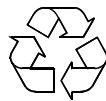


**New York State
Department of Environmental Conservation**

Division of Operations

**2007 - 2008
State Agency
Environmental Audit Report**

August 2010



David A. Paterson, Governor

Alexander B. Grannis, Commissioner

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INTRODUCTION

In 1988, the Legislature enacted the State Agency Environmental Audit Law, Section 3-0311 of the Environmental Conservation Law (ECL). This law requires New York State agencies, certain public authorities and public benefit corporations to conduct environmental audits of their operations each year. The State Audit Law was amended in 1991, increasing the administrative and information management requirements for the State Agency Environmental Audit (SAEA) process and adding requirements for submission of remedial plans and third party violations.

The State Audit Law requires the New York State Department of Environmental Conservation (NYSDEC) to develop guidelines for the preparation of environmental audits, and for the ranking of violations based on the threat each poses to public health or the environment. The New York State Agency Environmental Audit Guidance Manual provides these guidelines, updated summaries of regulations, and defines the hierarchy of ranks to be used to classify violations. This hierarchy ranges from N1 to N4, the most serious violations being N1 and the least serious being N4 (see Table 1).

The NYSDEC is required by the State Audit Law to compile information received and submit a report to the Governor, the Director of the Budget, and the Legislature each year. The NYSDEC is also required to assess whether the agencies' proposed ranks are appropriate, and to change those that are not. Note: The term agency refers to all New York State agencies, authorities and public benefit corporations as described in ECL 3-0311. The NYSDEC also evaluates the adequacy of each proposed remedial plan for correcting violations. This report provides the required information for 2007-2008 State Agency Environmental Audit (April 1, 2007 through March 31, 2008).

The SAEA program has continued to improve with the implementation of enhanced information processing procedures. The New York State Agency Environmental Audit Guidance Manual, dated April 14, 2003, is available online and was provided to each agency identified as subject to the SAEA.

The following agencies supplied their audit report data to the NYSDEC electronically: Metropolitan Transportation Authority (MTA), Department of Transportation, Dormitory Authority, Thruway Authority, Canal Corporation, and Department of Environmental Conservation.

Essential components of the successful completion of the SAEA process and the production of this report are the partnerships built with the agencies required to submit their information; the NYSDEC regulatory programs which assist in summarizing and interpreting the regulations; the NYSDEC Regional Audit Coordinators; other Regional NYSDEC staff; and the staff of the Division of Operations. The Pollution Prevention Unit offers assistance in identifying and implementing pollution prevention initiatives and the development of environmental management systems (Appendix A).

FINDINGS

The 2007 - 2008 State Agency Environmental Audit (SAEA), covering the period of April 1, 2007 through March 31, 2008, produced the following information and results:

1. There were **50** agencies, authorities, and public benefit corporations that conducted environmental audits and submitted reports as required by the State Audit Law. They conducted audits at **more than 6,000** facilities, projects, and operations. For a list of all reporting agencies, see Table 2A; for a glossary of State audit terms, see Appendix D.
2. There were **20** agencies that reported one or more violations, and **30** agencies reported that they did not have any instances of non-compliance in this reporting period (see Table 2B). The latter reported total compliance; that their facilities, projects or operations were not subject to the NYSDEC's regulatory programs; or a combination of total compliance and not subject to the regulatory programs. For a list of NYSDEC environmental regulations, grouped by program area, see Appendix B.
3. There were a total of **506** incident reports filed by the **20** agencies reporting violations during this audit period (see Table 3). Of these, there were **355** new and continuing instances of non-compliance which were reported. The non-compliant incidents by rank classifications at the end of audit cycle are as follows:
 - **2** were N1 (posed an imminent substantial threat to the public health or the environment);
 - **86** were N2 (posed a potential substantial threat to the public health or the environment);
 - **176** were N3 (posed a discernible, but not substantial threat to the public health or the environment); and
 - **91** were N4 (no evidence of any discernible threat to the public health or the environment).

In addition, **151** violations were ranked as compliant (C) as of the end of the report period (see Table 3). These were violations which came into compliance during SAEA 2007-2008 cycle. Ten agencies comprised **93%** of the total reported violations: Canals, DEC, DOT, DSP, MTA, OMH, OPRHP, PANYNJ, SUNY, and Thruway (see Table 3). The reported cost to date by all agencies to correct the violations was estimated to be **\$116,635,785** (see Table 6).

4. The NYSDEC regulatory program areas which had greatest number of instances of non-compliance or violations were as follows (see Table 4):
 - Environmental Remediation program comprised **369** incidents (73% of the total of 506 incidents);
 - Water program comprised **77** incidents (15% of the total);
 - Solid and Hazardous Materials program comprised **39** incidents (8% of the total).

5. The four agencies with the largest number of violations are (see Table 8): Metropolitan Transportation Authority with **142** (28% of the total of 506 incidents); Department of Transportation with **105** (21% of the total of all incidents); the Office of Parks, Recreation and Historic Preservation with **58** (11% of the total); and the Thruway Authority with **51** (10% of the total).
6. The total cost of remediating the 506 ongoing violations is estimated to be **\$254,732,904** which includes **\$116,635,785** disbursed to date, and **\$138,097,119** estimated future costs (see Table 6). The six agencies with the largest estimated total future cost are (see Table 6): the Metropolitan Transportation Authority (**\$78,187,307**); the Empire State Development Corporation (**\$12,600,000**); the Niagara Frontier Transportation Authority (**\$12,000,000**); the Department of Transportation (**\$8,912,000**); the Office of Parks, Recreation Historic Preservation (**\$8,740,914**), and the Department of Environmental Conservation (**\$6,010,000**).
7. Based on a breakdown of cost by NYSDEC environmental program area, the largest future remedial cost estimates fall within three programs (see Table 7): Environmental Remediation (**\$92,034,151**), Water (**\$24,454,900**), and Solid and Hazardous Materials (**\$21,137,768**).
8. The projected "Future," "Future Total," and "Total Compliance" cost amounts found in Tables 6, 7 and 9 for both the State departments and individual agencies are estimated costs, and may be based on incomplete or uncertain information provided by the departments and agencies.
9. The enforcement actions which were underway by NYSDEC against various State Agencies and Public Authorities during this Audit Cycle totaled **22** (see Table 13).
10. Of the **506** total State agency remedial plans reviewed by the NYSDEC, **13** (3% of the total ongoing violations) were disapproved because they were incomplete or deemed not adequate to bring the violations into compliance (Table 15). Of the 506 total submitted violation reports, the NYSDEC changed the agencies proposed rank (either up or down) in **100** cases.
11. Comparing 2007 to 2008 reported results, the total number of agency violations decreased from **608** to **506** (a 17% decrease, see Table 10). The total number of violations brought into compliance decreased from **180** in 2007 to **151** in 2008, a decrease of 16% from 2007 (see Table 10).
12. The NYSDEC regions with the largest number of violations were (see Table 5): Region 2 (New York City regional headquarters) with **134** (26% of the total of 506 violations statewide); Region 1 (Stony Brook headquarters) with **76** (15% of the total); Region 3 (New Paltz headquarters) with **65** (13% of the total).

13. The NYSDEC Regions with the most N1 and N2 violations were (see Table 5): Region 1, Stony Brook, with **31** (35% of total of 88 combined N1 and N2 violations); Region 2, New York City, with **31** (35 of total combined N1 and N2 violations); Region 3, New Paltz, with **9** (10% of total combined N1 and N2 violations), and Region 8, Avon, with **7** (8% of total combined N1 and N2 violations).

14. A comparison of violations for the last ten years, 1998 through 2008:

Report Year Ending	Total Violations Reported
1998	1144
1999	1170
2000	1073
2001	880
2002	942
2003	899
2004	759
2005	665
2006	709
2007	608
2008	506

15. For a listing of the counties contained within each NYSDEC region, see Appendix E.

16. There were **45** third party violations reported by 7 agencies (see Table 14). There were no third party violation incidents ranked N1, **17** were ranked N2, **13** were ranked N3, **3** were ranked N4, and **12** were ranked C.

17. A number of agencies have developed, or are in the process of implementing, various environmental management improvements in conjunction with the audit. This information is contained in Appendix C.

Tables

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Table 1 - Priority Ranking System

The law requires each violation be ranked according to the threat it poses to the public health or the environment. The ranks are from 1 to 4, 1 being the greatest threat and 4 constituting no discernible threat.

Rank N1- N4: the facility, project or operation is in non-compliance with a regulation.

Rank C: the facility, project, or operation is in compliance.

Rank	Definition	Description
C	An incident which is in compliance is one which meets guidelines set forth in the applicable regulation.	In Compliance
N1	Poses an imminent substantial threat to the public health or the environment.	Imminent substantial threat
N2	Poses a potential substantial threat to the public health or the environment.	Potential substantial threat
N3	Poses a discernible, but not substantial, threat to the public health or the environment.	Discernible but not substantial threat
N4	No evidence of discernible threat to the public health or the environment.	No evidence of discernible threat

Table 2A - Agencies Reporting

AGENCY NAME	CODE
ALBANY COUNTY AIRPORT AUTHORITY	ACAA
ALBANY PORT DISTRICT COMMISSION	APDC
BATTERY PARK CITY AUTHORITY	BPCA
CAPITAL DISTRICT TRANSPORTATION AUTHORITY	CDTA
CENTRAL NEW YORK REGIONAL TRANSPORTATION AUTHORITY	CNYRTA
DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY	DANC
DORMITORY AUTHORITY OF THE STATE OF NEW YORK	DASNY
EMPIRE STATE DEVELOPMENT CORPORATION	ESD_UDC
METROPOLITAN TRANSPORTATION AUTHORITY	MTA
NEW YORK POWER AUTHORITY	NYPA
NIAGARA FRONTIER TRANSPORTATION AUTHORITY	NFTA
NYS ADIRONDACK PARK AGENCY	APA
NYS BANKING DEPARTMENT	DOBANK
NYS CANAL CORPORATION	CANALS
NYS DEPT OF AGRICULTURE & MARKETS	DOAM
NYS DEPT OF CIVIL SERVICE	DCS
NYS DEPT OF CORRECTIONAL SERVICES	DOCS
NYS DEPT OF ENVIRONMENTAL CONSERVATION	DEC
NYS DEPT OF HEALTH	DOH
NYS DEPT OF LABOR	DLABOR
NYS DEPT OF MOTOR VEHICLES	DMV
NYS DEPT OF PUBLIC SERVICE	DPS
NYS DEPT OF STATE	DOS
NYS DEPT OF TAXATION & FINANCE	TAX
NYS DEPT OF TRANSPORTATION	DOT
NYS DIV OF HOUSING & COMMUNITY RENEWAL	DCHR
NYS DIV OF MILITARY & NAVAL AFFAIRS	DOMNA
NYS EDUCATION DEPARTMENT	EDUC
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY	ERDA
NYS ENVIRONMENTAL FACILITIES CORP	EFC
NYS INSURANCE DEPARTMENT	DOINS
NYS OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES	OASAS
NYS OFFICE OF CHILDREN & FAMILY SERVICES	OCFS
NYS OFFICE OF GENERAL SERVICES	OGS
NYS OFFICE OF MENTAL HEALTH	OMH
NYS OFFICE OF MENTAL RETARDATION & DEVEL DISABILITIES	OMRDD
NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION	OPRHP
NYS OFFICE OF STATE COMPTROLLER	OSC
NYS OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE	OTDA
NYS OFFICE OF THE ATTORNEY GENERAL	DOLAW
NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY	ORDA
NYS POLICE	DSP
NYS THRUWAY AUTHORITY	THRUWY
OGDENSBURG BRIDGE & PORT AUTHORITY	OBPA
ONEIDA-HERKIMER SOLID WASTE AUTHORITY	OHSWMA
PORT AUTHORITY OF NEW YORK & NEW JERSEY	PANYNJ
PORT OF OSWEGO AUTHORITY	OSWEGO
ROCHESTER-GENESEE REGIONAL TRANSPORTATION AUTHORITY	RGRTA
ROSWELL PARK CANCER INSTITUTE CORP	RPCI
STATE UNIVERSITY OF NEW YORK	SUNY

Table 2B - Agency Response Status

Of the 20 affected agencies required to conduct an environmental audit, the following 1 agency was in total compliance by the end of the reporting year:

NYS EDUCATION DEPARTMENT

Of the 20 affected agencies required to conduct an environmental audit, the following 19 agencies had at least one instance of ongoing non-compliance:

EMPIRE STATE DEVELOPMENT CORPORATION
METROPOLITAN TRANSPORTATION AUTHORITY
NIAGARA FRONTIER TRANSPORTATION AUTHORITY
NYS CANAL CORPORATION
NYS DEPT OF AGRICULTURE & MARKETS
NYS DEPT OF CORRECTIONAL SERVICES
NYS DEPT OF ENVIRONMENTAL CONSERVATION
NYS DEPT OF HEALTH
NYS DEPT OF TRANSPORTATION
NYS OFFICE OF MENTAL HEALTH
NYS OFFICE OF MENTAL RETARDATION & DEVEL DISABILITIES
NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION
NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
NYS POLICE
NYS THRUWAY AUTHORITY
OGDENSBURG BRIDGE & PORT AUTHORITY
PORT AUTHORITY OF NEW YORK & NEW JERSEY
ROCHESTER-GENESEE REGIONAL TRANSPORTATION AUTHORITY
STATE UNIVERSITY OF NEW YORK

**Table 3 - Summary of Compliance/Non-Compliance
by Priority Rank and Agency**

AGENCY CODE	TOTAL VIOLATIONS	N1	N2	N3	N4	C
CANALS	15	0	1	3	1	10
DEC	13	0	2	4	2	5
DOAM	1	0	1	0	0	0
DOCS	12	0	0	1	2	9
DOH	5	0	0	0	3	2
DOT	105	1	7	36	38	23
DSP	15	0	2	10	1	2
EDUC	1	0	0	0	0	1
ESD_UDC	4	0	1	3	0	0
MTA	142	0	50	44	15	33
NFTA	1	0	0	1	0	0
OBPA	1	0	1	0	0	0
OMH	37	0	5	16	5	11
OMRDD	7	0	0	4	1	2
OPRHP	58	1	11	24	9	13
ORDA	2	0	0	2	0	0
PANYNJ	17	0	1	12	0	4
RGRTA	1	0	0	1	0	0
SUNY	18	0	0	7	3	8
THRUWY	51	0	4	8	11	28
TOTAL	506	2	86	176	91	151

**Table 4 - Summary of Compliance/Non-Compliance
by Priority Rank and DEC Program**

DEC PROGRAM	TOTAL VIOLATIONS	N1	N2	N3	N4	C
Air	6	0	0	1	1	4
Environmental Permits	2	0	0	2	0	0
Environmental Remediation	369	1	67	117	64	120
Fish, Wildlife & Marine Resources	9	0	1	3	3	2
Lands & Forests	1	0	0	0	1	0
Mineral Resources	2	0	1	0	0	1
Regulatory Fees	1	0	0	1	0	0
Solid & Hazardous Materials	39	1	7	7	13	11
Water	77	0	10	45	9	13
TOTAL	506	2	86	176	91	151

**Table 5 - Summary of Compliance/Non-Compliance
by Priority Rank and DEC Region**

DEC REGION	TOTAL VIOLATIONS	N1	N2	N3	N4	C
1	76	0	31	30	11	4
2	134	0	31	52	9	42
3	65	1	8	25	23	8
4	38	0	0	11	15	12
5	30	0	1	9	7	13
6	42	0	3	12	16	11
7	38	0	4	13	5	16
8	44	1	6	9	3	25
9	39	0	2	15	2	20
TOTAL	506	2	86	176	91	151

Table 6 - Summary of Remediation Costs by Agency

AGENCY CODE	TO DATE COST*	FUTURE COST	TOTAL COST	TOTAL COMPLIANCE COST**
CANALS	\$100,000	\$652,500	\$752,500	\$10,000
DEC	\$254,300	\$6,010,000	\$6,264,300	\$36,712,644
DOAM	\$0	\$492,000	\$492,000	\$0
DOCS	\$0	\$273,084	\$273,084	\$22,321
DOH	\$21,000	\$30,000	\$51,000	\$0
DOT	\$11,070,061	\$8,912,000	\$19,982,061	\$54,000
DSP	\$4,000,055	\$2,955,000	\$6,955,055	\$338,610
EDUC	\$0	\$0	\$0	\$164,000
ESD_UDC	\$2,947,888	\$12,600,000	\$15,547,888	\$0
MTA	\$72,540,778	\$78,187,307	\$150,728,085	\$945,169
NFTA	\$14,000,000	\$12,000,000	\$26,000,000	\$0
OBPA	\$157,500	\$0	\$157,500	\$0
OMH	\$1,082,500	\$2,279,000	\$3,361,500	\$872,463
OMRDD	\$89,743	\$277,000	\$366,743	\$3,497
OPRHP	\$527,766	\$8,740,914	\$9,268,680	\$2,414,292
ORDA	\$24,000	\$0	\$24,000	\$0
PANYNJ	\$5,045,000	\$920,000	\$5,965,000	\$0
RGRTA	\$200,000	\$450,000	\$650,000	\$0
SUNY	\$1,238,293	\$169,314	\$1,407,607	\$368,500
THRUWY	\$3,336,900	\$3,149,000	\$6,485,900	\$158,500
TOTAL	\$116,635,785	\$138,097,119	\$254,732,904	\$42,063,996

* \$ spent on non-compliance that remain non-compliant

** \$ spent on non-compliance that became compliant

Table 7 - Summary of Remediation Costs by DEC Program

DEC PROGRAM	TO DATE COST*	FUTURE COST	TOTAL COST	TOTAL COMPLIANCE COST**
Air	\$0	\$300	\$300	\$138,000
Environmental Permits	\$1,000	\$70,000	\$71,000	\$0
Environmental Remediation	\$88,126,322	\$92,034,151	\$180,160,473	\$8,667,260
Fish, Wildlife & Marine Resources	\$75,780	\$150,000	\$225,780	\$0
Lands & Forests	\$0	\$200,000	\$200,000	\$0
Mineral Resources	\$94,000	\$50,000	\$144,000	\$44,719
Regulatory Fees	\$50,000	\$0	\$50,000	\$0
Solid & Hazardous Materials	\$12,933,034	\$21,137,768	\$34,070,802	\$31,125,370
Water	\$15,355,649	\$24,454,900	\$39,810,549	\$2,088,647
TOTAL	\$116,635,785	\$138,097,119	\$254,732,904	\$42,063,996

* \$ spent on non-compliance that remain non-compliant

** \$ spent on non-compliance that became compliant

Table 8 - Summary of Non-Compliance by Agency and DEC Program

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
CANALS	0	0	14	0	0	0	0	0	1	15
DEC	0	0	2	0	1	9	0	0	1	13
DOAM	0	0	0	0	0	1	0	0	0	1
DOCS	0	0	11	0	0	0	1	0	0	12
DOH	0	0	0	0	0	0	0	0	5	5
DOT	0	2	75	1	0	19	4	0	4	105
DSP	0	0	15	0	0	0	0	0	0	15
EDUC	0	0	1	0	0	0	0	0	0	1
ESD_UDC	0	0	2	0	0	0	2	0	0	4
MTA	0	0	133	0	0	2	0	0	7	142
NFTA	0	0	0	0	0	1	0	0	0	1
OBPA	0	0	1	0	0	0	0	0	0	1
OMH	2	0	27	0	0	3	2	0	3	37
OMRDD	0	0	2	0	0	3	0	0	2	7
OPRHP	0	0	21	0	1	25	0	0	11	58
ORDA	0	0	0	0	0	2	0	0	0	2
PANYNJ	0	0	9	0	0	8	0	0	0	17
RGRTA	0	0	1	0	0	0	0	0	0	1
SUNY	4	0	9	0	0	3	0	1	1	18
THRUWY	0	0	46	0	0	1	0	0	4	51
TOTAL	6	2	369	1	2	77	9	1	39	506

Table 9 - Summary of Non-Compliance Future Cost by Agency and DEC Program

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
CANALS	\$0	\$0	\$652,500	\$0	\$0	\$0	\$0	\$0	\$0	\$652,500
DEC	\$0	\$0	\$0	\$0	\$0	\$6,010,000	\$0	\$0	\$0	\$6,010,000
DOAM	\$0	\$0	\$0	\$0	\$0	\$492,000	\$0	\$0	\$0	\$492,000
DOCS	\$0	\$0	\$273,084	\$0	\$0	\$0	\$0	\$0	\$0	\$273,084
DOH	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$30,000	\$30,000
DOT	\$0	\$70,000	\$1,564,000	\$200,000	\$0	\$68,000	\$50,000	\$0	\$6,960,000	\$8,912,000
DSP	\$0	\$0	\$2,955,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,955,000
EDUC	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
ESD_UDC	\$0	\$0	\$12,500,000	\$0	\$0	\$0	\$100,000	\$0	\$0	\$12,600,000
MTA	\$0	\$0	\$68,115,553	\$0	\$0	\$222,000	\$0	\$0	\$9,849,754	\$78,187,307
NFTA	\$0	\$0	\$0	\$0	\$0	\$12,000,000	\$0	\$0	\$0	\$12,000,000
OBPA	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
OMH	\$0	\$0	\$279,000	\$0	\$0	\$100,000	\$0	\$0	\$1,900,000	\$2,279,000
OMRDD	\$0	\$0	\$0	\$0	\$0	\$250,000	\$0	\$0	\$27,000	\$277,000
OPRHP	\$0	\$0	\$1,755,000	\$0	\$50,000	\$4,567,900	\$0	\$0	\$2,368,014	\$8,740,914
ORDA	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
SUB-TOTAL	\$0	\$70,000	\$88,094,13	\$200,000	\$50,000	\$23,709,90	\$150,000	\$0	\$21,134,768	\$133,408,805

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
PANYNJ	\$0	\$0	\$675,000	\$0	\$0	\$245,000	\$0	\$0	\$0	\$920,000
RGRTA	\$0	\$0	\$450,000	\$0	\$0	\$0	\$0	\$0	\$0	\$450,000
SUNY	\$300	\$0	\$166,014	\$0	\$0	\$0	\$0	\$0	\$3,000	\$169,314
THRUWY	\$0	\$0	\$2,649,000	\$0	\$0	\$500,000	\$0	\$0	\$0	\$3,149,000
TOTAL	\$300	\$70,000	\$92,034,15	\$200,000	\$50,000	\$24,454,90	\$150,000	\$0	\$21,137,768	\$138,097,119

Table 10 - Comparison of 2007 to 2008, Compliance/Non-Compliance by Priority Rank and Agency

AGENCY CODE	N1 2007	N1 2008	N2 2007	N2 2008	N3 2007	N3 2008	N4 2007	N4 2008	C 2007	C 2008	TOTAL VIOL 2007	TOTAL VIOL 2008
CANALS	0	0	0	1	6	3	8	1	1	10	15	15
DEC	1	0	5	2	5	4	2	2	5	5	18	13
DOAM	0	0	1	1	0	0	0	0	0	0	1	1
DOCS	0	0	4	0	2	1	2	2	0	9	8	12
DOH	0	0	0	0	0	0	5	3	7	2	12	5
DOS	0	0	0	0	0	0	0	0	1	0	1	0
DOT	0	1	5	7	48	36	51	38	59	23	163	105
DSP	0	0	0	2	0	10	0	1	0	2	0	15
EDUC	0	0	0	0	0	0	0	0	0	1	0	1
ESD_UDC	0	0	0	1	2	3	1	0	2	0	5	4
MTA	1	0	58	50	67	44	14	15	50	33	190	142
NFTA	0	0	0	0	1	1	0	0	0	0	1	1
OBPA	0	0	0	1	1	0	0	0	0	0	1	1
OMH	0	0	3	5	19	16	7	5	19	11	48	37
OMRDD	0	0	0	0	2	4	2	1	2	2	6	7
OPRHP	2	1	17	11	24	24	14	9	6	13	63	58
ORDA	0	0	0	0	1	2	0	0	0	0	1	2
PANYNJ	0	0	1	1	1	12	1	0	3	4	6	17
SUB-TOTAL	4	2	94	82	179	160	107	77	155	115	539	436

AGENCY CODE	N1 2007	N1 2008	N2 2007	N2 2008	N3 2007	N3 2008	N4 2007	N4 2008	C 2007	C 2008	TOTAL VIOL 2007	TOTAL VIOL 2008
RGRTA	0	0	0	0	1	1	0	0	0	0	1	1
SUNY	0	0	1	0	5	7	5	3	12	8	23	18
THRUWY	0	0	8	4	16	8	8	11	13	28	45	51
TOTAL	4	2	103	86	201	176	120	91	180	151	608	506

Table 11 - Comparison of 2007 to 2008, Compliance/Non-Compliance by DEC Program and Agency

AGENCY CODE	DAR 2007	DAR 2008	DEP 2007	DEP 2008	DER 2007	DER 2008	DLF 2007	DLF 2008	DMR 2007	DMR 2008	DOW 2007	DOW 2008	FWM 2007	FWM 2008	RFU 2007	RFU 2008	SHM 2007	SHM 2008	TOTAL 2007	TOTAL 2008
CANALS	0	0	0	0	14	14	0	0	0	0	0	0	0	0	0	0	1	1	15	15
DEC	0	0	0	0	4	2	0	0	1	1	11	9	0	0	0	0	2	1	18	13
DOAM	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	1
DOCS	0	0	0	0	8	11	0	0	0	0	0	0	0	1	0	0	0	0	8	12
DOH	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12	5	12	5
DOS	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
DOT	0	0	4	2	113	75	1	1	0	0	34	19	6	4	0	0	5	4	163	105
DSP	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	0	15
EDUC	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1
ESD_UD	1	0	0	0	3	2	0	0	0	0	0	0	1	2	0	0	0	0	5	4
MTA	0	0	0	0	175	133	0	0	0	0	6	2	0	0	0	0	9	7	190	142
NFTA	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	1
OBPA	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1	1
OMH	3	2	0	0	33	27	0	0	0	0	1	3	0	2	0	0	11	3	48	37
OMRDD	0	0	0	0	3	2	0	0	0	0	2	3	0	0	0	0	1	2	6	7
OPRHP	0	0	0	0	24	21	0	0	2	1	25	25	0	0	0	0	12	11	63	58
ORDA	0	0	0	0	0	0	0	0	0	0	1	2	0	0	0	0	0	0	1	2
PANYNJ	0	0	0	0	4	9	0	0	0	0	2	8	0	0	0	0	0	0	6	17
SUBTOTAL	4	2	4	2	383	313	1	1	3	2	84	73	7	9	0	0	53	34	539	436

AGENCY CODE	DAR 2007	DAR 2008	DEP 2007	DEP 2008	DER 2007	DER 2008	DLF 2007	DLF 2008	DMR 2007	DMR 2008	DOW 2007	DOW 2008	FWM 2007	FWM 2008	RFU 2007	RFU 2008	SHM 2007	SHM 2008	TOTAL 2007	TOTAL 2008
RGRTA	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1	1
SUNY	5	4	0	0	9	9	0	0	0	0	6	3	0	0	0	1	3	1	23	18
THRUW	0	0	0	0	40	46	0	0	0	0	1	1	0	0	0	0	4	4	45	51
TOTAL	9	6	4	2	433	369	1	1	3	2	91	77	7	9	0	1	60	39	608	506

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Table 12 - Compliance Status of Violations as of April 01, 2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
CANALS	3167	HEADQUARTERS - UTICA	56A	01/01/2002	N2	6	ONEIDA	11/15/2010
CANALS	3168	LOCK E-26	56A	01/01/1999	N3	8	WAYNE	11/15/2010
CANALS	3169	HEADQUARTERS - ALBION	56	01/01/2006	C	8	ORLEANS	
CANALS	3170	LOCK E-29	56A	01/01/1999	N3	8	WAYNE	11/15/2010
CANALS	3171	HEADQUARTERS & SHOP - FORT EDWARD	56A	01/01/1999	C	5	WASHINGTON	12/31/2006
CANALS	3172	FORT EDWARD TERMINALWALL	56A	01/01/2001	C	5	WASHINGTON	
CANALS	3173	HEADQUARTERS - UTICA	31	01/01/2004	C	6	ONEIDA	
CANALS	3174	HEADQUARTERS - ALBION	56	01/01/2004	C	8	ORLEANS	
CANALS	3175	HEADQUARTERS - ALBION	56	01/01/2004	C	8	ORLEANS	
CANALS	3176	SHOP - PITTSFORD	56	01/01/2003	C	8	MONROE	
CANALS	3177	HEADQUARTERS - LYONS	56	01/01/2004	C	8	WAYNE	
CANALS	3178	SHOP - LOCKPORT	56	01/01/2004	C	9	NIAGARA	
CANALS	3179	HEADQUARTERS - UTICA	56	01/01/2004	C	6	ONEIDA	
CANALS	3180	HEADQUARTERS - UTICA	56	01/01/2003	N4	6	ONEIDA	07/01/2008
CANALS	3555	ERIE CANAL LOCK 24 & DAM	56A	01/01/1999	N3	7	ONONDAGA	11/15/2010
DEC	3780	LITTLE POND PUBLIC CAMPGROUND	53A	01/01/1997	C	4	DELAWARE	
DEC	3782	MOOSE RIVER PLAINS WILD FOREST	53A	01/01/1996	N4	5	HAMILTON	01/01/2009
DEC	3784	EDWARDS AVE CANOE PORTAGE	53A	01/01/2005	N3	1	SUFFOLK	06/30/2010
DEC	3785	SUMMIT CREW HEADQUARTERS	51A	01/01/1998	C	4	SCHOHARIE	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DEC	3786	MINER LAKE STATE FOREST	53A	01/01/1997	N4	5	CLINTON	12/31/2010
DEC	3787	TAYLOR POND PUBLIC CAMPGROUND	53A	01/01/1997	N3	5	CLINTON	10/31/2010
DEC	3788	HAMMOND POND WILD FOREST	53A	01/01/1996	N3	5	ESSEX	12/31/2013
DEC	3790	LUZERNE RD SITE REMEDIATION SITE	50A	01/01/2001	C	5	WARREN	
DEC	3791	BALSAM SWAMP STATE FOREST	53A	01/01/2001	N3	7	CHENANGO	11/01/2011
DEC	3792	GEORGETOWN CORRECTIONS CAMP	51A	01/01/1999	C	7	CHENANGO	
DEC	3795	DEER CREEK MARSH WMA	46	01/01/2005	C	7	OSWEGO	
DEC	3796	LACKAWANNA CHANNEL FPP	54D	01/01/1998	N2	9	ERIE	03/31/2013
DEC	3797	LACKAWANNA FPP	54D	01/01/1998	N2	9	ERIE	03/31/2013
DOAM	3301	NYS FAIRGROUNDS	58B	01/01/2004	N2	7	ONONDAGA	03/31/2014
DOCS	3832	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2008	C	5	FRANKLIN	06/12/2008
DOCS	3834	HALE CREEK ASACT CENTER	56	01/01/2008	C	5	FULTON	10/04/2008
DOCS	3837	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2006	C	5	FRANKLIN	
DOCS	3905	NYS AUBURN CORRECTIONAL FACILITY	56	02/24/2006	C	7	CAYUGA	
DOCS	3907	NYS CAMP PHARSALIA	56	01/01/2007	N4	7	CHENANGO	03/17/2008
DOCS	3908	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2007	C	5	FRANKLIN	
DOCS	3925	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2007	C	5	FRANKLIN	
DOCS	3926	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2007	C	5	FRANKLIN	10/30/2008
DOCS	3928	EDGEcombe CORRECTIONAL FACILITY	56	01/01/2007	N3	2	NEW YORK	01/11/2009
DOCS	3930	NYS CAYUGA CORRECTIONAL FACILITY	56	01/01/2006	C	7	CAYUGA	11/01/2008
DOCS	3931	NYS MT MCGREGOR CORRECTIONAL FACILITY	18	11/07/2007	N4	5	SARATOGA	05/30/2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOCS	3933	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/01/2007	C	5	FRANKLIN	
DOH	3286	NYS DOH ESP-LABS	50N	01/01/2006	N4	4	ALBANY	01/01/2009
DOH	3289	DAVID AXELROD INSTITUTE FOR PUBLIC HEALTH	50N	01/01/2006	N4	4	ALBANY	01/01/2009
DOH	3291	NYS DOH GRIFFIN LABS	50N	01/01/2006	N4	4	ALBANY	01/01/2009
DOH	3297	WADSWORTH #5 (GENOMICS INSTITUTE)	50N	01/01/2006	C	4	RENSSELAER	
DOH	3368	DAVID AXELROD INSTITUTE FOR PUBLIC HEALTH	31	12/10/2006	C	4	ALBANY	
DOT	3505	REG.02 - HWY ROW HAMILTON CO	40	01/01/2002	N4	5	HAMILTON	03/31/2010
DOT	3507	REG.11 - HWY ROW RICHMOND CO	20	01/01/2002	N3	2	RICHMOND	12/31/2002
DOT	3509	REG.07 - HWY ROW ST. LAWRENCE	49	01/01/2001	N3	6	ST LAWRENCE	06/01/2009
DOT	3511	REG.07 - HWY ROW JEFFERSON CO	49	01/01/2005	N3	6	JEFFERSON	01/01/2009
DOT	3512	REG.07 - HWY ROW FRANKLIN CO	39	01/01/2006	N4	5	FRANKLIN	12/31/2008
DOT	3513	REG.02 - HWY ROW HERKIMER CO	20	01/01/2006	C	6	HERKIMER	
DOT	3514	REG.02 - HWY ROW HERKIMER CO	56A	01/01/2000	N3	6	HERKIMER	03/31/2010
DOT	3516	REG 5 HWY MNT-BUFFALO	56	01/01/2005	C	9	ERIE	
DOT	3517	REG 5 HWY MNT SHERMAN	56	01/01/2004	N3	9	CHAUTAUQUA	12/31/2006
DOT	3520	REG 7 SPEC CREW WATERTOWN HQ	56	01/01/2001	N4	6	JEFFERSON	09/01/2008
DOT	3521	REG 9 HWY MNT CASTLE CREEK	56A	01/01/2001	N3	7	BROOME	03/31/2010
DOT	3524	RESIDENT ENGINEER HEADQUARTERS - MALONE	56	01/01/1994	N3	5	FRANKLIN	01/01/2009
DOT	3527	REG 9 HWY MNT WALTON GARAGE	56	01/01/2001	C	4	DELAWARE	
DOT	3529	REG 7 HWY MNT PLATTSBURGH RES	56	01/01/2001	N3	5	CLINTON	06/01/2008
DOT	3531	RESIDENT ENGRS HDQRS -MONROE	56	01/01/2006	N4	3	ORANGE	12/31/2006

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3532	REG 5 HWY MNT - DEPEW	56	01/01/2005	N3	9	ERIE	12/31/2006
DOT	3534	NYS DOT RES ENGR HDQTRS	56	01/01/2006	C	9	ERIE	
DOT	3537	REG 5 HWY MNT - MAYVILLE	56	01/01/2004	C	9	CHAUTAUQUA	
DOT	3538	REG 2 AVA RELOAD SITE	56A	01/01/2000	N4	6	ONEIDA	03/31/2010
DOT	3539	REG 7 SPEC CREW WATERTOWN HQ	58	01/01/1995	N4	6	JEFFERSON	03/31/2010
DOT	3541	REG 5 HWY MNT-CONCORD	56	01/01/2006	C	9	ERIE	
DOT	3542	REG 5 HWY MNT - FREDONIA	56A	01/01/1999	C	9	CHAUTAUQUA	12/31/2006
DOT	3543	CONEWANGO SUB HEADQUARTERS	58	01/01/2005	C	9	CATTARAUGUS	
DOT	3544	REG 5 HWY MNT-TONAWANDA	56	01/01/2006	C	9	ERIE	
DOT	3545	REG 2 BRIDGE MNT UTICA SUB HEADQUARTERS	58	01/01/2000	N3	6	ONEIDA	03/31/2010
DOT	3546	REG 3 HWY MNT ITHACA SUB RESIDENCY	58	01/01/1991	N2	7	TOMPKINS	12/31/2006
DOT	3548	NYS DOT HAMBURG MAINT SHOP	56	01/01/2003	N3	9	ERIE	03/31/2008
DOT	3549	REG 2 BRIDGE MNT UTICA SUB HEADQUARTERS	56A	01/01/1998	N3	6	ONEIDA	03/31/2010
DOT	3550	REG.02 HWY MNT ALDER CREEK	58B	01/01/1998	N2	6	ONEIDA	03/31/2010
DOT	3564	REG 4 HWY MNT MONROE RESIDENCY	50A	01/01/1997	N2	8	MONROE	03/31/2010
DOT	3566	NYS DOT RES ENGR HDQTRS	58	01/01/2002	N3	9	CHAUTAUQUA	12/31/2006
DOT	3567	NYSDOT MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N4	6	ST LAWRENCE	12/31/2008
DOT	3568	DOT REG 9 MAINTENANCE SUBHDQTRS	58	01/01/2001	N3	3	SULLIVAN	03/31/2010
DOT	3570	REG 7 HWY MNT PLEASANT VALLEY	58	01/01/2001	N4	6	ST LAWRENCE	03/31/2010
DOT	3572	NYS DOT ROW IN MONROE COUNTY	31	01/01/1999	N2	8	MONROE	03/31/2012
DOT	3576	NYS DOT REGION 6 RESIDENT ENGINEER HDQTR	50L	01/01/2001	C	8	SCHUYLER	12/31/2005

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3577	MAINTENANCE SUBHEADQUARTERS	58	01/01/1996	N4	6	LEWIS	03/31/2010
DOT	3578	EXIT 7 PALISADES PKY MAINTENANCE FAC	56	01/01/2007	N3	3	ROCKLAND	07/31/2007
DOT	3579	SULLIVAN CO RESIDENCY	56	01/01/2007	N3	3	SULLIVAN	03/31/2010
DOT	3580	REG 9 HWY MNT CASTLE CREEK	56	01/01/2007	N4	7	BROOME	03/31/2010
DOT	3582	REG 9 HWY MNT HANCOCK	56	01/01/2007	N4	4	DELAWARE	03/31/2010
DOT	3583	REG 9 HWY MNT RICHFIELD SPRGS SUB HQ	56	01/01/2007	N3	4	OTSEGO	03/31/2010
DOT	3584	NYSDOT REGION 9 MAINTENANCE SUBHQ	56	01/01/2007	N3	7	CHENANGO	03/31/2010
DOT	3585	NYS DOT PETERSBURG RES ENGRS SUBHDQRTS	56	01/01/2007	N4	4	RENSSELAER	12/31/2007
DOT	3588	NYS DOT REG 7 EQUIP MAINT/JEFFE RESID	56	01/01/2007	N4	6	JEFFERSON	09/01/2008
DOT	3590	NYS DOT PETERSBURG RES ENGRS SUBHDQRTS	56	01/01/2007	N4	4	RENSSELAER	09/01/2007
DOT	3591	NYS DOT PETERSBURG RES ENGRS SUBHDQRTS	56	01/01/2007	N4	4	RENSSELAER	09/01/2007
DOT	3592	HOGANSBURG RES ENG SUBHEADQUARTERS	56	01/01/2001	N4	5	FRANKLIN	09/01/2008
DOT	3594	REG 8 EQ MGT POUGHKEEPSIE	56	01/01/2004	N4	3	DUTCHESS	03/31/2009
DOT	3596	REG 8 SPEC CREW POUGHKEEPSIE	56	01/01/2004	N4	3	DUTCHESS	05/31/2008
DOT	3597	REG 9 HWY MNT WALTON GARAGE	56	01/01/2006	N4	4	DELAWARE	03/31/2010
DOT	3598	NYS DOT RES ENGRS HDQTRS	56	01/01/2004	N3	9	ERIE	12/31/2006
DOT	3599	REG 8 SPEC CREW POUGHKEEPSIE	56	01/01/2006	N4	3	DUTCHESS	02/28/2007
DOT	3600	REG 7 HWY MNT PLATTSBURGH RES	56	01/01/2000	N3	5	CLINTON	12/31/2009
DOT	3601	FARMERSVILLE SUB HEADQUARTERS	56	01/01/2003	C	9	CATTARAUGUS	
DOT	3649	NYS DOT MAINTENANCE SUB-HQ	56	01/01/2003	C	9	CATTARAUGUS	
DOT	3650	REG 9 HWY MNT COBLESKILL	58	01/01/2001	N3	4	SCHOHARIE	09/30/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3651	NYS DOT RES ENGR SUBHQ RUSH	56	01/01/2005	C	8	MONROE	
DOT	3653	NYS DOT MAINTENANCE SUBHEADQUARTERS	58	01/01/2001	N4	6	ST LAWRENCE	03/31/2010
DOT	3657	WHITNEY POINT REST AREA	58	01/01/2001	N2	7	BROOME	10/30/2007
DOT	3658	NYS DOT RESIDENT ENGR SUBHQTRS	56	01/01/2005	N3	9	ERIE	12/31/2006
DOT	3661	NYSDOT MAPLEVIEW SUBHEADQUARTERS	56A	01/01/2006	N3	7	OSWEGO	12/31/2007
DOT	3662	SALAMANCA RESIDENCY	56	01/01/2001	C	9	CATTARAUGUS	
DOT	3663	REG 4 HWY MNT MONROE RESIDENCY	56	01/01/2003	C	8	MONROE	
DOT	3665	RESIDENT ENGINEER HEADQUARTERS - MALONE	56	01/01/2006	N3	5	FRANKLIN	09/01/2008
DOT	3667	NYS DOT-WHITESTONE EXPRESSWAY	43	01/01/2003	N2	2	QUEENS	06/30/2008
DOT	3668	DOT MAINTENANCE BUILDING	56	01/01/2000	N4	6	ST LAWRENCE	01/01/2009
DOT	3670	NYSDOT MAINTENANCE SUBHEADQUARTERS	58	01/01/2001	N4	6	LEWIS	03/31/2010
DOT	3671	NYS DOT REG 2 EQUIP MAINT	56	01/01/1996	N3	6	ONEIDA	03/31/2010
DOT	3674	NYS DOT REG 7 EQUIP MAINT/JEFFE RESID	58	01/01/1997	N4	6	JEFFERSON	03/31/2010
DOT	3677	CONEWANGO SUB HEADQUARTERS	56	01/01/2004	C	9	CATTARAUGUS	
DOT	3679	REG 2 HWY MNT ONEIDA WEST RESIDENCY	56A	01/01/1998	N4	6	ONEIDA	03/31/2010
DOT	3682	REG 9 HWY MNT HANCOCK	56	01/01/2006	N4	4	DELAWARE	12/31/2010
DOT	3684	NYSDOT CHURUBUSCO MAINT SUBHEADQUARTERS	56	01/01/2001	C	5	CLINTON	
DOT	3686	DOT RESIDENT ENG SUBHDQRS	58	01/01/2002	N3	6	ONEIDA	03/31/2010
DOT	3687	KEESEVILLE MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N4	5	CLINTON	09/01/2008
DOT	3688	NYSDOT KIRKWOOD EQUIPMENT MGT SHOP	56A	01/01/2001	N3	7	BROOME	03/31/2010
DOT	3691	REG 9 HWY MNT RICHFIELD SPRGS SUB HQ	56A	01/01/2001	N3	4	OTSEGO	03/31/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3692	REG 6 HWY MNT HORSEHEADS SUB HQ AND BRIDGE CREW	56	01/01/2001	C	8	CHEMUNG	12/31/2004
DOT	3694	FARMERSVILLE SUB HEADQUARTERS	58	01/01/2005	C	9	CATTARAUGUS	
DOT	3698	NYS DOT RES ENGR HDQTRS	56	01/01/2004	N3	9	CHAUTAUQUA	12/31/2006
DOT	3726	HWY MAINTENANCE SUBHQTRS	58	01/01/2001	N3	3	SULLIVAN	03/31/2010
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	31	01/01/1998	N1	8	MONROE	03/31/2012
DOT	3731	DOT-ONEONTA FACILITY	56	01/01/2007	N4	4	OTSEGO	03/31/2010
DOT	3735	REG 7 HWY MNT POTSDAM-SUB RESIDENCY	56	01/01/1998	N3	6	ST LAWRENCE	09/01/2009
DOT	3736	REG 8 HWY MNT KINGSTON	56	01/01/2007	N4	3	ULSTER	03/31/2009
DOT	3737	REG 9 EQ MNT BINGHAMTON	56	01/01/2007	N3	7	BROOME	03/31/2010
DOT	3738	REG 8 HWY MNT NEW CITY	56	01/01/2007	N4	3	ROCKLAND	09/30/2008
DOT	3760	KENT RESIDENT ENGINEERS HQ	56	01/01/2006	N4	3	PUTNAM	03/31/2009
DOT	3762	RESIDENTS SUB-HQTRS-PORT JERVIS	56	01/01/2006	N4	3	ORANGE	12/31/2007
DOT	3765	NYSDOT RESIDENT ENGINEERS HEADQUARTERS	56	01/01/2001	N4	8	WAYNE	09/30/2005
DOT	3768	NYSDOT HWY MNT LEWISTON	56	01/01/2004	C	9	NIAGARA	
DOT	3769	REG 8 HWY MNT FISHKILL	56	01/01/2006	N4	3	DUTCHESS	03/31/2009
DOT	3804	REG 9 HWY MNT DELHI	56	01/01/2007	N4	4	DELAWARE	03/31/2010
DOT	3898	DANSVILLE SUBHEADQUARTERS	56	01/01/2002	N4	8	LIVINGSTON	12/30/2004
DOT	3909	REG.08 HWY MNT PORT JERVIS	56	01/01/2008	N4	3	ORANGE	12/28/2008
DOT	3910	REG.08 HWY MNT NEWBURGH	56	01/01/2008	N4	3	ORANGE	03/31/2010
DOT	3911	REG.07 HWY MNT KEESEVILLE	58	01/01/2008	C	5	CLINTON	12/31/2008
DOT	3912	REG.08 HWY MNT KATONAH	56	01/01/2008	N2	3	WESTCHESTER	03/31/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3913	REG. 05 EQUIPMENT MANAGEMENT	51A	01/01/2008	C	9	ERIE	
DOT	3914	REG.07 SPEC CREW MALONE	58	01/01/2008	N3	5	FRANKLIN	03/31/2010
DOT	3915	REG.08 HWY MNT MONTGOMERY	56	01/01/2008	N3	3	ORANGE	10/01/2008
DOT	3916	REG. 04 HWY MNT MONROE EAST 3	56	01/01/2008	C	8	MONROE	08/31/2008
DOT	3917	REG.08 HWY MNT MIDDLETOWN	56	01/01/2008	N3	3	ORANGE	12/31/2008
DOT	3918	REG.08 HWY MNT MONROE	56	01/01/2008	N3	3	ORANGE	12/31/2008
DSP	3806	SP Alexandria Bay	56A	08/31/1998	C	6	JEFFERSON	
DSP	3807	SP BREWSTER	56A	07/01/1993	N3	3	PUTNAM	02/19/2008
DSP	3860	SP VALLEY STREAM	56A	04/25/1997	N3	1	NASSAU	01/01/2011
DSP	3861	FORMER SP TUPPER LAKE	56A	08/21/1998	N2	5	FRANKLIN	01/01/2011
DSP	3862	SP OGDENSBURG	56A	08/25/1998	N3	6	ST LAWRENCE	01/01/2010
DSP	3862	SP OGDENSBURG	56A	08/25/1998	N3	6	ST LAWRENCE	01/01/2010
DSP	3863	Former SP Brockport	56A	08/18/1998	N2	8	MONROE	01/01/2011
DSP	3864	FORMER SP EAST GREENBUSH	56A	07/27/1998	N3	4	RENSSELAER	01/01/2011
DSP	3865	SP CATSKILL	56A	10/17/1996	C	4	GREENE	
DSP	3866	FORMER SP FALCONER	56A	09/29/1998	N3	9	CHAUTAUQUA	01/01/2009
DSP	3867	FORMER SP FERNDALE/LIBERTY	56A	08/21/2001	N3	3	SULLIVAN	01/01/2009
DSP	3868	FORMER SP FRANKLINVILLE	56A	08/19/1996	N4	9	CATTARAUGUS	01/01/2009
DSP	3869	FORMER SP GOUVERNEUR	56A	08/25/1998	N3	6	ST LAWRENCE	01/01/2011
DSP	3870	FORMER SP KIRKWOOD	56A	01/01/1997	N3	7	BROOME	01/01/2009
DSP	3878	FORMER SP FERNDALE/LIBERTY	56A	07/09/2007	N3	3	SULLIVAN	01/01/2009

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
EDUC	3854	ST REGIS MOHAWK ELEMENTARY SCHOOL	56	01/01/2007	C	5	FRANKLIN	12/15/2008
ESD_UDC	3364	QUEENS WEST	56A	01/01/1999	N2	2	QUEENS	01/01/2009
ESD_UDC	3365	QUEENS WEST	51A	01/01/2003	N3	2	QUEENS	03/01/2009
ESD_UDC	3367	QUEENS WEST	43	04/10/2006	N3	2	QUEENS	05/20/2009
ESD_UDC	3877	QUEENS WEST	43	04/04/2006	N3	2	QUEENS	09/30/2012
MTA	3248	METRO-NORTH RAILROAD HARMON YARD	56A	01/01/2006	N3	3	WESTCHESTER	07/15/2008
MTA	3249	TBTA-BROOKLYN BATTERY TUNNEL	58	01/01/1993	C	2	NEW YORK	06/01/2009
MTA	3250	NYC-TA 207TH STREET OVERHAUL SHOP	56A	01/01/2002	N3	2	NEW YORK	03/01/2012
MTA	3270	NYC-TA EAST NY BUS DEPOT & SHOPS	56A	01/01/1993	N3	2	KINGS	03/01/2020
MTA	3271	NYC-TA EAST NY BUS DEPOT & SHOPS	51	01/01/2007	C	2	KINGS	
MTA	3272	NYC-TA EAST NY BUS DEPOT & SHOPS	56A	01/01/2006	N4	2	KINGS	12/31/2009
MTA	3273	NYC-TA JACKIE GLEASON BUS DEPOT & 38TH ST YARD	51	01/01/1997	C	2	KINGS	
MTA	3274	NYC-TA JACKIE GLEASON BUS DEPOT & 38TH ST YARD	51	01/01/2007	C	2	KINGS	
MTA	3276	NYC-TA JAMAICA BUS DEPOT	56A	01/01/1993	N2	2	QUEENS	03/01/2020
MTA	3277	NYC-TA JAMAICA BUS DEPOT	51	01/01/2007	C	2	QUEENS	
MTA	3279	NYC-TA MOTHER CLARA HALE DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2020
MTA	3280	NYC-TA MOTHER CLARA HALE DEPOT	51	01/01/2007	C	2	NEW YORK	
MTA	3281	NYC-TA MOTHER CLARA HALE DEPOT	56A	01/01/2006	C	2	NEW YORK	
MTA	3282	NYC-TA MOTHER CLARA HALE DEPOT	56A	01/01/2007	C	2	NEW YORK	
MTA	3284	NYC-TA FLATBUSH BUS DEPOT	56A	01/01/1993	N3	2	KINGS	03/01/2020
MTA	3293	NYC-TA FLATBUSH BUS DEPOT	51	01/01/2007	C	2	KINGS	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3294	NYC-TA FLATBUSH BUS DEPOT	56A	01/01/2006	C	2	KINGS	
MTA	3295	NYC-TA ULMER PARK BUS DEPOT	51	01/01/1997	C	2	KINGS	
MTA	3305	NYC-TA ULMER PARK BUS DEPOT	51	01/01/2007	C	2	KINGS	
MTA	3306	NYC-TA YUKON BUS DEPOT	51	01/01/2007	C	2	RICHMOND	
MTA	3321	NYC-TA FRESH POND DEPOT	51	01/01/2007	C	2	QUEENS	
MTA	3322	NYC-TA QUEENS VILLAGE BUS DEPOT	51	01/01/1997	C	2	QUEENS	
MTA	3323	NYC-TA QUEENS VILLAGE BUS DEPOT	51	01/01/2007	C	2	QUEENS	
MTA	3325	NYC-TA 126 ST BUS DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2020
MTA	3326	NYC-TA 126 ST BUS DEPOT	51	01/01/2007	C	2	NEW YORK	06/01/2009
MTA	3327	NYC-TA 126 ST BUS DEPOT	56A	01/01/2006	C	2	NEW YORK	
MTA	3328	NYC-TA HUDSON PIER 57	56A	01/01/1993	N3	2	NEW YORK	03/01/2012
MTA	3329	NYCT BUS DEPOT 54TH ST	56A	01/01/1993	N3	2	NEW YORK	03/01/2020
MTA	3331	NYC-TA CASTLETON BUS DEPOT	56A	01/01/1993	N3	2	RICHMOND	03/01/2020
MTA	3333	NYC-TA KINGSBRIDGE BUS DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2020
MTA	3453	NYC-TA ULMER PARK BUS DEPOT	56A	01/01/1993	N3	2	KINGS	03/01/2020
MTA	3457	NYC-TA FRESH POND DEPOT	51	01/01/1997	C	2	QUEENS	
MTA	3471	NYC-TA AMSTERDAM BUS DEPOT	51	01/01/2007	C	2	NEW YORK	
MTA	3475	TBTA-VERRAZANO-NARROWS BRIDGE	56A	01/01/1999	N3	2	RICHMOND	03/01/2010
MTA	3477	MTA - MORRIS PARK YARD	34	01/01/2000	N2	2	QUEENS	12/31/2010
MTA	3478	MTA - MORRIS PARK YARD	56A	01/01/1989	N2	2	QUEENS	12/31/2011
MTA	3480	LIRR YARD WESTSIDE STORAGE	56	01/01/2004	N4	2	NEW YORK	12/31/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3481	LIRR HILLSIDE MAINTENANCE COMPLEX & HOLBAN YARD	56A	01/01/2003	N3	2	QUEENS	12/31/2009
MTA	3482	LIRR YARD WESTSIDE STORAGE	56	01/01/2004	C	2	NEW YORK	12/31/2010
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	56A	01/01/1989	N2	2	QUEENS	12/31/2009
MTA	3497	TBTA TUNNEL QUEENS MIDTOWN	56A	01/01/1999	N3	2	QUEENS	03/01/2010
MTA	3498	TBTA-TRIBOROUGH BRIDGE	56A	01/01/1999	N3	2	NEW YORK	03/01/2010
MTA	3499	TBTA-HENRY HUDSON BRIDGE	56A	01/01/1999	N3	2	NEW YORK	03/01/2010
MTA	3500	TBTA-CROSS BAY BOULEVARD BRIDGE	56A	01/01/1993	N3	2	QUEENS	03/01/2010
MTA	3501	TBTA-BRONX-WHITSTONE BRIDGE	56A	01/01/1993	N3	2	BRONX	03/01/2010
MTA	3502	TBTA-THROGS NECK BRIDGE	56A	01/01/1998	N3	2	BRONX	03/01/2010
MTA	3504	MINRR YARD MOTT HAVEN	56A	01/01/1998	N3	2	BRONX	04/30/2012
MTA	3604	LIRR YARD VALLEY STREAM	51A	01/01/1998	N2	1	NASSAU	12/31/2010
MTA	3606	LIRR SUBSTATION MASSAPEQUA PARK	56A	01/01/2005	N3	1	NASSAU	12/31/2009
MTA	3607	LIRR SUBSTATION MASSAPEQUA	51A	01/01/2000	N2	1	NASSAU	12/31/2010
MTA	3609	LIRR SUBSTATION BABYLON YARD	51A	01/01/2000	N4	1	SUFFOLK	12/31/2009
MTA	3610	LIRR SUBSTATION BABYLON YARD	56A	01/01/1993	N3	1	SUFFOLK	12/31/2009
MTA	3611	LIRR SUBSTATION FLORAL PARK	51A	01/01/2000	N2	1	NASSAU	12/31/2010
MTA	3612	LIRR SUBSTATION FLORAL PARK	56A	01/01/1997	N2	1	NASSAU	12/31/2010
MTA	3613	LIRR SUBSTATION HEMPSTEAD	51A	01/01/2000	N2	1	NASSAU	12/30/2010
MTA	3615	LIRR SUBSTATION HEMPSTEAD	56A	01/01/1993	N2	1	NASSAU	12/31/2010
MTA	3616	LIRR SUBSTATION BAYSIDE	56A	01/01/1996	C	2	QUEENS	12/31/2010
MTA	3617	LIRR SUBSTATION SHEA	51A	01/01/1998	N2	2	QUEENS	12/31/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	51A	01/01/2000	N2	1	NASSAU	12/31/2009
MTA	3620	LIRR SUBSTATION INWOOD	51A	01/01/1998	N2	1	NASSAU	12/31/2010
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	56A	01/01/1993	N2	1	NASSAU	12/31/2009
MTA	3623	LINDENHURST STATION	51A	01/01/2000	N2	1	SUFFOLK	12/31/2009
MTA	3625	LIRR SUBSTATION NASSAU BLVD	51A	01/01/2000	N2	1	NASSAU	12/31/2009
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	51A	01/01/2000	N2	1	NASSAU	12/30/2010
MTA	3629	LIRR SUBSTATION MANHASSET	51A	01/01/1998	N2	1	NASSAU	12/31/2010
MTA	3630	LIRR SUBSTATION MANHASSET	56A	01/01/1993	N2	1	NASSAU	11/01/2004
MTA	3632	LIRR SUBSTATION MERILLON AVE	56A	01/01/1996	N4	1	NASSAU	12/31/2009
MTA	3634	LIRR SUBSTATION WESTBURY	56A	01/01/1997	N4	1	NASSAU	12/30/2009
MTA	3642	ISLAND PARK SUBSTATION - LIRR	51A	01/01/2000	N2	1	NASSAU	12/31/2010
MTA	3644	LIRR SUBSTATION LITTLE NECK	51A	01/01/2000	N2	1	NASSAU	12/31/2009
MTA	3645	LIRR SUBSTATION WANTAGH	51A	01/01/2000	N4	1	NASSAU	12/31/2009
MTA	3646	MINRR MT VERNON EAST SUBSTATION A-23	56A	01/01/1998	N3	3	WESTCHESTER	06/30/2016
MTA	3699	NYC-TA MANHATTANVILLE DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2020
MTA	3700	NYC-TA MANHATTANVILLE DEPOT	51	01/01/2007	C	2	NEW YORK	
MTA	3702	NYC-TA MANHATTANVILLE DEPOT	56A	01/01/2002	N3	2	NEW YORK	12/31/2009
MTA	3707	NYCT - MICHAEL J QUILL BUS DEPOT	56A	01/01/2004	N3	2	NEW YORK	03/01/2020
MTA	3709	NYC-TA GUN HILL BUS DEPOT	56A	01/01/1993	N3	2	BRONX	03/01/2020
MTA	3710	NYC-TA GUN HILL BUS DEPOT	51	01/01/2007	C	2	BRONX	
MTA	3712	MTA BUS COLLEGE POINT	56A	01/01/2006	N4	2	QUEENS	12/31/2007

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3713	MTA BUS COLLEGE POINT	56A	01/01/2006	N4	2	QUEENS	12/31/2007
MTA	3719	LIRR ROW SUFFOLK	51A	01/01/2005	N2	1	SUFFOLK	12/31/2009
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	56A	01/01/1996	N2	2	QUEENS	
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	50A	01/01/2000	N2	1	SUFFOLK	12/31/2009
MTA	3739	ROCKVILLE CENTER CNG FACILITY	51	01/01/2006	N3	1	NASSAU	06/01/2009
MTA	3743	LIRR ROW SUFFOLK	51A	01/01/2005	N2	1	SUFFOLK	12/31/2009
MTA	3745	ROCKVILLE CENTER CNG FACILITY	56	01/01/2006	N3	1	NASSAU	06/01/2009
MTA	3746	NORMAN LEVY DEPOT	51	01/01/2006	N3	1	NASSAU	06/01/2009
MTA	3747	NORMAN LEVY DEPOT	56	01/01/2006	N3	1	NASSAU	06/01/2009
MTA	3748	LIRR YARD WESTSIDE STORAGE	56A	01/01/2007	N2	2	NEW YORK	06/01/2010
MTA	3750	23RD STREET PUMP ROOM	56A	01/01/2001	N3	2	NEW YORK	12/31/2009
MTA	3751	BEDFORD AND GRAND CONCOURSE TRACKS	56A	01/01/2001	N4	2	BRONX	06/01/2009
MTA	3753	MTA BUS COLLEGE POINT	56A	01/01/2006	N2	2	QUEENS	12/31/2012
MTA	3755	LIPA/Keyspan Spill (#0508539)	56A	01/01/2006	N2	1	NASSAU	12/31/2009
MTA	3757	METRO NORTH RR BREWSTER YARD	56A	01/01/2004	N3	3	PUTNAM	12/31/2010
MTA	3771	L I RR PLAZA PROPERTY	56A	01/01/2000	C	1	NASSAU	12/31/2009
MTA	3772	JFK DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3773	BAISLEY PARK DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3774	BAISLEY PARK DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3776	LIRR QUOGUE PROPERTY	56A	01/01/2000	C	1	SUFFOLK	12/31/2009
MTA	3777	NYCT ROCKAWAY PARK SUBSTATION	51A	01/01/2007	C	2	QUEENS	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3778	FAR ROCKAWAY DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3779	LIRR LIC PROPERTY NUMBER 1	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3798	LIRR SUBSTATION - FREEPORT	51	01/01/2007	N3	1	NASSAU	
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	56A	01/01/2007	N2	2	BRONX	12/31/2012
MTA	3800	MTA BUS COMPANY EASTCHESTER DEPOT	56A	01/01/2007	N4	2	BRONX	12/31/2007
MTA	3803	25TH ST STATION	56A	01/01/2007	N4	2	KINGS	07/01/2009
MTA	3881	LAGUARDIA DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3882	MTA METRO NORTH WHITE PLAINS	56A	01/01/1999	N3	3	WESTCHESTER	09/01/2011
MTA	3883	LIRR YARD MONTAUK	56A	01/01/1999	N3	1	SUFFOLK	12/31/2009
MTA	3884	LIRR YAPHANK PROPERTY	50A	01/01/1993	N2	1	SUFFOLK	12/31/2015
MTA	3885	MINRR ROW WESTCHESTER	50B	01/01/1990	N4	3	WESTCHESTER	03/30/2009
MTA	3886	MINRR ROW PUTNAM	50B	01/01/1990	N4	3	PUTNAM	03/30/2009
MTA	3887	MINRR ROW DUTCHESS	50B	01/01/1990	N4	3	DUTCHESS	03/30/2009
MTA	3888	GRAND CENTRAL #7 LINE TRACK	56A	01/01/2001	C	2	NEW YORK	12/31/2009
MTA	3951	LIRR SUBSTATION BAYSIDE	51A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3952	LIRR HILLSIDE MAINTENANCE COMPLEX & HOLBAN YARD	56A	01/01/2007	C	2	QUEENS	12/31/2009
MTA	3954	LIRR SUBSTATION NASSAU BLVD	56A	01/01/2007	N2	1	NASSAU	12/31/2009
MTA	3955	LIRR SUBSTATION INWOOD	56A	01/01/2007	N2	1	NASSAU	12/31/2010
MTA	3957	LIRR YARD VALLEY STREAM	56A	01/01/2007	N2	1	NASSAU	12/31/2010
MTA	3961	LIRR SUBSTATION BELLAIRE	51A	01/01/2007	N2	2	QUEENS	12/30/2010
MTA	3962	LIRR RICHMOND HILL ADVANCE AND RECEIVING YARDS	56A	01/01/2007	N3	2	QUEENS	12/30/2009

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3963	MTA - MORRIS PARK YARD	51	01/01/2007	N3	2	QUEENS	12/31/2009
MTA	3964	LIRR SUBSTATION HILLSIDE	56A	01/01/2007	C	2	QUEENS	
MTA	3965	LIRR SUBSTATION SAINT ALBANS	51A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3967	LIRR SUBSTATION MINEOLA	51A	01/01/2007	N2	1	NASSAU	12/31/2010
MTA	3968	NYC-TA 126 ST BUS DEPOT	51	01/01/2007	C	2	NEW YORK	12/31/2009
MTA	3969	LIRR SUBSTATION CEDAR MANOR	51A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3970	NYC-TA JAMAICA BUS DEPOT	58B	01/01/2007	N3	2	QUEENS	12/31/2009
MTA	3972	MTA - MORRIS PARK YARD	34	01/01/2007	N4	2	QUEENS	12/30/2009
MTA	3973	LIRR SUBSTATION SHEA	56A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3974	LINDENHURST STATION	56A	01/01/2007	N2	1	SUFFOLK	12/31/2009
MTA	3975	LIRR PATCHOGUE STATION	56A	01/01/2007	C	1	SUFFOLK	
MTA	3978	LIRR SUBSTATION KEW GARDENS	51A	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3979	LIRR BLDG JAMAICA MAIN	51	01/01/2007	N2	2	QUEENS	12/31/2010
MTA	3982	LIRR SUBSTATION - SEAFORD	51	01/01/2007	N3	1	NASSAU	
MTA	3983	LIRR SUBSTATION PORT WASHINGTON	56A	01/01/2007	N2	1	NASSAU	12/31/2010
MTA	3984	LIRR SUBSTATION - OCEAN AVENUE	51	01/01/2007	N3	1	NASSAU	
MTA	3985	METRO-NORTH RAILROAD HARMON YARD	56A	01/01/2007	N3	3	WESTCHESTER	05/31/2010
MTA	3986	LIRR ROW MAIN LINE (A K ALLEN)	51A	01/01/2007	N3	1	NASSAU	
MTA	3988	GERSHOW RECYCLING SPILL(#0509318)	56A	01/01/2007	N2	2	KINGS	12/31/2009
MTA	3989	LAGUARDIA DEPOT	51	01/01/2007	N3	2	QUEENS	
MTA	3992	MTA BUS COLLEGE POINT	51	01/01/2007	C	2	QUEENS	

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NFTA	3266	BUFFALO NIAGARA INTERNATIONAL AIRPORT	58	01/01/1999	N3	9	ERIE	12/31/2009
OBPA	3300	BRIDGE ADMINISTRATION BUILDING	56A	01/01/1991	N2	6	ST LAWRENCE	12/31/2008
OMH	3313	CENTRAL NEW YORK PSYCHIATRIC CENTER	15	01/01/2006	C	6	ONEIDA	
OMH	3316	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	C	2	QUEENS	
OMH	3320	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	C	2	QUEENS	
OMH	3335	KINGSBORO PSYCHIATRIC CTR	56	01/01/2006	N3	2	KINGS	05/01/2009
OMH	3336	NYS PILGRIM PSYCHIATRIC CENTER	50A	01/01/2000	N3	1	SUFFOLK	07/01/2010
OMH	3337	NYS PILGRIM PSYCHIATRIC CENTER	56A	01/01/2004	N3	1	SUFFOLK	12/31/2008
OMH	3339	SOUTH BEACH PSYCH CTR	56A	01/01/2000	C	2	RICHMOND	
OMH	3342	NYS ROCHESTER PSYCHIATRIC CENTER	56A	01/01/1998	N3	8	MONROE	06/01/2006
OMH	3344	NYS PSYCHIATRIC INSTITUTE	56	01/01/2006	C	2	NEW YORK	
OMH	3347	HUDSON RIVER PSYCHIATRIC CENTER	56	01/01/2004	N4	3	DUTCHESS	12/01/2008
OMH	3348	HUDSON RIVER PSYCHIATRIC CENTER	56A	01/01/2003	N3	3	DUTCHESS	12/31/2008
OMH	3383	CREEDMOOR PSYCHIATRIC CTR	56A	01/01/2006	C	2	QUEENS	
OMH	3560	HUDSON RIVER PSYCHIATRIC CENTER	50A	01/01/1999	N3	3	DUTCHESS	09/06/2007
OMH	3808	BRONX PSYCHIATRIC CENTER	56	10/31/2006	C	2	BRONX	
OMH	3809	Buffalo Psychiatric Center	56	08/03/2007	N3	9	ERIE	08/29/2008
OMH	3810	COOK/CHILL PRODUCTION CENTER	56	01/01/2006	C	3	ROCKLAND	
OMH	3811	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	C	2	QUEENS	
OMH	3819	CREEDMOOR PSYCHIATRIC CTR	56	10/31/2005	N3	2	QUEENS	12/01/2008
OMH	3821	CREEDMOOR PSYCHIATRIC CTR	56	05/30/2006	N2	2	QUEENS	12/31/2008

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OMH	3822	CREEDMOOR PSYCHIATRIC CTR	56	05/03/2008	N2	2	QUEENS	12/31/2008
OMH	3823	CREEDMOOR PSYCHIATRIC CTR	56	05/30/2008	N2	2	QUEENS	12/31/2008
OMH	3824	CREEDMOOR PSYCHIATRIC CTR	56	05/01/2008	N2	2	QUEENS	12/01/2008
OMH	3843	KINGSBORO PSYCHIATRIC CTR	56	01/01/2007	N2	2	KINGS	12/01/2008
OMH	3848	NYS PSYCHIATRIC INSTITUTE	56	10/01/2007	N3	2	NEW YORK	09/01/2008
OMH	3850	NYS PILGRIM PSYCHIATRIC CENTER	56	09/30/2006	N3	1	SUFFOLK	12/31/2008
OMH	3852	NYS PILGRIM PSYCHIATRIC CENTER	56	09/30/2006	N3	1	SUFFOLK	12/31/2008
OMH	3853	ROCKLAND PSYCHIATRIC CENTER	58	04/10/2007	N3	3	ROCKLAND	06/01/2009
OMH	3855	SAGAMORE CHILDRENS PSYCHIATRIC CENTER	56	10/01/2007	N4	1	SUFFOLK	09/30/2008
OMH	3856	NYS ST LAWRENCE PYSCHIATRIC CENTER	20	01/01/2007	N4	6	ST LAWRENCE	06/30/2008
OMH	3857	ROCKLAND PSYCHIATRIC CENTER	36A	01/01/2006	N4	3	ROCKLAND	06/30/2008
OMH	3858	SOUTH BEACH PSYCH CTR	56	10/01/2007	N3	2	RICHMOND	09/03/2008
OMH	3859	SOUTH BEACH PSYCH CTR	58	08/01/2007	N3	2	RICHMOND	06/30/2008
OMH	3871	NYS ST LAWRENCE PYSCHIATRIC CENTER	20	01/01/2007	C	6	ST LAWRENCE	06/30/2008
OMH	3873	QUEENS CHILDREN'S PSYCHIATRIC CENTER	58	01/01/2008	N3	2	QUEENS	10/31/2008
OMH	3874	QUEENS CHILDREN'S PSYCHIATRIC CENTER	01	01/01/2008	N3	2	QUEENS	05/28/2008
OMH	3875	KINGSBORO PSYCHIATRIC CTR	56A	01/01/2008	N4	2	KINGS	01/11/2009
OMH	3876	HUTCHINGS PSYCHIATRIC CENTER	56	01/01/2008	C	7	ONONDAGA	10/31/2008
OMRDD	3303	WEST SPARTA IRA	58	01/01/2005	N3	8	LIVINGSTON	07/01/2009
OMRDD	3304	TACONIC DDSO	50A	01/01/2001	N3	3	DUTCHESS	12/31/2009
OMRDD	3415	WESTERN NEW YORK DDSO	51A	01/01/2003	C	9	CATTARAUGUS	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
OMRDD	3554	TACONIC DDSO	58	01/01/2003	N4	3	DUTCHESS	03/01/2009
OMRDD	3892	NYS NEWARK DEVELOPMENTAL CENTER	51A	01/01/2007	C	8	WAYNE	03/12/2008
OMRDD	3893	NYS OMRDD HOSTEL HOME 1198	58	01/01/2007	N3	8	ONTARIO	07/01/2009
OMRDD	3999	WESTERN NEW YORK DDSO	50A	01/01/2008	N3	9	CATTARAUGUS	12/31/2009
OPRHP	3196	NYS ALLEGANY STATE PARK	56A	01/01/2001	C	9	CATTARAUGUS	12/31/2008
OPRHP	3197	NYS ALLEGANY STATE PARK	50A	01/01/1989	C	9	CATTARAUGUS	12/31/2008
OPRHP	3198	NYS ALLEGANY STATE PARK	58	01/01/2004	N3	9	CATTARAUGUS	12/31/2008
OPRHP	3200	STERLING FOREST STATE PARK	53A	01/01/1999	C	3	ORANGE	
OPRHP	3201	KINGS HIGHWAY GAS STATION	56A	01/01/2002	N1	3	ROCKLAND	07/30/2007
OPRHP	3202	KINGS HIGHWAY GAS STATION	58	01/01/2004	C	3	ROCKLAND	
OPRHP	3204	F.D. ROOSEVELT STATE PARK	56	01/01/2004	C	3	WESTCHESTER	
OPRHP	3205	F.D. ROOSEVELT STATE PARK	58	01/01/2006	N3	3	WESTCHESTER	03/29/2007
OPRHP	3206	F.D. ROOSEVELT STATE PARK	31	01/01/2004	C	3	WESTCHESTER	
OPRHP	3207	CEDAR POINT STATE PARK	59	01/01/1994	C	6	JEFFERSON	12/31/2008
OPRHP	3208	BELMONT LAKE STATE PARK	58	01/01/1992	N2	1	SUFFOLK	12/31/2008
OPRHP	3210	MONTAUK POINT STATE PARK	56	01/01/2002	N4	1	SUFFOLK	12/31/2008
OPRHP	3211	MONTAUK DOWNS STATE PARK	56A	01/01/2002	N2	1	SUFFOLK	12/31/2008
OPRHP	3212	PALISADES BEAR MOUNTAIN STP	56A	01/01/2006	C	3	ROCKLAND	
OPRHP	3213	NYS GRAFTON LAKES STATE PARK	53A	01/01/2003	N3	4	RENSSELAER	12/31/2008
OPRHP	3214	NYS GRAFTON LAKES STATE PARK	53	01/01/2002	C	4	RENSSELAER	
OPRHP	3215	VALLEY STREAM STATE PARK	58	01/01/2003	N3	1	NASSAU	03/31/2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
OPRHP	3216	NYS CHERRY PLAIN STATE PARK	53A	01/01/2003	N3	4	RENSSELAER	12/31/2008
OPRHP	3217	NYS CHERRY PLAIN STATE PARK	53	01/01/2002	C	4	RENSSELAER	
OPRHP	3218	JONES BEACH STATE PARK	56	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3219	JONES BEACH STATE PARK	58	01/01/2002	N2	1	NASSAU	12/31/2008
OPRHP	3220	PLANTING FIELDS ARBORETUM	56	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3221	JONES BEACH STATE PARK	58B	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3222	CAUMSETT STATE PARK	56	01/01/1992	N4	1	SUFFOLK	12/31/2008
OPRHP	3223	CAUMSETT STATE PARK	58	01/01/2003	N3	1	SUFFOLK	12/31/2008
OPRHP	3224	SAMPSON STATE PARK	50A	01/01/1989	N2	8	SENECA	12/31/2008
OPRHP	3225	SAMPSON STATE PARK	56	01/01/2006	N4	8	SENECA	12/31/2008
OPRHP	3238	PLANTING FIELDS ARBORETUM	58	01/01/2003	N3	1	NASSAU	12/31/2008
OPRHP	3239	CALEB SMITH STATE PARK	56	01/01/1992	N3	1	SUFFOLK	12/31/2008
OPRHP	3240	CAMP HERO STATE PARK	56	01/01/2002	N4	1	SUFFOLK	12/31/2008
OPRHP	3242	DELTA LAKE STATE PARK	56A	01/01/2003	N4	6	ONEIDA	
OPRHP	3243	NYS FAIR HAVEN BEACH STATE PARK	58	01/01/2003	N3	7	CAYUGA	12/31/2008
OPRHP	3244	NYS GREEN LAKES STATE PARK	50A	01/01/1991	N4	7	ONONDAGA	12/31/2008
OPRHP	3245	SUNKEN MEADOW STATE PARK	56	01/01/2002	N3	1	SUFFOLK	12/31/2008
OPRHP	3252	HITHER HILLS STATE PARK	56	01/01/1992	N2	1	SUFFOLK	03/31/2008
OPRHP	3253	HEMPSTEAD LAKE STATE PARK	58	01/01/2003	N3	1	NASSAU	12/31/2008
OPRHP	3254	HUDSON HIGHLANDS STATE PARK	53A	01/01/2003	N3	3	WESTCHESTER	12/31/2008
OPRHP	3255	LAKE ERIE STATE PARK	58	01/01/2000	N3	9	CHAUTAUQUA	12/31/2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
OPRHP	3256	NYS LAKESIDE BEACH STATE PARK	56A	01/01/1999	N3	8	ORLEANS	12/31/2008
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	50A	01/01/1990	N4	4	COLUMBIA	12/31/2008
OPRHP	3258	NYS LODI POINT STATE PARK	58	01/01/2005	N3	8	SENECA	04/30/2008
OPRHP	3260	MILLS NORRIE STATE PARK	50A	01/01/1993	N2	3	DUTCHESS	11/30/2008
OPRHP	3261	ORIENT BEACH STATE PARK	56	01/01/2002	N4	1	SUFFOLK	03/31/2008
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	46	01/01/1990	N2	7	OSWEGO	12/31/2008
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	53A	01/01/2003	N2	3	WESTCHESTER	03/31/2009
OPRHP	3264	WILDWOOD STATE PARK	56	01/01/1992	N3	1	SUFFOLK	
OPRHP	3267	DEWOLF POINT STATE PARK	58	01/01/2004	N3	6	JEFFERSON	
OPRHP	3268	TACONIC STATE PARK - BASHBISH FALLS	56	01/01/2004	C	4	COLUMBIA	12/31/2008
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	53A	01/01/1997	N2	3	ORANGE	01/01/2010
OPRHP	3369	PALISADES BEAR MOUNTAIN STP	31	12/15/2006	N4	3	ROCKLAND	12/31/2008
OPRHP	3370	KINGS HIGHWAY GAS STATION	56	02/23/2007	N2	3	ROCKLAND	12/31/2008
OPRHP	3391	MARK TWAIN STATE PARK	58	01/01/2006	C	8	CHEMUNG	03/01/2008
OPRHP	3410	Amherst State Park	58	01/01/2003	N3	9	ERIE	12/31/2008
OPRHP	3503	MINNEWASKA STATE PARK	50A	01/01/1990	N3	3	ULSTER	12/31/2008
OPRHP	3561	BRISTOL BEACH SP	56A	01/01/2005	N2	3	ULSTER	06/30/2008
OPRHP	3562	NYS GANONDAGAN STATE HISTORIC SITE	50A	01/01/2007	C	8	ONTARIO	12/31/2008
OPRHP	3742	STERLING FOREST STATE PARK	50A	01/01/2007	N3	3	ORANGE	03/31/2008
OPRHP	3744	CLERMONT STATE HISTORIC SITE	50A	01/01/2006	N3	4	COLUMBIA	03/31/2008
ORDA	3195	WHITEFACE MOUNTAIN SKI CENTER	58	01/01/2003	N3	5	ESSEX	10/01/2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
ORDA	3829	GORE MOUNTAIN SKI CENTER	58	01/01/2003	N3	5	WARREN	10/01/2008
PANYNJ	3265	LAGUARDIA AIRPORT	58	01/01/2004	N3	2	QUEENS	01/01/2009
PANYNJ	3371	LAGUARDIA AIRPORT	56	01/01/2007	N2	2	QUEENS	08/12/2008
PANYNJ	3409	PORT IVORY MARINE TERMINAL	56A	01/01/2006	N3	2	RICHMOND	12/31/2009
PANYNJ	3411	PATH TUNNELS A&B - WORLD TRADE CENTER	58	01/01/1993	N3	2	NEW YORK	09/30/2009
PANYNJ	3413	BROOKLYN MARINE TERMINALS PIERS 1-12	56	01/01/2003	C	2	KINGS	
PANYNJ	3414	BROOKLYN MARINE TERMINALS PIERS 1-12	56	01/01/1998	C	2	KINGS	
PANYNJ	3840	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/30/2008
PANYNJ	3841	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/30/2008
PANYNJ	3842	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/30/2008
PANYNJ	3844	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/30/2008
PANYNJ	3845	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/30/2008
PANYNJ	3846	PORT IVORY MARINE TERMINAL	56A	01/01/2004	N3	2	RICHMOND	12/31/2009
PANYNJ	3847	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	01/01/2007	N3	2	KINGS	05/01/2010
PANYNJ	3849	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	01/01/1990	C	2	KINGS	
PANYNJ	3851	RED HOOK MARINE TERMINAL	56	01/01/2002	C	2	KINGS	
PANYNJ	4004	JFK INTERNATIONAL AIRPORT	58	10/14/2007	N3	2	QUEENS	10/30/2007
PANYNJ	4005	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	02/20/2007	N3	2	KINGS	12/31/2010
RGRTA	3936	RGRTA	56A	06/01/2004	N3	8	MONROE	06/30/2009
SUNY	3352	BUFFALO STATE COLLEGE	58	01/01/2006	C	9	ERIE	
SUNY	3353	SUNY OLD WESTBURY	09	01/01/2005	C	1	NASSAU	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
SUNY	3358	SUNY AT STONY BROOK	56B	01/01/1996	N3	1	SUFFOLK	07/01/2012
SUNY	3404	SUNY AT STONY BROOK	56A	01/01/2007	N3	1	SUFFOLK	08/01/2010
SUNY	3408	SUNY AT STONY BROOK	56B	01/01/2004	N4	1	SUFFOLK	02/01/2010
SUNY	3412	SUNY FARMINGDALE	05	01/01/2005	N4	1	SUFFOLK	08/01/2008
SUNY	3552	SUNY AT NEW PALTZ	16	01/01/2005	C	3	ULSTER	
SUNY	3812	SUNY AT BUFFALO - SOUTH CAMPUS	50N	01/01/2008	C	9	ERIE	02/28/2009
SUNY	3813	SUNY BUFFALO NORTH CAMPUS	56	01/01/2008	N4	9	ERIE	10/31/2008
SUNY	3815	SUNY DOWNSTATE MEDICAL CENTER	05A	01/01/2008	C	2	KINGS	05/31/2008
SUNY	3816	SUNY AT ONEONTA	56	01/01/2008	N3	4	OTSEGO	12/31/2008
SUNY	3817	SUNY AT ONEONTA	56A	01/01/2008	C	4	OTSEGO	12/31/2008
SUNY	3818	SUNY AT ONEONTA	56B	01/01/2008	C	4	OTSEGO	12/31/2008
SUNY	3820	SUNY AT ONEONTA	58	01/01/2008	N3	4	OTSEGO	12/31/2008
SUNY	3825	SUNY AT ONEONTA	56B	01/01/2008	C	4	OTSEGO	12/31/2008
SUNY	3826	SUNY AT ONEONTA	58B	01/01/2008	N3	4	OTSEGO	12/31/2008
SUNY	3827	SUNY AT ONEONTA	58A	01/01/2008	N3	4	OTSEGO	12/31/2008
SUNY	3828	SUNY AT STONY BROOK	56B	01/01/2008	N3	1	SUFFOLK	06/30/2008
THRUWY	3181	NYS SCHUYLER SERVICE AREA	56A	01/01/1992	C	6	HERKIMER	
THRUWY	3182	NYS CLARENCE SERVICE AREA	56A	01/01/1993	N3	9	ERIE	12/30/2008
THRUWY	3183	NYS PORT BYRON SERVICE AREA	56A	01/01/1989	N3	7	CAYUGA	12/30/2010
THRUWY	3184	NYS WARNERS SERVICE AREA	56A	01/01/1990	N3	7	ONONDAGA	12/30/2010
THRUWY	3185	HARRIMAN MAINTENANCE FACILITY	56A	01/01/1993	N3	3	ORANGE	12/30/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
THRUWY	3186	MINT NYACK COMPLEX	56A	01/01/1996	N3	3	ROCKLAND	12/30/2010
THRUWY	3187	NYS PEMBROKE SERVICE AREA	58	01/01/2003	C	8	GENESEE	
THRUWY	3188	LANDFILL THRUWAY 272.7	56	01/01/2004	C	7	MADISON	
THRUWY	3189	NYS SLOATSBURG-RAMAPO SERVICE AREA	56A	01/01/1999	N4	3	ROCKLAND	12/30/2010
THRUWY	3190	MALDEN SERVICE AREA	56A	01/01/1992	N4	3	ULSTER	12/31/2009
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	56A	01/01/1993	N2	3	ROCKLAND	12/30/2010
THRUWY	3192	HERKIMER MAINTENANCE FACILITY	31	01/01/2004	N4	6	HERKIMER	05/07/2008
THRUWY	3193	WEEDSPORT MAINTENANCE FACILITY	56	01/01/2004	C	7	CAYUGA	
THRUWY	3194	WEEDSPORT MAINTENANCE FACILITY	31	01/01/2004	C	7	CAYUGA	
THRUWY	3226	SYRACUSE DIVISION HEADQUARTERS	56	01/01/2004	C	7	ONONDAGA	
THRUWY	3227	NYS SLOATSBURG-RAMAPO SERVICE AREA	56	01/01/2005	C	3	ROCKLAND	
THRUWY	3228	NYS PEMBROKE SERVICE AREA	56A	01/01/2005	N2	8	GENESEE	12/30/2010
THRUWY	3229	DIV-B MNT BATAVIA SECTION	56	01/01/2006	C	8	GENESEE	
THRUWY	3230	DIV B MNT WEST HENRIETTA SECT	56	01/01/2006	C	8	MONROE	
THRUWY	3231	NYS SCHUYLER SERVICE AREA	56	01/01/2007	C	6	HERKIMER	
THRUWY	3232	NYS ONEIDA SERVICE AREA	56	01/01/2007	C	6	ONEIDA	
THRUWY	3233	SYRACUSE DIVISION HEADQUARTERS	56	01/01/2007	C	7	ONONDAGA	
THRUWY	3234	NYS WARNERS SERVICE AREA	56	01/01/2007	N4	7	ONONDAGA	12/30/2010
THRUWY	3235	NYS WARNERS SERVICE AREA	56	01/01/2007	C	7	ONONDAGA	
THRUWY	3236	NYS PORT BYRON SERVICE AREA	56	01/01/2007	C	7	CAYUGA	
THRUWY	3237	NYS PORT BYRON SERVICE AREA	56	01/01/2007	C	7	CAYUGA	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
THRUWY	3416	DIV-B MNT BATAVIA SECTION	56A	01/01/1999	N2	8	GENESEE	12/30/2010
THRUWY	3417	DIV-S MNT MANCHESTER FACILITY	56A	01/01/1989	N3	8	ONTARIO	06/30/2009
THRUWY	3418	NYS THRUWAY EXIT 44	56A	01/01/1995	C	8	ONTARIO	
THRUWY	3419	SERVICE AREA-CHITTENANGO	56A	01/01/1992	N3	7	MADISON	09/30/2009
THRUWY	3420	SERVICE AREA - PLATTEKILL	56A	01/01/1993	N4	3	ORANGE	12/30/2009
THRUWY	3421	DIV-S MNT MANCHESTER FACILITY	31	01/01/2004	C	8	ONTARIO	
THRUWY	3422	DIV-S MNT MANCHESTER FACILITY	56	01/01/2004	C	8	ONTARIO	
THRUWY	3423	Service Area - De Witt	56A	01/01/1990	N3	7	ONONDAGA	03/31/2008
THRUWY	3424	ARDSLEY SERVICE AREA	56A	01/01/1999	N2	3	WESTCHESTER	03/31/2008
THRUWY	3425	MOHAWK SERVICE AREA	56A	01/01/1991	N4	4	MONTGOMERY	03/31/2009
THRUWY	3426	TAPPAN ZEE BRIDGE	31	01/01/2007	N4	3	WESTCHESTER	03/21/2007
THRUWY	3427	Service Area - De Witt	56	01/01/2007	C	7	ONONDAGA	
THRUWY	3428	IROQUOIS SERVICE AREA	56	01/01/2007	C	6	HERKIMER	
THRUWY	3429	CLIFTON SPRINGS SERVICE AREA	56	01/01/2007	C	8	ONTARIO	
THRUWY	3430	CLIFTON SPRINGS SERVICE AREA	56	01/01/2007	C	8	ONTARIO	
THRUWY	3431	JUNIOUS PONDS SERVICE AREA	56	01/01/2007	C	8	SENECA	
THRUWY	3432	JUNIOUS PONDS SERVICE AREA	56	01/01/2007	C	8	SENECA	
THRUWY	3433	SERVICE AREA-CHITTENANGO	56	01/01/2007	C	7	MADISON	
THRUWY	3434	SERVICE AREA-CHITTENANGO	56	01/01/2007	C	7	MADISON	
THRUWY	3899	CLIFTON SPRINGS SERVICE AREA	56A	03/11/2008	C	8	ONTARIO	
THRUWY	3900	JUNIOUS PONDS SERVICE AREA	56A	03/11/2008	C	8	SENECA	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
THRUWY	3901	ULSTER SERVICE AREA	56A	05/30/2006	N4	3	ULSTER	12/31/2009
THRUWY	3902	NYS PATTERSONVILLE SERVICE AREA	56A	08/14/2006	N4	4	MONTGOMERY	03/31/2009
THRUWY	3903	NYS NEW BALTIMORE SERVICE AREA	56A	05/24/2006	N4	4	GREENE	03/31/2009
THRUWY	3904	TARBELL ROAD MAINTENANCE YARD	56A	10/31/2007	N4	7	ONONDAGA	12/31/2009

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Table 13 - State Agencies and Public Authorities - DEC Actions for this Audit Cycle

Incident No: 3266	Agency: NFTA	Regulation ID: 58	Rank: N3
DEC Region: 9	Municipality: ERIE		
Location ID: 75028	Location Name: BUFFALO NIAGARA INTERNATIONAL AIRPORT		
Violation Date: 01/01/1999	Consent Order Date: 05/01/1998	Consent Order No: 98-35	
Violation Description:			
The facility still is having SPDES permit exceedances for BOD, although the magnitude is less. The NFTA is pursuing an engineered wetland for treatment			
Incident No: 3342	Agency: OMH	Regulation ID: 56A	Rank: N3
DEC Region: 8	Municipality: MONROE		
Location ID: 75037	Location Name: NYS ROCHESTER PSYCHIATRIC CENTER		
Violation Date: 01/01/1998	Consent Order Date: 11/08/1999	Consent Order No: STIP spill 9603899	
Violation Description:			
During tank removals completed by OGS project TR04217, gasoline contaminated soils were found at the tank excavation site. Spill No. 96-03899 was reported, & a response was initiated. 2002: Monitoring has been underway for approximately three years. A remediation system was installed and is in operation. Remediation was also completed in conjunction w/a hydraulic lift replacement system in the adjacent vehicle maintenance garage.			
Incident No: 3353	Agency: SUNY	Regulation ID: 09	Rank: C
DEC Region: 1	Municipality: NASSAU		
Location ID: 75329	Location Name: SUNY OLD WESTBURY		
Violation Date: 01/01/2005	Consent Order Date: 06/30/2005	Consent Order No: C01-20050406-7	
Violation Description:			
Although during our last audit process the College discovered that the lithographic printer in our print shop needed to be included on the State Facility Permit, the consultant who processed the permit paperwork did not include it. He was under the mistaken impression that it was an exempt/trivial activity. However, because the College is in an ozone non-attainment area, this is not the case. While doing the paperwork for this year's self audit, this error was discovered.			
Incident No: 3358	Agency: SUNY	Regulation ID: 56B	Rank: N3
DEC Region: 1	Municipality: SUFFOLK		
Location ID: 75003	Location Name: SUNY AT STONY BROOK		
Violation Date: 01/01/1996	Consent Order Date: 01/13/1998	Consent Order No: CO 1-3080 1-5962	
Violation Description:			
Ongoing subsurface investigation & remediation of #6 oil spill at West Campus heating plant.			
Incident No: 3365	Agency: ESD_UDC	Regulation ID: 51A	Rank: N3
DEC Region: 2	Municipality: QUEENS		
Location ID: 76963	Location Name: QUEENS WEST		
Violation Date: 01/01/2003	Consent Order Date: 02/08/2005	Consent Order No: 2-256145	
Violation Description:			
The Queens West Stage 2 site consists of a former oil refinery on the northern portion of the site, and as such, floating petroleum product was released during site demolition and excavation in 2004 and 2005. Primary contaminants of concern in the soil include elevated levels of volatile organic compounds (VOCs), semi-volatile organic compounds (SVOCs), petroleum, and metals (lead, arsenic, mercury, cadmium) above site specific soil action levels. Primary contaminants of concern in the groundwater include VOCs (benzene, tetrachloroethene) and locations with floating petroleum product layer on the groundwater.			

Incident No: 3367 **Agency:** ESD_UDC **Regulation ID:** 43 **Rank:** N3
DEC Region: 2 **Municipality:** QUEENS
Location ID: 76963 **Location Name:** QUEENS WEST
Violation Date: 04/10/2006 **Consent Order Date:** 09/26/2006 **Consent Order No:** R2-20060410-163

Violation Description:

The Queens West Master Plan includes the development of public waterfront open space. The shoreline enhancement work includes repair and reconstruction of existing rip-rap; reconstruction of timber bulkheads and relieving platforms; and construction of walkway, storm water outfalls, pile supported and cantilevered balconies. NYSDEC issued a tidal wetlands permit number 2-6304-00427/00005 for certain waterfront work on February 23, 2003. On or about April 4, 2006, NYSDEC identified several violations of that tidal wetlands permit, including: the temporary deposit of prohibited material for construction of a stormwater outfall in Stage 4; deposit of prohibited material in tidal wetlands adjacent area during the construction of the bulkhead in Stage 2; and the use of prohibited material to backfill a small depression in the inlet area of Stage 2. These violations have been resolved by a Consent Order dated 5/10/07 which required implementing certain mitigation measures and assessed a civil penalty in the amount of \$107,500, of which consent order required payment by QWDC of \$70,000 to the State of New York.

Incident No: 3370 **Agency:** OPRHP **Regulation ID:** 56 **Rank:** N2
DEC Region: 3 **Municipality:** ROCKLAND
Location ID: 75174 **Location Name:** KINGS HIGHWAY GAS STATION
Violation Date: 02/23/2007 **Consent Order Date:** 02/23/2007 **Consent Order No:** 3-99061

Violation Description:

The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.

Incident No: 3413 **Agency:** PANYNJ **Regulation ID:** 56 **Rank:** C
DEC Region: 2 **Municipality:** KINGS
Location ID: 806767 **Location Name:** BROOKLYN MARINE TERMINALS PIERS 1-12
Violation Date: 01/01/2003 **Consent Order Date:** 11/06/2002 **Consent Order No:** PBS 2-603004

Violation Description:

ON 11/6/02, NYSDEC ISSUED A CONSENT ORDER TO PBS PERMIT #2-603004. THE CONSENT ORDER WAS FOR FAILURE TO PERFORM TANK TIGHTNESS TESTING FOR FOUR (4) 4,000 GALLON UNDERGROUND STORAGE TANKS NUMBERED 11A, 11B, 11C, AND 11D IN ACCORDANCE WITH PBS REGULATIONS UNDER 6 NYCRR 613.5 SCHEDULE.

Incident No: 3512 **Agency:** DOT **Regulation ID:** 39 **Rank:** N4
DEC Region: 5 **Municipality:** FRANKLIN
Location ID: 807214 **Location Name:** REG.07 - HWY ROW FRANKLIN CO
Violation Date: 01/01/2006 **Consent Order Date:** 03/29/2006 **Consent Order No:** CO5-20060104-1

Violation Description:

2006: Tree removal operations necessary to enhance safety along the Rt. 3 ROW resulted in violations for work on State Forest Preserve lands as described in Items 26-29 of the Interagency Consent Order dated March 29, 2006 (CO5-20060104-1).

Incident No: 3552 **Agency:** SUNY **Regulation ID:** 16 **Rank:** C
DEC Region: 3 **Municipality:** ULSTER
Location ID: 75093 **Location Name:** SUNY AT NEW PALTZ
Violation Date: 01/01/2005 **Consent Order Date:** 02/28/2005 **Consent Order No:** R6-20020730-77

Violation Description:

Due to contractor performance problems and setbacks, the project to upgrade the campus generators to meet RACT requirements is not completed, construction has begun and is scheduled for completion by 11/06

Incident No: 3560 **Agency:** OMH **Regulation ID:** 50A **Rank:** N3
DEC Region: 3 **Municipality:** DUTCHESS
Location ID: 75088 **Location Name:** HUDSON RIVER PSYCHIATRIC CENTER
Violation Date: 01/01/1999 **Consent Order Date:** 08/01/2004 **Consent Order No:** 3-20030110-4

Violation Description:

Historically, there were SEVERAL SITES ON the GROUNDS of the Hudson River PC USED AS LANDFILLS BY the FACILITY, LOCAL MUNICIPALITY & NYSDOT. A PHASE II INVESTIGATION was COMPLETED BY the DEC in 3/91. CHARACTERIZING these SITES AS NON-HAZARDOUS (W/the EXCEPTION OF the PORTION OF SITE 6, which has been ADDRESSED & is REPORTED UNDER a SEPARATE VIOLATION). FURTHER INVESTIGATION WORK has been COMPLETED, W/DIRECTION provided BY DEC ON CLOSURE WORK REQUIRED.

Incident No: 3561 **Agency:** OPRHP **Regulation ID:** 56A **Rank:** N2
DEC Region: 3 **Municipality:** ULSTER
Location ID: 76928 **Location Name:** BRISTOL BEACH SP
Violation Date: 01/01/2005 **Consent Order Date:** 08/11/2004 **Consent Order No:** 3-2934/9706

Violation Description:

The following spill events remain open: Spill No. 9009587--10/22/90 unspecified quantity of #6 fuel oil was released from two 100,000 gallons (with 2 feet of sludge) and one 4,000 gallon (with 4 feet of product) leaking aboveground storage tanks. Surface (Hudson River) was affected. Spill No. 9613238--1/22/97 unknown petroleum spilled at Staple's backyard, unknown quantity affecting groundwater.

Incident No: 3816 **Agency:** SUNY **Regulation ID:** 56 **Rank:** N3
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18

Violation Description:

In a recent DEC inspection, several items of non-compliance were noted: Unsigned SPCC plan, color fill ports required labeling, high level gauges and alarms were incorrect, sumps were not cleaned, inventory system software required upgrading, monthly inspection procedures were not properly documented, unpainted tank, main fuel berm required inspection, containment for various small tanks required inspection and correction, #6 service tanks required inspection, paths of discharge need to be surveyed, inspected, mitigated, and permitted as required, including main tank berm water discharge.

Incident No: 3817 **Agency:** SUNY **Regulation ID:** 56A **Rank:** C
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18

Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: Spill required cleanup, soil samples from the spill needed to be taken, further mitigation may be required.

Incident No: 3818 **Agency:** SUNY **Regulation ID:** 56B **Rank:** C
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18

Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: The spill involved a discharge to Silver Creek via the campus storm sewer system as a result of a #6 oil leak in the Central Heating Plant piping while being tested for operation during a construction project. Spill cleanup required. Soil samples from the needed to be taken. Further mitigation may be required.

Incident No: 3820 **Agency:** SUNY **Regulation ID:** 58 **Rank:** N3
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18
Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in various buildings needed to be conducted, discrepancies need to be mitigated as required, the spill involved a discharge into Silver Creek via the campus storm sewer.

Incident No: 3825 **Agency:** SUNY **Regulation ID:** 56B **Rank:** C
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18
Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: Spill cleanup required. Spill involved a discharge of #6 oil into Silver Creek via the campus storm sewer system as a result of a leak during operational testing of equipment. Samples from the spill needed to be taken. Further mitigation may be required. SPCC required signature. Color fill ports required labeling. High level gauges/alarms required installation and upgrading. Gasoline and diesel tank inventory system software required upgrading. Leak detection in gasoline and diesel tanks required validation. Documentation of monthly generator tanks needed further detail. Newly installed tank required painting. Main fuel tank liner required inspection and testing. Small generator tank containment required inspection and mitigation. #6 service tanks required inspection. Paths of discharge need to be surveyed, inspected, permitted, and mitigated as required.

Incident No: 3826 **Agency:** SUNY **Regulation ID:** 58B **Rank:** N3
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18
Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in the building needed to be conducted to determine drainage to storm or sanitary sewer system. Discrepancies need to be mitigated as required. SPDES permits /fees need to be applied and paid for as required.

Incident No: 3827 **Agency:** SUNY **Regulation ID:** 58A **Rank:** N3
DEC Region: 4 **Municipality:** OTSEGO
Location ID: 811016 **Location Name:** SUNY AT ONEONTA
Violation Date: 01/01/2008 **Consent Order Date:** 02/25/2008 **Consent Order No:** R4-2008-0128-18
Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in various buildings needed to be conducted. Discrepancies need to be mitigated as required. SPDES permits need to be filed as required. SPDES fees must be paid as required.

Incident No: 3834 **Agency:** DOCS **Regulation ID:** 56 **Rank:** C
DEC Region: 5 **Municipality:** FULTON
Location ID: 811047 **Location Name:** HALE CREEK ASACT CENTER
Violation Date: 01/01/2008 **Consent Order Date:** 06/02/2008 **Consent Order No:** LER5-0308-705
Violation Description:

Violation 1. 613.4 (A)(1) No inventory records (10 day reconciliation) tanks 4 and 5. Violation 2. 614.9 (C) Inadequate surface coating - paint tank 2.

Incident No: 3851 **Agency:** PANYNJ **Regulation ID:** 56 **Rank:** C
DEC Region: 2 **Municipality:** KINGS
Location ID: 811092 **Location Name:** RED HOOK MARINE TERMINAL
Violation Date: 01/01/2002 **Consent Order Date:** 11/06/2002 **Consent Order No:** PBS 2-603004
Violation Description:

NYSDEC issued a consent order for failure to perform tank tightness testing for four 4000 gallon underground storage tanks numbered 11A, 11B, 11C, & 11D in accordance with PBS regulations 6 NYCRR 613.5 schedule for PBS permit #2-603004.

Incident No: 3877 **Agency:** ESD_UDC **Regulation ID:** 43 **Rank:** N3
DEC Region: 2 **Municipality:** QUEENS
Location ID: 76963 **Location Name:** QUEENS WEST
Violation Date: 04/04/2006 **Consent Order Date:** 09/26/2006 **Consent Order No:** r2-20060410-163

Violation Description:

The Queens West Master Plan includes the development of public waterfront open space. The shoreline enhancement work includes repair and reconstruction of existing rip-rap; reconstruction of timber bulkheads and relieving platforms; and construction of walkway, storm water outfalls, pile supported and cantilevered balconies. NYSDEC issued a tidal wetlands permit number 2-6304-00427/00005 for certain waterfront work on February 23, 2003. On or about April 4, 2005, NYSDEC identified several violations of that tidal wetlands permit, including: the temporary deposit of prohibited material for construction of a stormwater outfall in Stage 4; the deposit of prohibited material in tidal wetlands adjacent area during the construction of the bulkhead in Stage 2; and the use of prohibited material to backfill a small depression in the inlet area of Stage 2. These violations have been resolved by a Consent Order dated May 10, 2007 which required implementing certain mitigation measures and assessed a civil penalty in the amount of \$107,500, of which Consent Order required payment by QWDC of \$70,000 to the State of New York.

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Table 14 - Third Party Violations

Incident No: 3304	Agency: OMRDD	Regulation ID: 50A	Rank: N3
DEC Region: 3	Municipality: DUTCHESS		
Location ID: 75086	Location Name: TACONIC DDSO		
Violation Date: 01/01/2001	Third Party Name:		
Violation Description:			
2006: NYS has retained a consultant to investigate the extent of this landfill and determine the cleanup costs. During a land survey conducted last year, an existing landfill was identified which had been previously not known about.			
Incident No: 3404	Agency: SUNY	Regulation ID: 56A	Rank: N3
DEC Region: 1	Municipality: SUFFOLK		
Location ID: 75003	Location Name: SUNY AT STONY BROOK		
Violation Date: 01/01/2007	Third Party Name: LONG ISLAND UNIVERSITY		
Violation Description:			
Oil spill of #2 fuel oil at the Marine Science Center has not yet been cleaned up to the satisfaction of NYSDEC. Using a vacator truck remove the oil sheen on the water table has not completely remediated the spill.			
Incident No: 3413	Agency: PANYNJ	Regulation ID: 56	Rank: C
DEC Region: 2	Municipality: KINGS		
Location ID: 806767	Location Name: BROOKLYN MARINE TERMINALS PIERS 1-12		
Violation Date: 01/01/2003	Third Party Name: AMERICAN STEVEDORING INC		
Violation Description:			
ON 11/6/02, NYSDEC ISSUED A CONSENT ORDER TO PBS PERMIT #2-603004. THE CONSENT ORDER WAS FOR FAILURE TO PERFORM TANK TIGHTNESS TESTING FOR FOUR (4) 4,000 GALLON UNDERGROUND STORAGE TANKS NUMBERED 11A, 11B, 11C, AND 11D IN ACCORDANCE WITH PBS REGULATIONS UNDER 6 NYCRR 613.5 SCHEDULE.			
Incident No: 3414	Agency: PANYNJ	Regulation ID: 56	Rank: C
DEC Region: 2	Municipality: KINGS		
Location ID: 806767	Location Name: BROOKLYN MARINE TERMINALS PIERS 1-12		
Violation Date: 01/01/1998	Third Party Name: WATERFRONT COMMISSION OF NEW YORK HARBOR		
Violation Description:			
A 550 GALLON TENANT OPERATED UNDERGROUND STORAGE TANK THAT HAD BEEN PLACED PERMANENTLY OUT OF SERVICE HAD NOT BEEN PROPERLY CLOSED UNTIL 2/99.			
Incident No: 3415	Agency: OMRDD	Regulation ID: 51A	Rank: C
DEC Region: 9	Municipality: CATTARAUGUS		
Location ID: 806784	Location Name: WESTERN NEW YORK DDSO		
Violation Date: 01/01/2003	Third Party Name:		
Violation Description:			
IN 1986 OMRDD ACQUIRED PROPERTY AND WAS UNAWARE OF THE EXISTING TRICHOLOETHENE CONTAMINATION THAT EXISTED IN THE SOIL AND GROUNDWATER. TOTAL VOC CONCENTRATION IN GROUNDWATER RANGE FROM NON-DETECT TO PRIMETER WELLS TO 12,000 PPB BENEATH THE BUILDING (2003).			
Incident No: 3561	Agency: OPRHP	Regulation ID: 56A	Rank: N2
DEC Region: 3	Municipality: ULSTER		
Location ID: 76928	Location Name: BRISTOL BEACH SP		
Violation Date: 01/01/2005	Third Party Name:		
Violation Description:			
The following spill events remain open: Spill No. 9009587--10/22/90 unspecified quantity of #6 fuel oil was released from two 100,000 gallons (with 2 feet of sludge) and one 4,000 gallon (with 4 feet of product) leaking aboveground storage tanks. Surface (Hudson River) was affected. Spill No. 9613238--1/22/97 unknown petroleum spilled at Staple`s backyard, unknown quantity affecting groundwater.			

Incident No: 3721	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 76897	Location Name: LIRR LEASED PROPERTY WEN-LAR		
Violation Date: 01/01/1996	Third Party Name:		
Violation Description:			
Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.			
Incident No: 3724	Agency: MTA	Regulation ID: 50A	Rank: N2
DEC Region: 1	Municipality: SUFFOLK		
Location ID: 808499	Location Name: LIRR ROW MONTAUK BRANCH WESTHAMPTON		
Violation Date: 01/01/2000	Third Party Name:		
Violation Description:			
Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.			
Incident No: 3739	Agency: MTA	Regulation ID: 51	Rank: N3
DEC Region: 1	Municipality: NASSAU		
Location ID: 806891	Location Name: ROCKVILLE CENTER CNG FACILITY		
Violation Date: 01/01/2006	Third Party Name:		
Violation Description:			
The building and underground storage tanks are owned by the County of Nassau. The underground storage tank containing antifreeze has not been upgraded for secondary containment in accordance with Nassau County Health Department regulations. The specifications for the replacement of this system have been completed. The County is in the process of bidding this project. The tank recently passed tightness tested and is monitored on a daily basis.			
Incident No: 3742	Agency: OPRHP	Regulation ID: 50A	Rank: N3
DEC Region: 3	Municipality: ORANGE		
Location ID: 76888	Location Name: STERLING FOREST STATE PARK		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Debris consisting of asphalt, car parts, miscellaneous tanks, paint cans & drums identified under power lines near former International Niebel Co. Research Lab.			
Incident No: 3743	Agency: MTA	Regulation ID: 51A	Rank: N2
DEC Region: 1	Municipality: SUFFOLK		
Location ID: 808663	Location Name: LIRR ROW SUFFOLK		
Violation Date: 01/01/2005	Third Party Name:		
Violation Description:			
Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSDEC determined liquid contained hexavalent chromium.			
Incident No: 3744	Agency: OPRHP	Regulation ID: 50A	Rank: N3
DEC Region: 4	Municipality: COLUMBIA		
Location ID: 75330	Location Name: CLERMONT STATE HISTORIC SITE		
Violation Date: 01/01/2006	Third Party Name:		
Violation Description:			
There is an old Livingston landfill on the property. It contains cans, bottles, car parts, etc. and it has not been used since 1985, but has not been inspected by DEC or properly closed.			

Incident No: 3745 **Agency:** MTA **Regulation ID:** 56 **Rank:** N3
DEC Region: 1 **Municipality:** NASSAU
Location ID: 806891 **Location Name:** ROCKVILLE CENTER CNG FACILITY
Violation Date: 01/01/2006 **Third Party Name:**
Violation Description:
The buildings and underground storage tanks are owned by the County of Nassau. The underground storage tanks containing petroleum lubricants and heating oil have not been upgraded in accordance with Nassau County Health Department regulations. The specifications for the replacment of the tanks have been completed and the County is in the process of bidding the construction. The tanks recently passed tightness testing and are monitored on a daily basis.

Incident No: 3746 **Agency:** MTA **Regulation ID:** 51 **Rank:** N3
DEC Region: 1 **Municipality:** NASSAU
Location ID: 75345 **Location Name:** NORMAN LEVY DEPOT
Violation Date: 01/01/2006 **Third Party Name:**
Violation Description:
The buildings and underground storage tanks are owned by the County of Nassau. the underground storage tank containing antifreeze has not been upgraded for secondary containment piping in accordance with Nassau County Health Department regulations. The specifications for the replacment of the tanks have been completed by an engineering firm. The tank recently passed tightness testing and is monitored on a daily basis.

Incident No: 3747 **Agency:** MTA **Regulation ID:** 56 **Rank:** N3
DEC Region: 1 **Municipality:** NASSAU
Location ID: 75345 **Location Name:** NORMAN LEVY DEPOT
Violation Date: 01/01/2006 **Third Party Name:**
Violation Description:
The buildings and storage tanks are owned by the County of Nassau. The underground storage tanks containing petroleum and heating oil have not been upgraded for secondary containment in accordance with Nassau County Health Department regulations. Specifications for the replcement of the systems have been completed. The tanks recently passed tightness testing and are monitored on a daily basis.

Incident No: 3748 **Agency:** MTA **Regulation ID:** 56A **Rank:** N2
DEC Region: 2 **Municipality:** NEW YORK
Location ID: 76996 **Location Name:** LIRR YARD WESTSIDE STORAGE
Violation Date: 01/01/2007 **Third Party Name:**
Violation Description:
Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.

Incident No: 3750 **Agency:** MTA **Regulation ID:** 56A **Rank:** N3
DEC Region: 2 **Municipality:** NEW YORK
Location ID: 76900 **Location Name:** 23RD STREET PUMP ROOM
Violation Date: 01/01/2001 **Third Party Name:**
Violation Description:
Oil seeping into the pump room. DEC was notified, spill # 00-00120. DEC's contractor currently pumping out the oil as needed. DEC to determine source, and continue clean up. DEC may install an oil water separator at the site.

Incident No: 3751 **Agency:** MTA **Regulation ID:** 56A **Rank:** N4
DEC Region: 2 **Municipality:** BRONX
Location ID: 76901 **Location Name:** BEDFORD AND GRAND CONCOURSE TRACKS
Violation Date: 01/01/2001 **Third Party Name:**
Violation Description:
Seepage of heating oil into the subway tube. Reported to DEC (Spill # 00-04147). DEC investigating the source. A building above the the area of seepage is suspected. In addition DEC's contractor continues to perform clean up on an as needed basis.

Incident No: 3753	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808463	Location Name: MTA BUS COLLEGE POINT		
Violation Date: 01/01/2006	Third Party Name:		
Violation Description:			
Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557			
Incident No: 3755	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 1	Municipality: NASSAU		
Location ID: 808666	Location Name: LIPA/Keyspan Spill (#0508539)		
Violation Date: 01/01/2006	Third Party Name:		
Violation Description:			
An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.			
Incident No: 3771	Agency: MTA	Regulation ID: 56A	Rank: C
DEC Region: 1	Municipality: NASSAU		
Location ID: 75519	Location Name: L I R R PLAZA PROPERTY		
Violation Date: 01/01/2000	Third Party Name:		
Violation Description:			
Petroleum contamination due to neighboring commercial fuel oil storage facility. NYSDEC assigned Spill # 98-25224			
Incident No: 3772	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808970	Location Name: JFK DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686			
Incident No: 3773	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808971	Location Name: BAISLEY PARK DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Third party violation (Jerome Cooper) - oily water from bus engine wash determined to discharge to storm leaching basin. Discharge had occurred for several years prior to MTA takeover.			
Incident No: 3774	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808971	Location Name: BAISLEY PARK DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216			
Incident No: 3776	Agency: MTA	Regulation ID: 56A	Rank: C
DEC Region: 1	Municipality: SUFFOLK		
Location ID: 808974	Location Name: LIRR QUOGUE PROPERTY		
Violation Date: 01/01/2000	Third Party Name:		
Violation Description:			
The former tenant (Midhampton Asphalt) abandoned the lease and left drums of materials on-site that leaked and caused ground contamination.			

Incident No: 3778	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808977	Location Name: FAR ROCKAWAY DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.			
Incident No: 3779	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808978	Location Name: LIRR LIC PROPERTY NUMBER 1		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.			
Incident No: 3790	Agency: DEC	Regulation ID: 50A	Rank: C
DEC Region: 5	Municipality: WARREN		
Location ID: 76246	Location Name: LUZERNE RD SITE REMEDIATION SITE		
Violation Date: 01/01/2001	Third Party Name:		
Violation Description:			
RI/FS ongoing, includes installation of MW and soil removal from several private homes. RI expected.			
Incident No: 3795	Agency: DEC	Regulation ID: 46	Rank: C
DEC Region: 7	Municipality: OSWEGO		
Location ID: 75932	Location Name: DEER CREEK MARSH WMA		
Violation Date: 01/01/2005	Third Party Name:		
Violation Description:			
At least one or more existing and abandoned gas wells have not been properly plugged and closed as per DEC regulations. Original Third Party Violator was Pulaski Gas & Oil but a second unknown company purchased the wells and also left them improperly plugged.			
Incident No: 3796	Agency: DEC	Regulation ID: 54D	Rank: N2
DEC Region: 9	Municipality: ERIE		
Location ID: 76650	Location Name: LACKAWANNA CHANNEL FPP		
Violation Date: 01/01/1998	Third Party Name:		
Violation Description:			
In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.			
Incident No: 3797	Agency: DEC	Regulation ID: 54D	Rank: N2
DEC Region: 9	Municipality: ERIE		
Location ID: 810722	Location Name: LACKAWANNA FPP		
Violation Date: 01/01/1998	Third Party Name:		
Violation Description:			
Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.			
Incident No: 3799	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: BRONX		
Location ID: 809173	Location Name: MTA BUS COMPANY EASTCHESTER DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698			

Incident No: 3803	Agency: MTA	Regulation ID: 56A	Rank: N4
DEC Region: 2	Municipality: KINGS		
Location ID: 809188	Location Name: 25TH ST STATION		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Ongoing spill involving periodic seepage of oil onto platform and tracks on northbound side of the station. DEC Spill # 93-05122			
Incident No: 3849	Agency: PANYNJ	Regulation ID: 56A	Rank: C
DEC Region: 2	Municipality: KINGS		
Location ID: 811080	Location Name: BROOKLYN MARINE TERMINAL PIERS 6-12		
Violation Date: 01/01/1990	Third Party Name: AMERICAN STEVEDORING INC		
Violation Description:			
American Stevedoring leaked and spilled diesel fuel on the loading dock upland of Pier 8. Fuel leaked into an adjacent inlet drain. USCG, DEC, and PA staff responded and the spill was cleaned up by PA staff applying absorbent pads. DEC spill #9904263 was assigned at the time of spill incident. Due to failure of American Stevedoring staff's lack of response to spill incident, the DEC returned on 7/12/1999 and issued American Stevedoring a Notice of Violation, requiring court appearance on 8/2/1999.			
Incident No: 3851	Agency: PANYNJ	Regulation ID: 56	Rank: C
DEC Region: 2	Municipality: KINGS		
Location ID: 811092	Location Name: RED HOOK MARINE TERMINAL		
Violation Date: 01/01/2002	Third Party Name: AMERICAN STEVEDORING INC		
Violation Description:			
NYSDEC issued a consent order for failure to perform tank tightness testing for four 4000 gallon underground storage tanks numbered 11A, 11B, 11C, & 11D in accordance with PBS regulations 6 NYCRR 613.5 schedule for PBS permit #2-603004.			
Incident No: 3856	Agency: OMH	Regulation ID: 20	Rank: N4
DEC Region: 6	Municipality: ST LAWRENCE		
Location ID: 75021	Location Name: NYS ST LAWRENCE PYSCHIATRIC CENTER		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
St. Lawrence Psychiatric Center constructed a new road that impacted 0.1 acre of a federally-regulated wetland. C&S Engineers was hired to provide engineering consulting services. The US Army Corps of Engineers and the NYSDEC were consulted prior to the commencement of the project and were involved in the SEQR process. However, the final permit application process was not completed appropriately prior to the commencement of the project.			
Incident No: 3871	Agency: OMH	Regulation ID: 20	Rank: C
DEC Region: 6	Municipality: ST LAWRENCE		
Location ID: 75021	Location Name: NYS ST LAWRENCE PYSCHIATRIC CENTER		
Violation Date: 01/01/2007	Third Party Name: C & S ENGINEERS INC		
Violation Description:			
St. Lawrence Psychiatric Center constructed a new road that impacted 0.1 acre of federally-regulated wetland. C&S Engineers was hired to provide engineering consulting services. The US Army Corps of Engineers and the NYSDEC were consulted prior to the commencement of the project and were involved in teh SEQR process. However, the final permit application process was not completed appropriately prior to the commencement of the project and were involved in the SEQR process. However, the final permit application process was not completed appropriately prior to the commencement of the project.			
Incident No: 3881	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: QUEENS		
Location ID: 808973	Location Name: LAGUARDIA DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810			

Incident No: 3888	Agency: MTA	Regulation ID: 56A	Rank: C
DEC Region: 2	Municipality: NEW YORK		
Location ID: 812465	Location Name: GRAND CENTRAL #7 LINE TRACK		
Violation Date: 01/01/2001	Third Party Name:		
Violation Description:			
"A.K.A. "Steinway Tube Spill" Heating oil seeping into the subway tube and accumulating into a pump room. Reported to DEC (Spill # 00-10574). DEC's contractor conducting a clean up. A remediation system has been installed by DEC. Currently, DEC continues to monitor the system."			
Incident No: 3986	Agency: MTA	Regulation ID: 51A	Rank: N3
DEC Region: 1	Municipality: NASSAU		
Location ID: 808498	Location Name: LIRR ROW MAIN LINE (A K ALLEN)		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Furniture re-finisher stored drums of waste strippers and pigments at fence along right-of-way. Drums corroded and failed, releasing chemicals which flowed onto the right-of-way. Elevated levels of chromium contamination have been detected in the staining.			
Incident No: 3988	Agency: MTA	Regulation ID: 56A	Rank: N2
DEC Region: 2	Municipality: KINGS		
Location ID: 808665	Location Name: GERSHOW RECYCLING SPILL(#0509318)		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Gershow Recycling (Gershow) is located along the west side of the LIRR's Bayridge branch ROW. Their property borders the ROW for approximately 1/4 mile. Gershow processes waste automobiles by crushing them and shipping out the scrap metal in NY and Atlantic rail cars which collect the material along a siding. Liquid wastes from automobiles (gas, engine oil, antifreeze, etc) have leaked onto the ROW.			
Incident No: 3989	Agency: MTA	Regulation ID: 51	Rank: N3
DEC Region: 2	Municipality: QUEENS		
Location ID: 808973	Location Name: LAGUARDIA DEPOT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
After acquisition of property, it was determined that former methanol tanks were not closed properly by owner.			
Incident No: 3992	Agency: MTA	Regulation ID: 51	Rank: C
DEC Region: 2	Municipality: QUEENS		
Location ID: 808463	Location Name: MTA BUS COLLEGE POINT		
Violation Date: 01/01/2007	Third Party Name:		
Violation Description:			
Ethylene glycol tanks incorrectly registered on PBS registration. These tanks originally registered by property owner.			
Incident No: 4004	Agency: PANYNJ	Regulation ID: 58	Rank: N3
DEC Region: 2	Municipality: QUEENS		
Location ID: 811056	Location Name: JFK INTERNATIONAL AIRPORT		
Violation Date: 10/14/2007	Third Party Name:		
Violation Description:			
At Outfall #KP-2 (discharge to Jamaica Bay) laboratory analysis for Total Suspended Solids (TSS) indicated a level of 97 mg/L. The SPDES permit limit was 45 mg/L.			

Incident No: 4005 **Agency:** PANYNJ **Regulation ID:** 56A **Rank:** N3
DEC Region: 2 **Municipality:** KINGS
Location ID: 811080 **Location Name:** BROOKLYN MARINE TERMINAL PIERS 6-12
Violation Date: 02/20/2007 **Third Party Name:** AMERICAN STEVEDORING INC

Violation Description:

At Building 111 Refueling Station Underground Storage Tanks. As part of phast II environmental investigation of Piers 7-12 EDC contractor HDR-LMS took boring soil samples at the above location of 4 4000 gallon gasoline UST. On 2/20/07 sample results indicated excessive levels of Benzene, which was reported to the NYSDEC who assigned spill number 06-12595.

Table 15 - Remedial Plan Schedule Disapprovals by Agency, DEC Region, and Violation Location

AGENCY	DEC REG	COUNTY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK
CANALS	6	ONEIDA	3167	HEADQUARTERS - UTICA	56A	01/01/2002	N2
CANALS	6	ONEIDA	3180	HEADQUARTERS - UTICA	56	01/01/2003	N4
CANALS	8	WAYNE	3168	LOCK E-26	56A	01/01/1999	N3
CANALS	8	WAYNE	3170	LOCK E-29	56A	01/01/1999	N3
DOCS	7	CAYUGA	3930	NYS CAYUGA CORRECTIONAL FACILITY	56	01/01/2006	C
DOT	2	QUEENS	3667	NYS DOT-WHITESTONE EXPRESSWAY	43	01/01/2003	N2
DOT	2	RICHMOND	3507	REG.11 - HWY ROW RICHMOND CO	20	01/01/2002	N3
DOT	7	BROOME	3657	WHITNEY POINT REST AREA	58	01/01/2001	N2
DOT	7	TOMPKINS	3546	REG 3 HWY MNT ITHACA SUB RESIDENCY	58	01/01/1991	N2
MTA	2	QUEENS	3989	LAGUARDIA DEPOT	51	01/01/2007	N3
OMH	2	KINGS	3843	KINGSBORO PSYCHIATRIC CTR	56	01/01/2007	N2
OMH	7	ONONDAGA	3876	HUTCHINGS PSYCHIATRIC CENTER	56	01/01/2008	C
PANYNJ	2	QUEENS	3371	LAGUARDIA AIRPORT	56	01/01/2007	N2

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Table 16 - Current Status of N1 and N2 Violations from the Previous Audit Cycle

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DEC	3780	LITTLE POND PUBLIC CAMPGROUND	DELAWARE	4	C	01/01/1997	538,320.00	0.00	04/01/2010		Spillway deficiency.
DEC	3784	EDWARDS AVE CANOE PORTAGE	SUFFOLK	1	N3	01/01/2005	48,000.00	0.00		06/30/2010	This dam on the Peconic river is in poor condition and needs to be repaired before it fails. When the dam fails there will be negative impact on the freshwater wetlands. Also down stream dams could be overwhelmed causing more damage.
DEC	3785	SUMMIT CREW HEADQUARTERS	SCHOHARIE	4	C	01/01/1998	2,129,605.00	0.00	03/31/2010		Leakage to soil and ground water of pentachlorophenol & furans from lumber treatment process.
DEC	3790	LUZERNE RD SITE REMEDIATION SITE	WARREN	5	C	01/01/2001	30,000,000.00	0.00	05/25/2010		RI/FS ongoing, includes installation of MW and soil removal from several private homes. RI expected.
DEC	3796	LACKAWANNA CHANNEL FPP	ERIE	9	N2	01/01/1998	0.00	2,000,000.00		03/31/2013	In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.
DEC	3797	LACKAWANNA FPP	ERIE	9	N2	01/01/1998	6,300.00	1,200,000.00		03/31/2013	Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	-	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HAS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM FOR THE STATE FAIRGROUNDS.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM FOR THE STATE FAIRGROUNDS.
DOCS	3908	NYS FRANKLIN CORRECTIONAL FACILITY	FRANKLIN	5	C	N2	01/01/2007	0.00	0.00	08/30/2007		Garage 4,000 gallon unleaded fuel tank. Gasoline tank cracked. (Secondary) sensor failed.
DOCS	3925	NYS FRANKLIN CORRECTIONAL FACILITY	FRANKLIN	5	C	N2	01/01/2007	0.00	0.00	08/30/2007		Building #42, Powerhouse - 30,000 gal fuel tank (No. 2 Fuel Oil). Leak detection not working.
DOCS	3926	NYS FRANKLIN CORRECTIONAL FACILITY	FRANKLIN	5	C	N2	01/01/2007	0.00	0.00	01/21/2010	10/30/2008	Building #21, Powerhouse, 30,000 gal fuel tank - (#2 Fuel oil). Leak detection not operative.
DOCS	3933	NYS FRANKLIN CORRECTIONAL FACILITY	FRANKLIN	5	C	N2	01/01/2007	0.00	0.00	01/21/2010		Garage, 1,000 gal (diesel) tank. Outer containment cracked (Tank out of service).
DOT	3507	REG.11 - HWY ROW RICHMOND CO	RICHMOND	2	N3	N2	01/01/2002	0.00	0.00		12/31/2002	DEC visited site in 8-01, found: Clearing of vegetation and depositing of debris in freshwater wetland AR-7. Installing fence W/O permit. Excavation for fence footings. Site: Westshore Expressway ROW, S I (Blommingdale-Woodrow).
DOT	3507	REG.11 - HWY ROW RICHMOND CO	RICHMOND	2	-	N2	01/01/2002	0.00	0.00		12/31/2002	DEC visited site in 8-01, found: Clearing of vegetation and depositing of debris in freshwater wetland AR-7. Installing fence W/O permit. Excavation for fence footings. Site: Westshore Expressway ROW, S I (Blommingdale-Woodrow).
DOT	3538	REG 2 AVA RELOAD SITE	ONEIDA	6	N4	N2	01/01/2000	0.00	150,000.00		03/31/2010	2002: Contaminated soil from underground fuel tanks. Removed by OGS contractor. Contact person OGS 315-339-2820 Andy Jarmack. DOT contact person is Don Herring 518-457-2875. 2001: Petroleum contaminated soil encountered during Rt 26 construction project adjacent to the NYS DOT Ava Reload Site, assumed to be from the spill there.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	RANK 2008	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DOT	3538	REG 2 AVA RELOAD SITE	ONEIDA	6	N2	C	01/01/2000	0.00	150,000.00			2002: Contaminated soil from underground fuel tanks. Removed by OGS contractor. Contact person OGS 315-339-2820 Andy Jarmack. DOT contact person is Don Herring 518-457-2875. 2001: Petroleum contaminated soil encountered during Rt 26 construction project adjacent to the NYSDOT Ava Reload Site, assumed to be from the spill there.
DOT	3550	REG.02 HWY MINT ALDER CREEK	ONEIDA	6	N2	-	01/01/1998	500,000.00	0.00			Contamination of residential wells.
DOT	3550	REG.02 HWY MINT ALDER CREEK	ONEIDA	6	N2	N2	01/01/1998	500,000.00	0.00		03/31/2010	Contamination of residential wells.
DOT	3667	NYS DOT- WHITESTONE EXPRESSWAY	QUEENS	2	N2	-	01/01/2003	0.00	0.00		06/30/2012	On October 15,2003 DEC observed that Contractor of DOT placed at least 5 truck-loads of fill including gravel, into Flushing Creek; graded the fill so as to construct a gravel road; created visible plumes of gravel dust in Flushing Creek.
DOT	3667	NYS DOT- WHITESTONE EXPRESSWAY	QUEENS	2	N2	N2	01/01/2003	0.00	0.00		06/30/2008	On October 15,2003 DEC observed that Contractor of DOT placed at least 5 truck-loads of fill including gravel, into Flushing Creek; graded the fill so as to construct a gravel road; created visible plumes of gravel dust in Flushing Creek.
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	MONROE	8	N2	-	01/01/1998	9,335,000.00	4,100,000.00		12/31/2012	DOT acquired the former Scobell Chemical Facility as part of a construction project. Upon investigation as part of the construction project, the presence of high levels of hazardous contamination were identified.
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	MONROE	8	N2	N1	01/01/1998	9,335,000.00	4,100,000.00		03/31/2012	DOT acquired the former Scobell Chemical Facility as part of a construction project. Upon investigation as part of the construction project, the presence of high levels of hazardous contamination were identified.
MTA	3273	NYC-TA JACKIE GLEASON BUS DEPOT & 38TH ST YARD	KINGS	2	N2	C	01/01/1997	13,954.00	0.00			Failure to provide a permanent spill containment structure at the loading area for ethylene glycol tanks.
MTA	3295	NYC-TA ULMER PARK BUS DEPOT	KINGS	2	N2	C	01/01/1997	16,000.00	28,000.00			Failure to provide a permanent spill containment structure at the loading area for ethylene glycol tanks.
MTA	3306	NYC-TA YUKON BUS DEPOT	RICHMOND	2	N2	C	01/01/2007	50,107.00	0.00			Failure to provide a permanent spill containment structure at the loading area for ethylene glycol tanks.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3322	NYC-TA QUEENS VILLAGE BUS DEPOT	QUEENS	2	N2	01/01/1997	22,942.00	0.00			Failure to provide a permanent spill containment structure at the loading area for ethylene glycol tanks.
MTA	3476	MTA - MORRIS PARK YARD	QUEENS	2	N2	01/01/1989					*Two hazardous waste management units were required to be closed by the NYSDEC.
MTA	3477	MTA - MORRIS PARK YARD	QUEENS	2	N2	01/01/2000	690,330.00	482,354.00		12/31/2010	CHLOROFLUOROCARBON (CFC) CONTAMINATION OF GROUNDWATER WAS DISCOVERED DURING A REMEDIAL INVESTIGATION (RI) OF PETROLEUM CONTAMINATION. THE CFC SPILL IS OF INDETERMINATE AGE, PROBABLY RESULTING FROM PARTS CLEANING OPERATIONS THAT WERE CARRIED OUT ON THE PROPERTY UNTIL THE EARLY 1990'S.
MTA	3478	MTA - MORRIS PARK YARD	QUEENS	2	N2	01/01/1989	4,983,493.00	4,149,784.00		12/31/2011	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL LOCOMOTIVES. FUELING HAS BEEN DONE IN THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION IS AN ACCUMULATION FROM YRS OF OPERATION.
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	QUEENS	2	N2	01/01/1989	4,693,075.00	828,979.00		12/31/2009	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL PASSENGER TRAINS. FUELING HAS BEEN DONE AT THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION ACCUMULATED FROM YRS OF OPERATION
MTA	3604	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1998	194,974.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02017
MTA	3605	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1997					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3607	LIRR SUBSTATION MASSAPEQUA	NASSAU	1	N2	01/01/2000	444,393.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3609	LIRR SUBSTATION BABYLON YARD	SUFFOLK	1	N4	01/01/2000	550,366.00	10,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3610	LIRR SUBSTATION BABYLON YARD	SUFFOLK	1	N3	01/01/1993	2,000.00	0.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3611	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/2000	256,906.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3612	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/1997	3,075.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3613	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/2000	139,988.00	452,000.00		12/30/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3614	LIRR SUBSTATION BAYSIDE	QUEENS	2	-	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3615	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/1993	2,256.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3616	LIRR SUBSTATION BAYSIDE	QUEENS	2	C	01/01/1996	2,636.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3617	LIRR SUBSTATION SHEA	QUEENS	2	N2	01/01/1998	194,052.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF INDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION.
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	01/01/2000	148,166.00	452,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3619	LIRR SUBSTATION SHEA	QUEENS	2	-	01/01/1993					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3620	LIRR SUBSTATION INWOOD	NASSAU	1	N2	01/01/1998	134,736.00	452,000.00		12/31/2010	SOIL SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINING AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02015
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	01/01/1993	1,312.00	0.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3622	LIRR SUBSTATION INWOOD	NASSAU	1	-	01/01/1993					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3623	LINDENHURST STATION	SUFFOLK	1	N2	01/01/2000	149,143.00	452,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3624	LINDENHURST STATION	SUFFOLK	1	-	01/01/1993					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3625	LIRR SUBSTATION NASSAU BLVD	NASSAU	1	N2	01/01/2000	149,966.00	452,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3626	LIRR SUBSTATION NASSAU BLVD	NASSAU	1	-	01/01/1993					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	01/01/2000	156,716.00	452,000.00		12/30/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	-	01/01/1993					DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3629	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	01/01/1998	444,393.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-01527
MTA	3630	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	01/01/1993	0.00	0.00		11/01/2004	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3631	LIRR SUBSTATION BELLAIRE	QUEENS	2	N2	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3633	LIRR SUBSTATION MINEOLA	NASSAU	1	N2	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3636	LIRR SUBSTATION CEDAR MANOR	QUEENS	2	N2	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3639	LIRR SUBSTATION KEW GARDENS	QUEENS	2	N2	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3641	LIRR SUBSTATION SAINT ALBANS	QUEENS	2	N2	01/01/2000					PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3642	ISLAND PARK SUBSTATION - LIRR	NASSAU	1	N2	01/01/2000	429,388.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3644	LIRR SUBSTATION LITTLE NECK	NASSAU	1	N2	01/01/2000	158,466.00	452,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3645	LIRR SUBSTATION WANTAGH	NASSAU	1	N4	01/01/2000	6,100.00	0.00		12/31/2009	Chlordane was historically utilized at this site to prevent termite damage to underground high-voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3719	LIRR ROW SUFFOLK	SUFFOLK	1	N2	01/01/2005	2,500.00	2,342,800.00		12/31/2009	Pre-sampling of Smithtown Viaduct soil prior to a drainage project revealed lead levels above NYSDEC Guidance Values.
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	QUEENS	2	N2	01/01/1996	80,000.00	0.00			Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3723	LIRR ROW MAIN LINE (A K ALLEN)	NASSAU	1	N2	01/01/2000					Furniture re-finisher stored drums of waste strippers and pigments at fence along right-of-way. Drums corroded and failed, releasing chemicals which flowed onto the right-of-way. Elevated levels of chromium contamination have been detected in the staining.
MTA	3743	LIRR ROW SUFFOLK	SUFFOLK	1	N2	01/01/2005	0.00	0.00		12/31/2009	Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSDEC determined liquid contained hexavalent chromium.
MTA	3748	LIRR YARD WESTSIDE STORAGE	NEW YORK	2	N2	01/01/2007	10,200.00	0.00		06/01/2010	Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.
MTA	3753	MTA BUS COLLEGE POINT	QUEENS	2	N2	01/01/2006	85,000.00	550,000.00		12/31/2012	Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557
MTA	3755	LIPA/Keyspan Spill (#0508539)	NASSAU	1	N2	01/01/2006	0.00	0.00		12/31/2009	An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave. in Malverne, NY.
MTA	3757	METRO NORTH RR BREWSTER YARD	PUTNAM	3	N3	01/01/2004	75,372.00	25,000.00		12/31/2010	Investigation of sump showed diesel fuel. Structures Department tried to fix piping connection and discovered boot connection failure. Spill #0301795.
MTA	3766	LIRR SUBSTATION BELLAIRE	QUEENS	2	N2	01/01/2000					Freight division of LIRR leased property to firm for use in staging clean fill. Lessee has since deposited C&D material on-site and then abandoned the lease.
MTA	3771	LIRR PLAZA PROPERTY	NASSAU	1	C	01/01/2000	215,000.00	250,000.00		12/31/2009	Petroleum contamination due to neighboring commercial fuel oil storage facility. NYSDEC assigned Spill # 98-25224
MTA	3776	LIRR QUOGUE PROPERTY	SUFFOLK	1	C	01/01/2000	0.00	2,000.00		12/31/2009	The former tenant (Midhampton Asphalt) abandoned the lease and left drums of materials on-site that leaked and caused ground contamination.
MTA	3798	LIRR SUBSTATION - FREEPORT	NASSAU	1	N3	01/01/2007	1,000.00	0.00			Chlordane was historically utilized at this site to prevent termite damage to underground high voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	BRONX	2	N2	N2	01/01/2007	100,000.00	1,200,000.00		12/31/2012	Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698
MTA	3801	LIRR SUBSTATION - OCEAN AVENUE	NASSAU	1	-	N2	01/01/2007					Chlordane was historically utilized at this site to prevent termite damage to underground high voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3802	LIRR SUBSTATION - SEAFORD	NASSAU	1	-	N2	01/01/2007					Chlordane was historically utilized at this site to prevent termite damage to underground high voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3881	LAGUARDIA DEPOT	QUEENS	2	N2	N2	01/01/2007	0.00	300,000.00		12/31/2010	Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810
MTA	3884	LIRR YAPHANK PROPERTY	SUFFOLK	1	N2	N2	01/01/1993	1,455,925.00	8,617,400.00		12/31/2015	"IN THE 1950S AND 1960S, THE LIRR CREATED A LANDFILL ADJACENT TO THE MAIN LINE BRANCH IN YAPHANK WHICH NOW IS AN INACTIVE LANDFILL."
MTA	3885	MNRR ROW WESTCHESTER	WESTCHESTER	3	N4	N1	01/01/1990	133,679.00	250,000.00		03/30/2009	Disposal of construction and demolition debris (ie, railroad ties) without a 6 NYCRR Part 360 permit.
OMH	3334	HUTCHINGS PSYCHIATRIC CENTER	ONONDAGA	7	-	N2	01/01/2006					Missing check valve on pump filled tanks.
OMH	3343	ROCKLAND PSYCHIATRIC CENTER	ROCKLAND	3	-	N2	01/01/1998					Surface and groundwater analysis completed on-site detected as much as 1600 ppb of TCE. Sampling in nearby streams detected levels of non detect to 3ppb. A site investigation has been progress since the discovery in March 1998. It is believed that the TCE in the groundwater is the result of poor practices in an on-site dry cleaning operation in the 1960's. A sump pump along side a holding tank at the sewage pumping station was discharging TCE contaminated groundwater to a stream when it was discovered in March 1998. Since that time, the discharge is to the sanitary system in concentrations well within the limits accepted by the municipal treatment facility. Further investigation and cleanup is warranted.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OMH	3386	BRONX PSYCHIATRIC CENTER	BRONX	2	-	N2	01/01/2006					PCB contaminated concrete was discovered in two electrical vault rooms in Building 1 at the Bronx PC. The contamination apparently resulted from the operation of PCB containing electrical equipment prior to their removal.
OPRHP	3197	NYS ALLEGANY STATE PARK	CATTARAUGUS	9	C	N2	01/01/1989	1,119,000.00	400,000.00	03/29/2010	12/31/2008	One (1) inactive landfill (Cricks Run) has not been properly closed per Part 360 regulations. There is concern for possible surface water and shallow ground water contamination. Parallel Landfill was closed in 2001 and Bova Landfill was closed 2003.
OPRHP	3200	STERLING FOREST STATE PARK	ORANGE	3	C	N2	01/01/1999	1,200,000.00	0.00			Little Dam Lake Dam: New dam and spillway construction completed. Letter of completion sent to Scott Sheeley DEC Region 3 on 9/1/05.
OPRHP	3201	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N1	N1	01/01/2002	0.00	250,000.00		07/30/2007	Exxon gas tanks were discovered leaking in 1989. Groundwater remediation pump & treat systems installed in 1990 has been inoperative & in need of extensive repairs or replacement since May of 1999. The adjacent United Water Company Sparkill Well Field has been shut down since 1990 as a result of the groundwater contamination. SPDES permitting requirements could not be met. SPDES permit has been deleted 10/04.
OPRHP	3202	KINGS HIGHWAY GAS STATION	ROCKLAND	3	C	N2	01/01/2004	0.00	0.00			Groundwater remediation system had been shut down since Dec. 1999. System had not been discharging. SPDES permit discontinuance was requested and permit was discontinued October 21, 2004.
OPRHP	3208	BELMONT LAKE STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	20,000.00		12/31/2008	2006: The floor drains beneath the maintenance building have been sealed. Consultant is testing dry wells for contamination.
OPRHP	3211	MONTAUK DOWNS STATE PARK	SUFFOLK	1	N2	N1	01/01/2002	0.00	40,000.00		12/31/2008	Fuel oil spill.
OPRHP	3214	NYS GRAFTON LAKES STATE PARK	RENSSELAER	4	C	N2	01/01/2002	0.00	0.00			2006: Plans for rehabilitation work on Long Pond Dam did not get submitted to DEC Dam Safety Unit for review and approval. Future plans will be submitted under regulation 53A. OPRHP did not submit plans for the replacement of the outlet valve for Long Pond Dam to the DEC Dam Safety Unit for review and approval.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2008	RANK 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OPRHP	3217	NYS CHERRY PLAIN STATE PARK	RENSSELAER	4	C	N2	01/01/2002	0.00	0.00			2006: Plans for rehabilitation work on Black Creek Dam did not get submitted to DEC Dam Safety Unit for review and approval. OPRHP did not submit plans for the replacement of the outlet valve for Black Creek Dam to DEC Dam Safety Unit for review & approval.
OPRHP	3219	JONES BEACH STATE PARK	NASSAU	1	N2	N2	01/01/2002	0.00	0.00		12/31/2008	Floor drains.
OPRHP	3224	SAMPSON STATE PARK	SENECA	8	N2	N2	01/01/1989	0.00	1,000,000.00		12/31/2008	NYSDEC is actively attempting to have the federal government assume cleanup responsibilities. Parks is working with DEC to rectify situation. 1999: 2A inactive hazardous waste site 2002: This is an inactive hazardous waste landfill, listed as a 2A by DEC in the Inactive Hazardous Waste Site Registry. This is not a solid waste violation and is not covered under any of the laws/regulations included in the DEC NYS Agency Environmental Audit Guidance Manual. DEC, Parks & Army Corps still discussing responsibility, DEC contact person is Valerie Woodward (518) 402-9564.
OPRHP	3245	SUNKEN MEADOW STATE PARK	SUFFOLK	1	N3	N2	01/01/2002	0.00	500,000.00		12/31/2008	Two petroleum tanks to be removed and eight petroleum tanks to be replaced: 12, 4, 9, 10, 13, 15, 16, and 17.
OPRHP	3252	HITHER HILLS STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	200,000.00		03/31/2008	Tank #5, 6 and 9 need to be replaced.
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	COLUMBIA	4	N4	N2	01/01/1990	3,894.00	385,014.00		12/31/2008	2006: Inactive landfill has not been closed. Landfill did not receive any garbage. Inactive landfill has not been closed. Landfill received garbage. 2002: Inactive landfill has not been closed. Landfill did not receive garbage.
OPRHP	3260	MILLS NORRIE STATE PARK	DUTCHESS	3	N2	N2	01/01/1993	4,403.00	403,000.00		11/30/2008	Abandoned Landfill needs to be properly closed; it has not received any new material.
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	OSWEGO	7	N2	N2	01/01/1990	94,000.00	50,000.00		12/31/2008	All the natural gas wells on property have not been plugged and capped.
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	WESTCHESTER	3	N2	N2	01/01/2003	22,700.00	249,900.00		03/31/2009	Swan lake dam, ID#214-0922 spillway configuration and capacity was deemed inadequate
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	ORANGE	3	N2	N2	01/01/1997	0.00	4,000,000.00		01/01/2010	Sebago Dam is a high hazard dam. The existing spillway is inadequate and does not meet current standards. An auxiliary spillway is needed to meet current flood capacity.

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OPRHP	3370	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N2	N2	02/23/2007	0.00	5,500.00		12/31/2008	The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.
OPRHP	3561	BRISTOL BEACH SP	ULSTER	3	N2	N2	01/01/2005	191,054.00	212,000.00		06/30/2008	The following spill events remain open: Spill No. 9009587--10/22/90 unspecified quantity of #6 fuel oil was released from two 100,000 gallons (with 2 feet of sludge) and one 4,000 gallon (with 4 feet of product) leaking aboveground storage tanks. Surface (Hudson River) was affected. Spill No. 9613238--1/22/97 unknown petroleum spilled at Staple 's backyard, unknown quantity affecting groundwater.
PANYNJ	3411	PATH TUNNELS A&B - WORLD TRADE CENTER	NEW YORK	2	-	N2	01/01/1993	60,000.00	200,000.00		09/01/2010	2007 - 6/7/06, 9/12/06, 11/16/06, 2/27/07 - exceeded daily maximum for TSS 2006 - Facility Name - Path Tunnels A and B, located in New York County. In 3rd quarter CY2000, exceeded limits for TSS and BOD. In 4th quarter CY2000, exceeded limits for TSS, BOD and Oil and Grease. In 1st quarter CY2001, exceeded limit for BOD 2002: May 31, 2001 - Exceeded the daily maximum for TSS; June 14, 2001 - Exceeded the daily maximum for BOD; November 29, 2001 - Exceeded the daily maximum for TSS.
PANYNJ	3411	PATH TUNNELS A&B - WORLD TRADE CENTER	NEW YORK	2	N3	N2	01/01/1993	60,000.00	200,000.00		09/30/2009	2007 - 6/7/06, 9/12/06, 11/16/06, 2/27/07 - exceeded daily maximum for TSS 2006 - Facility Name - Path Tunnels A and B, located in New York County. In 3rd quarter CY2000, exceeded limits for TSS and BOD. In 4th quarter CY2000, exceeded limits for TSS, BOD and Oil and Grease. In 1st quarter CY2001, exceeded limit for BOD 2002: May 31, 2001 - Exceeded the daily maximum for TSS; June 14, 2001 - Exceeded the daily maximum for BOD; November 29, 2001 - Exceeded the daily maximum for TSS.
SUNY	3553	SUNY AT NEW PALTZ	ULSTER	3	-	N2	01/01/2005					Due to ongoing problems with the campus generator upgrade project, the Central Heating Plant switched from #6 oil to #2 oil to help with emission levels. Due to campus heating requirements and generator availability, the fuel tanks could not be cleaned and inspected at the time.

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THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N3	N2	01/01/1996	98,000.00	50,000.00		12/30/2010	Reported petroleum spills are not cleaned up to NYSDEC standards.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N2	N2	01/01/1996	121,000.00	50,000.00		12/30/2010	Reported petroleum spills are not cleaned up to NYSDEC standards.
THRUWY	3189	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N4	N2	01/01/1999	705,000.00	88,000.00		12/30/2010	Reported spills are not cleaned up to NYSDEC standards.
THRUWY	3189	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N4	N2	01/01/1999	705,000.00	88,000.00		12/30/2010	Reported spills are not cleaned up to NYSDEC standards.
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N2	N2	01/01/1993	70,000.00	250,000.00		12/30/2010	Reported Petroleum spills are not closed by NYSDEC. Site monitoring continues. 2001: Add'l upgrades in '00 found areas of contaminated soil. Upgrade to N2 rank based on findings.
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N2	N2	01/01/1993	77,000.00	250,000.00		12/30/2010	Reported Petroleum spills are not closed by NYSDEC. Site monitoring continues. 2001: Add'l upgrades in '00 found areas of contaminated soil. Upgrade to N2 rank based on findings.
THRUWY	3228	NYS PEMBROKE SERVICE AREA	GENESEE	8	C	N2	01/01/2005	30,000.00	500,000.00	03/01/2010	12/30/2010	Petroleum Spill currently not remediated to NYSDEC standards. Subsurface investigations to continue in 2005. NYSDEC Spill Number 8909829. Additional sub-surface investigations completed in 2006.
THRUWY	3228	NYS PEMBROKE SERVICE AREA	GENESEE	8	N2	N2	01/01/2005	30,000.00	500,000.00	03/01/2010	12/30/2010	Petroleum Spill currently not remediated to NYSDEC standards. Subsurface investigations to continue in 2005. NYSDEC Spill Number 8909829. Additional sub-surface investigations completed in 2006.
THRUWY	3234	NYS WARNERS SERVICE AREA	ONONDAGA	7	N4	N2	01/01/2007	0.00	0.00		12/30/2010	pump sumps not being maintained(water).
THRUWY	3234	NYS WARNERS SERVICE AREA	ONONDAGA	7	N3	N2	01/01/2007	0.00	0.00		12/30/2010	pump sumps not being maintained(water).
THRUWY	3419	SERVICE AREA-CHITTENANGO	MADISON	7	N3	N2	01/01/1992	0.00	100,000.00		09/30/2009	2001: Tanks removed in 1992. Quarterly reports indicate area, concentrations. 2000: Groundwater & soil contamination due to leaking underground petroleum bulk storage tanks.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2008	RANK 2007	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
THRUWY	3419	SERVICE AREA- CHITTENANGO	MADISON	7	N3	N2	01/01/1992	0.00	100,000.00		09/30/2009	2001: Tanks removed in 1992. Quarterly reports indicate area, concentrations.2000: Groundwater & soil contamination due to leaking underground petroleum bulk storage tanks.
THRUWY	3423	Service Area - De Witt	ONONDAGA	7	N4	N2	01/01/1990	0.00	25,000.00		03/31/2008	2001: Tanks removed in 1990. Quarterly reports delineate area affected & concentrations.2000: Contamination of groundwater and soil due to leaking underground petroleum bulk storage tanks.
THRUWY	3423	Service Area - De Witt	ONONDAGA	7	N3	N2	01/01/1990	0.00	25,000.00		03/31/2008	2001: Tanks removed in 1990. Quarterly reports delineate area affected & concentrations.2000: Contamination of groundwater and soil due to leaking underground petroleum bulk storage tanks.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	308,000.00	100,000.00		03/31/2008	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	324,000.00	100,000.00		03/31/2008	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.

Appendices

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Appendix A

NYSDEC Division of Environmental Permits, Program Information

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Appendix A: NYSDEC Division of Environmental Permits, Program Information

(Updated 8/1/2010)

Pollution Prevention

Traditionally, the approach to environmental protection has been through laws and regulations seeking to control the discharge of waste to specific media (air, water and land) following waste generation. Although this approach was successful in making significant improvements to environmental quality, much more can be done to reduce releases to the environment through efforts to eliminate pollution at the source.

In order to further pollution prevention efforts, partnerships can be developed between the State agencies and the Department of Environmental Conservation (DEC) to prevent pollution at the source. The results of these efforts will be greater environmental protection and real cost savings to the agencies implementing pollution prevention programs.

Specific benefits from implementing pollution prevention initiatives include:

- Reduced costs associated with using fewer raw materials,
- Reduced costs associated with less waste needing to be managed (i.e., treated or disposed),
- Reduced costs associated with less waste needing to be transported,
- Reduced costs associated with fewer waste streams needing to be permitted,
- Reduced exposure to liability costs and cleanup costs associated with spills, and
- Reduced administrative costs to manage waste streams.

Environmental Management System (EMS)

An EMS can provide a structure to manage, assess, and continuously improve the effectiveness and efficiency of the management of their environmental activities. An EMS approach builds in periodic review by top management and emphasizes continuous improvement instead of crisis management. The systematic nature of the EMS allows an organization to focus on implementation and take a more inclusive and proactive view of environmental protection. A properly developed and implemented EMS will improve environmental compliance and help to identify and implement pollution prevention opportunities.

State agencies are encouraged to work with DEC to promote pollution prevention and to develop and implement an EMS to help improve environmental programs. The DEC has a Commissioner's Policy to promote the use of EMS. A component of this policy requires the DEC's Pollution Prevention Unit and Division of Operations to pilot the use of EMSs at DEC facilities. The DEC initiated this effort by implementing an EMS at the Godfrey Point Sign Shop. A team, which included staff from DEC's Pollution Prevention Unit, Division of Operations, and Region 6, completed an EMS Manual for the Godfrey Point Sign Shop in August 2006. The manual consists of 13 Chapters (Standard Operating Procedures) and 17

Appendices. Copies of the Manual were distributed to the Team in November 2006. The team also completed an EMS Calendar of key events, and a list of EMS tasks that are periodic and a list of “as needed” tasks. The EMS has been functioning since mid 2006, but officially commenced operation in January 2007.

Working with the Division of Public Affairs, a two-page EMS case study documenting the Department’s experience at Godfrey Point, was produced in December 2006. It summarizes the entire EMS design and implementation process at Godfrey Point. Part of the implementation during 2005 and 2006 included a multi-media inspection by DEC Regional Quality Units which resulted in the discovery of two non-compliance situations at Godfrey Point. These were brought into compliance in 2006 by the installation of a tight tank to collect industrial waste water and the acquisition and use of a portable berm to ensure that no spillage impacting the water table during fueling activities of the gas and diesel tanks would occur.

Contact Information

The Pollution Prevention Unit may be contacted at 518-402-9469, or information may be obtained by checking the Unit’s technical assistance resources website:

<http://www.dec.ny.gov/chemical/936.html>.

Appendix B

State Agency Environmental Audit Regulations by NYSDEC Program Area

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Appendix B: State Agency Environmental Audit Regulations by NYSDEC Program Area

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- 56B Major Onshore Petroleum Facilities
- 56C Petroleum Bulk Storage Fee

SOLID & HAZARDOUS MATERIALS

- 25 Agency Registration to Apply Pesticides
- 25A Pesticide Product Registration
- 25B Pesticide Handling, Storage, Disposal and Safety
- 25C Prior Notification of Pesticide Applications and Posting of Commercial Lawn Application of Pesticides
- 26 Commercial Pesticide Applicator Certification
- 26A Pesticide Reporting Law
- 27 Aquatic Pesticide Permit
- 28 Waste Transporter Permit -- Transporting Regulated Wastes
- 30 Waste Transporter Permit -- Annual Report
- 31 Hazardous Waste Generators
- 31A Hazardous Waste Special Assessments
- 32 Hazardous Waste Generator Annual Report Requirements
- 33 Hazardous Waste Manifesting Program
- 34 Hazardous Waste Management Facility Permit
- 35 Control of Radioactive Materials
- 36 Low Level Radioactive Waste Transporter Permit and Manifest System
- 36A Waste Transporter Permits - Regulated Medical Waste
- 50A Landfills
- 50B Landfills -- Construction and Demolition Debris
- 50C Landfills -- Long Island
- 50D Landfill Gas Recovery Facilities
- 50E Transfer Stations
- 50F Recyclables Handling and Recovery Facilities
- 50G Land Application Facilities
- 50H Composting Facilities
- 50J Waste Tire Storage Facilities
- 50K Liquid Storage Facilities
- 50L Used Oil Facilities
- 50M Solid Waste Incinerators and Refuse-Derived Fuel Processing Facilities
- 50N Regulated Medical Waste Treatment, Transfer & Storage Facilities
- 50P Construction & Demolition Debris Processing Facilities
- 70 Hazardous Waste Reduction
- 71 Solid Waste Reduction
- 72 Universal Waste Rule

WATER

- 52 Coastal Erosion Management
- 53 Construction & Repair of Dams & Impoundment Structures
- 53A Public Safety Inspections of Dams & Impoundment Structures
- 54 Flood Plain Management
- 54A Use of Flood Control Lands

- 54B Operation of a Snowmobile or Motor Vehicle on Flood Control Lands
- 54C Flood Control Lands -- Usage Fees
- 54D* Operation and Maintenance of Flood Protection Projects
- 55 Long Island Wells
- 57 Public Water Supply
- 58 Wastewater Discharge State Pollutant Discharge Elimination System (SPDES)
- 58B Storm Water General SPDES Permits
- 58C SPDES Discharge Notification Act Sign Posting & Public Notification
- 59 Wastewater Treatment Plant Operator Certification
- 60 Great Lakes Water Withdrawal Registration and Fees

REGULATORY FEES

- 08A Air Quality Control Program Fees
- 08B Operating Permit Program Fees for Major Stationary Air Contamination Sources
- 29 Waste Transporter Permit: Regulatory Fees
- 31B Hazardous Waste Generator Fees
- 34A Hazardous Waste Management Facility Fees
- 44A Mined Land Reclamation Program Fees
- 58A SPDES Program Fees

*NOTE: THESE REGULATIONS APPLY TO THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ONLY.

Appendix C

State Agency Environmental Audit Compliance Initiatives

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Appendix C: State Agency Environmental Audit Compliance Initiatives

Agriculture and Markets

- Initiated procedures to contract with a recycler of used electronics to take all non-working electronics

Albany County Airport Authority

- Received the Environmental Quality Award for 2001 presented by EPA Administrator Christine Todd Whitman for the Airport's successful implementation of the first large scale airport deicing biological treatment system which can be used as a prototype for other public airports; and
- In 2002, hosted the Statewide Clean Cities Challenge showcasing the first airport clean fuel (CNG dedicated) fleet and station in New York State.

Canal Corporation

- Evaluate new methods/procedures to speed up PBS compliance;
- Pursue funding from New York State to allow the implementation of a program for the remediation of sites where residual contamination is present from the past removal of non-complying fuel tanks;
- Continue training and development of guidance to assist our employees in environmental compliance; and
- Evaluate operations and infrastructure and update as necessary at wastewater treatment plants.

Capital District Transportation Authority

- Use DEC prescribed "Integrated Pest Management System" applicators that are registered with the DEC; and
- Recycle all fluorescent bulbs.

Children and Family Services

- Continue the Oil Tank Replacement Program;
- Renew all permits and registrations in a timely manner;
- Inform facilities of the requirements to post certain information conspicuously; and
- Continue to maintain vigilance to ensure facilities comply with the Environmental Conservation Law and the Department's Regulations.

Correctional Services

- Continue to follow an aggressive Environmental Compliance Program to assure that compliance will be maintained; and
- All remaining non-compliance are either in design or construction phase.

Development Authority of the North Country

- Incorporating environmental compliance items into a computerized maintenance management system;
- Monthly monitoring of compliance items; and
- Perform periodic audits and inspections of facility operations.

Energy Research and Development Authority

- Continue to monitor all of the facilities, operations and projects it undertakes directly; and
- Implement all actions required by applicable environmental statutes, regulations, permits, orders and agreements.

Environmental Conservation

- Continue Environmental Compliance as an Executive priority;
- Dedicate staff needed to provide engineering and planning services to accomplish environmental remedial projects;
- Undertake a management review of the "in-house" self-auditing processes;
- Ensure that environmental compliance is part of all work plans;
- Continue regional environmental compliance training sessions to help assure that facility managers understand applicable regulations;
- Implement Standard Operating Procedures to help field staff comply with environmental regulations and good practice; and
- Assure that appropriate personnel participate in all applicable training sponsored by the Pollution Prevention Unit.

Environmental Facilities Corporation

- Continue the current level of management to assure future compliance will be maintained.

General Services

- Continue to carefully monitor facilities to assure future compliance.

Insurance Department

- Participates in the OGS “3Rs” program for the recycling, reuse, and recovery for solid waste management.

Mental Health

- Continue to pursue compliance with all applicable Environmental Regulations through continued efforts to identify and correct violations;
- In process of entering into an agreement with EPA to become part of the Hospital Environmental Compliance Initiative and Voluntary Discovery/ Disclosure Program and to move it into the EMS process;
- Develop an Environmental Management System to make environmental compliance activities more generally understood and routinely accomplished. (An Environmental term consultant retained through DASNY is assisting with this effort);
- Include lump sum funding requests to complete environmental remediation work as well as pollution prevention initiatives; and
- Continue to make Energy Conservation/Emissions reductions a priority. 58% reduction in annual energy consumption over past 10 years has resulted in reductions of over 6000 tons of NO_x, 8600 tons of SO₂, and 3 million tons of CO.

Mental Retardation and Developmental Disabilities

- Continue to monitor environmental impacts of all ongoing and new projects to ensure compliance with environmental laws and the accurate reporting in the annual DEC Environmental Audit.

Metropolitan Transportation Authority

- MTA New York City Transit developed a database to track the generation, shipment and disposal of hazardous waste;
- MTA Metro-North Railroad introduced portable weatherproof drum containment equipment in train yards and on its right-of-way to prevent spills and storm water runoff contamination;

- MTA Long Island Railroad is researching bioremediation techniques to address petroleum contamination along its ROW caused by rail lubricators and minor leaks from railroad equipment;
- MTA Long Island Bus continued its purchase of clean fuel powered compressed natural gas (CNG) buses and expects to have its entire fleet running on CNG by the end of 2003;
- MTA Bridges and Tunnels retained the services of an environmental consultant to investigate, assess and recommend necessary actions to close out old historical spills at a number of their facilities. Bridges and Tunnels environmental staff is currently reviewing and evaluating draft closure reports;
- MTA New York City Transit has performed a drainage inventory at each of its petroleum bulk storage facilities;
- MTA Metro-North Railroad is replacing petroleum-based hydraulic fluid with a vegetable based oil at all station elevators that utilize hydraulic lifts;
- MTA Long Island Railroad has begun a project to delineate historic mercury contamination in 20 electrical substations;
- MTA Long Island Bus is utilizing new “green” design guidelines for the reconstruction of its Paratransit facility; and
- MTA Bridges and Tunnels is automating its petroleum bulk storage inventory system and connecting all tank alarm systems to a central monitoring command station.

Military and Naval Affairs

- Continue to ensure compliance with all local, State, and federal environmental regulations; and
- Ensure all petroleum bulk storage tanks are maintained and color coded in accordance with regulations.

Niagara Frontier Transportation Authority

- Continue environmental compliance-training program to upgrade employee knowledge of environmental regulations and compliance requirements;
- Incorporate pollution prevention programs to minimize sources of contamination; and
- Make capital improvements at various facilities which will incorporate improved pollution control equipment into operations.

Olympic Regional Development Authority

- Continue environmental compliance as an executive priority;
- Ensure that environmental compliance is a part of all work plans;

- Assure that all appropriate personnel participate in all applicable training and continuing education;
- Anticipate aging facility needs in capital planning to avert violating facilities; and
- Incorporate sound environmental practices and management into all operations and long term planning at all ORDA sports facilities.

Parks, Recreation and Historic Preservation

- Receive money through the Clean Water/Clean Air Bond Act, allowing progress to be made bringing dams into compliance, beginning work on closure of landfills, and investigating and remediating subsurface discharge systems - SPDES;
- Continue progress on bringing petroleum bulk storage tanks into compliance. The identification of additional tanks requiring replacement is an ongoing process by the agency;
- Contact regional DEC staff to facilitate compliance and progress of projects; and
- Monitor environmental impacts of all projects.

Port Authority of NY & NJ

- Implementation of “Best Management Practices”; and
- Continuation of facility Environmental Health and Safety Audits and staff training.

Power Authority

- Continue on-going program to prepare, review, update and enforce environmental policies and procedures to ensure compliance with DEC’s environmental programs; and
- Continue commitment to the principles of waste minimization and pollution prevention to prevent environmental incidents before they occur;

State Police

- Contract with OGS and private environmental consulting firms to provide environmental remediation services.

State University of New York (SUNY)

- Continue to place a high priority on environmental stewardship, compliance with all applicable laws and regulations, and remediating any known instances of noncompliance;
- Continue monitoring of sites where underground tanks have been removed under DEC supervision;
- Get SPDES violations in compliance at Brockport, Buffalo, Cobleskill, Fredonia, and Morrisville;
- Get air program requirements for NSPS, permits, registration, emissions statements, etc. in compliance at Cobleskill, Delhi, HSC Brooklyn, Maritime, Old Westbury, Potsdam, and Purchase;
- Get into compliance with PBS, spills and other remediation at Buffalo, Cobleskill, Cortland, Geneseo, Morrisville, New Paltz, Oneonta, Purchase and Stony Brook;
- Get into Hazardous Waste Compliance at Buffalo, Morrisville and Stony Brook; and
- Continue planning, design and construction phases to be implemented as provided in the 2002 non-compliance reports and remedial plans.

Thruway

- Evaluate the current remediation operations involving leaking underground petroleum storage tanks to see if new methods/procedures can speed up a return to compliance;
- Evaluate current operations and infrastructure and update where necessary at service wastewater treatment plants;
- Continue to develop and issue directions and/or forms to aid personnel in complying with environmental regulations; and
- Continue to closely monitor permit conditions.

Transportation

- Utilize the NYSDEC database to facilitate electronic reporting of the audit;
- Continue to make internal improvements to facilitate the audit and will use the information to facilitate tracking, corrections, and targeting of potential focus areas for further emphasis in the future; and
- Added environmental staff in the environmental audit process as new environmental specialists were appointed in Construction and Transportation Maintenance Divisions in regions. These 20 senior environmental specialists dedicated to the Office of Operations will provide additional environmental expertise, awareness training and have significantly contributed in the identification and programming for corrections of compliance issues and assistance with environmental enhancements within their regions.

Appendix D

Glossary

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APPENDIX D: GLOSSARY

AGENCY - See “State Agency”.

FACILITY

All physical facilities owned, operated or maintained by an agency, including, but not limited to, the fixed assets as listed in the OGS Fixed Asset Building Inventory and Land Improvements Verification Listing, and offices located in buildings owned and operated by other parties (including agency offices located in State office buildings).

NON-COMPLIANCE

Violation of the Environmental Conservation Law and/or regulations;
conducting activities without required permits, certifications, approvals, etc.;
any violation of a permit, certification, approval, or regulatory standard or exceedance of an ambient air or water standard; or
violation of the requirements of an administrative order, either on consent or after a hearing, or a judicial order.

OPERATION

Activities undertaken by an agency, such as, bridge painting and/or repair, vegetation and/or pest control on rights-of-way, property, in buildings, fish stocking, lumbering on State-owned land, etc.

PROJECT (CONTRACT)

An activity directly undertaken by an agency (including contracts with other parties), if such activity includes construction, re-construction, modification, rehabilitation, restoration, or similar activities (i.e., forest restoration, highway construction and/or major maintenance projects, major road or track repair, major building or grounds modification, repair, rehabilitation or restoration, etc.).

STATE AGENCY

1. Each State department;
2. Division of Military and Naval Affairs; New York State Police; Division of Housing and Community Renewal; New York State Office of Children and Family Services; Office of General Services; New York State Office of Parks, Recreation and Historic Preservation; and State University of New York;
3. Any other division, board, commission, office, or bureau of the State which is required to obtain a permit or approval from the New York State Department of Environmental Conservation or which undertakes an activity for which it must register such department;
4. Albany Port District Commission; Battery Park City Authority; Capital District Transportation Authority; The Central New York Regional Transportation Authority; Dormitory Authority State of New York; New York State Environmental Facilities Corporation; Metropolitan Transportation Authority, New York State Energy Research and Development Authority; Olympic

Regional Development Authority; New York State Thruway Authority; Empire State Development Corporation; Niagara Frontier Transportation Authority; Ogdensburg Bridge and Port Authority; The Port Authority of NY & NJ; Port of Oswego Authority; New York Power Authority; Roswell Park Cancer Institute; Rochester-Genesee Regional Transportation Authority; and

5. Any other major agency, public authority or public benefit corporation which performs a State function and which is identified by the Commissioner of the Department of Environmental Conservation for the purpose of complying with the environmental audit requirements.

THIRD-PARTY VIOLATIONS

Those violations committed by lessees or other (third) parties on properties owned and/or under the jurisdiction or control of the agency.

Appendix E

NYSDEC Regions

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Appendix E: NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION (NYSDEC) REGIONS

NYSDEC REGION 1

Nassau and Suffolk Counties.

SUNY Campus, Building 40, Stony Brook, NY 11790, (631) 444-0345

NYSDEC REGION 2

Bronx, Kings, New York, Queens and Richmond Counties.

1 Hunters Point Plaza, 47-40 21st Street, Long Island City, NY 11101, (718) 482-4900

NYSDEC REGION 3

Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster and Westchester Counties.

21 South Putt Corners Road, New Paltz, NY 12561-1696, (845) 256-3000

NYSDEC REGION 4

Albany, Columbia, Delaware, Greene, Montgomery, Otsego, Rensselaer, Schenectady and Schoharie Counties.

1150 North Westcott Road, Schenectady, NY 12306-2014, (518) 357-2234

NYSDEC REGION 5

Clinton, Essex, Franklin, Fulton, Hamilton, Saratoga, Warren and Washington Counties.

Route 86, PO Box 296, Ray Brook, NY 12977, (518) 897-1200

NYSDEC REGION 6

Herkimer, Jefferson, Lewis, Oneida and St. Lawrence Counties.

317 Washington Street, Watertown, NY 13601, (315) 785-2238

NYSDEC REGION 7

Broome, Cayuga, Chenango, Cortland, Madison, Onondaga, Oswego, Tioga and Tompkins Counties.

615 Erie Boulevard West, Syracuse, NY 13204-2400, (315) 426-7400

NYSDEC REGION 8

Chemung, Genesee, Livingston, Monroe, Ontario, Orleans, Schuyler, Seneca, Steuben, Wayne and Yates Counties.

6274 East Avon-Lima Road, Avon, NY 14414, (585) 226-2466

NYSDEC REGION 9

Allegany, Cattaraugus, Chautauqua, Erie, Niagara and Wyoming Counties.

270 Michigan Avenue, Buffalo, NY 14203-2999, (716) 851-7000

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Appendix F

Agency List by Agency Code

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Appendix F: AGENCY LIST BY AGENCY CODE

AGENCY CODE	AGENCY NAME
ACAA	ALBANY COUNTY AIRPORT AUTHORITY
APA	NYS ADIRONDACK PARK AGENCY
APDC	ALBANY PORT DISTRICT COMMISSION
BPCA	BATTERY PARK CITY AUTHORITY
CANALS	NYS CANAL CORPORATION
CDTA	CAPITAL DISTRICT TRANSPORTATION AUTHORITY
CNYRTA	CENTRAL NEW YORK REGIONAL TRANSPORTATION AUTHORITY
DANC	DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY
DASNY	DORMITORY AUTHORITY OF THE STATE OF NEW YORK
DCHR	NYS DIV OF HOUSING & COMMUNITY RENEWAL
DCS	NYS DEPT OF CIVIL SERVICE
DEC	NYS DEPT OF ENVIRONMENTAL CONSERVATION
DLABOR	NYS DEPT OF LABOR
DMV	NYS DEPT OF MOTOR VEHICLES
DOAM	NYS DEPT OF AGRICULTURE & MARKETS
DOBANK	NYS BANKING DEPARTMENT
DOCS	NYS DEPT OF CORRECTIONAL SERVICES
DOH	NYS DEPT OF HEALTH
DOINS	NYS INSURANCE DEPARTMENT
DOLAW	NYS OFFICE OF THE ATTORNEY GENERAL
DOMNA	NYS DIV OF MILITARY & NAVAL AFFAIRS
DOS	NYS DEPT OF STATE
DOT	NYS DEPT OF TRANSPORTATION
DPS	NYS DEPT OF PUBLIC SERVICE
DSP	NYS POLICE
EDUC	NYS EDUCATION DEPARTMENT
EFC	NYS ENVIRONMENTAL FACILITIES CORP
ERDA	NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY
ESD_UDC	EMPIRE STATE DEVELOPMENT CORPORATION
MTA	METROPOLITAN TRANSPORTATION AUTHORITY
NFTA	NIAGARA FRONTIER TRANSPORTATION AUTHORITY
NYPA	NEW YORK POWER AUTHORITY
OASAS	NYS OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
OBPA	OGDENSBURG BRIDGE & PORT AUTHORITY
OCFS	NYS OFFICE OF CHILDREN & FAMILY SERVICES
OGS	NYS OFFICE OF GENERAL SERVICES
OHSWMA	ONEIDA-HERKIMER SOLID WASTE AUTHORITY
OMH	NYS OFFICE OF MENTAL HEALTH
OMRDD	NYS OFFICE OF MENTAL RETARDATION & DEVEL DISABILITIES
OPRHP	NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION
ORDA	NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
OSC	NYS OFFICE OF STATE COMPTROLLER
OSWEGO	PORT OF OSWEGO AUTHORITY
OTDA	NYS OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE
PANYNJ	PORT AUTHORITY OF NEW YORK & NEW JERSEY
RGRTA	ROCHESTER-GENESEE REGIONAL TRANSPORTATION AUTHORITY
RPCI	ROSWELL PARK CANCER INSTITUTE CORP
SUNY	STATE UNIVERSITY OF NEW YORK
TAX	NYS DEPT OF TAXATION & FINANCE
THRUWY	NYS THRUWAY AUTHORITY