

New York State Section 401 Water Quality Certification



Department of
Environmental
Conservation

PRE-FILING MEETING REQUEST FORM

Prospective Applicant Information:		DEC ID (if known):	
Name of Prospective Applicant:			
Applicant must be <input type="checkbox"/> property owner, <input type="checkbox"/> lessee or <input type="checkbox"/> operator (check which applies).			
Email:		Phone:	
Mailing Address: Street:	City:	State:	Zip:
Name of Property Owner (if different from prospective applicant):			
Email:		Phone:	
Mailing Address: Street:	City:	State:	Zip:
Prospective Application Contact or Contractor (if applicable):			
Email:		Phone:	
Mailing Address: Street	City:	State:	Zip:
Project Location (where work will be done):			
Town (where property taxes paid):		County:	
Street Address:	City:	State: NY	Zip:

Project Name and Description (short description of proposed work, including acreage of US Waters):

- ✓ **Attachments** (check each box to indicate that the attachment is provided with this form):
- Project Location Map, **required** (with location marked) Project Drawings & Site Plan, if available
 - Project Site Photos, if available Project SEQR Documents, if available

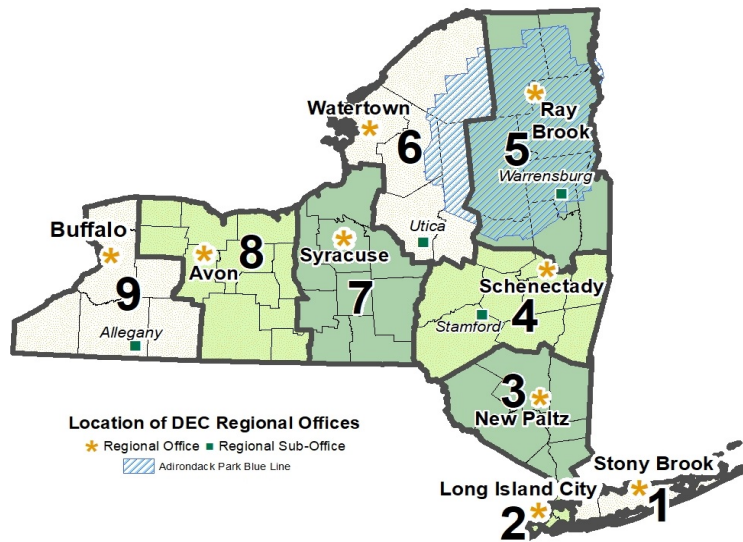
Certification:
I hereby submit this form and the attachments indicated to request a pre-filing meeting for a Section 401 Water Quality Certification pursuant to 40 CFR §121.4. It is my intent to apply for a Section 401 Water Quality Certification for the project described in these materials, and any other DEC permits that may be required for the project, no earlier than 30 days from the date this pre-filing meeting request has been submitted. I also understand and acknowledge that DEC is not obligated to grant or respond to this request for a pre-filing meeting.

	_____	_____		_____	_____
	Prospective Applicant Signature	Date		Property Owner Signature (if different than applicant)	Date

Section 401 Water Quality Certification Pre-filing Meeting Request Form Instructions

To comply with the requirements of US EPA rule on Section 401 Water Quality Certifications (40 CFR §121.4), a pre-filing meeting request must be submitted to the certifying agency at least 30 days before an application for Section 401 Water Quality Certification is submitted to DEC. Once the form on page 1 is completed and the available attachments are compiled, the pre-filing meeting request must be sent to the DEC Regional Permit Administrator listed below in the DEC region where the project is located.

<https://www.dec.ny.gov/about/39381.html>



Location of DEC Regional Offices

★ Regional Office ■ Regional Sub-Office
▨ Adirondack Park Blue Line

NYS DEC REGION 6 Sub-Office

Regional Permit Administrator
Utica State Office Building,
207 Genesee Street, Room 1404
Utica, NY 13501-2885
phone: 315-793-2555
fax: 315-793-2748
email: dep.r6@dec.ny.gov
➤ For Herkimer and Oneida Counties

NYS DEC REGION 7

Regional Permit Administrator
5786 Widewaters Parkway
Syracuse, NY 13214-1867
phone: 315-426-7438
fax: 315-426-7425
email: dep.r7@dec.ny.gov
➤ For Cayuga, Onondaga,
Oswego, Broome, Chenango,
Cortland, Madison, Tioga and
Tompkins Counties

NYS DEC REGION 8

Regional Permit
Administrator 6274 East Avon
- Lima Road Avon, NY
14414-9519
phone: 585-226-5400
fax: 585-226-2830
email: dep.r8@dec.ny.gov
➤ For Chemung, Genesee, Livingston,
Monroe, Ontario, Orleans, Schuyler,
Seneca, Steuben, Wayne and Yates
Counties

NYS DEC REGION 9

Regional Permit Administrator
700 Delaware Avenue
Buffalo, NY 14209
phone: 716-851-7165
fax: 716-851-7168
email: dep.r9@dec.ny.gov

➤ For Erie, Niagara and Wyoming
Counties

NYS DEC REGION 9 Sub-Office

Regional Permit Administrator
182 East Union, Suite 3
Allegany, NY 14706-1328
phone 716-372-0645
fax: 716-372-2113
email: dep.r9@dec.ny.gov

➤ For Allegany, Cattaraugus and
Chautauqua Counties



Department of
Environmental
Conservation

NYS DEC REGION 1

Regional Permit Administrator
SUNY @ Stony Brook
50 Circle Road
Stony Brook, NY 11790-3409
phone: 631-444-0365
fax: 631-444-0360
email: dep.r1@dec.ny.gov
➤ For Nassau and Suffolk Counties

NYS DEC REGION 2

Regional Permit Administrator
1 Hunter's Point Plaza
47-40 21st Street
Long Island City, NY 11101-5407
phone: 718-482-4997
fax: 718-482-4975
email: dep.r2@dec.ny.gov
➤ For Brooklyn, Bronx, Manhattan,
Queens and Staten Island

NYS DEC REGION 3

Regional Permit Administrator
21 South Putt Corners Road
New Paltz, NY 12561-1620
phone: 845-256-3054
fax: 845-255-4659
email: dep.r3@dec.ny.gov
➤ For Dutchess, Orange, Putnam,
Rockland, Sullivan, Ulster and
Westchester Counties

NYS DEC REGION 4

Regional Permit Administrator
1130 North Westcott Road
Schenectady, NY 12306-2014
phone 518-357-2069
fax: 518-357-2460
email: dep.r4@dec.ny.gov
➤ For Albany, Columbia, Greene,
Montgomery, Rensselaer, Schenectady
and Schoharie Counties

NYS DEC REGION 4 Sub-Office

Regional Permit Administrator
65561 State Highway 10
Stamford, NY 12167-9503
phone: 607-652-7741
fax: 607-652-2342
email: dep.r4@dec.ny.gov
➤ For Delaware and Otsego
Counties

NYS DEC REGION 5

Regional Permit Administrator
PO Box 296
1115 NYS Route 86
Ray Brook, NY 12977-0296
phone: 518-897-1234;
fax: 518-897-1394
email: dep.r5@dec.ny.gov
➤ For Clinton, Essex, Franklin, and
Hamilton Counties

NYS DEC REGION 5 Sub-Office

Regional Permit Administrator
232 Golf Course Rd
Warrensburg, NY 12885-1172
phone: 518-623-1282;
fax: 518-623-3603
email: dep.r5@dec.ny.gov
➤ For Fulton, Saratoga, Warren
and Washington Counties

NYS DEC REGION 6

Regional Permit Administrator
Dulles State Office Building
317 Washington Street
Watertown, NY 13601-3787
phone: 315-785-2245
fax: 315-785-2242
email: dep.r6@dec.ny.gov
➤ For Jefferson, Lewis and
St. Lawrence Counties