

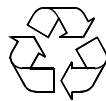
**New York State
Department of Environmental Conservation**

Division of Operations

**2009 - 2010
State Agency
Environmental Audit Report**

December 2013

Andrew M. Cuomo, *Governor*



Joseph Martens, *Commissioner*

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INTRODUCTION

In 1988, the Legislature enacted the State Agency Environmental Audit Law, Section 3-0311 of the Environmental Conservation Law (ECL). This law requires New York State agencies, certain public authorities and public benefit corporations to conduct environmental audits of their operations each year. The State Audit Law was amended in 1991, increasing the administrative and information management requirements for the State Agency Environmental Audit (SAEA) process and adding requirements for submission of remedial plans and third party violations.

The State Audit Law requires the New York State Department of Environmental Conservation (NYSDEC) to develop guidelines for the preparation of environmental audits, and for the ranking of violations based on the threat each poses to public health or the environment. The New York State Agency Environmental Audit Guidance Manual provides these guidelines, updated summaries of regulations, and defines the hierarchy of ranks to be used to classify violations. This hierarchy ranges from N1 to N4, the most serious violations being N1 and the least serious being N4 (see Table 1).

The NYSDEC is required by the State Audit Law to compile information received and submit a report to the Governor, the Director of the Budget, and the Legislature each year. The NYSDEC is also required to assess whether the agencies' proposed ranks are appropriate, and to change those that are not. Note: The term agency refers to all New York State agencies, authorities and public benefit corporations as described in ECL 3-0311. The NYSDEC also evaluates the adequacy of each proposed remedial plan for correcting violations. This report provides the required information for **2009-2010** State Agency Environmental Audit (April 1, **2009** through March 31, **2010**).

The SAEA program has continued to improve with the implementation of enhanced information processing procedures. The New York State Agency Environmental Audit Guidance Manual, dated April 14, 2003, is available online and was provided to each agency identified as subject to the SAEA.

The following agencies supplied their audit report data to the NYSDEC electronically: Metropolitan Transportation Authority (MTA), Department of Transportation, Dormitory Authority, Thruway Authority, Canal Corporation, and Department of Environmental Conservation.

Essential components of the successful completion of the SAEA process and the production of this report are the partnerships built with the agencies required to submit their information; the NYSDEC regulatory programs which assist in summarizing and interpreting the regulations; the NYSDEC Regional Audit Coordinators; other Regional NYSDEC staff; and the staff of the Division of Operations. The Pollution Prevention Unit offers assistance in identifying and implementing pollution prevention initiatives and the development of environmental management systems (Appendix A).

FINDINGS

The **2009 - 2010** State Agency Environmental Audit (SAEA), covering the period of April 1, **2009** through March 31, **2010**, produced the following information and results:

1. There were **50** agencies, authorities, and public benefit corporations that conducted environmental audits and submitted reports as required by the State Audit Law. They conducted audits at more than 6,000 facilities, projects, and operations. For a list of all reporting agencies, see Table 2A. For a glossary of State audit terms, see Appendix D.
2. There were **18** agencies that reported one or more violations (see Table 2B), and **32** agencies reported that they did not have any instances of non-compliance in this reporting period. The latter reported total compliance; that their facilities, projects or operations were not subject to the NYSDEC's regulatory programs; or a combination of total compliance and not subject to the regulatory programs. For a list of NYSDEC environmental regulations, grouped by program area, see Appendix B.
3. There were a total of **421** incident reports filed by the **18** agencies reporting violations during this audit period (see Table 3). Of these, there were **311** new and continuing instances of non-compliance which were reported. The non-compliant incidents by rank classifications at the end of audit cycle are as follows:
 - **1** was N1 (posed an imminent substantial threat to the public health or the environment);
 - **56** were N2 (posed a potential substantial threat to the public health or the environment);
 - **164** were N3 (posed a discernible, but not substantial threat to the public health or the environment); and
 - **90** were N4 (no evidence of any discernible threat to the public health or the environment).

In addition, **110** violations were ranked as compliant (C) as of the end of the report period (see Table 3). These were violations which came into compliance during SAEA **2009 - 2010** audit cycle. Eight agencies comprised **90** percent of the total reported violations: DOT, DSP, MTA, OMH, OPRHP, PANYNJ, SUNY, and Thruway (see Table 3). The cost to date by the **18** agencies with ongoing work to correct violations was reported to be **\$160,945,927** (see Table 6).

4. The NYSDEC regulatory program areas which had the greatest number of instances of non-compliance incidents or violations were as follows (see Table 4):
 - Environmental Remediation program comprised **312** incidents (74% of the total of **421** incidents);
 - Water program comprised **67** incidents (16% of the total);
 - Solid and Hazardous Materials program comprised **27** incidents (6% of the total). (This program is part of the new Division of Materials Management as of the fall 2010)

5. The six agencies with the largest number of violations are (see Table 3): Department of Transportation with **140** (33% of the total of **421** incidents); Metropolitan Transportation Authority with **102** (24% of the total of all incidents); the Office of Parks, Recreation and Historic Preservation with **41** (10% of the total); the Office of Mental Health with **38** (9% of the total of all incidents); the Thruway Authority with **21** (5% of the total); and SUNY with **16** (4% of the total)..
6. The total final funds disbursed to date to correct the **421** ongoing violations has been identified to be **\$160,945,927**. The estimated future remedial costs to correct ongoing violations is **\$128,940,565**, with an estimated total remedial cost for correcting the **421** ongoing violations to be **\$289,886,492** (see Table 6). The six agencies with the largest estimated total future cost are (see Table 6): the Metropolitan Transportation Authority (**\$86,272,305**); the Department of Transportation (**\$8,377,700**); the Office of Parks, Recreation and Historic Preservation (**\$7,985,414**); the Empire State Development Corporation (**\$7,120,000**); the Department of Environmental Conservation (**\$6,260,000**); and the Office of Mental Health (**\$3,140,000**).
7. Based on a breakdown of cost by NYSDEC environmental program area, the largest future remedial cost estimates fall within three programs (see Table 7): Environmental Remediation (**\$95,697,991**), Solid and Hazardous Materials (**\$20,053,014**), and Water (**\$12,819,560**). (Note: the Solid and Hazardous Materials programs fall under the Division of Materials Management as of the fall 2010.)
8. The projected "Future," "Future Total," and "Total Compliance" cost amounts found in Tables 6, 7 and 9 for both the State departments and individual agencies are estimated costs, and may be based on incomplete or uncertain information provided by the departments and agencies.
9. The enforcement actions which were underway by NYSDEC against various State Agencies and Public Authorities during this Audit Cycle totaled **12** (see Table 13).
10. Of the **421** total State agency remedial plans reviewed by the NYSDEC, **30** (7% of the total ongoing violations) were disapproved because they were incomplete or deemed not adequate to bring the violations into compliance (Table 15). Of the **421** total submitted violation reports, the NYSDEC changed the agencies proposed rank (either up or down) in **113** instances.
11. Comparing the **2009** to the **2010** reported results (see table 10), the total number of agency violations decreased from **472** to **421** (an 11% decrease from **2009**). The total number of violations which were brought into compliance increased from **84** in **2009** to **110** in **2010**, an increase of 31% from **2009**.

12. The NYSDEC regions with the largest number of violations were (see Table 5): Region 2 (New York City headquarters) with **96** (23% of the total of **421** violations statewide); Region 1 (Stony Brook headquarters) with **75** (18% of the total); Region 3 (New Paltz headquarters) with **49** (12% of the total). Region 9 (Buffalo headquarters) with **43** (10% of the total), and Region 7 (Syracuse headquarters) with **36** (9% of the total)
- 13 The NYSDEC Regions with the most N1 and N2 violations were (see Table 5): Region 1, Stony Brook, with **29** (51% of total of the 57 combined N1 and N2 violations); Region 2, New York City, with **11** (19% of the total combined N1 and N2 violations); and Region 3, New Paltz, with **5** (9% of the total combined N1 and N2 violations). The remaining regions had from 1 to 3 of such violations.
14. A comparison of violations for the last ten years, **2000** through **2010**:

Report Year Ending	Total Violations Reported
2000	1073
2001	880
2002	942
2003	899
2004	759
2005	665
2006	709
2007	608
2008	529
2009	472
2010	421

15. For a listing of the counties contained within each NYSDEC region, see Appendix E.
16. There were a total of **28** third party violations reported by **6** agencies (see Table 14). There were no third party violation incidents ranked N1, **12** were ranked N2, **9** were ranked N3, **5** were ranked N4, and **2** were ranked C.
17. A number of agencies have developed, or are in the process of implementing, various environmental management improvements in conjunction with the audit. This information is contained in Appendix C.

Tables

Table 1 - Priority Ranking System

The law requires each violation be ranked according to the threat it poses to the public health or the environment. The ranks are from 1 to 4, 1 being the greatest threat and 4 constituting no discernible threat.

Rank N1- N4: the facility, project or operation is in non-compliance with a regulation.

Rank C: the facility, project, or operation is in compliance.

Rank	Definition	Description
C	An incident which is in compliance is one which meets guidelines set forth in the applicable regulation.	In Compliance
N1	Poses an imminent substantial threat to the public health or the environment.	Imminent substantial threat
N2	Poses a potential substantial threat to the public health or the environment.	Potential substantial threat
N3	Poses a discernible, but not substantial, threat to the public health or the environment.	Discernible but not substantial threat
N4	No evidence of discernible threat to the public health or the environment.	No evidence of discernible threat

Table 2A - Agencies Reporting

AGENCY NAME	AGENCY CODE
ALBANY COUNTY AIRPORT AUTHORITY	ACAA
ALBANY PORT DISTRICT COMMISSION	APDC
BATTERY PARK CITY AUTHORITY	BPCA
CAPITAL DISTRICT TRANSPORTATION AUTHORITY	CDTA
CENTRAL NEW YORK REGIONAL TRANSPORTATION AUTHORITY	CNYRTA
DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY	DANC
DORMITORY AUTHORITY OF THE STATE OF NEW YORK	DASNY
EMPIRE STATE DEVELOPMENT CORPORATION	ESD_UDC
METROPOLITAN TRANSPORTATION AUTHORITY	MTA
NEW YORK POWER AUTHORITY	NYPA
NIAGARA FRONTIER TRANSPORTATION AUTHORITY	NFTA
NYS ADIRONDACK PARK AGENCY	APA
NYS BANKING DEPARTMENT	DOBANK
NYS CANAL CORPORATION	CANALS
NYS DEPT OF AGRICULTURE & MARKETS	DOAM
NYS DEPT OF CIVIL SERVICE	DCS
NYS DEPT OF CORRECTIONAL SERVICES [DEPT OF CORRECTIONS AND COMMUNITY SUPERVISION, DOCCS, as of 2012]	DOCS
NYS DEPT OF ENVIRONMENTAL CONSERVATION	DEC
NYS DEPT OF HEALTH	DOH
NYS DEPT OF LABOR	DLABOR
NYS DEPT OF MOTOR VEHICLES	DMV
NYS DEPT OF PUBLIC SERVICE	DPS
NYS DEPT OF STATE	DOS
NYS DEPT OF TAXATION & FINANCE	TAX
NYS DEPT OF TRANSPORTATION	DOT
NYS DIV OF HOUSING & COMMUNITY RENEWAL	DCHR
NYS DIV OF MILITARY & NAVAL AFFAIRS	DOMNA
NYS EDUCATION DEPARTMENT	EDUC
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY	ERDA
NYS ENVIRONMENTAL FACILITIES CORP	EFC
NYS INSURANCE DEPARTMENT	DOINS
NYS OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES	OASAS
NYS OFFICE OF CHILDREN & FAMILY SERVICES	OCFS
NYS OFFICE OF GENERAL SERVICES	OGS
NYS OFFICE OF MENTAL HEALTH	OMH
NYS OFFICE OF MENTAL RETARDATION & DEVEL DISABILITIES [OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES, OPWDD as of 2012]	OMRDD
NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION	OPRHP
NYS OFFICE OF STATE COMPTROLLER	OSC
NYS OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE	OTDA
NYS OFFICE OF THE ATTORNEY GENERAL	DOLAW
NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY	ORDA
NYS POLICE	DSP
NYS THRUWAY AUTHORITY	THRUWY
OGDENSBURG BRIDGE & PORT AUTHORITY	OBPA
ONEIDA-HERKIMER SOLID WASTE AUTHORITY	OHSWMA
PORT AUTHORITY OF NEW YORK & NEW JERSEY	PANYNJ
PORT OF OSWEGO AUTHORITY	OSWEGO
ROCHESTER-GENESEE REGIONAL TRANSPORTATION AUTHORITY	RGRTA
ROSWELL PARK CANCER INSTITUTE CORP	RPCI
STATE UNIVERSITY OF NEW YORK	SUNY

Table 2B - Agency Response Status

Of the 18 affected agencies reporting environmental audit non-compliance incidents, the following 18 agencies had at least one instance of ongoing non-compliance:

DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY
EMPIRE STATE DEVELOPMENT CORPORATION
METROPOLITAN TRANSPORTATION AUTHORITY
NIAGARA FRONTIER TRANSPORTATION AUTHORITY
NYS CANAL CORPORATION
NYS DEPT OF AGRICULTURE & MARKETS
NYS DEPT OF CORRECTIONS AND COMMUNITY SUPERVISION
NYS DEPT OF ENVIRONMENTAL CONSERVATION
NYS DEPT OF TRANSPORTATION
NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES
NYS OFFICE OF MENTAL HEALTH
NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION
NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
NYS POLICE
NYS THRUWAY AUTHORITY
OGDENSBURG BRIDGE & PORT AUTHORITY
PORT AUTHORITY OF NEW YORK & NEW JERSEY
STATE UNIVERSITY OF NEW YORK

**Table 3 - Summary of Compliance/Non-Compliance
by Priority Rank and Agency**

AGENCY CODE	TOTAL VIOLATIONS	N1	N2	N3	N4	C
CANALS	6	0	1	3	1	1
DANC	6	0	0	0	3	3
DEC	8	0	2	4	2	0
DOAM	1	0	1	0	0	0
DOCCS	5	0	0	0	4	1
DOT	140	0	4	40	44	52
DSP	10	0	1	7	1	1
ESD_UDC	3	0	0	3	0	0
MTA	102	0	35	48	4	15
NFTA	2	0	0	1	0	1
OBPA	1	0	0	1	0	0
OMH	38	0	0	9	6	23
OMRDD	8	0	0	4	2	2
OPRHP	41	1	11	17	8	4
ORDA	2	0	0	1	1	0
PANYNJ	11	0	0	8	3	0
SUNY	16	0	0	8	3	5
THRUWY	21	0	1	10	8	2
TOTAL	421	1	56	164	90	110

**Table 4 - Summary of Compliance/Non-Compliance
by Priority Rank and DEC Program**

DEC PROGRAM	TOTAL VIOLATIONS	N1	N2	N3	N4	C
Air	4	0	0	0	1	3
Environmental Permits	2	0	0	0	2	0
Environmental Remediation	312	1	42	116	61	92
Fish, Wildlife & Marine Resources	6	0	0	2	3	1
Lands & Forests	1	0	0	0	1	0
Mineral Resources	1	0	1	0	0	0
Regulatory Fees	1	0	0	1	0	0
Solid & Hazardous Materials	27	0	6	9	8	4
Water	67	0	7	36	14	10
TOTAL	421	1	56	164	90	110

**Table 5 - Summary of Compliance/Non-Compliance
by Priority Rank and DEC Region**

DEC REGION	TOTAL VIOLATIONS	N1	N2	N3	N4	C
1	75	0	29	21	11	14
2	96	0	11	53	9	23
3	49	1	4	14	12	18
4	27	0	1	12	11	3
5	25	0	2	10	10	3
6	41	0	2	10	21	8
7	36	0	2	17	5	12
8	29	0	3	6	8	12
9	43	0	2	21	3	17
TOTAL	421	1	56	164	90	110

Table 6 - Summary of Remediation Costs by Agency

AGENCY CODE	TO DATE COST*	FUTURE COST	TOTAL COST	TOTAL COMPLIANCE COST**
CANALS	\$100,000	\$500,000	\$600,000	\$450,000
DANC	\$0	\$0	\$0	\$0
DEC	\$4,646,584	\$6,260,000	\$10,906,584	\$0
DOAM	\$0	\$492,000	\$492,000	\$0
DOCCS	\$6,250	\$241,646	\$247,896	\$0
DOT	\$10,947,411	\$8,377,700	\$19,325,111	\$93,100
DSP	\$3,058,240	\$1,765,000	\$4,823,240	\$503,416
ESD_UDC	\$6,488,219	\$7,120,000	\$13,608,219	\$0
MTA	\$96,009,496	\$86,272,305	\$182,281,801	\$2,684,354
NFTA	\$26,000,000	\$300,000	\$26,300,000	\$0
OBPA	\$166,600	\$36,000	\$202,600	\$0
OMH	\$993,000	\$3,140,000	\$4,133,000	\$291,000
OMRDD	\$222,048	\$20,000	\$242,048	\$167,000
OPRHP	\$397,551	\$7,985,414	\$8,382,965	\$46,000
ORDA	\$8,000	\$0	\$8,000	\$0
PANYNJ	\$4,201,000	\$2,315,000	\$6,516,000	\$0
SUNY	\$1,203,307	\$1,153,000	\$2,356,307	\$1,810,500
THRUWY	\$6,498,221	\$2,962,500	\$9,460,721	\$1,231,000
TOTAL	\$160,945,927	\$128,940,565	\$289,886,492	\$7,276,370

* \$ spent on non-compliance that remain non-compliant

** \$ spent on non-compliance that became compliant

Table 7 - Summary of Remediation Costs by DEC Program

DEC PROGRAM	TO DATE COST*	FUTURE COST	TOTAL COST	TOTAL COMPLIANCE COST**
Air	\$0	\$0	\$0	\$5,000
Environmental Permits	\$1,000	\$70,000	\$71,000	\$0
Environmental Remediation	\$114,114,606	\$95,697,991	\$209,812,597	\$6,248,295
Fish, Wildlife & Marine Resources	\$143,235	\$50,000	\$193,235	\$0
Lands & Forests	\$250,000	\$200,000	\$450,000	\$0
Mineral Resources	\$94,000	\$50,000	\$144,000	\$0
Regulatory Fees	\$0	\$0	\$0	\$0
Solid & Hazardous Materials	\$14,506,734	\$20,053,014	\$34,559,748	\$838,925
Water	\$31,836,352	\$12,819,560	\$44,655,912	\$184,150
TOTAL	\$160,945,927	\$128,940,565	\$289,886,492	\$7,276,370

* \$ spent on non-compliance that remain non-compliant

** \$ spent on non-compliance that became compliant

Table 8 - Summary of Non-Compliance by Agency and DEC Program

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
CANALS	0	0	6	0	0	0	0	0	0	6
DANC	0	0	3	0	0	0	0	0	3	6
DEC	0	0	0	0	0	8	0	0	0	8
DOAM	0	0	0	0	0	1	0	0	0	1
DOCCS	0	0	5	0	0	0	0	0	0	5
DOT	1	2	110	1	0	19	4	0	3	140
DSP	0	0	10	0	0	0	0	0	0	10
ESD_UDC	0	0	2	0	0	0	1	0	0	3
MTA	0	0	94	0	0	1	0	0	7	102
NFTA	0	0	0	0	0	1	0	0	1	2
OBPA	0	0	1	0	0	0	0	0	0	1
OMH	1	0	29	0	0	5	0	0	3	38
OMRDD	0	0	3	0	0	2	0	0	3	8
OPRHP	0	0	14	0	1	19	0	0	7	41
ORDA	0	0	0	0	0	2	0	0	0	2
PANYNJ	0	0	4	0	0	7	0	0	0	11
SUNY	2	0	10	0	0	2	1	1	0	16
THRUWY	0	0	21	0	0	0	0	0	0	21
TOTAL	4	2	312	1	1	67	6	1	27	421

Table 9 - Summary of Non-Compliance Future Cost by Agency and NYSDEC Program

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
CANALS	\$0	\$0	\$500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000
DANC	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DEC	\$0	\$0	\$0	\$0	\$0	\$6,260,000	\$0	\$0	\$0	\$6,260,000
DOAM	\$0	\$0	\$0	\$0	\$0	\$492,000	\$0	\$0	\$0	\$492,000
DOCCS	\$0	\$0	\$241,646	\$0	\$0	\$0	\$0	\$0	\$0	\$241,646
DOT	\$0	\$70,000	\$891,200	\$200,000	\$0	\$206,500	\$50,000	\$0	\$6,960,000	\$8,377,700
DSP	\$0	\$0	\$1,765,000	\$0	\$0	\$0	\$0	\$0	\$0	\$1,765,000
ESD_UDC	\$0	\$0	\$7,120,000	\$0	\$0	\$0	\$0	\$0	\$0	\$7,120,000
MTA	\$0	\$0	\$76,704,145	\$0	\$0	\$143,160	\$0	\$0	\$9,425,000	\$86,272,305
NFTA	\$0	\$0	\$0	\$0	\$0	\$300,000	\$0	\$0	\$0	\$300,000
OBPA	\$0	\$0	\$36,000	\$0	\$0	\$0	\$0	\$0	\$0	\$36,000
OMIH	\$0	\$0	\$1,230,000	\$0	\$0	\$110,000	\$0	\$0	\$1,800,000	\$3,140,000
OMRDD	\$0	\$0	\$0	\$0	\$0	\$20,000	\$0	\$0	\$0	\$20,000
OPRHP	\$0	\$0	\$1,579,500	\$0	\$50,000	\$4,487,900	\$0	\$0	\$1,868,014	\$7,985,414
ORDA	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
PANYNJ	\$0	\$0	\$2,115,000	\$0	\$0	\$200,000	\$0	\$0	\$0	\$2,315,000
SUBTOTAL	\$0	\$70,000	\$92,182,491	\$200,000	\$50,000	\$12,219,560	\$50,000	\$0	\$20,053,014	\$124,825,065

AGENCY CODE	DAR	DEP	DER	DLF	DMR	DOW	FWM	RFU	SHM	TOTAL VIOLATIONS
SUNY	\$0	\$0	\$553,000	\$0	\$0	\$600,000	\$0	\$0	\$0	\$1,153,000
THRUWY	\$0	\$0	\$2,962,500	\$0	\$0	\$0	\$0	\$0	\$0	\$2,962,500
TOTAL	\$0	\$70,000	\$95,697,991	\$200,000	\$50,000	\$12,819,560	\$50,000	\$0	\$20,053,014	\$128,940,565

Table 10 - Comparison of 2009 to 2010, Compliance/Non-Compliance by Priority Rank and Agency

AGENCY CODE	N1 2009	N1 2010	N2 2009	N2 2010	N3 2009	N3 2010	N4 2009	N4 2010	C 2009	C 2010	TOTAL VIOL 2009	TOTAL VIOL 2010
CANALS	0	0	1	1	3	3	1	1	0	1	5	6
DANC	0	0	0	0	0	0	0	3	0	3	0	6
DEC	0	0	2	2	5	4	1	2	0	0	8	8
DOAM	0	0	1	1	0	0	0	0	0	0	1	1
DOCCS	0	0	0	0	1	0	1	4	3	1	5	5
DOH	0	0	0	0	0	0	0	0	3	0	3	0
DOS	0	0	0	0	0	0	0	0	0	0	0	0
DOT	1	0	6	4	57	40	70	44	34	52	168	140
DSP	0	0	1	1	8	7	1	1	1	1	11	10
EDUC	0	0	0	0	0	0	1	0	0	0	1	0
ESD_JDC	0	0	0	0	2	3	1	0	0	0	3	3
MTA	0	0	43	35	53	48	5	4	11	15	112	102
NFTA	0	0	0	0	2	1	0	0	0	1	2	2
OBPA	0	0	1	0	0	1	0	0	0	0	1	1
OMH	0	0	3	0	15	9	9	6	18	23	45	38
OMRDD	0	0	0	0	4	4	1	2	0	2	5	8
OPRHP	0	1	13	11	22	17	6	8	3	4	44	41
ORDA	0	0	0	0	3	1	0	1	0	0	3	2
SUB-TOTAL	1	1	71	55	175	138	97	76	73	103	417	373

AGENCY CODE	N1 2009	N1 2010	N2 2009	N2 2010	N3 2009	N3 2010	N4 2009	N4 2010	C 2009	C 2010	TOTAL VIOL 2009	TOTAL VIOL 2010
PANYNJ	0	0	1	0	9	8	2	3	1	0	13	11
RGRTA	0	0	0	0	0	0	0	0	0	0	0	0
SUNY	0	0	0	0	9	8	3	3	8	5	20	16
THRUWY	0	0	3	1	7	10	10	8	2	2	22	21
TOTAL	1	1	75	56	200	164	112	90	84	110	472	421

Table 11 - Comparison of 2009 to 2010, Compliance/Non-Compliance by DEC Program and Agency

AGENCY CODE	DAR 2009	DAR 2010	DEP 2009	DEP 2010	DER 2009	DER 2010	DLF 2009	DLF 2010	DMR 2009	DMR 2010	DOW 2009	DOW 2010	FWM 2009	FWM 2010	RFU 2009	RFU 2010	SHM 2009	SHM 2010	TOTAL 2009	TOTAL 2010
CANALS	0	0	0	0	5	6	0	0	0	0	0	0	0	0	0	0	0	0	5	6
DANC	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	3	0	6
DEC	0	0	0	0	0	0	0	0	0	0	8	8	0	0	0	0	0	0	8	8
DOAM	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	1
DOCCS	0	0	0	0	4	5	0	0	0	0	0	0	1	0	0	0	0	0	5	5
DOH	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	3	0
DOS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DOT	0	1	2	2	129	110	1	1	0	0	28	19	5	4	0	0	3	3	168	140
DSP	0	0	0	0	11	10	0	0	0	0	0	0	0	0	0	0	0	0	11	10
EDUC	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
ESD_UD	0	0	0	0	2	2	0	0	0	0	0	0	1	1	0	0	0	0	3	3
MTA	0	0	0	0	104	94	0	0	0	0	1	1	0	0	0	0	7	7	112	102
NFTA	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	1	2	2
OBPA	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1	1
OMH	1	1	0	0	32	29	0	0	0	0	5	5	0	0	0	0	7	3	45	38
OMRDD	0	0	0	0	0	3	0	0	0	0	2	2	0	0	0	0	3	3	5	8
OPRHP	0	0	0	0	16	14	0	0	1	1	19	19	0	0	0	0	8	7	44	41
ORDA	0	0	0	0	0	0	0	0	0	0	3	2	0	0	0	0	0	0	3	2
SUBTOTAL	2	2	2	2	304	277	1	1	1	1	68	58	7	5	0	0	32	27	417	373

AGENCY CODE	DAR 2009	DAR 2010	DEP 2009	DEP 2010	DER 2009	DER 2010	DLF 2009	DLF 2010	DMR 2009	DMR 2010	DOW 2009	DOW 2010	FWM 2009	FWM 2010	RFU 2009	RFU 2010	SHM 2009	SHM 2010	TOTAL 2009	TOTAL 2010
PANYNJ	0	0	0	0	4	4	0	0	0	0	9	7	0	0	0	0	0	0	13	11
RGRTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SUNY	1	2	0	0	12	10	0	0	0	0	5	2	0	1	1	1	1	0	20	16
THRUW	0	0	0	0	22	21	0	0	0	0	0	0	0	0	0	0	0	0	22	21
TOTAL	3	4	2	2	342	312	1	1	1	1	82	67	7	6	1	1	33	27	472	421

Table 12 - Compliance Status of Violations as of April 01, 2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
CANALS	3167	HEADQUARTERS - UTICA	56A	01/01/2002	N2	6	ONEIDA	11/15/2010
CANALS	3168	LOCK E-26	56A	01/01/1999	N3	8	WAYNE	07/01/2003
CANALS	3170	LOCK E-29	56A	01/01/1999	N3	8	WAYNE	07/01/2003
CANALS	3180	HEADQUARTERS - UTICA	56	01/01/2003	N4	6	ONEIDA	07/01/2006
CANALS	3555	ERIE CANAL LOCK 24 & DAM	56A	01/01/1999	N3	7	ONONDAGA	11/15/2010
CANALS	4111	LOCK E-29	56A	06/13/2009	C	8	WAYNE	
DANC	4193	SOLID WASTE MANAGEMENT FACILITY	72	03/31/2010	N4	6	JEFFERSON	
DANC	4194	DANC ADMINISTRATION OFFICE	72	03/31/2010	N4	6	JEFFERSON	
DANC	4195	DANC WARNECK PUMP STATION	72	03/31/2010	N4	6	JEFFERSON	
DANC	4196	DANC WARNECK PUMP STATION	56	04/01/2009	C	6	JEFFERSON	
DANC	4197	DANC BOOSTER PUMP STATION #1	56	04/01/2009	C	6	JEFFERSON	
DANC	4198	DANC BOOSTER PUMP STATION #2	56	04/01/2009	C	6	JEFFERSON	
DEC	3782	MOOSE RIVER PLAINS WILD FOREST	53A	01/01/1996	N4	5	HAMILTON	09/30/2011
DEC	3784	EDWARDS AVE CANOE PORTAGE	53A	01/01/2005	N3	1	SUFFOLK	11/01/2011
DEC	3786	MINER LAKE STATE FOREST	53A	01/01/1997	N4	5	CLINTON	12/31/2011
DEC	3787	TAYLOR POND PUBLIC CAMPGROUND	53A	01/01/1997	N3	5	CLINTON	10/31/2010
DEC	3788	HAMMOND POND WILD FOREST	53A	01/01/1996	N3	5	ESSEX	12/31/2013
DEC	3791	BALSAM SWAMP STATE FOREST	53A	01/01/2001	N3	7	CHENANGO	11/01/2012
DEC	3796	LACKAWANNA CHANNEL FPP	54D	01/01/1998	N2	9	ERIE	03/31/2015

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DEC	3797	LACKAWANNA FPP	54D	01/01/1998	N2	9	ERIE	03/31/2015
DOAM	3301	NYS FAIRGROUNDS	58B	01/01/2004	N2	7	ONONDAGA	03/31/2014
DOCCS	3907	NYS CAMP PHARSALIA	56	01/01/2007	N4	7	CHENANGO	03/01/2011
DOCCS	3995	NYS MT MCGREGOR CORRECTIONAL FACILITY	56	03/27/2008	N4	5	SARATOGA	12/01/2010
DOCCS	4108	NYS FRANKLIN CORRECTIONAL FACILITY	56	01/03/2010	N4	5	FRANKLIN	06/30/2010
DOCCS	4109	NYS MT MCGREGOR CORRECTIONAL FACILITY	56	02/22/2010	N4	5	SARATOGA	07/30/2010
DOCCS	4110	NYS MT MCGREGOR CORRECTIONAL FACILITY	56	02/19/2010	C	5	SARATOGA	07/30/2010
DOT	3505	REG. 02 HWY ROW HAMILTON CO	40	01/01/2002	N4	5	HAMILTON	12/31/2015
DOT	3507	REG.11 - HWY ROW RICHMOND CO	20	01/01/2002	N3	2	RICHMOND	12/31/2002
DOT	3509	REG.07 - HWY ROW ST. LAWRENCE	49	01/01/2001	N4	6	ST LAWRENCE	12/31/2011
DOT	3510	REG. 02 HWY ROW ONEIDA COUNTY	56A	01/01/1996	N3	6	ONEIDA	
DOT	3511	REG.07 - HWY ROW JEFFERSON CO	49	01/01/2005	N4	6	JEFFERSON	12/31/2010
DOT	3512	REG.07 - HWY ROW FRANKLIN CO	39	01/01/2006	N4	5	FRANKLIN	12/31/2010
DOT	3514	REG.02 - HWY ROW HERKIMER CO	56A	01/01/2000	N2	6	HERKIMER	12/31/2015
DOT	3517	REG 5 HWY MNT SHERMAN	56	01/01/2004	C	9	CHAUTAUQUA	02/01/2010
DOT	3521	REG 9 HWY MNT CASTLE CREEK	56A	01/01/2001	N3	7	BROOME	06/30/2011
DOT	3524	RESIDENT ENGINEER HEADQUARTERS - MALONE	56	01/01/1994	N3	5	FRANKLIN	12/31/2010
DOT	3528	REG 3 HWY MNT AUBURN SUB RESIDENCY	56	01/01/2004	C	7	CAYUGA	
DOT	3532	REG 5 HWY MNT - DEPEW	56	01/01/2005	C	9	ERIE	02/01/2010
DOT	3539	REG 7 SPEC CREW WATERTOWN HQ	58	01/01/1995	N4	6	JEFFERSON	12/31/2010
DOT	3546	REG 3 HWY MNT ITHACA SUB RESIDENCY	58	01/01/1991	N3	7	TOMPKINS	12/31/2012

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3548	REG. 05 Highway Maintenance-Hamburg	56	01/01/2003	C	9	ERIE	02/01/2010
DOT	3550	REG.02 HWY MNT ALDER CREEK	58B	01/01/1998	N3	6	ONEIDA	
DOT	3564	REG 4 HWY MNT MONROE RESIDENCY	50A	01/01/1997	N3	8	MONROE	12/31/2011
DOT	3567	NYSDOT MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N4	6	ST LAWRENCE	12/31/2011
DOT	3568	DOT REG 9 MAINTENANCE SUBHQTRS	58	01/01/2001	N3	3	SULLIVAN	12/30/2010
DOT	3569	Hallsboro Maintenance SubHeadquarters	58	01/01/1998	N4	6	ST LAWRENCE	03/31/2011
DOT	3570	REG 7 HWY MNT PLEASANT VALLEY	58	01/01/2001	N4	6	ST LAWRENCE	03/31/2011
DOT	3572	NYS DOT ROW IN MONROE COUNTY	31	01/01/1999	N2	8	MONROE	12/31/2012
DOT	3579	SULLIVAN CO RESIDENCY	56	01/01/2007	C	3	SULLIVAN	06/30/2010
DOT	3580	REG 9 HWY MNT CASTLE CREEK	56	01/01/2007	N4	7	BROOME	12/30/2010
DOT	3582	REG 9 HWY MNT HANCOCK	56	01/01/2007	C	4	DELAWARE	06/30/2010
DOT	3583	REG 9 HWY MNT RICHFIELD SPRGS SUB HQ	56	01/01/2007	N3	4	OTSEGO	12/30/2010
DOT	3584	NYSDOT REGION 9 MAINTENANCE SUBHQ	56	01/01/2007	C	7	CHENANGO	12/30/2010
DOT	3588	NYS DOT REG 7 EQUIP MAINT/JEFFE RESID	56	01/01/2007	N4	6	JEFFERSON	09/01/2010
DOT	3592	HOGANSBURG RES ENG SUBHEADQUARTERS	56	01/01/2001	C	5	FRANKLIN	12/31/2010
DOT	3597	REG 9 HWY MNT WALTON GARAGE	56	01/01/2006	N4	4	DELAWARE	06/30/2010
DOT	3598	NYS DOT RES ENGRS HDQTRS	56	01/01/2004	N3	9	ERIE	02/01/2010
DOT	3600	REG 7 HWY MNT PLATTSBURGH RES	56	01/01/2000	N3	5	CLINTON	12/31/2010
DOT	3650	REG 9 HWY MNT COBLESKILL	58	01/01/2001	N3	4	SCHOHARIE	11/30/2010
DOT	3657	WHITNEY POINT REST AREA	58	01/01/2001	N3	7	BROOME	10/30/2010
DOT	3658	NYS DOT RESIDENT ENGR SUBHQTRS	56	01/01/2005	N3	9	ERIE	02/01/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3661	NYSDOT MAPLEVIEW SUBHEADQUARTERS	56A	01/01/2006	N3	7	OSWEGO	12/31/2012
DOT	3664	DOT MAINTENANCE BUILDING	58	01/01/1997	N4	6	ST LAWRENCE	03/31/2010
DOT	3667	NYS DOT-WHITESTONE EXPRESSWAY	43	01/01/2003	N4	2	QUEENS	06/30/2012
DOT	3668	DOT MAINTENANCE BUILDING	56	01/01/2000	C	6	ST LAWRENCE	
DOT	3670	NYSDOT MAINTENANCE SUBHEADQUARTERS	58	01/01/2001	N4	6	LEWIS	03/31/2011
DOT	3671	NYS DOT REG 2 EQUIP MAINT	56	01/01/1996	N3	6	ONEIDA	12/31/2015
DOT	3674	NYS DOT REG 7 EQUIP MAINT/JEFFE RESID	58	01/01/1997	N4	6	JEFFERSON	
DOT	3675	LAKE CLEAR MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N2	5	FRANKLIN	
DOT	3682	REG 9 HWY MNT HANCOCK	56	01/01/2006	C	4	DELAWARE	12/31/2010
DOT	3685	REG 8 SPEC CREW POUGHKEEPSIE	58	01/01/2004	C	3	DUTCHESS	
DOT	3686	DOT RESIDENT ENG SUBHQTRS	58	01/01/2002	N3	6	ONEIDA	12/31/2015
DOT	3687	KEESEVILLE MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N4	5	CLINTON	12/31/2010
DOT	3688	NYSDOT KIRKWOOD EQUIPMENT MGT SHOP	56A	01/01/2001	N3	7	BROOME	06/30/2012
DOT	3691	REG 9 HWY MNT RICHFIELD SPRGS SUB HQ	56A	01/01/2001	N3	4	OTSEGO	06/30/2015
DOT	3698	NYS DOT RES ENGR HDQTRS	56	01/01/2004	C	9	CHAUTAUQUA	02/01/2010
DOT	3726	HWY MAINTENANCE SUBHQTRS	58	01/01/2001	N3	3	SULLIVAN	12/30/2010
DOT	3728	REG 5 SURP PROP NROW	56A	01/01/1995	N4	9	NIAGARA	09/21/2009
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	31	01/01/1998	N2	8	MONROE	12/31/2012
DOT	3731	DOT-ONEONTA FACILITY	56	01/01/2007	N4	4	OTSEGO	06/30/2010
DOT	3735	REG 7 HWY MNT POTSDAM-SUB RESIDENCY	56	01/01/1998	N4	6	ST LAWRENCE	04/01/2011
DOT	3737	REG 9 EQ MNT BINGHAMTON	56	01/01/2007	C	7	BROOME	06/30/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	3765	NYSDOT RESIDENT ENGINEERS HEADQUARTERS	56	01/01/2001	N4	8	WAYNE	09/30/2010
DOT	3767	REG 2 HWY MNT THENDARA	58B	01/01/1998	N3	6	HERKIMER	
DOT	3804	REG 9 HWY MNT DELHI	56	01/01/2007	N4	4	DELAWARE	06/30/2011
DOT	3898	DANSVILLE SUBHEADQUARTERS	56	01/01/2002	N4	8	LIVINGSTON	09/30/2010
DOT	4025	DOT REG.08 HWY MNT MONTGOMERY	56	01/01/2008	C	3	ORANGE	10/01/2008
DOT	4030	REG. 02 HWY ROW HAMILTON CO	56	01/01/2009	N4	5	HAMILTON	02/01/2011
DOT	4031	NYSDOT RESIDENT ENGINEERS HQ-MEXICO	56	01/01/2009	N3	7	OSWEGO	12/31/2011
DOT	4032	REG 5 HWY MNT -TONAWANDA	56	01/01/2009	N3	9	ERIE	02/01/2010
DOT	4033	NYS DOT REG 2 EQUIP MAINT	56	01/01/2009	N4	6	ONEIDA	02/01/2011
DOT	4034	CONEWANGO SUB HEADQUARTERS	56	01/01/2009	N3	9	CATTARAUGUS	02/01/2010
DOT	4035	SALAMANCA RESIDENCY	56	01/01/2009	N3	9	CATTARAUGUS	02/01/2010
DOT	4036	REG 5 HWY MNT - FREDONIA	56	01/01/2009	N3	9	CHAUTAUQUA	02/01/2010
DOT	4037	REG.02 HWY MNT ALDER CREEK	56	01/01/2009	N4	6	ONEIDA	02/01/2011
DOT	4039	REG 5 HWY MNT - MAYVILLE	56	01/01/2009	N3	9	CHAUTAUQUA	02/01/2010
DOT	4040	REG 5 HWY MNT-BUFFALO	56	01/01/2009	C	9	ERIE	02/01/2010
DOT	4041	REG 2 HWY MNT ONEIDA WEST RESIDENCY	56	01/01/2009	N4	6	ONEIDA	02/01/2011
DOT	4042	REG 4 HWY MNT MONROE RESIDENCY	56	01/01/2009	C	8	MONROE	
DOT	4043	NYS DOT EQUIPMENT SHOP	56	01/01/2009	C	8	MONROE	
DOT	4044	DOT REG 4 MAINTENANCE HEADQUARTERS	56	01/01/2009	N4	8	GENESEE	09/30/2010
DOT	4045	FARMERSVILLE SUB HEADQUARTERS	56	01/01/2009	N3	9	CATTARAUGUS	02/01/2010
DOT	4046	REG 3 HWY MNT FULTON SUB HQ	56	01/01/2009	C	7	OSWEGO	12/31/2011

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	4047	REG 2 BRIDGE MNT UTICA SUB HEADQUARTERS	56	01/01/2009	N4	6	ONEIDA	02/01/2011
DOT	4048	REG 3 HWY MNT AUBURN SUB RESIDENCY	56	01/01/2009	C	7	CAYUGA	12/31/2010
DOT	4049	REG 3 HWY MNT ONONDAGA EAST RESIDENCY	56	01/01/2009	C	7	ONONDAGA	12/31/2011
DOT	4050	NYSDOT HWY MNT LEWISTON	56	01/01/2009	N3	9	NIAGARA	02/01/2010
DOT	4051	REG 4 HWY MAINT ALBION	56	01/01/2009	N4	8	ORLEANS	09/30/2010
DOT	4052	REG. 04 HWY MNT MONROE EAST 3	56	01/01/2009	C	8	MONROE	
DOT	4053	DOT RESIDENT ENG SUBHDQRS	56	01/01/2009	N4	6	ONEIDA	02/01/2011
DOT	4055	NYS DOT LAFAYETTE SUBHEADQUARTERS	56	01/01/2009	C	7	ONONDAGA	
DOT	4056	REG 5 HWY MNT NEWARK	56	01/01/2009	C	8	WAYNE	
DOT	4060	REG. 05 EQUIPMENT MANAGEMENT	56	01/01/2009	N3	9	ERIE	02/01/2010
DOT	4061	REG. 04 HWY MNT MONROE EAST 3	58	01/01/2009	C	8	MONROE	
DOT	4063	NYSDOT REG.02 HWY MNT WELLS	56A	01/01/2009	N3	5	HAMILTON	12/31/2015
DOT	4064	NYSDOT REG.02 HWY MNT WELLS	56	01/01/2009	N3	5	HAMILTON	02/01/2011
DOT	4065	NYSDOT REG.04 HWY MNT MONROE WEST	56	01/01/2009	C	8	MONROE	
DOT	4066	NYSDOT REG.02 HWY MNT CANAJOHARIE	56	01/01/2009	N4	4	MONTGOMERY	02/01/2011
DOT	4068	ALLEGANY RIVER REST AREA	56	01/01/2009	C	9	CATTARAUGUS	02/01/2010
DOT	4069	NYSDOT REG.03 HWY MNT ONONDAGA WEST	56	01/01/2009	C	7	ONONDAGA	12/31/2010
DOT	4070	NYSDOT REG.05 HWY MNT CONCORD	56	01/01/2009	C	9	ERIE	02/01/2010
DOT	4071	NYSDOT REG.05 HWY MNT AURORA	56	01/01/2009	C	9	ERIE	02/01/2010
DOT	4072	NYSDOT REG.02 HWY MNT MONTGOMERY CO	56	01/01/2009	N4	4	MONTGOMERY	02/01/2011
DOT	4073	NYSDOT REG.02 HWY MNT LONG LAKE	56	01/01/2009	N3	5	HAMILTON	02/01/2011

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	4074	NYSDOT REG.02 HWY MNT 5S & 28	56A	01/01/2009	N3	6	HERKIMER	12/31/2015
DOT	4076	NYSDOT REG.04 HWY MNT MONROE EAST 2	56	01/01/2009	C	8	MONROE	12/31/2010
DOT	4077	NYSDOT REG.01 HWY MNT DEFREESTVILLE	56	01/01/2009	N4	4	RENSELAER	
DOT	4078	NYSDOT REG.05 HWY MNT ALLEGANY	56	01/01/2009	N3	9	CATTARAUGUS	02/01/2010
DOT	4079	NYSDOT REG.05 REGIONAL HEADQUARTERS	56	01/01/2009	N3	9	ERIE	02/01/2010
DOT	4080	NYSDOT REG.04 HWY MNT CANANDAIGUA	56	01/01/2009	C	8	ONTARIO	
DOT	4081	NYSDOT REG.06 HWY MNT CANAIDEA	56	01/01/2009	N4	9	ALLEGANY	02/01/2010
DOT	4082	NYSDOT REG.01 HWY MNT DEFREESTVILLE	56	01/01/2009	N4	4	RENSELAER	
DOT	4083	NYSDOT REG.03 HWY MNT CORTLAND/TOMPKINS	56	01/01/2009	C	7	CORTLAND	12/31/2011
DOT	4084	NYSDOT REG.06 HWY MNT FRIENDSHIP	56	01/01/2009	C	9	ALLEGANY	02/01/2010
DOT	4085	NYSDOT REG.10 HWY MNT NASSAU SOUTH	56	01/01/2009	N4	1	NASSAU	12/01/2010
DOT	4086	NYSDOT REG.04 HWY MNT ELMGROVE	56	01/01/2009	C	8	MONROE	
DOT	4087	NYSDOT REG.05 SPECIAL CREWS HAMBURG	56	01/01/2009	C	9	ERIE	
DOT	4088	NYSDOT REG.02 HWY MNT HERKIMER COUNTY	56	01/01/2009	N4	6	HERKIMER	02/01/2011
DOT	4089	NYSDOT REG.04 HWY MNT BRADDOCK'S BAY	56	01/01/2009	C	8	MONROE	
DOT	4090	NYSDOT REG.06 SPEC CREW HORNELL	56	01/01/2009	N3	8	STEUBEN	04/15/2011
DOT	4091	NYSDOT REG.02 HWY MNT FULTON COUNTY	56	01/01/2009	C	5	FULTON	02/01/2011
DOT	4092	NYSDOT REG.04 HWY MNT LAKEVILLE	56	01/01/2009	N4	8	LIVINGSTON	09/30/2010
DOT	4093	NYSDOT REG.02 HWY MNT MORRISVILLE	56	01/01/2009	C	7	MADISON	02/01/2011
DOT	4094	NYSDOT REG.02 HWY MNT MADISON COUNTY	56	01/01/2009	N4	7	MADISON	02/01/2011
DOT	4095	NYSDOT REG.03 HWY MNT POLKVILLE	56	01/01/2009	C	7	CORTLAND	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DOT	4096	NYS DOT REG.05 HWY MNT STEAMBURG	56	01/01/2009	N3	9	CATTARAUGUS	02/01/2010
DOT	4097	NYS DEOT REG.10 HWY MNT NASSAU NORTH	56A	01/01/2009	C	1	NASSAU	12/01/2010
DOT	4098	NYS DOT REG.02 HWY MNT VERNON CENTER	58	01/01/2009	N3	6	ONEIDA	12/31/2015
DOT	4099	NYS DOT REG.03 HWY MNT SENECA-CAYUGA	56	01/01/2009	C	8	SENECA	12/31/2011
DOT	4100	NYS DOT RES 6-1 SUBHEADQUARTERS	56	01/01/2009	N4	9	ALLEGANY	02/01/2010
DOT	4101	NYS DOT REG.06 HWY MNT ALMOND	56	01/01/2009	C	9	ALLEGANY	02/01/2010
DOT	4166	NYS DOT REG 5 MAINTENANCE FACILITY	56	01/01/2010	N3	9	NIAGARA	12/31/2011
DOT	4167	REG 8 HWY ROW ROCKLAND CO	23	06/05/2009	C	3	ROCKLAND	
DOT	4168	REG 8 EQ MGT POUGHKEEPSIE	56A	01/01/2010	N4	3	DUTCHESS	12/30/2010
DOT	4169	KENT RESIDENT ENGINEERS HQ	56A	01/01/2010	N4	3	PUTNAM	10/30/2010
DOT	4170	WASSAIC MAINTENANCE SUB HEADQUARTERS	56A	01/01/2010	C	3	DUTCHESS	
DOT	4171	DOT RESIDENT ENGRS HQ - REG 8/MIDDLETOWN	56	01/01/2010	N4	3	ORANGE	12/31/2010
DOT	4172	REG 8 HWY MNT FISHKILL	56A	01/01/2010	C	3	DUTCHESS	
DOT	4173	KENT RESIDENT ENGINEERS HQ	58B	01/01/2010	C	3	PUTNAM	12/31/2010
DOT	4174	KENT RESIDENT ENGINEERS HQ	58B	07/15/2009	C	3	PUTNAM	09/24/2009
DOT	4175	REPUBLIC AIRPORT	05	01/01/2010	C	1	SUFFOLK	12/31/2010
DOT	4176	PORT JEFFERSON SUB-RESIDENCY	56	01/01/2010	N4	1	SUFFOLK	12/15/2010
DOT	4177	REG. 08 HWY MAINTENANCE CEDAR FLATS OUTP	56A	01/01/2010	C	3	ROCKLAND	
DOT	4178	REG. 08 HWY MNT WEST NYACK	56	01/01/2010	C	3	ROCKLAND	
DOT	4179	REG. 08 HWY MNT KATONAH	56	01/01/2010	C	3	WESTCHESTER	
DOT	4180	REG 10 HWY MNT SUFFOLK WEST SUB-RESIDEN	51A	01/01/2010	C	1	SUFFOLK	

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
DSP	3860	SP VALLEY STREAM	56A	04/25/1997	N3	1	NASSAU	01/01/2012
DSP	3861	FORMER SP TUPPER LAKE	56A	08/21/1998	N2	5	FRANKLIN	01/01/2012
DSP	3863	Former SP Brockport	56A	08/18/1998	N3	8	MONROE	01/01/2012
DSP	3864	FORMER SP EAST GREENBUSH	56A	07/27/1998	N3	4	RENSSELAER	01/01/2012
DSP	3866	FORMER SP FALCONER	56A	09/29/1998	N3	9	CHAUTAUQUA	01/01/2012
DSP	3867	FORMER SP FERNDAL/LIBERTY	56A	08/21/2001	N4	3	SULLIVAN	01/01/2011
DSP	3868	FORMER SP FRANKLINVILLE	56A	08/19/1996	N3	9	CATTARAUGUS	01/01/2012
DSP	3869	FORMER SP GOUVERNEUR	56A	08/25/1998	N3	6	ST LAWRENCE	01/01/2012
DSP	3870	FORMER SP KIRKWOOD	56A	01/01/1997	C	7	BROOME	09/28/2009
DSP	4103	STATE POLICE - MIDDLETOWN	56A	11/12/2008	N3	3	ORANGE	01/01/2011
ESD_UDC	3364	QUEENS WEST	56A	01/01/1999	N3	2	QUEENS	12/31/2011
ESD_UDC	3365	QUEENS WEST	51A	01/01/2003	N3	2	QUEENS	12/31/2011
ESD_UDC	3367	QUEENS WEST	43	04/10/2006	N3	2	QUEENS	
MTA	3246	METRO-NORTH RAILROAD HARMON YARD	56A	01/01/1993	N3	3	WESTCHESTER	03/31/2011
MTA	3250	NYC-TA 207TH STREET OVERHAUL SHOP	56A	01/01/2002	N3	2	NEW YORK	03/01/2025
MTA	3270	NYC-TA EAST NY BUS DEPOT & SHOPS	56A	01/01/1993	N3	2	KINGS	03/01/2025
MTA	3276	NYC-TA JAMAICA BUS DEPOT	56A	01/01/1993	N3	2	QUEENS	03/01/2025
MTA	3279	NYC-TA MOTHER CLARA HALE DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2025
MTA	3284	NYC-TA FLATBUSH BUS DEPOT	56A	01/01/1993	N3	2	KINGS	03/01/2025
MTA	3325	NYC-TA 126 ST BUS DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2025
MTA	3328	NYC-TA HUDSON PIER 57	56A	01/01/1993	N3	2	NEW YORK	03/01/2025

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3329	NYCT BUS DEPOT 54TH ST	56A	01/01/1993	N3	2	NEW YORK	03/01/2025
MTA	3331	NYC-TA CASTLETON BUS DEPOT	56A	01/01/1993	N3	2	RICHMOND	03/01/2025
MTA	3333	NYC-TA KINGSBRIDGE BUS DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2025
MTA	3453	NYC-TA ULMER PARK BUS DEPOT	56A	01/01/1993	N3	2	KINGS	03/01/2025
MTA	3475	TBTA-VERRAZANO-NARROWS BRIDGE	56A	01/01/1999	N3	2	RICHMOND	03/01/2012
MTA	3477	MTA - MORRIS PARK YARD	51A	01/01/2000	N3	2	QUEENS	12/31/2012
MTA	3478	MTA - MORRIS PARK YARD	56A	01/01/1989	N3	2	QUEENS	03/31/2013
MTA	3480	LIRR YARD WESTSIDE STORAGE	56	01/01/2004	N3	2	NEW YORK	12/31/2012
MTA	3481	LIRR HILLSIDE MAINTENANCE COMPLEX & HOLBAN YARD	56A	01/01/2003	N3	2	QUEENS	01/01/2012
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	56A	01/01/1989	N3	2	QUEENS	07/31/2012
MTA	3497	TBTA TUNNEL QUEENS MIDTOWN	56A	01/01/1999	N3	2	QUEENS	03/01/2012
MTA	3498	TBTA-TRIBOROUGH BRIDGE	56A	01/01/1999	N3	2	NEW YORK	03/01/2012
MTA	3499	TBTA-HENRY HUDSON BRIDGE	56A	01/01/1999	N3	2	NEW YORK	03/01/2012
MTA	3500	TBTA-CROSS BAY BOULEVARD BRIDGE	56A	01/01/1993	N3	2	QUEENS	03/01/2012
MTA	3501	TBTA-BRONX-WHITSTONE BRIDGE	56A	01/01/1993	N3	2	BRONX	03/01/2012
MTA	3502	TBTA-THROGS NECK BRIDGE	56A	01/01/1998	N3	2	BRONX	03/01/2012
MTA	3504	MINRR YARD MOTT HAVEN	56A	01/01/1998	N3	2	BRONX	09/30/2012
MTA	3604	LIRR YARD VALLEY STREAM	51A	01/01/1998	N2	1	NASSAU	12/31/2013
MTA	3605	LIRR YARD VALLEY STREAM	56A	01/01/1997	N2	1	NASSAU	12/31/2013
MTA	3606	LIRR SUBSTATION MASSAPEQUA PARK	56A	01/01/2005	C	1	NASSAU	
MTA	3607	LIRR SUBSTATION MASSAPEQUA	51A	01/01/2000	N2	1	NASSAU	12/31/2013

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3609	LIRR SUBSTATION BABYLON YARD	51A	01/01/2000	C	1	SUFFOLK	
MTA	3610	LIRR SUBSTATION BABYLON YARD	56A	01/01/1993	C	1	SUFFOLK	
MTA	3611	LIRR SUBSTATION FLORAL PARK	51A	01/01/2000	N2	1	NASSAU	12/31/2013
MTA	3612	LIRR SUBSTATION FLORAL PARK	56A	01/01/1997	N2	1	NASSAU	12/31/2013
MTA	3613	LIRR SUBSTATION HEMPSTEAD	51A	01/01/2000	N2	1	NASSAU	12/30/2013
MTA	3614	LIRR SUBSTATION BAYSIDE	51A	01/01/2000	N2	2	QUEENS	12/31/2013
MTA	3615	LIRR SUBSTATION HEMPSTEAD	56A	01/01/1993	N2	1	NASSAU	12/31/2013
MTA	3617	LIRR SUBSTATION SHEA	51A	01/01/1998	C	2	QUEENS	12/31/2013
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	51A	01/01/2000	N2	1	NASSAU	12/31/2013
MTA	3619	LIRR SUBSTATION SHEA	51A	01/01/1993	C	2	QUEENS	12/31/2013
MTA	3620	LIRR SUBSTATION INWOOD	51A	01/01/1998	N2	1	NASSAU	12/31/2013
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	56A	01/01/1993	N2	1	NASSAU	12/31/2013
MTA	3622	LIRR SUBSTATION INWOOD	56A	01/01/1993	N2	1	NASSAU	12/31/2013
MTA	3623	LINDENHURST STATION	51A	01/01/2000	C	1	SUFFOLK	
MTA	3624	LINDENHURST STATION	56A	01/01/1993	C	1	SUFFOLK	
MTA	3625	LIRR SUBSTATION NASSAU BLVD	51A	01/01/2000	C	1	NASSAU	
MTA	3626	LIRR SUBSTATION NASSAU BLVD	56A	01/01/1993	C	1	NASSAU	
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	51A	01/01/2000	N2	1	NASSAU	12/30/2013
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	56A	01/01/1993	N2	1	NASSAU	12/31/2013
MTA	3629	LIRR SUBSTATION MANHASSET	51A	01/01/1998	N2	1	NASSAU	12/31/2013
MTA	3630	LIRR SUBSTATION MANHASSET	56A	01/01/1993	N2	1	NASSAU	12/31/2013

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3631	LIRR SUBSTATION BELLAIRE	51A	01/01/2000	N2	2	QUEENS	12/30/2012
MTA	3633	LIRR SUBSTATION MINEOLA	51A	01/01/2000	N2	1	NASSAU	12/31/2013
MTA	3634	LIRR SUBSTATION WESTBURY	56A	01/01/1997	C	1	NASSAU	
MTA	3636	LIRR SUBSTATION CEDAR MANOR	51A	01/01/2000	N2	2	QUEENS	12/31/2013
MTA	3639	LIRR SUBSTATION KEW GARDENS	51A	01/01/2000	N2	2	QUEENS	12/31/2013
MTA	3641	LIRR SUBSTATION SAINT ALBANS	51A	01/01/2000	N2	2	QUEENS	12/31/2013
MTA	3642	ISLAND PARK SUBSTATION - LIRR	51A	01/01/2000	N2	1	NASSAU	12/31/2013
MTA	3644	LIRR SUBSTATION LITTLE NECK	51A	01/01/2000	C	1	NASSAU	
MTA	3645	LIRR SUBSTATION WANTAGH	51A	01/01/2000	N2	1	NASSAU	12/31/2013
MTA	3646	MINRR MT VERNON EAST SUBSTATION A-23	56A	01/01/1998	N3	3	WESTCHESTER	05/31/2014
MTA	3699	NYC-TA MANHATTANVILLE DEPOT	56A	01/01/1993	N3	2	NEW YORK	03/01/2025
MTA	3702	NYC-TA MANHATTANVILLE DEPOT	56A	01/01/2002	N3	2	NEW YORK	12/31/2012
MTA	3707	NYCT - MICHAEL J QUILL BUS DEPOT	56A	01/01/2004	N3	2	NEW YORK	03/01/2025
MTA	3709	NYC-TA GUN HILL BUS DEPOT	56A	01/01/1993	N3	2	BRONX	03/01/2025
MTA	3711	LIRR RICHMOND HILL ADVANCE AND RECEIVING YARDS	56A	01/01/1998	N3	2	QUEENS	12/30/2013
MTA	3719	LIRR ROW SUFFOLK	51A	01/01/2005	N2	1	SUFFOLK	12/31/2012
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	56A	01/01/1996	N2	2	QUEENS	12/31/2013
MTA	3723	LIRR ROW MAIN LINE (A K ALLEN)	51A	01/01/2000	N3	1	NASSAU	12/31/2012
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	50A	01/01/2000	N2	1	SUFFOLK	12/31/2012
MTA	3739	ROCKVILLE CENTER CNG FACILITY	51	01/01/2006	N3	1	NASSAU	12/31/2012
MTA	3743	LIRR ROW SUFFOLK	51A	01/01/2005	N2	1	SUFFOLK	12/31/2012

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3745	ROCKVILLE CENTER CNG FACILITY	56	01/01/2006	N4	1	NASSAU	12/31/2012
MTA	3746	NORMAN LEVY DEPOT	51	01/01/2006	N3	1	NASSAU	12/31/2012
MTA	3747	NORMAN LEVY DEPOT	56	01/01/2006	N3	1	NASSAU	12/31/2012
MTA	3748	LIRR YARD WESTSIDE STORAGE	56A	01/01/2007	N3	2	NEW YORK	06/01/2012
MTA	3753	MTA BUS COLLEGE POINT	56A	01/01/2006	N3	2	QUEENS	12/31/2012
MTA	3755	LIPA/Keyspan Spill (#05085539)	56A	01/01/2006	N2	1	NASSAU	12/31/2014
MTA	3757	METRO NORTH RR BREWSTER YARD	56A	01/01/2004	N3	3	PUTNAM	12/31/2012
MTA	3758	METRO-NORTH RAILROAD HARMON YARD	34	01/01/1990	N3	3	WESTCHESTER	03/31/2012
MTA	3772	JFK DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2015
MTA	3774	BAISLEY PARK DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2015
MTA	3775	LAGUARDIA DEPOT	51	01/01/2007	C	2	QUEENS	12/31/2015
MTA	3778	FAR ROCKAWAY DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2015
MTA	3779	LIRR LIC PROPERTY NUMBER 1	56A	01/01/2007	N2	2	QUEENS	12/31/2012
MTA	3798	LIRR SUBSTATION - FREEPORT	51A	01/01/2007	N3	1	NASSAU	12/31/2013
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	56A	01/01/2007	N3	2	BRONX	12/31/2012
MTA	3801	LIRR SUBSTATION - OCEAN AVENUE	51A	01/01/2007	N2	1	NASSAU	12/30/2013
MTA	3802	LIRR SUBSTATION - SEAFORD	51A	01/01/2007	N3	1	NASSAU	12/31/2013
MTA	3881	LAGUARDIA DEPOT	56A	01/01/2007	N2	2	QUEENS	12/31/2015
MTA	3882	MTA METRO NORTH WHITE PLAINS	56A	01/01/1999	N3	3	WESTCHESTER	09/01/2011
MTA	3883	LIRR YARD MONTAUK	56A	01/01/1999	N3	1	SUFFOLK	12/31/2013
MTA	3884	LIRR YAPHANK PROPERTY	50A	01/01/1993	N2	1	SUFFOLK	12/31/2015

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
MTA	3885	MINRR ROW WESTCHESTER	50B	01/01/1990	N4	3	WESTCHESTER	11/30/2010
MTA	3886	MINRR ROW PUTNAM	50B	01/01/1990	C	3	PUTNAM	
MTA	3887	MINRR ROW DUTCHESS	50B	01/01/1990	C	3	DUTCHESS	
MTA	3890	METRO-NORTH RAILROAD HARMON YARD	56A	01/01/2000	N4	3	WESTCHESTER	03/31/2012
MTA	3963	MTA - MORRIS PARK YARD	56A	01/01/2007	C	2	QUEENS	12/31/2012
MTA	3970	NYC-TA JAMAICA BUS DEPOT	58B	01/01/2007	N3	2	QUEENS	12/31/2012
MTA	3972	MTA - MORRIS PARK YARD	34	01/01/2007	N3	2	QUEENS	12/30/2013
MTA	3979	LIRR BLDG JAMAICA MAIN	56A	01/01/2007	N3	2	QUEENS	12/31/2012
MTA	3985	METRO-NORTH RAILROAD HARMON YARD	56A	01/01/2007	N3	3	WESTCHESTER	05/31/2011
MTA	4138	Greenwich Fan Plant	56A	01/01/2010	N4	2	NEW YORK	12/31/2012
NFTA	3266	BUFFALO NIAGARA INTERNATIONAL AIRPORT	58	01/01/1999	N3	9	ERIE	12/31/2011
NFTA	3998	NIAGARA FRONTIER TRANS. AUTH. WAREHOUSE	25	01/01/2008	C	9	ERIE	03/31/2010
OBPA	3300	BRIDGE ADMINISTRATION BUILDING	56A	01/01/1991	N3	6	ST LAWRENCE	12/31/2010
OMH	3311	BRONX PSYCHIATRIC CENTER	56A	01/01/1998	N3	2	BRONX	06/30/2012
OMH	3318	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	N4	2	QUEENS	02/28/2012
OMH	3319	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	C	2	QUEENS	
OMH	3336	NYS PILGRIM PSYCHIATRIC CENTER	50A	01/01/2000	N3	1	SUFFOLK	10/01/2013
OMH	3337	NYS PILGRIM PSYCHIATRIC CENTER	56A	01/01/2004	N3	1	SUFFOLK	09/21/2009
OMH	3338	NYS PILGRIM PSYCHIATRIC CENTER	56	01/01/2006	N4	1	SUFFOLK	
OMH	3373	BRONX PSYCHIATRIC CENTER	57	01/01/2007	C	2	BRONX	
OMH	3374	NYS PILGRIM PSYCHIATRIC CENTER	56	01/01/2007	N4	1	SUFFOLK	

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OMH	3386	BRONX PSYCHIATRIC CENTER	56A	01/01/2006	N3	2	BRONX	12/31/2012
OMH	3821	CREEDMOOR PSYCHIATRIC CTR	56	05/30/2006	C	2	QUEENS	
OMH	3822	CREEDMOOR PSYCHIATRIC CTR	56	05/03/2008	C	2	QUEENS	
OMH	3848	NYS PSYCHIATRIC INSTITUTE	56	10/01/2007	N4	2	NEW YORK	06/30/2012
OMH	3853	ROCKLAND PSYCHIATRIC CENTER	58	04/10/2007	C	3	ROCKLAND	09/01/2012
OMH	3855	SAGAMORE CHILDRENS PSYCHIATRIC CENTER	56	10/01/2007	C	1	SUFFOLK	
OMH	3859	SOUTH BEACH PSYCH CTR	58	08/01/2007	N3	2	RICHMOND	06/30/2012
OMH	3873	QUEENS CHILDREN'S PSYCHIATRIC CENTER	58	01/01/2008	N3	2	QUEENS	
OMH	3874	QUEENS CHILDREN'S PSYCHIATRIC CENTER	01	01/01/2008	C	2	QUEENS	
OMH	3875	KINGSBORO PSYCHIATRIC CTR	56A	01/01/2008	C	2	KINGS	
OMH	4116	BRONX PSYCHIATRIC CENTER	56	03/31/2008	C	2	BRONX	
OMH	4117	BRONX PSYCHIATRIC CENTER	72	07/18/2008	N4	2	BRONX	
OMH	4121	KINGSBORO PSYCHIATRIC CTR	56	06/27/2008	N3	2	KINGS	06/30/2012
OMH	4123	SOUTH BEACH PSYCH CTR	56	03/01/2008	N4	2	RICHMOND	
OMH	4130	NYS ST LAWRENCE PYSCHIATRIC CENTER	56	03/02/2009	C	6	ST LAWRENCE	02/01/2012
OMH	4131	Western NY Children's Psychiatric Center	58	03/22/2007	C	9	ERIE	
OMH	4134	CAPITAL DISTRICT PSYCHIATRIC CENTER	56	11/01/2007	N3	4	ALBANY	
OMH	4135	CAPITAL DISTRICT PSYCHIATRIC CENTER	56	02/01/2008	N3	4	ALBANY	06/30/2012
OMH	4136	NYS ST LAWRENCE PYSCHIATRIC CENTER	56	03/01/2009	C	6	ST LAWRENCE	
OMH	4150	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/08/2009	C	2	NEW YORK	
OMH	4151	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/09/2009	C	2	NEW YORK	

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OMH	4152	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/10/2009	C	2	NEW YORK	
OMH	4153	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/11/2009	C	2	NEW YORK	
OMH	4154	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/12/2009	C	2	NEW YORK	
OMH	4155	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/13/2009	C	2	NEW YORK	
OMH	4156	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/14/2009	C	2	NEW YORK	
OMH	4157	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	05/15/2009	C	2	NEW YORK	
OMH	4158	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	03/02/2010	C	2	NEW YORK	
OMH	4159	MANHATTAN PSYCHIATRIC CTR-WARDS ISL	56	03/03/2010	C	2	NEW YORK	
OMH	4160	MOHAWK VALLEY PSYCHIATRIC CENTER	25A	12/07/2009	C	6	ONEIDA	
OMRDD	3303	WEST SPARTA IRA	58	01/01/2005	N4	8	LIVINGSTON	07/01/2010
OMRDD	3304	TACONIC DDSO	50A	01/01/2001	N4	3	DUTCHESS	12/31/2010
OMRDD	3893	NYS OMRDD HOSTEL HOME 1198	58	01/01/2007	N3	8	ONTARIO	07/01/2010
OMRDD	3999	WESTERN NEW YORK DDSO	50A	01/01/2008	N3	9	CATTARAUGUS	12/31/2010
OMRDD	4002	CENTRAL NY DDSO (WEST)	72	01/01/2009	N3	7	ONONDAGA	07/14/2009
OMRDD	4181	Bernard Fineson DDSO	56A	12/31/2009	C	2	QUEENS	10/06/2010
OMRDD	4182	Capital District DDSO/OD Heck DC	56	04/30/2010	C	4	SCHENECTADY	06/16/2010
OMRDD	4183	Summount DDSO	56	01/05/2010	N3	5	FRANKLIN	02/28/2010
OPRHP	3198	NYS ALLEGANY STATE PARK	58	01/01/2004	N3	9	CATTARAUGUS	12/31/2008
OPRHP	3201	KINGS HIGHWAY GAS STATION	56A	01/01/2002	N1	3	ROCKLAND	07/30/2007
OPRHP	3205	F.D. ROOSEVELT STATE PARK	58	01/01/2006	N4	3	WESTCHESTER	03/29/2007
OPRHP	3208	BELMONT LAKE STATE PARK	58	01/01/1992	N2	1	SUFFOLK	12/31/2008

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OPRHP	3210	MONTAUK POINT STATE PARK	56	01/01/2002	N4	1	SUFFOLK	12/31/2008
OPRHP	3211	MONTAUK DOWNS STATE PARK	56A	01/01/2002	N2	1	SUFFOLK	12/31/2008
OPRHP	3213	NYS GRAFTON LAKES STATE PARK	53A	01/01/2003	N3	4	RENSELAER	12/31/2008
OPRHP	3215	VALLEY STREAM STATE PARK	58	01/01/2003	N3	1	NASSAU	03/31/2008
OPRHP	3216	NYS CHERRY PLAIN STATE PARK	53A	01/01/2003	N3	4	RENSELAER	12/31/2008
OPRHP	3218	JONES BEACH STATE PARK	56	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3219	JONES BEACH STATE PARK	58	01/01/2002	N2	1	NASSAU	12/31/2008
OPRHP	3220	PLANTING FIELDS ARBORETUM	56	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3221	JONES BEACH STATE PARK	58B	01/01/1992	N3	1	NASSAU	12/31/2008
OPRHP	3222	CAUMSETT STATE PARK	56	01/01/1992	N4	1	SUFFOLK	12/31/2008
OPRHP	3223	CAUMSETT STATE PARK	58	01/01/2003	N3	1	SUFFOLK	12/31/2008
OPRHP	3224	SAMPSON STATE PARK	50A	01/01/1989	N2	8	SENECA	12/31/2008
OPRHP	3238	PLANTING FIELDS ARBORETUM	58	01/01/2003	N3	1	NASSAU	12/31/2008
OPRHP	3239	CALEB SMITH STATE PARK	56	01/01/1992	N3	1	SUFFOLK	12/31/2008
OPRHP	3240	CAMP HERO STATE PARK	56	01/01/2002	N4	1	SUFFOLK	12/31/2008
OPRHP	3243	NYS FAIR HAVEN BEACH STATE PARK	58	01/01/2003	N3	7	CAYUGA	12/31/2008
OPRHP	3244	NYS GREEN LAKES STATE PARK	50A	01/01/1991	N4	7	ONONDAGA	12/31/2008
OPRHP	3245	SUNKEN MEADOW STATE PARK	56	01/01/2002	N2	1	SUFFOLK	12/31/2008
OPRHP	3252	HITHER HILLS STATE PARK	56	01/01/1992	N2	1	SUFFOLK	03/31/2008
OPRHP	3253	HEMPSTEAD LAKE STATE PARK	58	01/01/2003	N3	1	NASSAU	12/31/2008
OPRHP	3254	HUDSON HIGHLANDS STATE PARK	53A	01/01/2003	C	3	WESTCHESTER	12/31/2008

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
OPRHP	3255	LAKE ERIE STATE PARK	58	01/01/2000	C	9	CHAUTAUQUA	12/31/2008
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	50A	01/01/1990	N2	4	COLUMBIA	12/31/2008
OPRHP	3258	NYS LODI POINT STATE PARK	58	01/01/2005	N4	8	SENECA	04/30/2008
OPRHP	3260	MILLS NORRIE STATE PARK	50A	01/01/1993	N3	3	DUTCHESS	11/30/2008
OPRHP	3261	ORIENT BEACH STATE PARK	56	01/01/2002	N4	1	SUFFOLK	03/31/2008
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	46	01/01/1990	N2	7	OSWEGO	12/31/2008
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	53A	01/01/2003	N2	3	WESTCHESTER	03/31/2009
OPRHP	3264	WILDWOOD STATE PARK	56	01/01/1992	N3	1	SUFFOLK	01/01/2010
OPRHP	3267	DEWOLF POINT STATE PARK	58	01/01/2004	C	6	JEFFERSON	01/01/2010
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	53A	01/01/1997	N2	3	ORANGE	01/01/2010
OPRHP	3370	KINGS HIGHWAY GAS STATION	56	02/23/2007	C	3	ROCKLAND	12/31/2008
OPRHP	3410	Amherst State Park	58	01/01/2003	N3	9	ERIE	12/31/2008
OPRHP	3503	MINNEWASKA STATE PARK	50A	01/01/1990	N3	3	ULSTER	12/31/2008
OPRHP	3561	BRISTOL BEACH SP	56A	01/01/2005	N2	3	ULSTER	06/30/2008
OPRHP	3742	STERLING FOREST STATE PARK	50A	01/01/2007	N4	3	ORANGE	03/31/2008
OPRHP	3744	CLERMONT STATE HISTORIC SITE	50A	01/01/2006	N3	4	COLUMBIA	03/31/2008
ORDA	3195	WHITEFACE MOUNTAIN SKI CENTER	58	01/01/2003	N3	5	ESSEX	03/31/2011
ORDA	4003	MT VAN HOEVENBERG SPORTS COMPLEX	58	01/01/2003	N4	5	ESSEX	03/31/2011
PANYNJ	3371	LAGUARDIA AIRPORT	56	01/01/2007	N4	2	QUEENS	05/01/2011
PANYNJ	3409	PORT IVORY MARINE TERMINAL	56A	01/01/2006	N3	2	RICHMOND	12/01/2011
PANYNJ	3411	PATH TUNNELS A&B - WORLD TRADE CENTER	58	01/01/1993	N3	2	NEW YORK	09/01/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
PANYNJ	3840	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/01/2010
PANYNJ	3841	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N3	2	QUEENS	04/01/2010
PANYNJ	3844	JFK INTERNATIONAL AIRPORT	58	01/01/2008	N4	2	QUEENS	
PANYNJ	3847	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	01/01/2007	N3	2	KINGS	12/01/2010
PANYNJ	4004	JFK INTERNATIONAL AIRPORT	58	10/14/2007	N3	2	QUEENS	
PANYNJ	4005	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	02/20/2007	N3	2	KINGS	12/31/2010
PANYNJ	4006	LAGUARDIA AIRPORT	58	04/01/2008	N3	2	QUEENS	04/01/2010
PANYNJ	4102	JFK INTERNATIONAL AIRPORT	58	12/01/2008	N4	2	QUEENS	03/01/2010
SUNY	3358	SUNY AT STONY BROOK	56B	01/01/1996	N3	1	SUFFOLK	07/01/2012
SUNY	3412	SUNY FARMINGDALE	05	01/01/2005	N4	1	SUFFOLK	12/31/2010
SUNY	3813	SUNY BUFFALO NORTH CAMPUS	56	01/01/2008	C	9	ERIE	
SUNY	3820	SUNY AT ONEONTA	58	01/01/2008	N3	4	OTSEGO	12/31/2010
SUNY	3826	SUNY AT ONEONTA	58B	01/01/2008	N3	4	OTSEGO	12/31/2010
SUNY	3827	SUNY AT ONEONTA	58A	01/01/2008	N3	4	OTSEGO	12/31/2010
SUNY	3941	SUNY BUFFALO NORTH CAMPUS	56	03/01/2009	C	9	ERIE	07/31/2010
SUNY	3942	SUNY DOWNSTATE MEDICAL CENTER	56	01/08/2009	N3	2	KINGS	
SUNY	3944	SUNY MARITIME	56	02/13/2009	C	2	BRONX	01/31/2011
SUNY	3945	SUNY CANTON COLLEGE OF TECHNOLOGY	56	02/01/2008	N3	6	ST LAWRENCE	12/31/2010
SUNY	3947	SUNY AT CORTLAND	56	12/19/2008	N3	7	CORTLAND	12/31/2010
SUNY	3950	SUNY STONYBROOK SOUTHAMPTON	56	01/29/2009	N4	1	SUFFOLK	07/17/2009
SUNY	4104	SUNY AT BUFFALO - SOUTH CAMPUS	56	01/01/2010	C	9	ERIE	07/31/2010

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
SUNY	4105	SUNY AT STONY BROOK	16	03/30/2010	C	1	SUFFOLK	07/01/2011
SUNY	4106	SUNY at Plattsburgh	56	01/01/2010	N3	5	CLINTON	03/31/2011
SUNY	4107	SUNY AT MORRISVILLE	24	01/01/2010	N4	7	MADISON	06/30/2010
THRUWY	3182	NYS CLARENCE SERVICE AREA	56A	01/01/1993	N3	9	ERIE	12/30/2008
THRUWY	3183	NYS PORT BYRON SERVICE AREA	56A	01/01/1989	N3	7	CAYUGA	12/30/2010
THRUWY	3184	NYS WARNERS SERVICE AREA	56A	01/01/1990	N3	7	ONONDAGA	12/30/2010
THRUWY	3185	HARRIMAN MAINTENANCE FACILITY	56A	01/01/1993	N3	3	ORANGE	12/30/2010
THRUWY	3186	MINT NYACK COMPLEX	56A	01/01/1996	N3	3	ROCKLAND	12/30/2010
THRUWY	3189	NYS SLOATSBURG-RAMAPO SERVICE AREA	56A	01/01/1999	N4	3	ROCKLAND	12/30/2010
THRUWY	3190	MALDEN SERVICE AREA	56A	01/01/1992	N4	3	ULSTER	12/31/2012
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	56A	01/01/1993	C	3	ROCKLAND	
THRUWY	3234	NYS WARNERS SERVICE AREA	56	01/01/2007	N3	7	ONONDAGA	
THRUWY	3416	DIV-B MINT BATAVIA SECTION	56A	01/01/1999	N4	8	GENESEE	12/30/2010
THRUWY	3419	SERVICE AREA-CHITTENANGO	56A	01/01/1992	N3	7	MADISON	12/30/2010
THRUWY	3420	SERVICE AREA - PLATTEKILL	56A	01/01/1993	N4	3	ORANGE	12/30/2011
THRUWY	3423	Service Area - De Witt	56A	01/01/1990	N3	7	ONONDAGA	03/31/2008
THRUWY	3424	ARDSLEY SERVICE AREA	56A	01/01/1999	N2	3	WESTCHESTER	12/31/2011
THRUWY	3425	MOHAWK SERVICE AREA	56A	01/01/1991	N4	4	MONTGOMERY	03/31/2011
THRUWY	3901	ULSTER SERVICE AREA	56A	05/30/2006	C	3	ULSTER	12/31/2011
THRUWY	3902	NYS PATTERSONVILLE SERVICE AREA	56A	08/14/2006	N4	4	MONTGOMERY	03/31/2009
THRUWY	3903	NYS NEW BALTIMORE SERVICE AREA	56A	05/24/2006	N4	4	GREENE	03/31/2009

AGENCY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK	DEC REG	COUNTY	PROJ COMPL
THRUWY	3904	TARBELL ROAD MAINTENANCE YARD	56A	10/31/2007	N3	7	ONONDAGA	12/31/2010
THRUWY	3940	MODENA SERVICE AREA	56A	01/01/2009	N3	3	ORANGE	03/31/2011
THRUWY	4112	NYS NEW BALTIMORE SERVICE AREA	56A	01/15/2010	N4	4	GREENE	12/11/2011

Table 13 - State Agencies and Public Authorities - DEC Actions for this Audit Cycle

Incident	3246	Agency: MTA	Regulation	56A	Rank: N3
DEC Region:	3	Municipality WESTCHESTER			
Location	75002	Location	METRO-NORTH RAILROAD HARMON YARD		
Violation	01/01/1993	Consent Order	08/01/1994	Consent Order	31402 / 8704

Violation Description:

Presence of several plumes of petroleum product in soil and groundwater at Harmon Yard. Product has been analyzed and found to consist of non-hazardous petroleum related constituents.

Incident	3266	Agency: NFTA	Regulation	58	Rank: N3
DEC Region:	9	Municipality ERIE			
Location	75028	Location	BUFFALO NIAGARA INTERNATIONAL AIRPORT		
Violation	01/01/1999	Consent Order	05/01/1998	Consent Order	98-35

Violation Description:

The facility still is having SPDES permit exceedances for BOD, although the magnitude is less. The NFTA is pursuing an engineered wetland for treatment

Incident	3358	Agency: SUNY	Regulation	56B	Rank: N3
DEC Region:	1	Municipality SUFFOLK			
Location	75003	Location	SUNY AT STONY BROOK		
Violation	01/01/1996	Consent Order	01/13/1998	Consent Order	CO 1-3080 1-5962

Violation Description:

Ongoing subsurface investigation & remediation of #6 oil spill at West Campus heating plant.

Incident	3365	Agency: ESD_UDC	Regulation	51A	Rank: N3
DEC Region:	2	Municipality QUEENS			
Location	76963	Location	QUEENS WEST		
Violation	01/01/2003	Consent Order	02/08/2005	Consent Order	2-256145

Violation Description:

The Queens West Stage 2 site consists of a former oil refinery on the northern portion of the site, and as such, floating petroleum product was released during site demolition and excavation in 2004 and 2005. Primary contaminants of concern in the soil include elevated levels of volatile organic compounds (VOCs), semi-volatile organic compounds (SVOCs), petroleum, and metals (lead, arsenic, mercury, cadmium) above site specific soil action levels. Primary contaminants of concern in the groundwater include VOCs (benzene, tetrachloroethene) and locations with floating petroleum product layer on the groundwater.

Incident	3367	Agency: ESD_UDC	Regulation	43	Rank: N3
DEC Region:	2	Municipality QUEENS			
Location	76963	Location	QUEENS WEST		
Violation	04/10/2006	Consent Order	09/26/2006	Consent Order	R2-20060410-163

Violation Description:

The Queens West Master Plan includes the development of public waterfront open space. The shoreline enhancement work includes repair and reconstruction of existing rip-rap; reconstruction of timber bulkheads and relieving platforms; and construction of walkway, storm water outfalls, pile supported and cantilevered balconies. NYSDEC issued a tidal wetlands permit number 2-6304-00427/00005 for certain waterfront work on February 23, 2003. On or about April 4, 2006, NYSDEC identified several violations of that tidal wetlands permit, including: the temporary deposit of prohibited material for construction of a stormwater outfall in Stage 4; deposit of prohibited material in tidal wetlands adjacent area during the construction of the bulkhead in Stage 2; and the use of prohibited material to backfill a small depression in the inlet area of Stage 2. These violations have been resolved by a Consent Order dated 5/10/07 which required implementing certain mitigation measures and assessed a civil penalty in the amount of \$107,500, of which consent order required payment by QWDC of \$70,000 to the State of New York.

Incident	3370	Agency: OPRHP	Regulation	56	Rank: C
DEC Region:	3	Municipality ROCKLAND			
Location	75174	Location	KINGS HIGHWAY GAS STATION		
Violation	02/23/2007	Consent Order	02/23/2007	Consent Order	3-99061

Violation Description:

The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.

Incident	3512	Agency: DOT	Regulation	39	Rank: N4
DEC Region:	5	Municipality FRANKLIN			
Location	807214	Location	REG.07 - HWY ROW FRANKLIN CO		
Violation	01/01/2006	Consent Order	03/29/2006	Consent Order	CO5-20060104-1

Violation Description:

2006: Tree removal operations necessary to enhance safety along the Rt. 3 ROW resulted in violations for work on State Forest Preserve lands as described in Items 26-29 of the Interagency Consent Order dated March 29, 2006 (CO5-20060104-1).

Incident	3561	Agency: OPRHP	Regulation	56A	Rank: N2
DEC Region:	3	Municipality ULSTER			
Location	76928	Location	BRISTOL BEACH SP		
Violation	01/01/2005	Consent Order	08/11/2004	Consent Order	3-2934/9706

Violation Description:

The following spill events remain open: Spill No. 9009587--10/22/90 unspecified quantity of #6 fuel oil was released from two 100,000 gallons (with 2 feet of sludge) and one 4,000 gallon (with 4 feet of product) leaking aboveground storage tanks. Surface (Hudson River) was affected. Spill No. 9613238--1/22/97 unknown petroleum spilled at Staple's backyard, unknown quantity affecting groundwater.

Incident	3758	Agency: MTA	Regulation	34	Rank: N3
DEC Region:	3	Municipality	WESTCHESTER		
Location	75002	Location	METRO-NORTH RAILROAD HARMON YARD		
Violation	01/01/1990	Consent Order	08/01/1994	Consent Order	31402/8704

Violation Description:

OU-I". (See comments section). The Operable Unit II ("OU-II") portion of the project, which involves groundwater contamination in the vicinity of the remediated lagoon has been operating for a number of years. This remediation phase involves a vacuum-enhanced recovery system and sheeting wall. Operation of the system is now routine and periodic reports are being sent to NYSDEC.

Incident	3820	Agency: SUNY	Regulation	58	Rank: N3
DEC Region:	4	Municipality	OTSEGO		
Location	811016	Location	SUNY AT ONEONTA		
Violation	01/01/2008	Consent Order	02/25/2008	Consent Order	R4-2008-0128-18

Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in various buildings needed to be conducted, discrepancies need to be mitigated as required, the spill involved a discharge into Silver Creek via the campus storm sewer.

Incident	3826	Agency: SUNY	Regulation	58B	Rank: N3
DEC Region:	4	Municipality	OTSEGO		
Location	811016	Location	SUNY AT ONEONTA		
Violation	01/01/2008	Consent Order	02/25/2008	Consent Order	R4-2008-0128-18

Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in the building needed to be conducted to determine drainage to storm or sanitary sewer system. Discrepancies need to be mitigated as required. SPDES permits /fees need to be applied and paid for as required.

Incident	3827	Agency: SUNY	Regulation	58A	Rank: N3
DEC Region:	4	Municipality	OTSEGO		
Location	811016	Location	SUNY AT ONEONTA		
Violation	01/01/2008	Consent Order	02/25/2008	Consent Order	R4-2008-0128-18

Violation Description:

In a recent DEC inspection and spill, items of non-compliance were noted: A survey of floor drains in various buildings needed to be conducted. Discrepancies need to be mitigated as required. SPDES permits need to be filed as required. SPDES fees must be paid as required.

Table 14 - Third Party Violations

Incident	3561	Agency: OPRHP	Regulation	56A	Rank: N2
DEC Region:	3	Municipality ULSTER			
Location	76928	Location	BRISTOL BEACH SP		
Violation	01/01/2005	Third Party			

Violation Description:

The following spill events remain open: Spill No. 9009587--10/22/90 unspecified quantity of #6 fuel oil was released from two 100,000 gallons (with 2 feet of sludge) and one 4,000 gallon (with 4 feet of product) leaking aboveground storage tanks. Surface (Hudson River) was affected. Spill No. 9613238--1/22/97 unknown petroleum spilled at Staple's backyard, unknown quantity affecting groundwater.

Incident	3721	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality QUEENS			
Location	76897	Location	LIRR LEASED PROPERTY WEN-LAR		
Violation	01/01/1996	Third Party			

Violation Description:

Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.

Incident	3723	Agency: MTA	Regulation	51A	Rank: N3
DEC Region:	1	Municipality NASSAU			
Location	808498	Location	LIRR ROW MAIN LINE (A K ALLEN)		
Violation	01/01/2000	Third Party			

Violation Description:

Furniture re-finisher stored drums of waste strippers and pigments at fence along right-of-way. Drums corroded and failed, releasing chemicals which flowed onto the right-of-way. Elevated levels of chromium contamination have been detected in the staining.

Incident	3724	Agency: MTA	Regulation	50A	Rank: N2
DEC Region:	1	Municipality SUFFOLK			
Location	808499	Location	LIRR ROW MONTAUK BRANCH WESTHAMPTON		
Violation	01/01/2000	Third Party			

Violation Description:

Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.

Incident	3739	Agency: MTA	Regulation	51	Rank: N3
DEC Region:	1	Municipality NASSAU			
Location	806891	Location	ROCKVILLE CENTER CNG FACILITY		
Violation	01/01/2006	Third Party			

Violation Description:

The building and underground storage tanks are owned by the County of Nassau. The underground storage tank containing antifreeze has not been upgraded for secondary containment in accordance with Nassau County Health Department regulations. The specifications for the replacement of this system have been completed. The County is in the process of bidding this project. The tank recently passed tightness tested and is monitored on a daily basis.

Incident	3742	Agency: OPRHP	Regulation	50A	Rank: N4
DEC Region:	3	Municipality	ORANGE		
Location	76888	Location	STERLING FOREST STATE PARK		
Violation	01/01/2007	Third Party			

Violation Description:

Debris consisting of asphalt, car parts, miscellaneous tanks, paint cans & drums identified under power lines near former International Niebel Co. Research Lab.

Incident	3743	Agency: MTA	Regulation	51A	Rank: N2
DEC Region:	1	Municipality	SUFFOLK		
Location	808663	Location	LIRR ROW SUFFOLK		
Violation	01/01/2005	Third Party			

Violation Description:

Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSDEC determined liquid contained hexavalent chromium.

Incident	3744	Agency: OPRHP	Regulation	50A	Rank: N3
DEC Region:	4	Municipality	COLUMBIA		
Location	75330	Location	CLERMONT STATE HISTORIC SITE		
Violation	01/01/2006	Third Party			

Violation Description:

There is an old Livingston landfill on the property. It contains cans, bottles, car parts, etc. and it has not been used since 1985, but has not been inspected by DEC or properly closed.

Incident	3745	Agency: MTA	Regulation	56	Rank: N4
DEC Region:	1	Municipality	NASSAU		
Location	806891	Location	ROCKVILLE CENTER CNG FACILITY		
Violation	01/01/2006	Third Party			

Violation Description:

The buildings and underground storage tanks are owned by the County of Nassau. The underground storage tanks containing petroleum lubricants and heating oil have not been upgraded in accordance with Nassau County Health Department regulations. The specifications for the replacement of the tanks have been completed and the County is in the process of bidding the construction. The tanks recently passed tightness testing and are monitored on a daily basis.

Incident	3746	Agency: MTA	Regulation	51	Rank: N3
DEC Region:	1	Municipality	NASSAU		
Location	75345	Location	NORMAN LEVY DEPOT		
Violation	01/01/2006	Third Party			

Violation Description:

The buildings and underground storage tanks are owned by the County of Nassau. The underground storage tank containing antifreeze has not been upgraded for secondary containment piping in accordance with Nassau County Health Department regulations. The specifications for the replacement of the tanks have been completed by an engineering firm. The tank recently passed tightness testing and is monitored on a daily basis.

Incident	3747	Agency: MTA	Regulation	56	Rank: N3
DEC Region:	1	Municipality	NASSAU		
Location	75345	Location	NORMAN LEVY DEPOT		
Violation	01/01/2006	Third Party			

Violation Description:

The buildings and storage tanks are owned by the County of Nassau. The underground storage tanks containing petroleum and heating oil have not been upgraded for secondary containment in accordance with Nassau County Health Department regulations. Specifications for the replcement of the systems have been completed. The tanks recently passed tightness testing and are monitored on a daily basis.

Incident	3748	Agency: MTA	Regulation	56A	Rank: N3
DEC Region:	2	Municipality	NEW YORK		
Location	76996	Location	LIRR YARD WESTSIDE STORAGE		
Violation	01/01/2007	Third Party			

Violation Description:

Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.

Incident	3753	Agency: MTA	Regulation	56A	Rank: N3
DEC Region:	2	Municipality	QUEENS		
Location	808463	Location	MTA BUS COLLEGE POINT		
Violation	01/01/2006	Third Party			

Violation Description:

Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property aquisition by MTA. #9805557

Incident	3755	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	1	Municipality	NASSAU		
Location	808666	Location	LIPA/Keyspan Spill (#0508539)		
Violation	01/01/2006	Third Party			

Violation Description:

An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.

Incident	3772	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality	QUEENS		
Location	808970	Location	JFK DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686

Incident	3774	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality	QUEENS		
Location	808971	Location	BAISLEY PARK DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216

Incident	3775	Agency: MTA	Regulation	51	Rank: C
DEC Region:	2	Municipality QUEENS			
Location	808973	Location	LAGUARDIA DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

After acquisition of property, it was determined that former methanol tanks were not closed properly by owner.

Incident	3778	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality QUEENS			
Location	808977	Location	FAR ROCKAWAY DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.

Incident	3779	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality QUEENS			
Location	808978	Location	LIRR LIC PROPERTY NUMBER 1		
Violation	01/01/2007	Third Party			

Violation Description:

This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.

Incident	3796	Agency: DEC	Regulation	54D	Rank: N2
DEC Region:	9	Municipality ERIE			
Location	76650	Location	LACKAWANNA CHANNEL FPP		
Violation	01/01/1998	Third Party			

Violation Description:

In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.

Incident	3797	Agency: DEC	Regulation	54D	Rank: N2
DEC Region:	9	Municipality ERIE			
Location	810722	Location	LACKAWANNA FPP		
Violation	01/01/1998	Third Party			

Violation Description:

Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.

Incident	3799	Agency: MTA	Regulation	56A	Rank: N3
DEC Region:	2	Municipality BRONX			
Location	809173	Location	MTA BUS COMPANY EASTCHESTER DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698

Incident	3881	Agency: MTA	Regulation	56A	Rank: N2
DEC Region:	2	Municipality QUEENS			
Location	808973	Location	LAGUARDIA DEPOT		
Violation	01/01/2007	Third Party			

Violation Description:

Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810

Incident	3903	Agency: THRUWY	Regulation	56A	Rank: N4
DEC Region:	4	Municipality GREENE			
Location	814663	Location	NYS NEW BALTIMORE SERVICE AREA		
Violation	05/24/2006	Third Party			

Violation Description:

Groundwater & soil contamination found as a result of service area change of vendors

Incident	3998	Agency: NFTA	Regulation	25	Rank: C
DEC Region:	9	Municipality ERIE			
Location	826603	Location	NIAGARA FRONTIER TRANS. AUTH. WAREHOUSE		
Violation	01/01/2008	Third Party			

Violation Description:

An individual at the facility applies pesticide (Roundup) twice a year to control vegetation surrounding the reservoir on the property. The facility has a certified pesticide technician, but is only certified under the cooling tower category. Although Roundup is not a restricted pesticide and supervision of a certified commercial pesticide applicator is not required, the individual needs to be certified under the ornamental and turf pest control category to apply Roundup. The facility also needs to record pesticide usage and file a Pesticide Reporting Law annual report with the NYSDEC. These requirements were not met. It was estimated by the facilities supervisor that approximately one quart of concentrated Roundup (diluted per manufacturer's instructions) was applied at the facility during the audit cycle.

Incident	4005	Agency: PANYNJ	Regulation	56A	Rank: N3
DEC Region:	2	Municipality KINGS			
Location	811080	Location	BROOKLYN MARINE TERMINAL PIERS 6-12		
Violation	02/20/2007	Third Party			

Violation Description:

At Building 111 Refueling Station Underground Storage Tanks. As part of phast II environmental investigation of Piers 7-12 EDC contractor HDR-LMS took boring soil samples at the above location of 4 4000 gallon gasoline UST. On 2/20/07 sample results indicated excessive levels of Benzene, which was reported to the NYSDEC who assigned spill number 06-12595.

Incident	4112	Agency: THRUWY	Regulation	56A	Rank: N4
DEC Region:	4	Municipality GREENE			
Location	814663	Location	NYS NEW BALTIMORE SERVICE AREA		
Violation	01/15/2010	Third Party			

Violation Description:

Spill #0911309. Groundwater and soil contamination found as a result of service area change of vendors.

Incident	4138	Agency: MTA	Regulation	56A	Rank: N4
DEC Region:	2	Municipality	NEW YORK		
Location	838960	Location	Greenwich Fan Plant		
Violation	01/01/2010	Third Party			

Violation Description:

On December 2, 2009 NYCT's Capital Program Management (CPM) group called to report petroleum odors at 61 Greenwich Street, New York, NY. A site investigation was being done for preliminary design for a fan plant. The spill was reported as unknown quantity unknown source.

Table 15 - Remedial Plan Schedule Disapprovals by Agency, DEC Region, and Violation Location

AGENCY	DEC REG	COUNTY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK
CANALS	6	ONEIDA	3167	HEADQUARTERS - UTICA	56A	01/01/2002	N2
CANALS	8	WAYNE	3168	LOCK E-26	56A	01/01/1999	N3
CANALS	8	WAYNE	3170	LOCK E-29	56A	01/01/1999	N3
DOT	2	QUEENS	3667	NYS DOT-WHITESTONE EXPRESSWAY	43	01/01/2003	N4
DOT	2	RICHMOND	3507	REG.11 - HWY ROW RICHMOND CO	20	01/01/2002	N3
DOT	5	CLINTON	3600	REG 7 HWY MNT PLATTSBURGH RES	56	01/01/2000	N3
DOT	5	FRANKLIN	3524	RESIDENT ENGINEER HEADQUARTERS - MALONE	56	01/01/1994	N3
DOT	5	FRANKLIN	3592	HOGANSBURG RES ENG SUBHEADQUARTERS	56	01/01/2001	C
DOT	5	FRANKLIN	3675	LAKE CLEAR MAINTENANCE SUBHEADQUARTERS	56	01/01/2001	N2
DOT	5	FULTON	4091	NYSDOT REG.02 HWY MNT FULTON COUNTY	56	01/01/2009	C
DOT	5	HAMILTON	4030	REG. 02 HWY ROW HAMILTON CO	56	01/01/2009	N4
DOT	5	HAMILTON	4063	NYSDOT REG.02 HWY MNT WELLS	56A	01/01/2009	N3
DOT	5	HAMILTON	4064	NYSDOT REG.02 HWY MNT WELLS	56	01/01/2009	N3
DOT	5	HAMILTON	4073	NYSDOT REG.02 HWY MNT LONG LAKE	56	01/01/2009	N3
DOT	7	TOMPKINS	3546	REG 3 HWY MNT ITHACA SUB RESIDENCY	58	01/01/1991	N3
MTA	1	NASSAU	3645	LIRR SUBSTATION WANTAGH	51A	01/01/2000	N2

AGENCY	DEC REG	COUNTY	INCIDENT NUMBER	LOCATION NAME	REG ID	VIOL DATE	RANK
MTA	2	BRONX	3501	TBTA-BRONX-WHITESTONE BRIDGE	56A	01/01/1993	N3
MTA	2	QUEENS	3972	MTA - MORRIS PARK YARD	34	01/01/2007	N3
OMH	2	NEW YORK	3848	NYS PSYCHIATRIC INSTITUTE	56	10/01/2007	N4
OMH	2	QUEENS	3318	CREEDMOOR PSYCHIATRIC CTR	56	01/01/2006	N4
OMRDD	5	FRANKLIN	4183	Summount DDSO	56	01/05/2010	N3
OPRHP	1	NASSAU	3215	VALLEY STREAM STATE PARK	58	01/01/2003	N3
OPRHP	1	NASSAU	3219	JONES BEACH STATE PARK	58	01/01/2002	N2
OPRHP	1	NASSAU	3221	JONES BEACH STATE PARK	58B	01/01/1992	N3
OPRHP	1	NASSAU	3238	PLANTING FIELDS ARBORETUM	58	01/01/2003	N3
OPRHP	1	NASSAU	3253	HEMPSTEAD LAKE STATE PARK	58	01/01/2003	N3
OPRHP	1	SUFFOLK	3223	CAUMSETT STATE PARK	58	01/01/2003	N3
PANYNJ	2	KINGS	3847	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	01/01/2007	N3
PANYNJ	2	KINGS	4005	BROOKLYN MARINE TERMINAL PIERS 6-12	56A	02/20/2007	N3
SUNY	5	CLINTON	4106	SUNY at Plattsburgh	56	01/01/2010	N3

Table 16 - Current Status of N1 and N2 Violations from the Previous Audit Cycle

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
CANALS	3167	HEADQUARTER S - UTICA	ONEIDA	6	N3	N2	01/01/2002	100,000.00	500,000.00		06/30/2005	2002: Minor residual contamination of soil after tank was removed.
CANALS	3167	HEADQUARTER S - UTICA	ONEIDA	6	N2	N2	01/01/2002	100,000.00	500,000.00		11/15/2010	2002: Minor residual contamination of soil after tank was removed.
CANALS	3167	HEADQUARTER S - UTICA	ONEIDA	6	N3	N2	01/01/2002	100,000.00	500,000.00		12/30/2014	2002: Minor residual contamination of soil after tank was removed.
CANALS	3167	HEADQUARTER S - UTICA	ONEIDA	6	N3	N2	01/01/2002	100,000.00	500,000.00		12/30/2014	2002: Minor residual contamination of soil after tank was removed.
CANALS	3167	HEADQUARTER S - UTICA	ONEIDA	6	N2	N2	01/01/2002	100,000.00	500,000.00		11/15/2010	2002: Minor residual contamination of soil after tank was removed.
DEC	3796	LACKAWANNA CHANNEL FPP	ERIE	9	N2	N2	01/01/1998	0.00	2,000,000.00		03/31/2015	In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.
DEC	3796	LACKAWANNA CHANNEL FPP	ERIE	9	N2	N2	01/01/1998	0.00	2,000,000.00		03/31/2013	In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.
DEC	3796	LACKAWANNA CHANNEL FPP	ERIE	9	N2	N2	01/01/1998	0.00	2,000,000.00		03/31/2009	In May 2003, property was sold from Bethlehem Steel to Tecumseh Redevelopment, a subsidiary of the International Steel Group (ISG). Violation is sediment buildup (80,000 CY) in Flood Control Project, resulting in the 100 year flood plan limits.
DEC	3797	LACKAWANNA FPP	ERIE	9	N2	N2	01/01/1998	6,300.00	1,200,000.00		03/31/2009	Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.
DEC	3797	LACKAWANNA FPP	ERIE	9	N2	N2	01/01/1998	6,300.00	1,200,000.00		03/31/2015	Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DEC	3797	LACKAWANNA FPP	ERIE	9	N2	N2	01/01/1998	6,300.00	1,200,000.00		03/31/2013	Violation is sediment buildup (20,000 CY) in Flood Control Project (east of Route 5) resulting in an increase in the 100 year flood plain limits.
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	200,000.00		01/08/2008	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOAM	3301	NYS FAIRGROUNDS	ONONDAGA	7	N2	N2	01/01/2004	0.00	492,000.00		03/31/2014	THE STATE FAIRGROUNDS HAS A SEPARATE STORMWATER SEWER SYSTEM THAT IS SUBJECT TO THE MUNICIPAL SEPARATE STORM SEWER (MS4) REGULATIONS. THE DEPARTMENT HS NOT SUBMITTED AN NOI WITH THE DEC AND HAS NOT ESTABLISHED A STORMWATER MANAGEMENT PROGRAM
DOT	3510	REG. 02 HWY ROW ONEIDA COUNTY	ONEIDA	6	N3	N2	01/01/1996	0.00	2,000.00			Petroleum contaminated soil fuel tanks removed under OGS contract person at OGS Andy Jarmack 315-339-6820.
DOT	3510	REG. 02 HWY ROW ONEIDA COUNTY	ONEIDA	6	N4	N2	01/01/1996	0.00	2,000.00		03/31/2007	Petroleum contaminated soil fuel tanks removed under OGS contract person at OGS Andy Jarmack 315-339-6820.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DOT	3514	REG-02 - HWY ROW HERKIMER CO	HERKIMER	6	N3	N2	01/01/2000	300,000.00	180,000.00		03/31/2010	FORMER RANGE OIL STORAGE YARD WAS PREVIOUSLY LOCATED AT THIS INTERSECTION AND PART OF THE CONTAMINATION IS DUE TO MULTIPLE RELEASES OVER MANY YEARS FROM SEVERAL SOURCES.
DOT	3514	REG-02 - HWY ROW HERKIMER CO	HERKIMER	6	N2	N2	01/01/2000	300,000.00	180,000.00		12/31/2015	FORMER RANGE OIL STORAGE YARD WAS PREVIOUSLY LOCATED AT THIS INTERSECTION AND PART OF THE CONTAMINATION IS DUE TO MULTIPLE RELEASES OVER MANY YEARS FROM SEVERAL SOURCES.
DOT	3514	REG-02 - HWY ROW HERKIMER CO	HERKIMER	6	N4	N2	01/01/2000	270,000.00	180,000.00		12/31/2007	FORMER RANGE OIL STORAGE YARD WAS PREVIOUSLY LOCATED AT THIS INTERSECTION AND PART OF THE CONTAMINATION IS DUE TO MULTIPLE RELEASES OVER MANY YEARS FROM SEVERAL SOURCES.
DOT	3572	NYS DOT ROW IN MONROE COUNTY	MONROE	8	N2	N2	01/01/1999	0.00	2,800,000.00	07/24/2008	12/31/2012	Inactive landfill (Deerco) which accepted hazardous waste acquired by DOT as part of a construction project.
DOT	3572	NYS DOT ROW IN MONROE COUNTY	MONROE	8	C	N2	01/01/1999	0.00	2,800,000.00	07/24/2008	03/31/2009	Inactive landfill (Deerco) which accepted hazardous waste acquired by DOT as part of a construction project.
DOT	3572	NYS DOT ROW IN MONROE COUNTY	MONROE	8	N2	N2	01/01/1999	0.00	2,800,000.00	07/24/2008	03/31/2012	Inactive landfill (Deerco) which accepted hazardous waste acquired by DOT as part of a construction project.
DOT	3584	NYS DOT REGION 9 MAINTENANCE SUBHQ	CHENANGO	7	N3	N2	01/01/2007	0.00	0.00		03/31/2009	automatic tank guaging system is not functioning; overfill alarm is not functioning; no monitoring of underground hearing fuel tank; no as-built plans for the heating fuel tank
DOT	3584	NYS DOT REGION 9 MAINTENANCE SUBHQ	CHENANGO	7	N3	N2	01/01/2007	0.00	0.00		03/31/2010	automatic tank guaging system is not functioning; overfill alarm is not functioning; no monitoring of underground hearing fuel tank; no as-built plans for the heating fuel tank
DOT	3584	NYS DOT REGION 9 MAINTENANCE SUBHQ	CHENANGO	7	C	N2	01/01/2007	0.00	0.00		12/30/2010	automatic tank guaging system is not functioning; overfill alarm is not functioning; no monitoring of underground hearing fuel tank; no as-built plans for the heating fuel tank
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	MONROE	8	N1	N1	01/01/1998	9,335,000.00	4,100,000.00		03/31/2012	DOT acquired the former Scobell Chemical Facility as part of a construction project. Upon investigation as part of the construction project, the presence of high levels of hazardous contamination were identified.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	MONROE	8	N2	N1	01/01/1998	9,335,000.00	1,000,000.00		03/31/2009	DOT acquired the former Scobell Chemical Facility as part of a construction project. Upon investigation as part of the construction project, the presence of high levels of hazardous contamination were identified.
DOT	3730	REG 4 HWY ROW IN MONROE COUNTY	MONROE	8	N2	N1	01/01/1998	9,335,000.00	4,100,000.00		12/31/2012	DOT acquired the former Scobell Chemical Facility as part of a construction project. Upon investigation as part of the construction project, the presence of high levels of hazardous contamination were identified.
DOT	4031	NYS DOT RESIDENT ENGINEERS HQ- MEXICO	OSWEGO	7	N3	N2	01/01/2009	0.00	0.00		12/31/2011	No label attached to fill port on tank 35M. Piping also appears to be steel. Other tanks need to be painted and the high level alarm on 3502 is not working.
DOT	4090	NYS DOT REG.06 SPEC CREW HORNELL	STEUBEN	8	N3	N2	01/01/2009	860.00	0.00		04/15/2011	F2aiii Shear shutoff valve not anchored properly on dispenser for tank # 6400 F2aiii Shear shutoff valve not anchored properly on dispenser for tank # 6401 F5 tank #6400 - high level alarm not operating for this tank F6 # 6402 - The tank is plastic it is not welded steel or painted. G1b Tank # 6400 - The vapor recovery system is not being used.
DSP	3861	FORMER SP TUPPER LAKE	FRANKLIN	5	N2	N2	08/21/1998	668,315.00	250,000.00		01/01/2012	Encountered petroleum impacted soil and groundwater during excavation of former UST.
DSP	3861	FORMER SP TUPPER LAKE	FRANKLIN	5	N2	N2	08/21/1998	610,362.00	0.00		01/01/2011	Encountered petroleum impacted soil and groundwater during excavation of former UST.
MTA	3477	MTA - MORRIS PARK YARD	QUEENS	2	N2	N2	01/01/2000	601,962.00	3,507,664.00		12/31/2010	CHLOROFLUOROCARBON (CFC) CONTAMINATION OF GROUNDWATER WAS DISCOVERED DURING A REMEDIAL INVESTIGATION (RI) OF PETROLEUM CONTAMINATION. THE CFC SPILL IS OF INDETERMINATE AGE, PROBABLY RESULTING FROM PARTS CLEANING OPERATIONS THAT WERE CARRIED OUT ON THE PROPERTY UNTIL THE EARLY
MTA	3477	MTA - MORRIS PARK YARD	QUEENS	2	N4	N2	01/01/2000	1,015,873.00	83,616.00		12/31/2013	CHLOROFLUOROCARBON (CFC) CONTAMINATION OF GROUNDWATER WAS DISCOVERED DURING A REMEDIAL INVESTIGATION (RI) OF PETROLEUM CONTAMINATION. THE CFC SPILL IS OF INDETERMINATE AGE, PROBABLY RESULTING FROM PARTS CLEANING OPERATIONS THAT WERE CARRIED OUT ON THE PROPERTY UNTIL THE EARLY

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3477	MTA - MORRIS PARK YARD	QUEENS	2	N3	N2	01/01/2000	1,000,253.00	99,235.00		12/31/2012	CHLOROFLUOROCARBON (CFC) CONTAMINATION OF GROUNDWATER WAS DISCOVERED DURING A REMEDIAL INVESTIGATION (RI) OF PETROLEUM CONTAMINATION. THE CFC SPILL IS OF INDETERMINATE AGE. PROBABLY RESULTING FROM PARTS CLEANING OPERATIONS THAT WERE CARRIED OUT ON THE PROPERTY UNTIL THE EARLY
MTA	3477	MTA - MORRIS PARK YARD	QUEENS	2	N2	N2	01/01/2000	690,330.00	482,354.00		12/31/2010	CHLOROFLUOROCARBON (CFC) CONTAMINATION OF GROUNDWATER WAS DISCOVERED DURING A REMEDIAL INVESTIGATION (RI) OF PETROLEUM CONTAMINATION. THE CFC SPILL IS OF INDETERMINATE AGE. PROBABLY RESULTING FROM PARTS CLEANING OPERATIONS THAT WERE CARRIED OUT ON THE PROPERTY UNTIL THE EARLY
MTA	3478	MTA - MORRIS PARK YARD	QUEENS	2	N3	N2	01/01/1989	7,282,373.00	1,901,310.00		03/31/2013	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL LOCOMOTIVES. FUELING HAS BEEN DONE IN THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION IS AN ACCUMULATION FROM YRS OF
MTA	3478	MTA - MORRIS PARK YARD	QUEENS	2	N3	N2	01/01/1989	7,742,871.00	1,440,812.00		03/31/2013	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL LOCOMOTIVES. FUELING HAS BEEN DONE IN THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION IS AN ACCUMULATION FROM YRS OF
MTA	3478	MTA - MORRIS PARK YARD	QUEENS	2	N2	N2	01/01/1989	1,925,492.00	6,220,838.00		12/31/2011	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL LOCOMOTIVES. FUELING HAS BEEN DONE IN THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION IS AN ACCUMULATION FROM YRS OF
MTA	3478	MTA - MORRIS PARK YARD	QUEENS	2	N2	N2	01/01/1989	4,983,493.00	4,149,784.00		12/31/2011	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL LOCOMOTIVES. FUELING HAS BEEN DONE IN THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION IS AN ACCUMULATION FROM YRS OF

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	QUEENS	2	N2	N2	01/01/1989	4,625,555.00	1,680,800.00		12/31/2009	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL PASSENGER TRAINS. FUELING HAS BEEN DONE AT THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	QUEENS	2	N3	N2	01/01/1989	5,315,660.00	791,449.00		07/31/2012	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL PASSENGER TRAINS. FUELING HAS BEEN DONE AT THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	QUEENS	2	N3	N2	01/01/1989	5,362,831.00	744,218.00		07/31/2013	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL PASSENGER TRAINS. FUELING HAS BEEN DONE AT THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION
MTA	3483	LIRR - LONG ISLAND CITY PASSENGER YARD	QUEENS	2	N2	N2	01/01/1989	4,693,075.00	828,979.00		12/31/2009	GROUND CONTAMINATION UNDER AND ADJACENT TO THE YARD TRACKS CAUSED BY DRIPS, LEAKAGE AND OVERFILL FROM DIESEL PASSENGER TRAINS. FUELING HAS BEEN DONE AT THIS LOCATION FOR MANY YEARS AND GROUND CONTAMINATION
MTA	3604	LIRR YARD VALLEY STREAM	NASSAU	1	N2	N2	01/01/1998	252,794.00	260,217.00		04/30/2014	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979. MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02017
MTA	3604	LIRR YARD VALLEY STREAM	NASSAU	1	N2	N2	01/01/1998	194,974.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979. MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02017
MTA	3604	LIRR YARD VALLEY STREAM	NASSAU	1	N2	N2	01/01/1998	169,268.00	266,110.00		12/31/2009	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979. MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02017
MTA	3604	LIRR YARD VALLEY STREAM	NASSAU	1	N2	N2	01/01/1998	237,594.00	379,000.00		12/31/2013	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979. MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02017

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3605	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1997	1,002.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3605	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1997	1,002.00	0.00		04/30/2014	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3605	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1997	1,002.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3605	LIRR YARD VALLEY STREAM	NASSAU	1	N2	01/01/1997	1,002.00	1,002.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3607	LIRR SUBSTATION MASSAPEQUA	NASSAU	1	N2	01/01/2000	418,687.00	268,240.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3607	LIRR SUBSTATION MASSAPEQUA	NASSAU	1	N2	01/01/2000	470,267.00	498,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3607	LIRR SUBSTATION MASSAPEQUA	NASSAU	1	N2	01/01/2000	485,467.00	308,834.00		08/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3607	LIRR SUBSTATION MASSAPEQUA	NASSAU	1	N2	01/01/2000	444,393.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3611	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/2000	256,906.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3611	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/2000	231,200.00	204,178.00		12/31/2008	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3611	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/2000	431,478.00	568,490.00		08/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3611	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/2000	416,278.00	189,500.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3612	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/1997	3,075.00	0.00		08/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3612	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/1997	3,075.00	3,075.00		12/31/2008	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3612	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/1997	3,075.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3612	LIRR SUBSTATION FLORAL PARK	NASSAU	1	N2	01/01/1997	3,075.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3613	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/2000	197,808.00	435,764.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3613	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/2000	114,282.00	321,096.00		12/30/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3613	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/2000	182,608.00	379,000.00		12/30/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3613	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	01/01/2000	139,988.00	452,000.00		12/30/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3614	LIRR SUBSTATION BAYSIDE	QUEENS	2	N2	01/01/2000	152,203.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3614	LIRR SUBSTATION BAYSIDE	QUEENS	2	N2	01/01/2000	126,497.00	308,881.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3614	LIRR SUBSTATION BAYSIDE	QUEENS	2	N2	N2	01/01/2000	193,823.00	349,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3614	LIRR SUBSTATION BAYSIDE	QUEENS	2	C	N2	01/01/2000	209,023.00	246,418.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3615	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	N2	01/01/1993	2,256.00	2,256.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3615	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	N2	01/01/1993	2,256.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3615	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	N2	01/01/1993	2,256.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3615	LIRR SUBSTATION HEMPSTEAD	NASSAU	1	N2	N2	01/01/1993	2,256.00	0.00		04/30/2014	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3617	LIRR SUBSTATION SHEA	QUEENS	2	N2	N2	01/01/1998	194,052.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF INDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION.
MTA	3617	LIRR SUBSTATION SHEA	QUEENS	2	N2	N2	01/01/1998	168,346.00	267,032.00		12/31/2009	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF INDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION.
MTA	3617	LIRR SUBSTATION SHEA	QUEENS	2	C	N2	01/01/1998	236,672.00	379,000.00		12/31/2013	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF INDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION.
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	N2	01/01/2000	307,548.00	189,500.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	N2	01/01/2000	148,166.00	452,000.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	01/01/2000	357,548.00	5,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3618	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	01/01/2000	122,460.00	312,918.00		12/31/2008	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3619	LIRR SUBSTATION SHEA	QUEENS	2	N2	01/01/1993	720.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3619	LIRR SUBSTATION SHEA	QUEENS	2	N2	01/01/1993	720.00	720.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3619	LIRR SUBSTATION SHEA	QUEENS	2	N2	01/01/1993	720.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3620	LIRR SUBSTATION INWOOD	NASSAU	1	N2	01/01/1998	109,030.00	326,348.00		12/31/2009	SOIL SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINING AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02015
MTA	3620	LIRR SUBSTATION INWOOD	NASSAU	1	N2	01/01/1998	134,736.00	452,000.00		12/31/2010	SOIL SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINING AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02015
MTA	3620	LIRR SUBSTATION INWOOD	NASSAU	1	N2	01/01/1998	177,356.00	379,000.00		12/31/2013	SOIL SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINING AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02015
MTA	3620	LIRR SUBSTATION INWOOD	NASSAU	1	N2	01/01/1998	192,556.00	180,768.00		04/30/2014	SOIL SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINING AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-02015
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	01/01/1993	1,312.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	N2	01/01/1993	1,312.00	1,312.00		12/31/2008	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	N2	01/01/1993	1,312.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3621	LIRR SUBSTATION ROCKVILLE CENTRE	NASSAU	1	N2	N2	01/01/1993	1,312.00	0.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3622	LIRR SUBSTATION INWOOD	NASSAU	1	N2	N2	01/01/1993	3,544.00	0.00		04/30/2014	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3622	LIRR SUBSTATION INWOOD	NASSAU	1	N2	N2	01/01/1993	3,544.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3622	LIRR SUBSTATION INWOOD	NASSAU	1	N2	N2	01/01/1993	3,544.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3622	LIRR SUBSTATION INWOOD	NASSAU	1	N2	N2	01/01/1993	3,544.00	3,544.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/2000	156,716.00	452,000.00		12/30/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/2000	131,010.00	304,368.00		12/30/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/2000	214,536.00	256,786.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3627	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/2000	199,336.00	379,000.00		12/30/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/1993	5,738.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/1993	5,738.00	0.00		04/30/2014	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/1993	5,738.00	0.00		12/31/2010	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3628	LIRR SUBSTATION PORT WASHINGTON	NASSAU	1	N2	N2	01/01/1993	5,738.00	5,738.00		12/31/2009	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3629	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1998	444,393.00	452,000.00		12/31/2010	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-01527
MTA	3629	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1998	418,687.00	337,374.00		12/31/2009	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-01527
MTA	3629	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1998	502,213.00	1,308,835.00		08/31/2013	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-01527
MTA	3629	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1998	487,013.00	379,000.00		12/31/2013	SOILS SHOWS SIGNS OF OLD MERCURY SPILL OF UNDETERMINATE AGE. PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. NYSDEC SPILL NUMBER 98-01527
MTA	3630	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1993	0.00	0.00		11/01/2004	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3630	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1993	0.00	0.00		12/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3630	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1993	0.00	0.00		11/01/2004	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3630	LIRR SUBSTATION MANHASSET	NASSAU	1	N2	N2	01/01/1993	0.00	0.00		08/31/2013	DIELECTRIC FLUID CONTAMINATION OF THE SOIL BENEATH THE RECTIFYING TRANSFORMERS. THIS WAS AN OLD RELEASE OF UNDETERMINATE AGE.
MTA	3631	LIRR SUBSTATION BELLAIRE	QUEENS	2	C	N2	01/01/2000	192,886.00	55,992.00		08/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3631	LIRR SUBSTATION BELLAIRE	QUEENS	2	N2	N2	01/01/2000	177,686.00	379,000.00		12/30/2012	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3631	LIRR SUBSTATION BELLAIRE	QUEENS	2	N2	N2	01/01/2000	109,360.00	326,018.00		12/30/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3631	LIRR SUBSTATION BELLAIRE	QUEENS	2	N2	N2	01/01/2000	135,066.00	452,000.00		12/30/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3633	LIRR SUBSTATION MINEOLA	NASSAU	1	N2	N2	01/01/2000	117,066.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3633	LIRR SUBSTATION MINEOLA	NASSAU	1	N2	N2	01/01/2000	159,686.00	498,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3633	LIRR SUBSTATION MINEOLA	NASSAU	1	N2	N2	01/01/2000	174,886.00	99,633.00		08/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3633	LIRR SUBSTATION MINEOLA	NASSAU	1	N2	N2	01/01/2000	91,360.00	344,018.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3636	LIRR SUBSTATION CEDAR MANOR	QUEENS	2	N2	N2	01/01/2000	137,566.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3636	LIRR SUBSTATION CEDAR MANOR	QUEENS	2	C	N2	01/01/2000	195,386.00	232,946.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3636	LIRR SUBSTATION CEDAR MANOR	QUEENS	2	N2	N2	01/01/2000	111,860.00	323,518.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3636	LIRR SUBSTATION CEDAR MANOR	QUEENS	2	N2	N2	01/01/2000	180,186.00	379,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3639	LIRR SUBSTATION KEW GARDENS	QUEENS	2	N2	N2	01/01/2000	125,989.00	309,389.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3639	LIRR SUBSTATION KEW GARDENS	QUEENS	2	C	N2	01/01/2000	209,515.00	106,640.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3639	LIRR SUBSTATION KEW GARDENS	QUEENS	2	N2	N2	01/01/2000	151,695.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3639	LIRR SUBSTATION KEW GARDENS	QUEENS	2	N2	N2	01/01/2000	194,515.00	379,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3641	LIRR SUBSTATION SAINT ALBANS	QUEENS	2	N3	N2	01/01/2000	189,387.00	17,693.00		08/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3641	LIRR SUBSTATION SAINT ALBANS	QUEENS	2	N2	N2	01/01/2000	105,860.00	329,518.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3641	LIRR SUBSTATION SAINT ALBANS	QUEENS	2	N2	N2	01/01/2000	1,174,187.00	379,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3641	LIRR SUBSTATION SAINT ALBANS	QUEENS	2	N2	N2	01/01/2000	131,566.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.

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MTA	3642	ISLAND PARK SUBSTATION - LIRR	NASSAU	1	N2	N2	01/01/2000	472,000.00	379,000.00		12/31/2013	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3642	ISLAND PARK SUBSTATION - LIRR	NASSAU	1	N2	N2	01/01/2000	487,208.00	318,329.00		04/30/2014	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3642	ISLAND PARK SUBSTATION - LIRR	NASSAU	1	N2	N2	01/01/2000	403,682.00	307,265.00		12/31/2009	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3642	ISLAND PARK SUBSTATION - LIRR	NASSAU	1	N2	N2	01/01/2000	429,388.00	452,000.00		12/31/2010	PRIOR TO 1979, MERCURY RECTIFIERS WERE USED AT THIS SUBSTATION. SITE SCREENING PERFORMED INDICATING MERCURY CONTAMINATION.
MTA	3645	LIRR SUBSTATION WANTAGH	NASSAU	1	N4	N2	01/01/2000	6,100.00	0.00		12/31/2009	Chlordane was historically utilized at this site to prevent termite damage to underground high-voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3645	LIRR SUBSTATION WANTAGH	NASSAU	1	N2	N2	01/01/2000	6,100.00	543,900.00		12/31/2010	Chlordane was historically utilized at this site to prevent termite damage to underground high-voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3645	LIRR SUBSTATION WANTAGH	NASSAU	1	N2	N2	01/01/2000	138,506.00	25,000.00		12/31/2013	Chlordane was historically utilized at this site to prevent termite damage to underground high-voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3645	LIRR SUBSTATION WANTAGH	NASSAU	1	N2	N2	01/01/2000	6,100.00	0.00		12/31/2013	Chlordane was historically utilized at this site to prevent termite damage to underground high-voltage lines. Analysis of soils for chlordane has been found to exceed TAGM 4046 cleanup levels.
MTA	3719	LIRR ROW SUFFOLK	SUFFOLK	1	N2	N2	01/01/2005	2,500.00	3,200,000.00		12/31/2012	Pre-sampling of Smithtown Viaduct soil prior to a drainage project revealed lead levels above NYSDEC Guidance Values.
MTA	3719	LIRR ROW SUFFOLK	SUFFOLK	1	N2	N2	01/01/2005	2,500.00	3,200,000.00		12/31/2014	Pre-sampling of Smithtown Viaduct soil prior to a drainage project revealed lead levels above NYSDEC Guidance Values.
MTA	3719	LIRR ROW SUFFOLK	SUFFOLK	1	N2	N2	01/01/2005	2,500.00	2,342,800.00		12/31/2009	Pre-sampling of Smithtown Viaduct soil prior to a drainage project revealed lead levels above NYSDEC Guidance Values.

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MTA	3719	LIRR ROW SUFFOLK	SUFFOLK	1	N2	N2	01/01/2005	2,500.00	597,500.00		12/31/2009	Pre-sampling of Smithtown Viaduct soil prior to a drainage project revealed lead levels above NYSDEC Guidance Values.
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	QUEENS	2	N2	N2	01/01/1996	66,100.00	358,900.00		06/30/2008	Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	QUEENS	2	N2	N2	01/01/1996	80,000.00	0.00		12/31/2013	Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	QUEENS	2	N3	N2	01/01/1996	80,000.00	0.00		12/31/2013	Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.
MTA	3721	LIRR LEASED PROPERTY WEN-LAR	QUEENS	2	N2	N2	01/01/1996	80,000.00	0.00			Ground contamination due to gasoline release exists on the property as indicated by monitoring wells and borings. Tenant operated a gasoline station on the site with documented leakage from UST's.
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	SUFFOLK	1	N3	N2	01/01/2000	0.00	0.00		12/31/2007	Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	SUFFOLK	1	N2	N2	01/01/2000	0.00	0.00		12/31/2013	Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	SUFFOLK	1	N2	N2	01/01/2000	0.00	0.00		12/31/2012	Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.
MTA	3724	LIRR ROW MONTAUK BRANCH WESTHAMPTON	SUFFOLK	1	N2	N2	01/01/2000	0.00	0.00		12/31/2009	Trespassing company dumped C&D waste along right-of-way to construct road for accessing property adjacent to the LIRR tracks.
MTA	3743	LIRR ROW SUFFOLK	SUFFOLK	1	N2	N2	01/01/2005	0.00	0.00		12/31/2009	Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSDEC determined liquid contained hexavalent chromium.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3743	LIRR ROW SUFFOLK	SUFFOLK	1	N2	01/01/2005	0.00	0.00		12/31/2012	Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSEDEC determined liquid contained hexavalent chromium.
MTA	3743	LIRR ROW SUFFOLK	SUFFOLK	1	N2	01/01/2005	0.00	0.00		12/31/2013	Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSEDEC determined liquid contained hexavalent chromium.
MTA	3743	LIRR ROW SUFFOLK	SUFFOLK	1	N2	01/01/2005	0.00	0.00		12/31/2008	Advanced Technology Materials (ATM) personnel were observed dumping liquid material onto LIRR property. NYS Attorney General and NYSEDEC determined liquid contained hexavalent chromium.
MTA	3748	LIRR YARD WESTSIDE STORAGE	NEW YORK	2	N2	01/01/2007	10,200.00	10,200.00		06/01/2010	Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.
MTA	3748	LIRR YARD WESTSIDE STORAGE	NEW YORK	2	N2	01/01/2007	10,200.00	0.00		06/01/2010	Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.
MTA	3748	LIRR YARD WESTSIDE STORAGE	NEW YORK	2	N2	01/01/2007	10,200.00	0.00		06/01/2012	Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.
MTA	3748	LIRR YARD WESTSIDE STORAGE	NEW YORK	2	N3	01/01/2007	32,200.00	12,000.00		12/31/2013	Petroleum contamination discovered during site investigation for the proposed New York Jets Stadium.
MTA	3753	MTA BUS COLLEGE POINT	QUEENS	2	N2	01/01/2006	85,000.00	550,000.00		12/31/2013	Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557
MTA	3753	MTA BUS COLLEGE POINT	QUEENS	2	N2	01/01/2006	85,000.00	465,000.00		12/31/2012	Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557
MTA	3753	MTA BUS COLLEGE POINT	QUEENS	2	N2	01/01/2006	85,000.00	550,000.00		12/31/2012	Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557
MTA	3753	MTA BUS COLLEGE POINT	QUEENS	2	N3	01/01/2006	85,000.00	550,000.00		12/31/2012	Spill file opened following a tank-test failure. Monitoring wells installed, groundwater impacts identified. This spill occurred in 1998 - before the property acquisition by MTA. #9805557

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
MTA	3755	LIPA/Keyspan Spill (#0508539)	NASSAU	1	N2	01/01/2006	0.00	0.00		12/31/2008	An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.
MTA	3755	LIPA/Keyspan Spill (#0508539)	NASSAU	1	N2	01/01/2006	0.00	0.00		12/31/2009	An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.
MTA	3755	LIPA/Keyspan Spill (#0508539)	NASSAU	1	N2	01/01/2006	0.00	0.00		12/31/2014	An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.
MTA	3755	LIPA/Keyspan Spill (#0508539)	NASSAU	1	N2	01/01/2006	0.00	0.00		12/31/2014	An underground KeySpan/Long Island Power Authority (LIPA) line leaked. Approximately 4,000 gallons of dielectric fluid were estimated to have been lost due to a leak. KeySpan identified the leak as being located adjacent to the LIRR crossing at Hempstead Ave, in Malverne, NY.
MTA	3772	JFK DEPOT	QUEENS	2	N2	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2010	Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686
MTA	3772	JFK DEPOT	QUEENS	2	C	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2010	Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686
MTA	3772	JFK DEPOT	QUEENS	2	C	01/01/2007	0.00	2,000,000.00	10/10/2008	12/31/2015	Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686
MTA	3772	JFK DEPOT	QUEENS	2	N2	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2015	Third party violation. Soil and Groundwater contamination discovered during tank removals in 1997. NYSDEC Spill #9612686
MTA	3774	BAISLEY PARK DEPOT	QUEENS	2	C	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2010	Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216

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MTA	3774	BAISLEY PARK DEPOT	QUEENS	2	N2	N2	01/01/2007	0.00	300,000.00		12/31/2010	Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216
MTA	3774	BAISLEY PARK DEPOT	QUEENS	2	N2	N2	01/01/2007	0.00	300,000.00		12/31/2015	Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216
MTA	3774	BAISLEY PARK DEPOT	QUEENS	2	C	N2	01/01/2007	0.00	2,000,000.00		12/31/2015	Soil / Groundwater contamination discovered during tank removals in 1997. NYSDEC spill # 9712216
MTA	3778	FAR ROCKAWAY DEPOT	QUEENS	2	C	N2	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2010	Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.
MTA	3778	FAR ROCKAWAY DEPOT	QUEENS	2	C	N2	01/01/2007	0.00	1,200,000.00	10/10/2008	12/31/2015	Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.
MTA	3778	FAR ROCKAWAY DEPOT	QUEENS	2	N2	N2	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2015	Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.
MTA	3778	FAR ROCKAWAY DEPOT	QUEENS	2	N2	N2	01/01/2007	0.00	300,000.00	10/10/2008	12/31/2010	Third Party Violation. Soil and groundwater contamination noted after tank removals in 1992. NYSDEC Spill #9210241.
MTA	3779	LIRR LIC PROPERTY NUMBER 1	QUEENS	2	N2	N2	01/01/2007	170,000.00	100,000.00		12/31/2012	This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.
MTA	3779	LIRR LIC PROPERTY NUMBER 1	QUEENS	2	N3	N2	01/01/2007	150,000.00	100,000.00		12/31/2009	This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.
MTA	3779	LIRR LIC PROPERTY NUMBER 1	QUEENS	2	N2	N2	01/01/2007	170,000.00	100,000.00		12/31/2010	This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.
MTA	3779	LIRR LIC PROPERTY NUMBER 1	QUEENS	2	N3	N2	01/01/2007	170,000.00	100,000.00		12/31/2013	This site was formerly leased to a Gas Station by the LIRR. During excavation and removal of 9 USTs, impacted soil and groundwater was identified.
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	BRONX	2	N3	N2	01/01/2007	125,000.00	1,200,000.00		12/31/2013	Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698

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MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	BRONX	2	N2	01/01/2007	85,000.00	665,000.00		12/31/2012	Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	BRONX	2	N3	01/01/2007	125,000.00	1,200,000.00		12/31/2012	Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698
MTA	3799	MTA BUS COMPANY EASTCHESTER DEPOT	BRONX	2	N2	01/01/2007	100,000.00	1,200,000.00		12/31/2012	Soil and groundwater contamination discovered from leaking underground diesel tanks. NYSDEC spill # 9706698
MTA	3881	LAGUARDIA DEPOT	QUEENS	2	N2	01/01/2007	0.00	300,000.00		12/31/2010	Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810
MTA	3881	LAGUARDIA DEPOT	QUEENS	2	N2	01/01/2007	0.00	300,000.00		12/31/2015	Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810
MTA	3881	LAGUARDIA DEPOT	QUEENS	2	N2	01/01/2007	0.00	300,000.00		12/31/2010	Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810
MTA	3881	LAGUARDIA DEPOT	QUEENS	2	C	01/01/2007	0.00	7,200,000.00		12/31/2015	Third Party violation. Soil and groundwater contamination discovered during tank removal at the property. NYSDEC spill #9310810
MTA	3884	LIRR YAPHANK PROPERTY	SUFFOLK	1	N2	01/01/1993	974,926.00	3,688,584.00		12/31/2015	"IN THE 1950S AND 1960S, THE LIRR CREATED A LANDFILL ADJACENT TO THE MAIN LINE BRANCH IN YAPHANK WHICH NOW IS AN INACTIVE LANDFILL."
MTA	3884	LIRR YAPHANK PROPERTY	SUFFOLK	1	N2	01/01/1993	1,850,655.00	9,100,000.00		12/31/2015	"IN THE 1950S AND 1960S, THE LIRR CREATED A LANDFILL ADJACENT TO THE MAIN LINE BRANCH IN YAPHANK WHICH NOW IS AN INACTIVE LANDFILL."
MTA	3884	LIRR YAPHANK PROPERTY	SUFFOLK	1	N2	01/01/1993	1,943,655.00	9,100,000.00		12/31/2015	"IN THE 1950S AND 1960S, THE LIRR CREATED A LANDFILL ADJACENT TO THE MAIN LINE BRANCH IN YAPHANK WHICH NOW IS AN INACTIVE LANDFILL."
MTA	3884	LIRR YAPHANK PROPERTY	SUFFOLK	1	N2	01/01/1993	1,455,925.00	8,617,400.00		12/31/2015	"IN THE 1950S AND 1960S, THE LIRR CREATED A LANDFILL ADJACENT TO THE MAIN LINE BRANCH IN YAPHANK WHICH NOW IS AN INACTIVE LANDFILL."

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MTA	3979	LIRR BLDG JAMAICA MAIN	QUEENS	2	N3	N2	01/01/2007	120,000.00	75,000.00		12/31/2013	In 1994, while performing a preliminary investigation associated with the closure of two 20,000 diesel USTs, contaminated soil and groundwater was identified.
MTA	3979	LIRR BLDG JAMAICA MAIN	QUEENS	2	N3	N2	01/01/2007	120,000.00	75,000.00		12/31/2012	In 1994, while performing a preliminary investigation associated with the closure of two 20,000 diesel USTs, contaminated soil and groundwater was identified.
MTA	3979	LIRR BLDG JAMAICA MAIN	QUEENS	2	N2	N2	01/01/2007	120,000.00	75,000.00		12/31/2010	In 1994, while performing a preliminary investigation associated with the closure of two 20,000 diesel USTs, contaminated soil and groundwater was identified.
OBPA	3300	BRIDGE ADMINISTRATIO N BUILDING	ST LAWRENCE	6	N2	N2	01/01/1991	157,500.00	0.00		12/31/2008	Oil Spill No. 9104420, First Reported in 1991.
OBPA	3300	BRIDGE ADMINISTRATIO N BUILDING	ST LAWRENCE	6	N3	N2	01/01/1991	147,000.00	40,000.00		12/31/2007	Oil Spill No. 9104420, First Reported in 1991.
OBPA	3300	BRIDGE ADMINISTRATIO N BUILDING	ST LAWRENCE	6	N3	N2	01/01/1991	166,600.00	36,000.00		12/31/2010	Oil Spill No. 9104420, First Reported in 1991.
OMH	3821	CREEDMOOR PSYCHIATRIC CTR	QUEENS	2	C	N2	05/30/2006	20,000.00	75,000.00			Cathodic protection system on Tank #15 (4,000-gallon diesel) has not consistently been tested annually. The system was tested in September 2006 and failed.
OMH	3821	CREEDMOOR PSYCHIATRIC CTR	QUEENS	2	N2	N2	05/30/2006	0.00	2,000.00		12/31/2008	Cathodic protection system on Tank #15 (4,000-gallon diesel) has not consistently been tested annually. The system was tested in September 2006 and failed.
OMH	3822	CREEDMOOR PSYCHIATRIC CTR	QUEENS	2	N2	N2	05/03/2008	0.00	0.00		12/31/2008	Leak detection system on Tank #14 (4,000-gallon #2 oil) is not tested weekly.
OMH	3822	CREEDMOOR PSYCHIATRIC CTR	QUEENS	2	C	N2	05/03/2008	100,000.00	0.00			Leak detection system on Tank #14 (4,000-gallon #2 oil) is not tested weekly.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OMH	4131	Western NY Children's Psychiatric Center	ERIE	9	C	N2	03/22/2007	16,000.00				Building 80: A 6-inch standpipe in the pool pump room, which discharges to the stormwater system, receives flow directly from the swimming pool and all drains in the poolroom. In addition, five floor drains in the boiler room discharge to the stormwater system. These floor drains receive flow from various types of mechanical equipment in the boiler room, specifically, the treated backflow water from the cooling tower. The garage trench drain also discharges to the stormwater system.
OPRHP	3201	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N1	N2	01/01/2002	0.00	250,000.00		07/30/2007	Exxon gas tanks were discovered leaking in 1989. Groundwater remediation pump & treat systems installed in 1990 has been inoperative & in need of extensive repairs or replacement since May of 1999. The adjacent United Water Company Sparkill Well Field has been shut down since 1990 as a result of the groundwater contamination. SPDES permitting requirements could not be met. SPDES permit has been deleted 10/04.
OPRHP	3201	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N1	N2	01/01/2002	0.00	250,000.00		07/30/2007	Exxon gas tanks were discovered leaking in 1989. Groundwater remediation pump & treat systems installed in 1990 has been inoperative & in need of extensive repairs or replacement since May of 1999. The adjacent United Water Company Sparkill Well Field has been shut down since 1990 as a result of the groundwater contamination. SPDES permitting requirements could not be met. SPDES permit has been deleted 10/04.
OPRHP	3201	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N1	N2	01/01/2002	0.00	250,000.00		07/30/2007	Exxon gas tanks were discovered leaking in 1989. Groundwater remediation pump & treat systems installed in 1990 has been inoperative & in need of extensive repairs or replacement since May of 1999. The adjacent United Water Company Sparkill Well Field has been shut down since 1990 as a result of the groundwater contamination. SPDES permitting requirements could not be met. SPDES permit has been deleted 10/04.
OPRHP	3208	BELMONT LAKE STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	20,000.00		12/31/2006	2006: The floor drains beneath the maintenance building have been sealed. Consultant is testing dry wells for contamination.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OPRHP	3208	BELMONT LAKE STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	20,000.00		12/31/2008	2006: The floor drains beneath the maintenance building have been sealed. Consultant is testing dry wells for contamination.
OPRHP	3208	BELMONT LAKE STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	20,000.00		12/31/2008	2006: The floor drains beneath the maintenance building have been sealed. Consultant is testing dry wells for contamination.
OPRHP	3211	MONTAUK DOWNS STATE PARK	SUFFOLK	1	N1	N2	01/01/2002	0.00	40,000.00		12/31/2008	Fuel oil spill.
OPRHP	3211	MONTAUK DOWNS STATE PARK	SUFFOLK	1	N2	N2	01/01/2002	0.00	40,000.00		12/31/2008	Fuel oil spill.
OPRHP	3211	MONTAUK DOWNS STATE PARK	SUFFOLK	1	N2	N2	01/01/2002	0.00	40,000.00		12/31/2008	Fuel oil spill.
OPRHP	3219	JONES BEACH STATE PARK	NASSAU	1	N2	N2	01/01/2002	0.00	0.00		03/31/2005	Floor drains.
OPRHP	3219	JONES BEACH STATE PARK	NASSAU	1	N2	N2	01/01/2002	0.00	0.00		12/31/2008	Floor drains.
OPRHP	3219	JONES BEACH STATE PARK	NASSAU	1	N2	N2	01/01/2002	0.00	0.00		12/31/2008	Floor drains.
OPRHP	3224	SAMPSON STATE PARK	SENECA	8	N2	N2	01/01/1989	0.00	1,000,000.00		12/31/2008	DEC Inactive Hazardous Waste Site Registry#850005, Sampson State Park site. 1999: Class 2A inactive hazardous waste site. 2002: This is a former landfill, listed as a Class 2A by DEC in the Inactive Hazardous Waste Site Registry. 2012: listed as a Class A by DEC in the Inactive Hazardous Waste Site Registry. This is not a solid waste violation and is not covered under any of the laws/regulations included in the NYS DEC Agency Environmental Audit Guidance Manual. DEC, Parks & Army Corps are working together to properly close the former landfill.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2009	RANK 2010	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OPRHP	3224	SAMPSON STATE PARK	SENECA	8	N2	N2	01/01/1989	0.00	1,000,000.00		12/31/2008	DEC Inactive Hazardous Waste Site Registry#850005, Sampson State Park site. 1999: Class 2A inactive hazardous waste site. 2002: This is a former landfill, listed as a Class 2A by DEC in the Inactive Hazardous Waste Site Registry. 2012: listed as a Class A by DEC in the Inactive Hazardous Waste Site Registry. This is not a solid waste violation and is not covered under any of the laws/regulations included in the NYS DEC Agency Environmental Audit Guidance Manual. DEC, Parks & Army Corps are working together to properly close the former landfill.
OPRHP	3224	SAMPSON STATE PARK	SENECA	8	N2	N2	01/01/1989	0.00	1,000,000.00		12/31/2008	DEC Inactive Hazardous Waste Site Registry#850005, Sampson State Park site. 1999: Class 2A inactive hazardous waste site. 2002: This is a former landfill, listed as a Class 2A by DEC in the Inactive Hazardous Waste Site Registry. 2012: listed as a Class A by DEC in the Inactive Hazardous Waste Site Registry. This is not a solid waste violation and is not covered under any of the laws/regulations included in the NYS DEC Agency Environmental Audit Guidance Manual. DEC, Parks & Army Corps are working together to properly close the former landfill.
OPRHP	3245	SUNKEN MEADOW STATE PARK	SUFFOLK	1	N2	N2	01/01/2002	0.00	500,000.00		12/31/2005	Two petroleum tanks to be removed and eight petroleum tanks to be replaced: 12, 4, 9, 10, 13, 15, 16, and 17.
OPRHP	3245	SUNKEN MEADOW STATE PARK	SUFFOLK	1	N3	N2	01/01/2002	0.00	500,000.00		12/31/2008	Two petroleum tanks to be removed and eight petroleum tanks to be replaced: 12, 4, 9, 10, 13, 15, 16, and 17.
OPRHP	3245	SUNKEN MEADOW STATE PARK	SUFFOLK	1	N2	N2	01/01/2002	0.00	500,000.00		12/31/2008	Two petroleum tanks to be removed and eight petroleum tanks to be replaced: 12, 4, 9, 10, 13, 15, 16, and 17.
OPRHP	3252	HITHER HILLS STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	200,000.00		03/31/2008	Tank #5, 6 and 9 need to be replaced.
OPRHP	3252	HITHER HILLS STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	200,000.00		03/31/2008	Tank #5, 6 and 9 need to be replaced.
OPRHP	3252	HITHER HILLS STATE PARK	SUFFOLK	1	N2	N2	01/01/1992	0.00	200,000.00		03/31/2008	Tank #5, 6 and 9 need to be replaced.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	COLUMBIA	4	N2	N2	01/01/1990	3,894.00	385,014.00		12/31/2008	2006: Inactive landfill has not been closed. Landfill did not receive any garbage. Inactive landfill has not been closed. Landfill received garbage. 2002: Inactive landfill has not been closed. Landfill did not receive garbage.
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	COLUMBIA	4	N4	N2	01/01/1990	3,894.00	385,014.00		12/31/2008	2006: Inactive landfill has not been closed. Landfill did not receive any garbage. Inactive landfill has not been closed. Landfill received garbage. 2002: Inactive landfill has not been closed. Landfill did not receive garbage.
OPRHP	3257	NYS LAKE TAGHKANIC STATE PARK	COLUMBIA	4	N2	N2	01/01/1990	3,894.00	385,014.00		12/31/2008	2006: Inactive landfill has not been closed. Landfill did not receive any garbage. Inactive landfill has not been closed. Landfill received garbage. 2002: Inactive landfill has not been closed. Landfill did not receive garbage.
OPRHP	3260	MILLS NORRIE STATE PARK	DUTCHESS	3	N2	N2	01/01/1993	4,403.00	403,000.00		11/30/2008	Abandoned Landfill needs to be properly closed; it has not received any new material.
OPRHP	3260	MILLS NORRIE STATE PARK	DUTCHESS	3	N2	N2	01/01/1993	4,403.00	403,000.00		11/30/2008	Abandoned Landfill needs to be properly closed; it has not received any new material.
OPRHP	3260	MILLS NORRIE STATE PARK	DUTCHESS	3	N3	N2	01/01/1993	4,403.00	403,000.00		11/30/2008	Abandoned Landfill needs to be properly closed; it has not received any new material.
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	OSWEGO	7	N2	N2	01/01/1990	94,000.00	50,000.00		12/31/2008	All the natural gas wells on property have not been plugged and capped.
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	OSWEGO	7	N2	N2	01/01/1990	94,000.00	50,000.00		12/31/2007	All the natural gas wells on property have not been plugged and capped.
OPRHP	3262	NYS SELKIRK SHORES STATE PARK	OSWEGO	7	N2	N2	01/01/1990	94,000.00	50,000.00		12/31/2008	All the natural gas wells on property have not been plugged and capped.
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	WESTCHESTER	3	N2	N2	01/01/2003	22,700.00	249,900.00		03/31/2009	Swan lake dam, ID#214-0922 spillway configuration and capacity was deemed inadequate
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	WESTCHESTER	3	N2	N2	01/01/2003	22,700.00	249,900.00		03/31/2009	Swan lake dam, ID#214-0922 spillway configuration and capacity was deemed inadequate
OPRHP	3263	ROCKEFELLER STATE PARK PRESERVE	WESTCHESTER	3	N2	N2	01/01/2003	22,700.00	249,900.00		03/31/2009	Swan lake dam, ID#214-0922 spillway configuration and capacity was deemed inadequate

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	ORANGE	3	N2	N2	01/01/1997	0.00	4,000,000.00		01/01/2010	Sebago Dam is a high hazard dam. The existing spillway is inadequate and does not meet current standards. An auxiliary spillway is needed to meet current flood capacity.
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	ORANGE	3	N2	N2	01/01/1997	0.00	4,000,000.00		01/01/2010	Sebago Dam is a high hazard dam. The existing spillway is inadequate and does not meet current standards. An auxiliary spillway is needed to meet current flood capacity.
OPRHP	3269	SUMMIT LAKE - HARRIMAN SP	ORANGE	3	N2	N2	01/01/1997	0.00	4,000,000.00		01/01/2010	Sebago Dam is a high hazard dam. The existing spillway is inadequate and does not meet current standards. An auxiliary spillway is needed to meet current flood capacity.
OPRHP	3370	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N2	N2	02/23/2007	0.00	5,500.00		12/31/2008	The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.
OPRHP	3370	KINGS HIGHWAY GAS STATION	ROCKLAND	3	C	N2	02/23/2007	0.00	5,500.00		12/31/2008	The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.
OPRHP	3370	KINGS HIGHWAY GAS STATION	ROCKLAND	3	N2	N2	02/23/2007	0.00	5,500.00		05/09/2007	The following violations issued on 2/23/07 as stated on the unexecuted Order on Consenture DIPC responsibility . Failure to have a valid and current registration - there were errors on the registration and a 750 gal UST was not listed. Submit documentation that facility is fully compliant w/regulations including type of tanks and lines are in the ground - provide tank labels. Remove 750 gal UST.
PANYNJ	3371	LAGUARDIA AIRPORT	QUEENS	2	C	N2	01/01/2007	0.00	100,000.00		12/01/2007	Two 55K gallon fuel oil tanks. Port Authority has contracted for both tanks to be fitted with Leak Detection and Monitoring System, Tanks will be in compliance at completion of project.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG 2010	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
PANYNJ	3371	LAGUARDIA AIRPORT	QUEENS	2	N4	N2	01/01/2007	21,000.00	21,000.00		03/31/2011	Two 55K gallon fuel oil tanks. Port Authority has contracted for both tanks to be fitted with Leak Detection and Monitoring System, Tanks will be in compliance at completion of project.
PANYNJ	3371	LAGUARDIA AIRPORT	QUEENS	2	N4	N2	01/01/2007	0.00	15,000.00		05/01/2011	Two 55K gallon fuel oil tanks. Port Authority has contracted for both tanks to be fitted with Leak Detection and Monitoring System, Tanks will be in compliance at completion of project.
PANYNJ	3371	LAGUARDIA AIRPORT	QUEENS	2	N2	N2	01/01/2007	100,000.00	25,000.00		08/12/2008	Two 55K gallon fuel oil tanks. Port Authority has contracted for both tanks to be fitted with Leak Detection and Monitoring System, Tanks will be in compliance at completion of project.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N3	N2	01/01/1996	130,500.00	50,000.00		12/30/2010	Reported petroleum spills are not cleaned up to NYSDECstandards.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N3	N2	01/01/1996	135,000.00	25,000.00		12/30/2013	Reported petroleum spills are not cleaned up to NYSDECstandards.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N2	N2	01/01/1996	135,000.00	25,000.00		12/30/2013	Reported petroleum spills are not cleaned up to NYSDECstandards.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N3	N2	01/01/1996	98,000.00	50,000.00		12/30/2010	Reported petroleum spills are not cleaned up to NYSDECstandards.
THRUWY	3186	MNT NYACK COMPLEX	ROCKLAND	3	N2	N2	01/01/1996	98,000.00	50,000.00		03/31/2008	Reported petroleum spills are not cleaned up to NYSDECstandards.
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	C	N2	01/01/1993	371,000.00	0.00			Reported Petroleum spills are not closed by NYSDEC. Site monitoring continues.2001:Addt'l upgrades in '00 found areas of contaminated soil.Upgrade to N2 rank based on findings.
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N2	N2	01/01/1993	70,000.00	250,000.00		12/30/2010	Reported Petroleum spills are not closed by NYSDEC. Site monitoring continues.2001:Addt'l upgrades in '00 found areas of contaminated soil.Upgrade to N2 rank based on findings.
THRUWY	3191	NYS SLOATSBURG-RAMAPO SERVICE AREA	ROCKLAND	3	N2	N2	01/01/1993	70,000.00	250,000.00		03/31/2008	Reported Petroleum spills are not closed by NYSDEC. Site monitoring continues.2001:Addt'l upgrades in '00 found areas of contaminated soil.Upgrade to N2 rank based on findings.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	308,000.00	100,000.00		03/31/2008	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.

AGENCY	INCIDENT NUMBER	VIOLATION LOCATION	COUNTY	DEC REG	RANK 2010	RANK 2009	VIOL DATE	COST TO DATE (\$)	FUTURE COST (\$)	ACTUAL COMPL	PROJ COMPL	VIOLATION DESCRIPTION
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	397,790.00	250,000.00		12/31/2016	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	397,790.00	250,000.00		12/31/2016	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	308,000.00	100,000.00		03/31/2008	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.
THRUWY	3424	ARDSLEY SERVICE AREA	WESTCHESTER	3	N2	N2	01/01/1999	329,000.00	390,000.00		12/31/2011	Reported spills not cleaned up to NYSDEC standards. Product found on groundwater.

Appendices

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Appendix A

NYSDEC Division of Environmental Permits, Program Information

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Appendix A: NYSDEC DIVISION OF ENVIRONMENTAL PERMITS, PROGRAM INFORMATION

Pollution Prevention

Traditionally, the approach to environmental protection has been through laws and regulations seeking to control the discharge of waste to specific media (air, water and land) following waste generation. Although this approach was successful in making significant improvements to environmental quality, much more can be done to reduce releases to the environment through efforts to eliminate pollution at the source.

In order to further pollution prevention efforts, partnerships can be developed between the State agencies and the Department of Environmental Conservation (DEC) to prevent pollution at the source. The results of these efforts will be greater environmental protection and real cost savings to the agencies implementing pollution prevention programs.

Specific benefits from implementing pollution prevention initiatives include:

- Reduced costs associated with using less raw materials,
- Reduced costs associated with less waste needing to be managed (i.e., treated or disposed),
- Reduced costs associated with less waste needing to be transported,
- Reduced costs associated with fewer waste streams needing to be permitted,
- Reduced exposure to liability costs and cleanup costs associated with spills, and
- Reduced administrative costs to manage waste streams.

Environmental Management System (EMS)

An EMS can provide a structure to manage, assess, and continuously improve the effectiveness and efficiency of the management of an agency's environmental activities. An EMS approach builds in periodic review of environmental activities by top management and emphasizes continuous improvement instead of response management to environmental incidents. The systematic nature of an EMS allows an organization to focus on implementation and take a more inclusive and proactive view of environmental protection. A properly developed and implemented EMS will improve environmental compliance and help to identify and implement pollution prevention opportunities.

State agencies are encouraged to work with DEC to promote pollution prevention and to develop and implement an EMS to help improve their environmental programs. An EMS can assist State agencies to integrate environmental concerns into their everyday business decision-making, to improve environmental compliance, and to promote pollution prevention. Properly developed

and implemented, an EMS can reduce costs while advancing environmental protection and performance.

Contact Information

The Department of Environmental Conservation (DEC) Pollution Prevention Unit may be contacted at 518-402-9167. Agencies may visit the DEC webpage entitled "Environmental Permits and Pollution Prevention Guidance Documents" for additional resources at www.dec.ny.gov/regulations/2374.html. Agencies may also visit the webpage entitled "NYS Pollution Prevention Institute" at: www.dec.ny.gov/chemical/37277.html for further information and a link to the NYS Pollution Prevention Institute.

Appendix B

State Agency Environmental Audit Regulations by NYSDEC Program Area

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Appendix B: STATE AGENCY ENVIRONMENTAL AUDIT REGULATIONS BY NYSDEC PROGRAM AREA

AIR RESOURCE

- 01 Architectural Coatings
- 02 Asbestos Containing Surface Coating Material
- 03 Perchloroethylene Dry-Cleaning Facilities
- 03 A Pharmaceutical and Cosmetic Manufacturing Processes
- 03B Synthetic Organic Chemical Manufacturing
- 03C Consumer and Commercial Products
- 04 Fuel Composition and Use -- Sulfur Limitations
- 04A Fuel Composition and Use -- Waste Fuel
- 04B Fuel Composition and Use -- Gasoline
- 05 Gasoline Dispensing Sites and Transport Vehicles
- 05A Emissions from Motor Vehicles Propelled by Gasoline Engines
- 05C Emissions from Vehicles Propelled by Diesel Engines
- 05D Emission Standards for Motor Vehicles and Motor Vehicle Engines
- 05E* Inspection and Maintenance Program Audits
- 05F Emissions from Heavy Duty Diesel Vehicles
- 06 Permits and Registrations
- 06A Emission Statements
- 06B Air Pollution Episode
- 06C General Process Emission Sources
- 07 General Nuisance
- 09 Graphic Arts Facilities
- 10 Incinerators
- 11 Indirect Source Permits
- 12 New Source Review
- 13 Open Burning
- 14 Petroleum and Volatile Organic Liquid Storage and Transfer
- 15 Solvent Metal Cleaning Process
- 16 Stationary Combustion Installations
- 16A New Source Performance Standards for Small Steam Generation Units
- 17 Surface Coating Processes

FISH, WILDLIFE & MARINE RESOURCES

- 18 Destructive Wildlife -- Permit to Take
- 19 State Agency Fishing Permit (Institutional)
- 20 Freshwater Wetlands Protection

- 21 Liberation of Fish and Wildlife
- 22 Endangered/Threatened Species License
- 23 Protection of Waters
- 24 License to Collect or Possess
- 40 Wild, Scenic and Recreational River Systems
- 41 Marine Aquiculture Permits
- 42 Shellfish Management
- 43 Tidal Wetlands Act

LANDS & FORESTS

- 37A Protected Native Plants
- 38 Off Premises Signs
- 39 Use of State Lands
- 40A Prescribed Fire-Prescribed Burn

MINERAL RESOURCES

- 44 Mined Land Reclamation
- 45 Oil and Gas Leasing on State Lands
- 46 Oil, Gas & Solution Mining Well Drilling

ENVIRONMENTAL PERMITS

- 48 State Environmental Quality Review Act (SEAR)
- 49 Section 401 Water Quality Certification

ENVIRONMENTAL REMEDIATION

- 51 Chemical Bulk Storage
- 51A Chemical & Hazardous Substances Spills
- 51C Chemical Bulk Storage Fee
- 56 Petroleum Bulk Storage
- 56A Oil & Petroleum Spills
- 56B Major Onshore Petroleum Facilities
- 56C Petroleum Bulk Storage Fee

SOLID & HAZARDOUS MATERIALS

(Note: This program was incorporated into the Division of Materials Management as of the fall 2010)

- 25 Agency Registration to Apply Pesticides
- 25A Pesticide Product Registration
- 25B Pesticide Handling, Storage, Disposal and Safety
- 25C Prior Notification of Pesticide Applications and Posting of Commercial Lawn Application of Pesticides
- 26 Commercial Pesticide Applicator Certification
- 26A Pesticide Reporting Law
- 27 Aquatic Pesticide Permit
- 28 Waste Transporter Permit -- Transporting Regulated Wastes
- 30 Waste Transporter Permit -- Annual Report
- 31 Hazardous Waste Generators
- 31A Hazardous Waste Special Assessments
- 32 Hazardous Waste Generator Annual Report Requirements
- 33 Hazardous Waste Manifesting Program
- 34 Hazardous Waste Management Facility Permit
- 35 Control of Radioactive Materials
- 36 Low Level Radioactive Waste Transporter Permit and Manifest System
- 36A Waste Transporter Permits - Regulated Medical Waste
- 50A Landfills
- 50B Landfills -- Construction and Demolition Debris
- 50C Landfills -- Long Island
- 50D Landfill Gas Recovery Facilities
- 50E Transfer Stations
- 50F Recyclables Handling and Recovery Facilities
- 50G Land Application Facilities
- 50H Composting Facilities
- 50J Waste Tire Storage Facilities
- 50K Liquid Storage Facilities
- 50L Used Oil Facilities
- 50M Solid Waste Incinerators and Refuse-Derived Fuel Processing Facilities
- 50N Regulated Medical Waste Treatment, Transfer & Storage Facilities
- 50P Construction & Demolition Debris Processing Facilities
- 70 Hazardous Waste Reduction
- 71 Solid Waste Reduction
- 72 Universal Waste Rule

WATER

- 52 Coastal Erosion Management
- 53 Construction & Repair of Dams & Impoundment Structures
- 53A Public Safety Inspections of Dams & Impoundment Structures
- 54 Flood Plain Management

- 54A Use of Flood Control Lands
- 54B Operation of a Snowmobile or Motor Vehicle on Flood Control Lands
- 54C Flood Control Lands -- Usage Fees
- 54D* Operation and Maintenance of Flood Protection Projects
- 55 Long Island Wells
- 57 Public Water Supply
- 58 Wastewater Discharge State Pollutant Discharge Elimination System (SPDES)
- 58B Storm Water General SPDES Permits
- 58C SPDES Discharge Notification Act Sign Posting & Public Notification
- 59 Wastewater Treatment Plant Operator Certification
- 60 Great Lakes Water Withdrawal Registration and Fees

REGULATORY FEES

- 08A Air Quality Control Program Fees
- 08B Operating Permit Program Fees for Major Stationary Air Contamination Sources
- 29 Waste Transporter Permit: Regulatory Fees
- 31B Hazardous Waste Generator Fees
- 34A Hazardous Waste Management Facility Fees
- 44A Mined Land Reclamation Program Fees
- 58A SPDES Program Fees

*NOTE: THESE REGULATIONS APPLY TO THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION ONLY.

Appendix C

State Agency Environmental Audit Compliance Initiatives

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Appendix C: STATE AGENCY ENVIRONMENTAL AUDIT COMPLIANCE INITIATIVES

Agriculture and Markets

- Initiated procedures to contract with a recycler of used electronics to take all non-working electronics

Albany County Airport Authority

- Received the Environmental Quality Award for 2001 presented by EPA Administrator Christine Todd Whitman for the Airport's successful implementation of the first large scale airport deicing biological treatment system which can be used as a prototype for other public airports; and
- In 2002, hosted the Statewide Clean Cities Challenge showcasing the first airport clean fuel (CNG dedicated) fleet and station in New York State.

Canal Corporation

- Evaluate new methods/procedures to speed up PBS compliance;
- Pursue funding from New York State to allow the implementation of a program for the remediation of sites where residual contamination is present from the past removal of non-complying fuel tanks;
- Continue training and development of guidance to assist our employees in environmental compliance; and
- Evaluate operations and infrastructure and update as necessary at wastewater treatment plants.

Capital District Transportation Authority

- Use DEC prescribed "Integrated Pest Management System" applicators that are registered with the DEC; and
- Recycle all fluorescent bulbs.

Children and Family Services

- Continue the Oil Tank Replacement Program;
- Renew all permits and registrations in a timely manner;
- Inform facilities of the requirements to post certain information conspicuously; and
- Continue to maintain vigilance to ensure facilities comply with the Environmental Conservation Law and the Department's Regulations.

Correctional Services

- Continue to follow an aggressive Environmental Compliance Program to assure that compliance will be maintained; and
- All remaining non-compliance are either in design or construction phase.

Development Authority of the North Country

- Incorporating environmental compliance items into a computerized maintenance management system;
- Monthly monitoring of compliance items; and
- Perform periodic audits and inspections of facility operations.

Energy Research and Development Authority

- Continue to monitor all of the facilities, operations and projects it undertakes directly; and
- Implement all actions required by applicable environmental statutes, regulations, permits, orders and agreements.

Environmental Conservation

- Continue Environmental Compliance as an Executive priority;
- Dedicate staff needed to provide engineering and planning services to accomplish environmental remedial projects;
- Undertake a management review of the "in-house" self-auditing processes;
- Ensure that environmental compliance is part of all work plans;
- Continue regional environmental compliance training sessions to help assure that facility managers understand applicable regulations;
- Implement Standard Operating Procedures to help field staff comply with environmental regulations and good practice; and
- Assure that appropriate personnel participate in all applicable training sponsored by the Pollution Prevention Unit.

Environmental Facilities Corporation

- Continue the current level of management to assure future compliance will be maintained.

General Services

- Continue to carefully monitor facilities to assure future compliance.

Insurance Department

- Participates in the OGS “3Rs” program for the recycling, reuse, and recovery for solid waste management.

Mental Health

- Continue to pursue compliance with all applicable Environmental Regulations through continued efforts to identify and correct violations;
- In process of entering into an agreement with EPA to become part of the Hospital Environmental Compliance Initiative and Voluntary Discovery/ Disclosure Program and to move it into the EMS process;
- Develop an Environmental Management System to make environmental compliance activities more generally understood and routinely accomplished. (An Environmental term consultant retained through DASNY is assisting with this effort);
- Include lump sum funding requests to complete environmental remediation work as well as pollution prevention initiatives; and
- Continue to make Energy Conservation/Emissions reductions a priority. 58% reduction in annual energy consumption over past 10 years has resulted in reductions of over 6000 tons of NO_x, 8600 tons of SO₂, and 3 million tons of CO.

Mental Retardation and Developmental Disabilities

- Continue to monitor environmental impacts of all ongoing and new projects to ensure compliance with environmental laws and the accurate reporting in the annual DEC Environmental Audit.

Metropolitan Transportation Authority

- MTA New York City Transit developed a database to track the generation, shipment and disposal of hazardous waste;
- MTA Metro-North Railroad introduced portable weatherproof drum containment equipment in train yards and on its right-of-way to prevent spills and storm water runoff contamination;

- MTA Long Island Railroad is researching bioremediation techniques to address petroleum contamination along its ROW caused by rail lubricators and minor leaks from railroad equipment;
- MTA Long Island Bus continued its purchase of clean fuel powered compressed natural gas (CNG) buses and expects to have its entire fleet running on CNG by the end of 2003;
- MTA Bridges and Tunnels retained the services of an environmental consultant to investigate, assess and recommend necessary actions to close out old historical spills at a number of their facilities. Bridges and Tunnels environmental staff is currently reviewing and evaluating draft closure reports;
- MTA New York City Transit has performed a drainage inventory at each of its petroleum bulk storage facilities;
- MTA Metro-North Railroad is replacing petroleum-based hydraulic fluid with a vegetable based oil at all station elevators that utilize hydraulic lifts;
- MTA Long Island Railroad has begun a project to delineate historic mercury contamination in 20 electrical substations;
- MTA Long Island Bus is utilizing new “green” design guidelines for the reconstruction of its Paratransit facility; and
- MTA Bridges and Tunnels is automating its petroleum bulk storage inventory system and connecting all tank alarm systems to a central monitoring command station.

Military and Naval Affairs

- Continue to ensure compliance with all local, State, and federal environmental regulations; and - Ensure all petroleum bulk storage tanks are maintained and color coded in accordance with regulations.

Niagara Frontier Transportation Authority

- Continue environmental compliance-training program to upgrade employee knowledge of environmental regulations and compliance requirements;
- Incorporate pollution prevention programs to minimize sources of contamination; and
- Make capital improvements at various facilities which will incorporate improved pollution control equipment into operations.

Olympic Regional Development Authority

- Continue environmental compliance as an executive priority;
- Ensure that environmental compliance is a part of all work plans;
- Assure that all appropriate personnel participate in all applicable training and continuing education;

- Anticipate aging facility needs in capital planning to avert violating facilities; and
- Incorporate sound environmental practices and management into all operations and long term planning at all ORDA sports facilities.

Parks, Recreation and Historic Preservation

- Receive money through the Clean Water/Clean Air Bond Act, allowing progress to be made bringing dams into compliance, beginning work on closure of landfills, and investigating and remediating subsurface discharge systems - SPDES;
- Continue progress on bringing petroleum bulk storage tanks into compliance. The identification of additional tanks requiring replacement is an ongoing process by the agency;
- Contact regional DEC staff to facilitate compliance and progress of projects; and
- Monitor environmental impacts of all projects.

Port Authority of NY & NJ

- Implementation of “Best Management Practices”; and
- Continuation of facility Environmental Health and Safety Audits and staff training.

Power Authority

- Continue on-going program to prepare, review, update and enforce environmental policies and procedures to ensure compliance with DEC’s environmental programs; and
- Continue commitment to the principles of waste minimization and pollution prevention to prevent environmental incidents before they occur;

State Police

- Contract with OGS and private environmental consulting firms to provide environmental remediation services.

State University of New York (SUNY)

- Continue to place a high priority on environmental stewardship, compliance with all applicable laws and regulations, and remediating any known instances of noncompliance;
- Continue monitoring of sites where underground tanks have been removed under DEC supervision;
- Get SPDES violations in compliance at Brockport, Buffalo, Cobleskill, Fredonia, and Morrisville;
- Get air program requirements for NSPS, permits, registration, emissions statements, etc. in compliance at Cobleskill, Delhi, HSC Brooklyn, Maritime, Old Westbury, Potsdam, and Purchase;
- Get into compliance with PBS, spills and other remediation at Buffalo, Cobleskill, Cortland, Geneseo, Morrisville, New Paltz, Oneonta, Purchase and Stony Brook;

- Get into Hazardous Waste Compliance at Buffalo, Morrisville and Stony Brook; and
- Continue planning, design and construction phases to be implemented as provided in the 2002 non-compliance reports and remedial plans.

Thruway

- Evaluate the current remediation operations involving leaking underground petroleum storage tanks to see if new methods/procedures can speed up a return to compliance;
- Evaluate current operations and infrastructure and update where necessary at service wastewater treatment plants;
- Continue to develop and issue directions and/or forms to aid personnel in complying with environmental regulations; and
- Continue to closely monitor permit conditions.

Transportation

- Utilize the NYSDEC database to facilitate electronic reporting of the audit;
- Continue to make internal improvements to facilitate the audit and will use the information to facilitate tracking, corrections, and targeting of potential focus areas for further emphasis in the future; and
- Added environmental staff in the environmental audit process as new environmental specialists were appointed in Construction and Transportation Maintenance Divisions in regions. These 20 senior environmental specialists dedicated to the Office of Operations will provide additional environmental expertise, awareness training and have significantly contributed in the identification and programming for corrections of compliance issues and assistance with environmental enhancements within their regions.

Appendix D

Glossary

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Appendix D: GLOSSARY

AGENCY - See “State Agency”.

FACILITY

All physical facilities owned, operated or maintained by an agency, including, but not limited to, the fixed assets as listed in the OGS Fixed Asset Building Inventory and Land Improvements Verification Listing, and offices located in buildings owned and operated by other parties (including agency offices located in State office buildings).

NON-COMPLIANCE

Violation of the Environmental Conservation Law and/or regulations;
conducting activities without required permits, certifications, approvals, etc.;;
any violation of a permit, certification, approval, or regulatory standard or exceedance of an ambient air or water standard; or
violation of the requirements of an administrative order, either on consent or after a hearing, or a judicial order.

OPERATION

Activities undertaken by an agency, such as, bridge painting and/or repair, vegetation and/or pest control on rights-of-way, property, in buildings, fish stocking, lumbering on State-owned land, etc.

PROJECT (CONTRACT)

An activity directly undertaken by an agency (including contracts with other parties), if such activity includes construction, re-construction, modification, rehabilitation, restoration, or similar activities (i.e., forest restoration, highway construction and/or major maintenance projects, major road or track repair, major building or grounds modification, repair, rehabilitation or restoration, etc.).

STATE AGENCY

1. Each State department;
2. Division of Military and Naval Affairs; New York State Police; Division of Housing and Community Renewal; New York State Office of Children and Family Services; Office of General Services; New York State Office of Parks, Recreation and Historic Preservation; and State University of New York;
3. Any other division, board, commission, office, or bureau of the State which is required to obtain a permit or approval from the New York State Department of Environmental Conservation or which undertakes an activity for which it must register such department;
4. Albany Port District Commission; Battery Park City Authority; Capital District Transportation Authority; The Central New York Regional Transportation Authority; Dormitory Authority State of New York; New York State Environmental Facilities Corporation; Metropolitan Transportation Authority, New York State Energy Research and Development Authority; Olympic Regional Development Authority; New York State Thruway Authority; Empire State Development Corporation; Niagara Frontier Transportation Authority; Ogdensburg Bridge and Port Authority; The Port Authority of NY & NJ; Port of Oswego Authority; New York Power Authority; Roswell Park Cancer Institute; Rochester-Genesee Regional Transportation Authority; and

5. any other major agency, public authority or public benefit corporation which performs a State function and which is identified by the Commissioner of the Department of Environmental Conservation for the purpose of complying with the environmental audit requirements.

THIRD-PARTY VIOLATIONS

Those violations committed by lessees or other (third) parties on properties owned and/or under the jurisdiction or control of the agency.

Appendix E

NYSDEC Regions

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Appendix E: NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION (NYSDEC) REGIONS

NYSDEC Region 1

Nassau and Suffolk Counties.

SUNY Campus, Building 40, Stony Brook, NY 11790, (631) 444-0345

NYSDEC Region 2

Bronx, Kings, New York, Queens and Richmond Counties.

1 Hunters Point Plaza, 47-40 21st Street, Long Island City, NY 11101, (718) 482-4900

NYSDEC Region 3

Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster and Westchester Counties.

21 South Putt Corners Road, New Paltz, NY 12561-1696, (845) 256-3000

NYSDEC Region 4

Albany, Columbia, Delaware, Greene, Montgomery, Otsego, Rensselaer, Schenectady and Schoharie Counties.

1150 North Westcott Road, Schenectady, NY 12306-2014, (518) 357-2234

NYSDEC Region 5

Clinton, Essex, Franklin, Fulton, Hamilton, Saratoga, Warren and Washington Counties.

Route 86, PO Box 296, Ray Brook, NY 12977, (518) 897-1200

NYSDEC Region 6

Herkimer, Jefferson, Lewis, Oneida and St. Lawrence Counties.

317 Washington Street, Watertown, NY 13601, (315) 785-2238

NYSDEC Region 7

Broome, Cayuga, Chenango, Cortland, Madison, Onondaga, Oswego, Tioga and Tompkins Counties.

615 Erie Boulevard West, Syracuse, NY 13204-2400, (315) 426-7400

NYSDEC Region 8

Chemung, Genesee, Livingston, Monroe, Ontario, Orleans, Schuyler, Seneca, Steuben, Wayne and Yates Counties.

6274 East Avon-Lima Road, Avon, NY 14414, (585) 226-2466

NYSDEC Region 9

Allegany, Cattaraugus, Chautauqua, Erie, Niagara and Wyoming Counties.

270 Michigan Avenue, Buffalo, NY 14203-2999, (716) 851-7000

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Appendix F

Agency List by Agency Code

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Appendix F: AGENCY LIST BY AGENCY CODE

AGENCY CODE	AGENCY NAME
ACAA	ALBANY COUNTY AIRPORT AUTHORITY
APA	NYS ADIRONDACK PARK AGENCY
APDC	ALBANY PORT DISTRICT COMMISSION
BPCA	BATTERY PARK CITY AUTHORITY
CANALS	NYS CANAL CORPORATION
CDTA	CAPITAL DISTRICT TRANSPORTATION AUTHORITY
CNYRTA	CENTRAL NEW YORK REGIONAL TRANSPORTATION AUTHORITY
DANC	DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY
DASNY	DORMITORY AUTHORITY OF THE STATE OF NEW YORK
DCHR	NYS DIV OF HOUSING & COMMUNITY RENEWAL
DCS	NYS DEPT OF CIVIL SERVICE
DEC	NYS DEPT OF ENVIRONMENTAL CONSERVATION
DLABOR	NYS DEPT OF LABOR
DMV	NYS DEPT OF MOTOR VEHICLES
DOAM	NYS DEPT OF AGRICULTURE & MARKETS
DOBANK	NYS BANKING DEPARTMENT
DOCS	NYS DEPT OF CORRECTIONAL SERVICES
DOH	NYS DEPT OF HEALTH
DOINS	NYS INSURANCE DEPARTMENT
DOLAW	NYS OFFICE OF THE ATTORNEY GENERAL
DOMNA	NYS DIV OF MILITARY & NAVAL AFFAIRS
DOS	NYS DEPT OF STATE
DOT	NYS DEPT OF TRANSPORTATION
DPS	NYS DEPT OF PUBLIC SERVICE
DSP	NYS POLICE
EDUC	NYS EDUCATION DEPARTMENT
EFC	NYS ENVIRONMENTAL FACILITIES CORP
ERDA	NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY
ESD_UDC	EMPIRE STATE DEVELOPMENT CORPORATION
MTA	METROPOLITAN TRANSPORTATION AUTHORITY
NFTA	NIAGARA FRONTIER TRANSPORTATION AUTHORITY
NYPA	NEW YORK POWER AUTHORITY
OASAS	NYS OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
OBPA	OGDENSBURG BRIDGE & PORT AUTHORITY
OCFS	NYS OFFICE OF CHILDREN & FAMILY SERVICES
OGS	NYS OFFICE OF GENERAL SERVICES
OHSWMA	ONEIDA-HERKIMER SOLID WASTE AUTHORITY
OMH	NYS OFFICE OF MENTAL HEALTH
OMRDD	NYS OFFICE OF MENTAL RETARDATION & DEVEL DISABILITIES
OPRHP	NYS OFFICE OF PARKS REC & HISTORIC PRESERVATION
ORDA	NYS OLYMPIC REGIONAL DEVELOPMENT AUTHORITY
OSC	NYS OFFICE OF STATE COMPTROLLER
OSWEGO	PORT OF OSWEGO AUTHORITY
OTDA	NYS OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE
PANYNJ	PORT AUTHORITY OF NEW YORK & NEW JERSEY
RGRTA	ROCHESTER-GENESEE REGIONAL TRANSPORTATION AUTHORITY
RPCI	ROSWELL PARK CANCER INSTITUTE CORP
SUNY	STATE UNIVERSITY OF NEW YORK
TAX	NYS DEPT OF TAXATION & FINANCE
THRUWY	NYS THRUWAY AUTHORITY