the Contractor shall

¹ Legacy LaSalle LLC does not own any automobiles and Legacy LaSalle LLC or its employees will not enter the Property via automobile. As such, no automobile liability coverage or related documentation will be provided.

not access the Project site property for any purpose unless the City shall have issued a written notice to proceed and an employee of the Office of Strategic Planning, Division of Real Estate must meet the Contractor at the site and open the doors for access. City reserves the right to terminate the access in the City's sole discretion at any time and the Contractor shall have no recourse to the City in such event.

The Contractor agrees to restore the property to as good a condition as the Contractor found it and agrees to clean up the Project site after completion of the Project, if necessary.

(Signature of Authorized Personnel on Behalf of Contractor)

By: Frank⁷

5-7-14 Date

Accepted and agreed to by the City of Buffalo through its Office of Strategic Planning

By: Christie R. Nelson, Director of Real Estate

5-13-14 Date

Doc #01-2774638.1

AS TO FORM ONLY

Corportinon Counsel britten Counsel By

EXHIBIT C

<u>Exhibit C</u>

Legacy LaSalle LLC - 89 LaSalle Avenue Site Application to Amend BCA Summary of Tax Parcels currently comprising the Site

Parcel	Current Owner	County SBL	Notes
67 LaSalle	Legacy LaSalle LLC	79.70-2-18	
89 LaSalle	Legacy LaSalle LLC Legacy LaSalle LLC	79.70-2-11 79.20-2-17	
71 NY L&W RR	City of Buffalo	79.70-2-16.11	Legacy has designated developer status for this parcel from the City of Buffalo, and is in the process of purchasing this parcel from the City of Buffalo.

Summary of Tax Parcels from which 18' foot portion is to be removed pursuant to Application to Amend BCA

Parcel	Current Owner	County SBL	Notes
89 LaSalle	Legacy LaSalle LLC	79.20-2-17	Specifically, the portion of this tax parcel which abut Lots 8-13 on William Price Parkway.
71 NY L&W RR	City of Buffalo	79.70-2-16.11	Specifically, the portion of this tax parcel which abuts Lots14-16 on William Price Parkway.

For a visual representation of the 18' foot portion that Legacy LaSalle proposes to remove from the Legacy LaSalle BCA, please refer to Exhibit D to Application to Amend Legacy LaSalle Avenue Site Brownfield Cleanup Agreement.

Suggested Legal Description of Removed Portion

ALL THAT TRACT OR PARCEL OF LAND situate in the City of Buffalo, County of Erie, State of New York, and being part of Lot 46, Township 11, Range 8 of the Holland Land Company Survey (so-

called), bounded and described as follows:

BEGINNING AT the northeast corner of Subdivision Lot 8 as shown on a map for Main-Lasalle Place, Ph. 1 Subdivision as filed in the Erie County Clerk's Office under Map Cover No. 3051;

RUNNING THENCE: Easterly, along the easterly extension of the north line of Subdivision Lot 8, a distance of 18.01 feet to a point;

RUNNING THENCE: S-19E-11'-13"-E, parallel with the east line of said Main-Lasalle Place, Ph. 1 Subdivision, a distance of 356.19 feet to an angle point therein:

RUNNING THENCE: S-34E-43'-47"-E, parallel with the east line of said Main-Lasalle Place, Ph. 1 Subdivision, a distance of 50.96 feet to an angle point therein;

RUNNING THENCE: S-48E-25'-21"-E, parallel with the east line of said Main -Lasalle Place, Ph. I Subdivision, a distance of 46.91 feet to an angle point therein;

RUNNING THENCE: S-60E-59'-45"-E, parallel with the east line of said Main-Lasalle Place, Ph. 1 Subdivision, a distance of 56.49 feet to an angle point;

RUNNING THENCE: S-28E-00'-01"-W, a distance of 18.0 feet to the southeast corner of Subdivision Lot 16 as shown on a map for Main-Lasalle Place, Ph. 1 Subdivision;

RUNNING THENCE: The following four (4) courses and distances along the east line of said Main-

Lasalle Place, Ph. 1 Subdivision:

1) N-60E-59'-45"-W, a distance of 58.79 feet to a point;

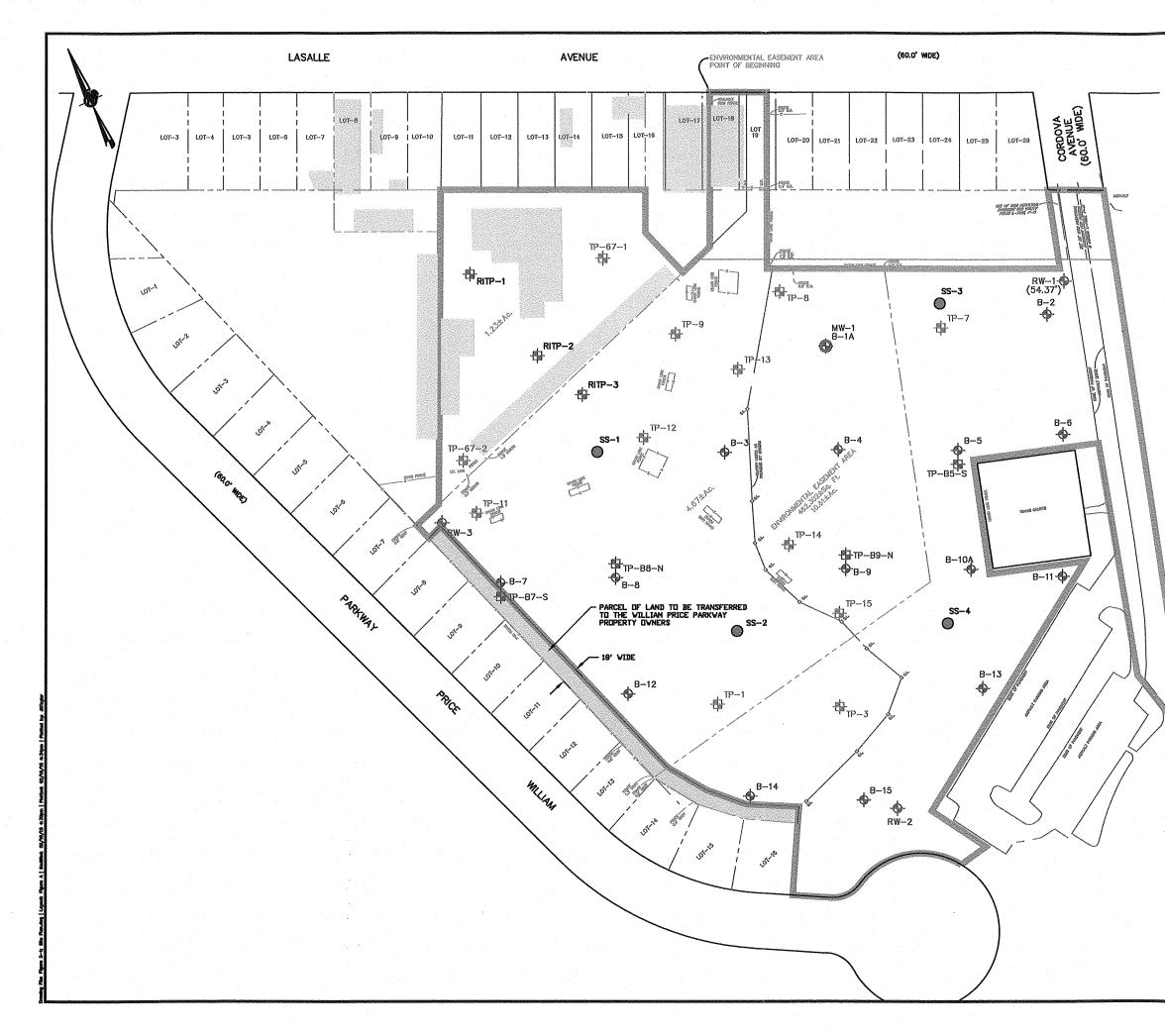
2) N-48E-25'-21"-W, a distance of 51.05 feet to a point;

3) N-34E-43'-47"-W, a distance of 55.58 feet to a point;

4) N-19E-11'-13"-W, a distance of 358.10 feet to the POINT OR PLACE OF BEGINNING, be the same, more or less.

SUBJECT to easements, rights-of-way and restrictions of record.

EXHIBIT D



LEGEND

	BCP SITE BOUNDARY
	PROPOSED REVISED BCP BOUNDARY
wighting way with without	PARCEL BOUNDARY
X	FENCING
-B-9	3/7/13 TEST PIT LOCATION
TP-67-1	8/6/13 TEST PIT LOCATION
RITP-2	RI TEST PIT LOCATION
	RI BORING SAMPLE LOCATION
MW-1	RI MONITORING WELL LOCATION
-a-0-SS-1	RI SURFACE SOIL SAMPLE LOCATION

NOTES

1.) ALL TEST PIT AND BORING LOCATIONS ARE APPROXIMATE.

REFERENCE

1.) BASE MAP FROM DIGITAL FILE PROVIDED BY MCINTOSH & MCINTOSH, P.C., LOCKPORT, NEW YORK, ENTITLED "SURVEY OF PART OF LOT-48, TWP.-11, R.-8, HOLLAND PURCHASE," DATED MARCH 20, 2012.

			50 SCA	le LE	0	50 FEET				
									 .	1
REV	DATE	DES		REVISION	DESCRIPTI	ON		CADD	СНК	RVW
-			L INVESTIG							>
TITLE	V	VILI	LIAM PRIC REMED 89 LASALI	IAL	WO	RK PL	AN	CEI		
	Æ	E.		PROJECT	No.		FILE No.			
			-	DESIGN	JGT	2/18/15	SCALE /	s show	IN REV	<i>l</i> . 0
		ĒG	older	CADD	JGT	2/18/15				
	LI.	Ass	ociates	CHECK			l Fl	GUF	RΕ ΄	1
F .		Buffa	lo. New York	REMEW					-	-



April 15, 2015

Project No. 1400657

Mr. Frank A. Chinnici Legacy Development 250 Ramsdell Avenue Buffalo New York 14216

RE: WILLIAM PRICE RESIDENCE BACKYARD PARCELS ADJACENT TO 89 LASALLE AVE BROWNFIELD CLEANUP SITE REVISED PROPOSED REMEDIAL WORK PLAN (APRIL 2015)

Dear Mr. Chinnici:

As requested, Golder Associates (Golder) has prepared this proposed Work Plan for enhanced remedial activities proposed on an eighteen (18) foot wide parcel of land ("Land") currently owned by Legacy LaSalle LLC ("Legacy") and running the length of adjacent residential properties located at 72, 78, 84, 90, 96, 102, 108, 118 and 126 William Price Parkway ("WPP Parcels") in the City of Buffalo, New York. Pursuant to a Contract for Sale of Land for Private Development between the City of Buffalo and Legacy, after remediating the Land, Legacy must grant each of the owners of the WPP Parcels an exclusive use easement on the Land which will be used by the owners of the WPP Parcels to enlarge their backyards. The Land is currently included as a contiguous portion on the western side of the approved Brownfield Cleanup Agreement parcel for Site C915283 ("BCA Site"). Figure 1 (attached) highlights the location of the Land relative to the BCA Site and the WPP Parcels.

After discussions with the City of Buffalo, Legacy has proposed an outright unrestricted transfer of the Land to the owners of the WPP Parcels rather than an exclusive use easement with the Land continuing to be owned by Legacy. In order to effectuate this unrestricted transfer of the Land to the owners of the WPP Parcels, the Land will have to be removed from the BCA Site. Legacy is proposing to do so and conduct enhanced remedial measures which go beyond the scope of remedial activities under consideration for the BCA Site. This Work Plan was prepared to provide a detailed description of the proposed remedial measures that Legacy will undertake on the Land prior to their transfer of the Land to the nine adjacent property owners of the WPP Parcels. The proposed remedial approach has specifically been developed to achieve a higher level of cleanup than required for the adjacent restricted residential cleanup track (Track 4) that is in the process of being approved by the New York State Department of Environmental Conservation ("NYSDEC") for the BCA Site under the Brownfield Cleanup Program ("BCP").

1.0 REMEDIAL INVESTIGATION BACKGOUND

As required under the BCP, Legacy has conducted a Remedial Investigation ("RI") of the BCA Site (inclusive of the Land). The initial RI performed in June 2014 included collection of soil samples from three (3) boring locations (B-7, B-12 and B-14) that were proximate to but not within the footprint of the Land (refer to Figure 1).

Table 1 summarizes the compounds at the three boring locations proximate to the Land that exceeded the NYSDEC's Restricted Residential Soil Cleanup Objectives ("SCOs") which were used as the evaluation criteria during completion of the RI-Alternatives Analysis Report for the proposed remedial approach (i.e., Track 4, Restricted Residential) for the BCA Site. At the three sample locations the contaminants summarized in Table 1 below were detected above the Restricted Residential and



Golder Associates: Operations in Africa, Asia, Australasia, Europe, North America and South America

Golder, Golder Associates and the GA globe design are trademarks of Golder Associates Corporation

Mr. Frank A. Chinnici		Revised April 15, 2015
Legacy LaSalle LLC	2	1400657

Unrestricted Soil Cleanup Objectives ("SCOs"). For comparison purposes, the Unrestricted Use SCOs [Part 375, Table 375-6.8(a)] are provided in Table 1.

Boring Location	Compound	Sample Concentration (PPM)	Residential Soil Cleanup Objective [6NYCRR Part 375, Table 375-6.8(b)]	Unrestricted Soil Cleanup Objective [6NYCRR Part 375, Table 375-6.8(a)]
B-7	Lead	4220	400	63
B-12	Benzo[a]anthracene Benzo(b)flouranthene Benzo(k)fluoranthene Chrysene Indeno[1,2,3-cd]pyrene	1.3 1.2 1.1 1.5 1.0	1 1 1 1 0.5	1 1 0.8 1 0.5
B-14	Lead	605	400	63

TABLE 1

Based on the high concentration of lead detected at the boring B-7 location during the initial June 2014 investigation, a supplemental test pit investigation was performed in November 2014. Four test pits were performed in each compass direction oriented around the boring location B-7. Field instrument screening was used to select two additional samples for analysis of lead where the instrumentation indicated the highest readings were obtained. The samples were collected from the south test pit at depths of 0-2 feet and 2-4 feet below grade surface. The results for both samples were below the restricted residential SCO for lead of 400 ppm which was the SCO criteria used for evaluation of the BCA Site impacts and no further investigation was performed at this location.

Since the concentrations of contaminants detected at Boring locations B-12 and B-14 were only marginally above the restricted residential SCOs, further investigation of these areas was not requested by the NYSDEWC or performed beyond the initial borings.

2.0 PROPOSED SCOPE OF WORK

The proposed scope of work presented here is intended to achieve a level of remediation consistent with planned future backyard residential use of the Land and will be more extensive than the proposed remedy required on the adjacent BCA Site.

Golder Associates will perform oversight and documentation of all work performed to ensure that the requirements of this Work Plan have been achieved during the performance of the work.

The following tasks will be performed for the parcel remediation:

- A Site-specific Health and Safety Plan will be prepared prior to commencement of the remedial activities that will identify all safety related measures to be implemented and observed during performance of the work;
- Excavation and removal of all soil/fill materials to a depth of four (4) feet across the entire area of the Land (refer to the shaded area on Figure 1). All excavated soil/fill will be either a) redistributed to the larger BCA Site as a function of its site grading work or b) placed directly into trucks or roll-off boxes for transfer to an approved and permitted off-site disposal facility if the material is in excess of that needed to balance the BCA Site. It is anticipated based on the supplemental test pit investigation findings that the excavation at the north end of the Land will encounter bedrock prior to reaching 4 feet in total depth and in that case all soil/fill will be removed to bedrock. As the excavation progresses



south and the soil/fill depth increases, the 4 foot maximum excavation depth will be maintained;

- If direct loading of excavated materials into trucks or roll-off boxes cannot be performed for any reason, the excavated soil/fill will be staged/stockpiled on a layer of heavy duty poly sheeting and bermed/anchored as necessary to capture and contain excavation spoils. As soon as feasible these stockpiled materials will be transferred to vehicles for off-site disposal.
- All excavated materials will be observed by Golder during removal for visual or olfactory evidence of impacts associated with contaminants (e.g., staining, organic odors, etc.). If any evidence of contamination is observed, a Golder representative will direct these spoils to be placed in a separate, segregated stockpile on 40-mil polyethylene sheeting. A Golder representative will collect samples of these spoils for analysis and waste profiling/disposal purposes. Segregated impacted spoils will be covered with poly sheeting until permitted disposal has been arranged based on the results of sample analysis and completion of waste profiling activities. Disposal arrangements and manifesting will be performed by Legacy, if necessary;
- Upon completion of the excavation, composite soil samples will be collected from the floor of the excavation on each of the nine parcels unless all soil/fill has been removed to bedrock at a parcel because bedrock is less than four feet below grade at those locations sampling will not be performed. The samples will be analyzed for TCL semi-volatile organic compounds (SVOCs) and lead TAL metals. The samples will document the residual concentrations remaining prior to the backfilling of the excavation;
- If any of the individual parcel test results reveal exceedances of the DEC's residential cleanup standards, a notice will be placed on the deed for that particular parcel that the site has been remediated to a depth of four feet; that there is a geotextile fabric demarcating the depth of remediation; that post-remedial tests revealed that the soil below the geotextile fabric exceeds DEC residential cleanup standards and that in the event that the parcel owner excavates on the parcel below a depth of four feet, appropriate soil management, handling and disposal will be required;
- At the conclusion of sampling, a layer of 6 ounce geotextile fabric (or equivalent) will be placed across the bottom of the excavation to act as a demarcation layer between the final subgrade and the clean backfill. The geotextile will not be placed on top of bedrock if the bottom of the excavation encounters bedrock prior at depths less than four feet below grade;
- Backfilling of the excavation will be done with clean imported backfill. All imported soils will meet the backfill and cover soil quality standards for imported soils established in 6NYCRR 375-6.7(d). For this Site where residential use is the planned and anticipated future use, imported backfill will meet lower of the protection of groundwater or protection of public health for residential soil cleanup objectives as set forth in Table 375-6.8(b) [6NYCRR Part 375-6.7]. Soils that meet 'exempt' fill requirements under 6 NYCRR Part 360, but do not meet backfill or cover soil objectives for this site, will not be imported onto the site without prior approval by NYSDEC. All imported material will be sampled and tested in accordance with the recommendations of DER-10 (Section 5.4 (e)). All backfill will placed in approximately one foot lifts and machine compacted. All backfill shall be placed and compacted to at least 90% of the maximum dry density as determined by the Standard Proctor test (ASTM D1557) to prevent settling. A minimum of four density tests will be performed per lift to document the required compaction has been achieved;
- A final lift of backfill will consist of 6-inches of clean, imported topsoil meeting the same soil quality standards as specified above for imported fill, the topsoil will be shredded and screened and mechanically raked to remove rocks and debris greater than 1-inch in diameter and to meet the adjacent backyard parcel elevations; and



Mr. Frank A. Chinnici Revised April 15, 2	2015
Legacy LaSalle LLC 4 1400	0657

Seeding of the area will be performed using a final grass seed and mulch mix acceptable to the City and the owners of the WPP Parcels.

Based on historic site investigation and recent test pit observations performed adjacent to the Land, it is anticipated that groundwater will not be encountered at the planned depth of the excavation (i.e., 4 feet below grade surface) during the remedial work and no pumping/dewatering of the excavation is proposed or planned as part of the work scope.

3.0 SCHEDULE

We estimate that approximately 2 weeks will be required to complete the proposed remediation and restoration work on the Land and recommend initiating work after May 1, 2015 to minimize impacts from spring weather and to enhance final restoration conditions (for topsoil placement and seeding).

4.0 PROJECT CLOSEOUT

Within one month of the completion of the work, Golder will prepare for Legacy a project closeout summary report containing a description of the work performed, inspection notes, a photo log and copies of sample analytical reports and waste disposal records, if necessary, based on the final disposition of excavated materials.

Please contact us at 716-204-5880 if you have any questions or comments concerning this proposed Work Plan.

GOLDER ASSOCIATES INC.

tend 7. Martin

Patrick T. Martin, P.E. Associate & Senior Consultant

cc: Adam Walters, Phillips Lytle LLP



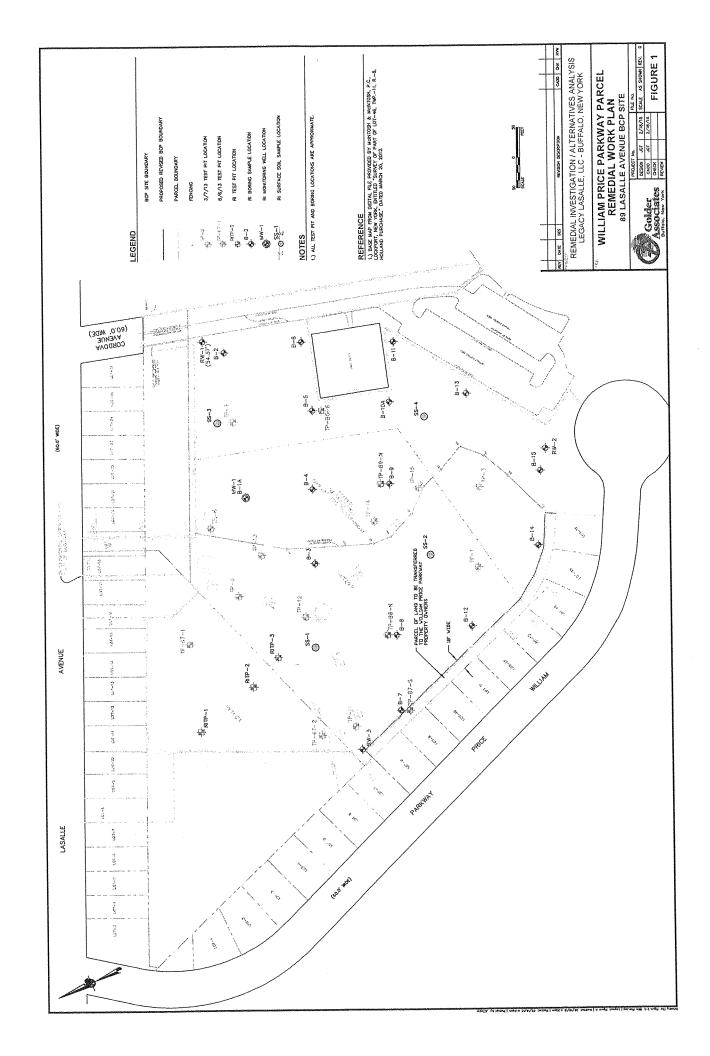


EXHIBIT E



June 12, 2015

Kelly Lewandowski Chief, Site Control Section New York State Department of Environmental Conservation Division of Environmental Remediation 625 Broadway Albany, New York 12233

Patrick Foster, Esq. New York State Department of Environmental Conservation Office of General Counsel 270 Michigan Avenue Buffalo, New York 14203

Re: Proof of Access to Legacy LaSalle Avenue Site (Index No. C915283-05-14)

Dear Sir and Madam:

On behalf of Legacy LaSalle, LLC, I hereby grant Legacy UPAL, L.P. and Legacy South Campus, LLC, their agents, contractors and representatives, unrestricted access to the Legacy LaSalle Avenue Site, located at 89 LaSalle Avenue, Buffalo, N.Y ("Site"). Unrestricted access is granted by Legacy LaSalle L.L.C. to Legacy UPAL L.P. and Legacy South Campus, L.L.C., their agents, contractors and representatives, for the duration of the Site brownfield project, as described in the Site's Brownfield Cleanup Agreement (Index No. C915283-05-14) and related documents.

Very Truly Yours

LEGACY LASALLE, LLC

By:

Frank A. Chinnici, Manager

250 Ramsdell Ave • Buffalo NY 14216 Tel: 716-689-3300 Fax: 716-639-0893 www.LegacyDev.com