

# Sale of Elephant and Mammoth Ivory or Rhinoceros Horn

## Inventory List

For more information on this license visit [www.dec.ny.gov/63.html](http://www.dec.ny.gov/63.html)

- PLEASE REFER TO THE INSTRUCTIONS BEFORE COMPLETING THIS APPLICATION.
- PLEASE PRINT OR TYPE, ATTACH ADDITIONAL SHEETS AS NECESSARY.

### \*APPLICANT INFORMATION

name (please print) \_\_\_\_\_

1. Item Identification Number	2. Item Description (For articles containing rhinoceros horn, include the species name of rhinoceros)	3. Species Source	4. Percent Ivory or Horn by Volume	5. Date Article Manufactured (Actual or Circa)	6. Date Article Obtained (dd/mm/yyyy)	7. Requested Purpose (Check all that you are requesting)		8. Obtained from Name / Address	FOR OFFICIAL USE ONLY Do not write in this space		
						Sale	Distribution		Approved (A) Denied (D)	Authorized Sale	DEC ID Number
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	
		<input type="checkbox"/> Elephant <input type="checkbox"/> Rhinoceros <input type="checkbox"/> Mammoth				<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> NYS Museum	<input type="checkbox"/> Educational <input type="checkbox"/> Scientific <input type="checkbox"/> Museum			<input type="checkbox"/> Interstate <input type="checkbox"/> Intrastate <input type="checkbox"/> Museum	

**REQUIRED DOCUMENT(S)** (must be submitted with your application)

- This application
- Ivory or Rhinoceros Horn Inventory List
- Photograph of each individual article / item
- Documentation clearly showing provenance, proof of age or manufacture date of article(s), species
- Estimate of ivory or horn in article/item if < 20%
- For Scientific or Educational Donations only: project proposal and resumes or Curriculum Vitae's of researchers or educators.

**APPLICATION CHECKLIST** (before sending this application, please verify the following)

- All application fields/sections marked with an asterisk ( \* ) are complete<sup>1</sup>
- You signed and dated below (if under 18 years of age, must have a parent / legal guardian signature).

**9. I attest that based on my personal knowledge the above information is accurate and true. NOTICE: Pursuant to ECL Section 3-0301(2)(Q), false statements made on this application are punishable in accordance to Section 210.45 of the New York State Penal Code.**

\_\_\_\_\_  
**Applicant's Signature**

\_\_\_\_\_  
**Date**

**INSTRUCTIONS**

The instructions below correspond to the columns in the Ivory Article and Rhinoceros Horn Inventory List. The inventory list must be submitted with the Endangered/Threatened Species Sale of Articles Containing Ivory or Horn Permit application along with a photograph of each article listing the articles dimensions including which part of the article is composed of ivory or horn and the dimensions of the ivory or horn.

1. Enter a unique identification/inventory number for each article listed. This number must also appear on any photographs and supplemental documents associated with the individual article.
2. Describe the article in specific detail. Indicate if the article is a musical instrument. NOTE: If the article contains rhinoceros horn then you must identify the species of rhinoceros from which the article is made.
3. Check the circle next to the species from which the article is made in whole or in part.
4. Provide an estimate of the percent volume of ivory or horn of which the article is composed.
5. Provide the date of manufacture or circa date of manufacture.
6. Provide the date that the article was obtained.
7. Check the circle(s) next to the intended purpose for which you are applying. You may select multiple choices where appropriate. Base your responses on the following requirements:
  - A. Sale
    - i. Intrastate sale (within NYS) - The following articles made from elephant or mammoth ivory or rhinoceros horn may be authorized for intrastate sale:
      - an article that is at least 100 years old and contains less than 20% ivory or horn and has not been repaired with any elephant ivory or horn after December 27, 1973 or mammoth ivory after June 30, 2014; or
      - an article containing ivory or horn which is a musical instrument manufactured prior to 1975 and is not prohibited by Federal law.
    - ii. Interstate sale (outside of NYS) Includes sale to museums outside of NYS. - The following articles made from ivory or horn may be authorized for interstate sale:
      - an article with a Federal Endangered Species Act permit; or
      - an article that is at least 100 years old and has not been repaired with any elephant ivory or horn after December 27, 1973 or mammoth ivory after June 30, 2014.
    - iii. NY Museum – The following may be authorized for sale to a NYS museum chartered by the Board of Regents or the Legislature:
      - an article containing mammoth ivory.
      - an article containing elephant ivory manufactured prior to 1976 or an article containing rhinoceros horn manufactured prior to 1970.
  - B. Distribution for Educational or Scientific – the following may be authorized for distribution for educational or scientific purposes:
    - legally obtained ivory articles or ivory and horn.
  - C. Gift/Donation to Museum - the following may be authorized for distribution, without monetary gain, to in state and out-of-state museums:
    - an article containing mammoth ivory.
    - an article containing elephant ivory manufactured prior to 1976 or an article containing rhinoceros horn manufactured prior to 1970.
8. List the name, including business name if applicable, and the address of the source from which the ivory was obtained.
9. Sign and date the attestation and penalty of perjury statement.

**MAIL YOUR COMPLETED APPLICATION AND REQUIRED DOCUMENT(S) TO:**

NYS Department of Environmental Conservation  
Special Licenses Unit · 5th Floor  
625 Broadway · Albany, New York 12233

For questions or concerns, please contact us  
Phone: (518) 402-8985 · Fax: (518) 402-8925  
Email: [SpecialLicenses@dec.ny.gov](mailto:SpecialLicenses@dec.ny.gov)  
Website: [www.dec.ny.gov/63.html](http://www.dec.ny.gov/63.html)